

A Customer Service Assurance Platform for Mobile Broadband Networks

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Abstract

In this paper, we discuss trends, issues, requirements and solutions for *Customer Service Assurance (CSA)* platforms for mobile broadband networks. We propose a distributed probe-based architecture called *iCSA* (intelligent CSA), and demonstrate how it is a key component of an advanced *Operational Support System (OSS)*. *iCSA* provides support to *OSSs*, addressing a number of important issues: increase of bit rate, joint analysis of control and user plane, multi-dimensional analysis, root cause analysis, etc. To provide real evidence of the benefits of our proposals on a real mobile broadband network, we also illustrate experimental results on two hot topics: *Mobility and Session Management* and *Root Cause Analysis of TCP connections*.

1. Introduction

The traffic over mobile networks has been strongly increasing due to the growing number of users, terminals (i.e., smartphone and tablet), and applications (i.e., video streaming and social networks). At the same time, mobile operators are facing several challenges in their value chain (e.g. increase in the infrastructure management costs, reduction in the average revenue per customer, etc.), while sustaining the migration towards *Evolved Packet Systems Architecture* (Table 1, SR1). Due to a plurality of access and core network technologies being used to deliver a complex set of services, setting-up and running mobile broadband networks is becoming increasingly complex. In addition, increased competition and customer churn are driving service providers to be even more customer-centric and innovative with their services. In this evolving scenario, both industry and academia are paying their attention more and more to *Customer Experience Management (CEM)* and *Customer Service Assurance (CSA)*. CEM refers to the collection of processes an operator uses for tracking, overseeing, and organizing every interaction between a customer and the organization throughout the customer lifecycle (from service support to new sales, from trouble resolution to billing inquiry, etc.); CSA refers to the part of CEM that deals with service quality, a measure of how individual users experience the services they purchase [1]. As a result, CSA platforms – supporting the *Operational Support System (OSS)* – are indicated as a mandatory ring in the management chain for mobile broadband networks and they are consolidating in a clear framework [1] [2].

While mobile broadband networks are completing their transformation in fully packet-based architectures, traffic monitoring systems (based on passive probes) are continuously evolving. In particular, they are experiencing a continuous shift towards being a key tool in the area of CSA

platforms. able to track and manage mobile subscribers' experience, when properly fed and configured [3]. OSSs market analysis [4] positions probe-based traffic monitoring systems into the ecosystem of *Service Assurance*, alongside other OSS applications for *Fault Management*, *Performance Management* and *Service Quality Management*. The *Service Assurance* market has generated \$2.3 billion revenue in 2009 and it is forecasted to grow up to \$3.4 billion in 2014, resulting in a Compound Annual Growth Rate (CAGR) of 8.3% [4]. Probe-based systems are the largest sub-segment in terms of revenue and it is estimated to increase from \$843 million in 2009 to \$1.18 billion in 2014, a CAGR of 7% [4]. The market of mobile telecommunication services and, consequently, mobile network operators are facing the following challenges, which will tend to increase in the upcoming years: (i) a dramatic increase in cost and complexity for managing mobile networks and services; (ii) the need for heavy investments in infrastructures to meet the growing demand of data communications, while radio access capacity is not scaling accordingly [5]; (iii) a reduction in average revenue per customer (average revenue per user); (iv) a shortage of human resources with the appropriate skills to manage the growing complexity. These changes will necessitate a number of macro-requirements for OSSs, which will focus on:

- overall customer experience, in order to minimize customer churn;
- policies to control access to resources based on all the key business variables, such as: customer segments, devices, services and network load [6]. In this area, 3GPP has defined and is continuously improving a Policy Architecture (Table 1, SR1) on top of the well-known Quality of Service (QoS) architecture (Table 1, SR2);
- operational efficiency [2].

In this complex scenario, probe-based platforms have to cope with a number of issues, in order to provide adequate support to OSSs and to implement a CSA strategy. In Section 2, we present a platform, called *intelligent CSA* (iCSA), which aims at addressing the key issues through innovative solutions:

- *Increase of bit rate*: bit rate of links probed close to key network nodes is continuously growing. Typical Gateway GPRS Support Node (GGSN) capacity is around several Gbps and it is expected to increase suddenly during the upcoming years, especially with the transition to *Evolved Packet Systems* (Table 1, SR1). iCSA supports specialized packet-capturing and preprocessing hardware and software designed to exploit modern multi-core CPUs.
- *Split of user and control plane metrics*: modern telecom networks adopt the split of control and user planes versus all relevant business dimensions (customer groups, device or service types, key network parameters). iCSA performs the aggregation of user plane and control plane metrics in different parts of the network, through different components of its architecture.
- *Complex network architectures*: practical deployment and the need to correlate information collected from different probing points mandate the implementation of a complex and coordinated distributed solution. A typical use case is the analysis of the S1 protocols in the Evolved UMTS Terrestrial Radio Access Network (E-UTRAN): analysis of the user plane (e.g., TCP dynamics) can be done close to the Serving Gateway (Serving-GW), while the control plane (e.g., device type) is typically probed at the Mobility Management Entity (MME) (Table 1, SR1). iCSA implements a highly-distributed architecture, in which the different components are managed by a centralized entity.

- *(Near) Real-time availability of key business metrics*: one of the major challenges of a CSA platform is to provide measurements as quickly as possible to lead to fast error detection and correction. When applied to a probe-based system, this means that monitored protocol packets have to be continuously analyzed in order to provide summaries and measures (e.g., session and mobility management procedures failed in the last 5 minutes). As a probe-based system becomes a key part of an OSS ecosystem, continuous and near real-time availability of data becomes a key requirement. iCSA provides a large quantity of information in near-real time, even in high-speed networks, distributing the computational load among different hardware and software components of its architecture.
- *Root cause analysis*: the last major challenge is related to the quick identification of root-causes by using proper metrics and analysis tools, e.g., “Is the service problem affecting this segment of users in the network or not?”, “Is the network affecting the performance of this TCP connection?”, etc. Advanced data manipulation and presentation capabilities, together with innovative techniques for user plane analysis, allow iCSA to provide fast and accurate answers to these questions.

There are three main approaches or perspectives to *Service Assurance* that have emerged over time (see Table 1, SR3 and SR4, and [1]): *Resource-centric*, *Service-centric* and *Customer-centric*. Each of these approaches has strengths and weaknesses and not a single method by itself can provide a fail-safe and effective way to CSA. Modern networks require a combination of all three assurance models to fully monitor, report and troubleshoot problems. This combined approach, as described in Section 2, is adopted by the iCSA platform. Different measurement methods may be used for implementing these approaches: *element-based*, in which performance measurements are directly reported by network elements; *terminal-based*, in which software agents are placed on user equipment; and *probe-based*, in which data is passively collected, capturing the traffic flowing through the network. The latter method allows visibility on the entire multi-vendor network, without adversely affecting the components of the network or installing intrusive software on the user equipment. iCSA is a probe-based system.

Table 1: Set of relevant standards.

SR1	3GPP TS 23401, “General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access”.
SR2	3GPP TS 23.207, “End-to-End Quality of Service (QoS) concept and architecture”.
SR3	TM Forum, “TR 148 Technical Report: Managing the Quality of Customer Experience”.
SR4	TM Forum, “TR149 Technical Report: Holistic e2e Customer Experience Framework & Sample Workbook”.
SR5	3GPP TS 29.060, “General Packet Radio Service (GPRS); GPRS Tunneling Protocol (GTP) across the Gn and Gp interface”.
SR6	3GPP TS 25.415: “UTRAN Iu interface user plane protocols”.
SR7	3GPP TS 24.301, “Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS)”.
SR8	3GPP TS 24.008, “Mobile radio interface Layer 3 specification; Core network protocols”.
SR9	3GPP TS 23.003, “Numbering, addressing and identification”.

SR10	3GPP TS 32406, “Performance Management (PM); Performance measurements; Core Network (CN) Packet Switched (PS) domain”.
SR11	3GPP TS 32426, “Performance measurements Evolved Packet Core (EPC) network”.
SR12	3GPP TS 23.002, “Network architecture”.

2. A platform for CSA

In order to cope with the issues presented in Section 1, we propose a probe-based CSA platform called *Intelligent Customer Service Assurance (iCSA)*, which provides deep analysis and cross-relational capabilities across the network, services, devices and subscribers. As depicted in Figure 1, the two main components of the platform are the *iCSA Central Server* (single or multiple) and *iCSA Probes*. iCSA can be enriched with external data sources (e.g., information on devices, services and customers) and can be integrated into external management systems.

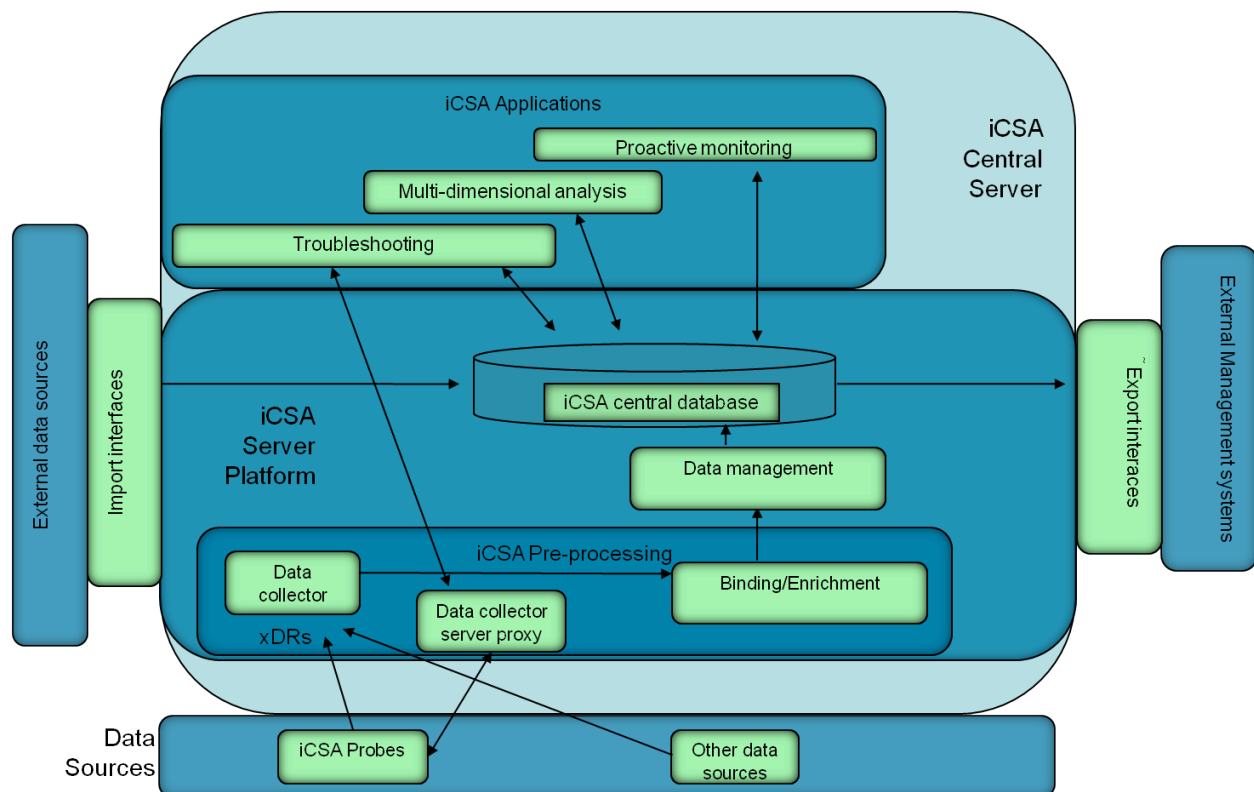


Figure 1 - High-level view of the iCSA architecture.

As shown in Figure 1, the **iCSA Central Server** consists of the following key sub-systems: the **iCSA Server Platform** and **iCSA Applications**. End users can access the iCSA applications by means of Web clients. The **iCSA Server Platform** is a set of distributed components that receive extended Data Records (xDRs) from all the iCSA probes. With the term xDR, we mean a summary containing the most important information regarding each single transaction. Such a transaction could be related to both the control and user planes of a simple call, an Intelligent

Network request, a Session or Mobility Management transaction, a TCP connection and so on. The iCSA Server Platform implements the following capabilities (more details are reported in Section 2.1), exploited by the iCSA and/or other OSS applications:

- Retrieval of both xDRs and raw frames from probes (captured by the data collector and by the data collector server proxy, respectively).
- Binding of xDRs pertaining to the same transaction and enrichment of their content by means of external information (performed by the Binding/Enrichment function).
- Computation of different measurements, at different levels, such as Elementary Counters, Key Performance Indicators, etc. (performed by the Data Management function).
- Optimal storage of xDRs (performed by the iCSA central database).
- Interface towards external data sources and external OSS applications.

iCSA Applications access and manipulate data available across the iCSA platform for different purposes:

- **Troubleshooting:** analyze specific protocol sessions by retrieving xDRs pertaining to this session. This requires searching within a large distributed database of xDRs: for a mobile operator several hundreds xDRs per active user may be stored every day.
- **Multi-dimensional analysis:** analyze, for planning and optimization purposes, relevant counters related to the amount and quality of network procedures and services, through different multi-dimensional views, such as network, customer groups, device types and areas, etc. Counters are also combined into Key Performance Indicators (KPIs), inheriting multi-dimensional views.
- **Proactive monitoring of the network:** monitor continuously the health of the network and related services, and trigger alarms in case of issues in key network elements, services or customer groups such as corporate accounts, VIPs, etc.

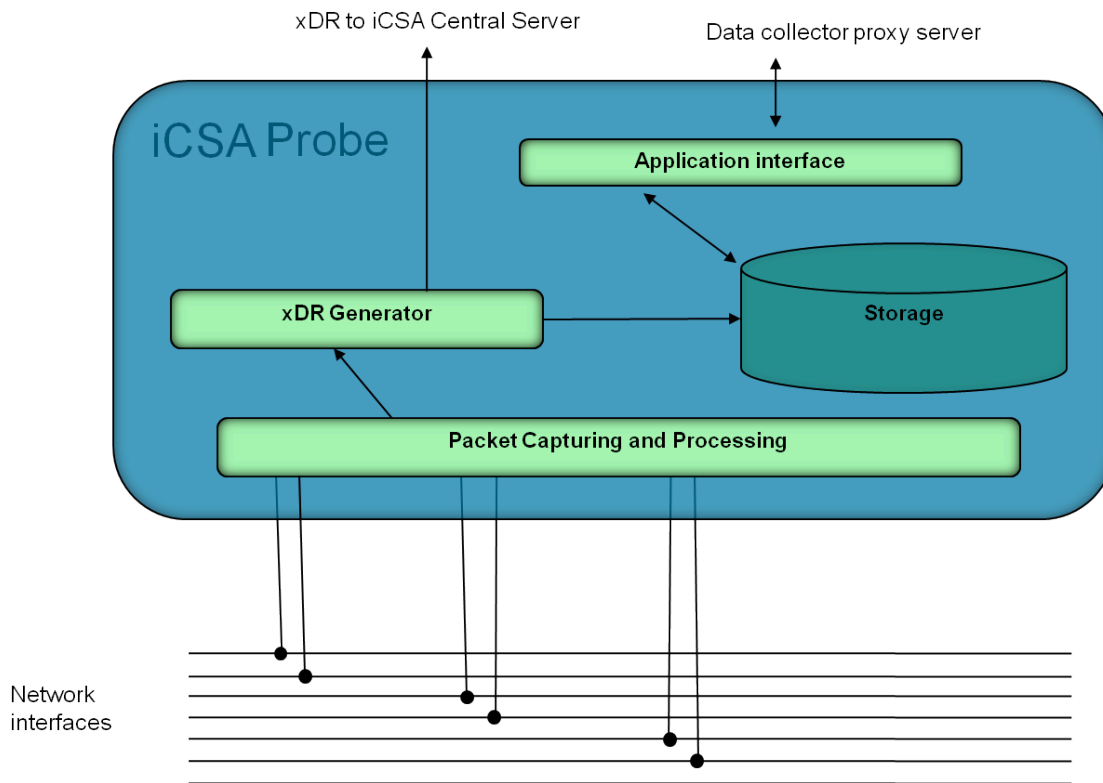


Figure 2 - The iCSA probe.

Figure 2 summarizes the architecture of the **iCSA Probe** and highlights its main components:

- **Packet Capturing and Processing:** this module interfaces with the links connecting the nodes of the network being monitored. It is a dedicated acquisition card, with custom firmware and drivers: it provides in-hardware time-stamped output packets, with an accuracy of microseconds, and it is able to analyze tens of Gbps of traffic. Moreover, it may implement in-hardware packet filtering for the probe to analyze only the portion of relevant traffic, thus off-loading the CPUs (see Section 2.1).
- **xDR Generator:** this component decodes and analyzes time-stamped packets, generates statistics at the protocol layer (messages and events counting) and xDRs. For each new transaction, the xDR generator builds a new record and keeps it in memory. When the transaction reaches a significant phase (e.g., start and end of a call or of a TCP connection, timeout expiration, etc.), the xDR generator closes the record and stores the data in the local storage system. The xDRs are then transferred to the iCSA central server. In order to properly generate the xDRs, the state machine of each protocol is implemented, which allows messages pertaining to the same transaction to be bound (e.g., message related to the same Packet Data Protocol (PDP) context) and thus to relate subsequent xDRs, which represent the state evolution of a certain session.
- **Storage:** this component implements an indexed storage of low-level protocol statistics and alarms, raw frames and xDRs. The xDRs are continuously transferred to the iCSA Central Server, while a long-term storage of raw frames and alarms is provided by the probe.

- **Application Interface:** this component acts as a proxy for requests coming from applications (mostly in the area of the troubleshooting) that require access to statistics/alarms and frames.

The hardware of the iCSA probes is based on high-end server technology that exploits multi-core processors. The number of cores ranges from four to twelve, depending on the traffic and on the complexity of the requested analysis, while RAM capacity varies in the range of 4–16 Gigabytes. Storage availability can be configured in the range of 1–28 Terabytes. The software of the probe is designed so that it tracks the continuous evolution of server technology, especially in the area of multi-core processors (see Section 2.1). Acquisition cards are specific to the transport technology in use in the network under analysis (PDH, SDH, Ethernet, etc.). For mobile broadband networks, Ethernet is the dominant technology; while Gigabit Ethernet is the most common case, 10 Gigabit Ethernet is gaining momentum. The iCSA probes can monitor protocols at any access and at any core network interfaces of 2G-3G mobile networks and of Evolved Packet Systems, and in the IP Multimedia Subsystem (IMS).

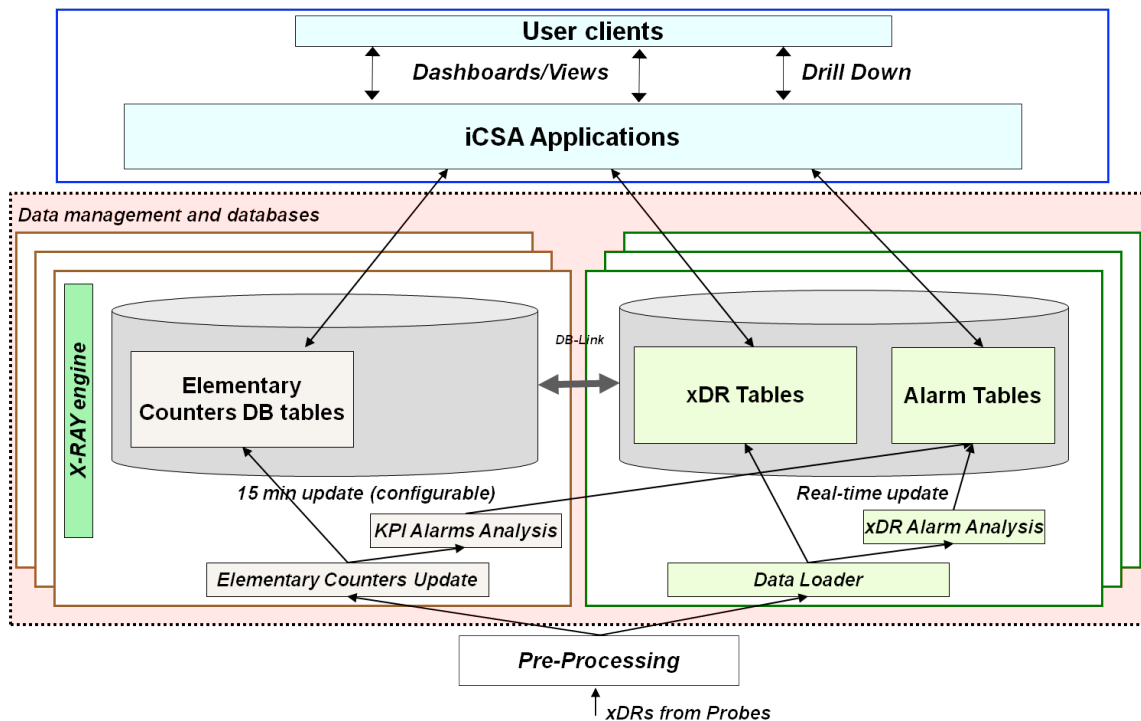


Figure 3 – Detailed view of the iCSA Server.

2.1 Mode of operation

The iCSA monitoring chain starts within the probes. xDRs are continuously transferred to the server, while raw protocol messages are stored in the local probe hard disks and then transferred to the server only on demand (e.g., when users are performing detailed troubleshooting analysis and require their visibility). This avoids the exchange of a large amounts of data between the probes and the server during on-line monitoring. In the iCSA Central Server, xDRs are subject to an initial preprocessing (see Figure 3) mainly to:

- Bind xDRs pertaining to the same transaction, but coming from different probes. These xDRs are identified by applying specific matching rules across parameters of xDRs, e.g., a specific match among subscriber identifiers.
- Enrich the content by means of external static or semi-static information (metadata), such as service models, segments of customers, types of devices etc.

Then xDRs follow two processing chains inside the Data Management component of the iCSA Server Platform (see Figure 3): (i) they are analyzed in order to verify whether they contain information on abnormal network or service conditions (e.g., a transaction failed because of a server failure), which can trigger an alarm, and then loaded into a dedicated database to be used for troubleshooting applications (right part of Figure 3); (ii) they are processed by the X-Ray engine (left part of Figure 3). This component generates multi-dimensional measurements called Elementary Counters (ECs), both on the control and user planes. These counters are combined into Key Performance Indicators (KPIs), which can also trigger alarms in case specific thresholds violations or profiles are identified.

The application for multi-dimensional analysis (described before) is implemented through the following mechanisms:

- *Splitting over xDR dimension*: ECs and thus KPIs are projected over a specific element of an xDR representing a key point of analysis, exploiting this element in its full cardinality. A relevant example could be the probing point. For instance, by comparing evolution over time of TCP Round Trip Time (RTT) for specific classes of services (e.g., HTTP download) in different parts of a mobile broadband network, it is possible to understand the contributions of different parts of the network to the RTT, and thus points of congestions or backhaul issues.
- *EC and KPI grouping*: when groups are defined, ECs and KPIs are calculated both for the totals (as before) and for the specific segments corresponding to these groups, such as, device types, service categories, customer groups, etc. A group is defined by a *regular expression* over any number of fields in the xDRs (e.g. the field devoted to International Mobile Equipment Identity (IMEI)). Once a group is defined, the xDRs, ECs, and KPIs are grouped according to it and measures are calculated for each group (e.g., all mobile devices produced by a certain manufacturer). When groups are not defined, the measures are aggregated on all the xDRs. It is worth noting that a single field in the xDRs can generate multiple group types, considering different parts of the same field as different fields; vice-versa, multiple fields in the input events can be used for a single group type (e.g., performing a logical AND among these fields).

One of the key functionalities implemented by the iCSA in the monitoring process just described is to maintain the link among the different layers: frames, xDRs, ECs, and KPIs (also when split and grouped as described before). In this way it is possible to drill down from measurements referring to a specific group into the specific xDRs that determine the measurement for this view, and down to the correspondent frames. The chain allows building multi-dimensional KPIs as well as intersecting different multi-dimensional views, thus implementing the CSA concept.

The iCSA platform allows to cope with the issues presented in Section 1. In particular, the hardware and software of the iCSA probes are designed to cope with the *increase of bit rates* in mobile broadband networks. The software is designed in a way so that it can automatically adapt to the numbers of cores available in the CPUs. Load balancing among different cores is adaptive and processing is spread based on different steps of analysis, type of protocols, range of IP addresses or other criteria. The load distribution function is partially implemented directly in the

acquisition card, partitioning collected traffic over different core queues. In order to have flexibility in the acquisition of user plane traffic, semi-static and dynamic filtering are applied. This capability controls the user plane being analyzed based on specific conditions defined on the control plane: for example, only the user plane pertaining to certain Access Point Name (APN) or to specific groups of customers are acquired and analyzed. For what concerns the *split of user control planes*, binding of user and control plane starts in the iCSA probes, where user plane measurements (e.g., exchanged/lost packets, throughput) are associated to a specific PDP context by applying a specific binding key that can be the IP address given to the user and/or tunnel identifiers of GPRS Tunneling Protocol User Plane (GTP-U) protocol being used at the Iu-Ps and Gn interfaces (Table 1, SR5 and SR6).

This binding continues in the iCSA central server, where xDRs coming from different probes are bound and xDRs related to the user plane are enriched with control plane parameters (e.g., customer group, device types, geographic area, etc.). Regarding the necessity to cope with *complex network architectures*, iCSA coordinates the work of different probes in a real-time fashion. A good example is the de-ciphering of control messages over the Gb (the same applies to the Iub interface). In order to give to all the probes in the network the possibility to decode these messages, it is necessary to dispatch deciphering keys both from the MAP-Gr interface or from the Gn interface in case of inter-SGSN mobility (Table 1, SR12). Similarly, deciphering of Non-Access Stratum messages at the S1 interface (Table 1, SR7) requires retrieving keys from the S6a interface and from the S10 interface in case of inter-MME mobility.

In order to provide a *near-real time availability of key metrics*, the load for the computation of metrics at different abstraction levels (from frames to ECs and KPIs) is distributed among iCSA probes and central servers, thanks to the architecture of the platform and to the monitoring chain herein described. As a result, protocol frames are continuously analyzed and consolidated in proper summaries and measures are updated in near real-time (delay is configurable according to the volume of traffic, typically it is in the order of 5 minutes).

Finally, the multi-dimensional view provided by iCSA allows a holistic root-cause analysis to enforce quality assurance in complex network and service scenarios, such as mobile broadband networks. Once a specific KPI highlights an issue (e.g., a significant increase of failures of a certain network procedure), the multi-dimensional views allow understanding dimensions and specific instances that are responsible for most of the reported problems. A detailed workflow of this approach is reported in Section 3.1. Even though aggregated counters seem not to highlight a problem, this could not be the case for a specific segment of users, when intersected with specific dimensions of their network or service experience. iCSA multi-views support assurance on a per segment basis. Beside this, a key aspect of the root cause analysis is the quality of basic measurements collected at the probe level and inserted in the xDRs. In Section 3.2, we report an example of a novel advanced approach, validated using the proposed iCSA to identify the root causes of TCP performance issues.

3. iCSA platform at work

As an evidence of the goals achievable with iCSA, we present experimental results from two measurements campaigns in a real mobile broadband network. In the first example, we explain how to disclose possible network problems by properly analyzing Mobility and Session Management metrics. In particular, we monitor a set of views of different kinds of metrics, while forcing a part of the network (only used for tests) to work in a shortage of resources. In the second example, we describe how to understand the performance experienced by the users and the possible causes of limitation through the analysis of metrics extracted from the IP and TCP headers. In this case, we analyze the data traffic flowing through the operational part of the network, and results are derived only from TCP/IP headers, without any content analysis, and having anonymized all user addresses.

3.1 Mobility and Session Management: a multi-dimensional analysis

This section shows how Mobility and Session Management metrics may be analyzed and what are in practice the views that are jointly analyzed presented for understanding where possible problems originate. In order to achieve this goal, we consider the trend of key measurements focusing on Mobility and Session Management procedures (Table 1, SR8). In this experiment, traffic and configuration of the network have been purposely tuned to emphasize the aspects hereafter described. We obtained visibility on Session and Mobility Management, thanks to the distributed nature of iCSA, probing the Gb and Iu-PS interfaces (similar results could be obtained capturing at the S1 interface of a Long Term Evolution (LTE) network). In order to track the most important events related to the interaction between users and network, xDRs have been generated monitoring the following procedures:

- GPRS Attach/Detach (explicit or implicit by inactivity timeout);
- PDP Context Activation/Modification/Deactivation;
- Routing Area Update.

A multi-dimensional representation was achieved with the following splitting mechanisms and related views:

- device view based on proper parsing of IMEI;
- customer view based on the analysis of anonymized user identifiers, typically used to assess the quality of key corporate accounts;
- location view based on Routing Areas and Service Areas (Table 1, SR9);
- service view based on APN.

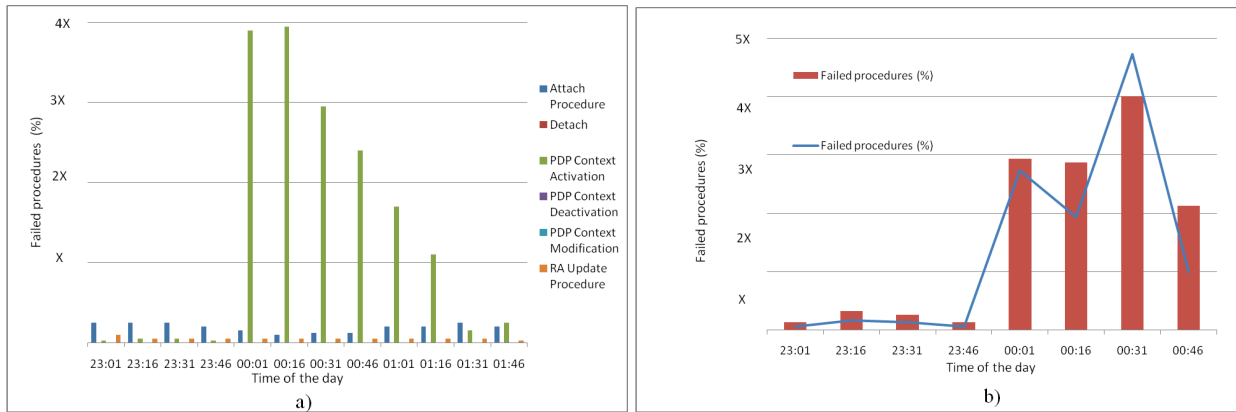


Figure 4 – Time behavior of Mobility Management and Session Management procedures: a) at Iu-PS; b) at Gn.

Figure 4.a illustrates the evolution over time of Session and Mobility procedures that failed. Measurements are made at Iu-PS. As shown in the figure, the percentage of PDP context activation failures is rapidly increasing in a short period of time (from 00:01 to 00:16) and suddenly steps-back to normal values (from 00:16 to 01:31). The iCSA platform makes it possible to go much deeper and see:

- 1- The failure is not polarized by any specific location, customer groups and device types.
- 2- When splitting over different APNs considered in the traffic being analyzed, one of them (the one used for the tests) exhibits a spike in the PDP context failure.
- 3- When intersecting this specific APN with the information regarding the session management causes (e.g., key session management information included in protocol transaction that identifies the reason of a failure), only one appears as causing most of the failures in the observed period: *Activation Rejected by GGSN*.
- 4- A view on the measures made at Gn interface (between SGSN and GGSN) for the Gn PDP activation procedure highlights a similar trend seen on the Iu-Ps (see Figure 4.b).

This experiment shows that the multi-dimensional view allows to identify the worst performing elements per different dimensions or the possible cooperation of different aspects to determine potential problems (e.g., device and APN). This enables the possibility of tracking potential issues - resulting in a loss of revenues and low customer experience - which cannot be addressed by analyzing aggregated counters. This is one of the key added values of iCSA. In this light, for instance, the identification of users highly impacted by a failure, while accessing the service, could drive quickly and easily to the most suitable operation needed to recover the issue: reconfiguration of terminal parameters to access a certain APN, tuning of the capacity on a per APN basis, etc.

3.2 Multi-Layer Root Cause Analysis of TCP connections

TCP connections experience a number of performance issues when using wireless networks. The novel Multi-Layer Root Cause Analysis (MRCA) of TCP connections implemented in the iCSA

framework allows to infer the performance of the TCP connections and to determine the causes that limit their throughput (also called root causes) The causes of throughput limitation can be grouped into three main categories: i) application or user behavior; ii) TCP stack configuration; iii) network performance. The MRCA is aimed at identifying the network-related limitations (the most important for the network operator), in some case automatically; in others, by carefully observing the results. This approach improves and integrates different techniques proposed in the literature (see e.g., [8], [9]), and it is based on a number of xDRs calculated for three different points of view: *aggregate*, *connection* and *host*. In the following, we report the results of the MRCA on the mobile broadband network cited before.

Aggregate: Figure 5(a) shows a summary of the results. The retransmissions are in the order of 2%, which is acceptable for a cellular network [10]. The impact of these retransmissions on the performance of the users will be further analyzed below. Additionally, what is really surprising is the high number of connections having packets with the TCP reset flag set. Grouping the connections by xDR fields (retransmissions, reordering, number of packets, etc.), we identify three main causes: (i) mobile stations trying to open TCP connections towards closed ports (mostly due to malware and unwanted traffic); (ii) mobile stations experiencing bad network conditions, resulting in reordering and retransmissions of packets (the RST packets were sent after receiving unexpected packets); (iii) mobile stations using a non-standard TCP implementation (the TCP RST packets were sent after receiving the TCP FIN packet). In general, we could verify that those events were not impacting the throughput of the users.

Connection: this analysis reveals a number of TCP connections whose performance is not dominated by the application. Such connections are identified thanks to the methodology proposed in [9]. In particular, we use this methodology to divide the parts of the connections for which the application does not stress the network enough from those for which the application always sends large-sized packets at a high rate. However, due to the time-varying conditions of the cellular channel, this methodology does not allow to identify the root cause, we can only exclude the causes related to the application. The reasons are reported in the *host* analysis.

Host: thanks to the *connection* analysis, we could identify the set of connections whose performance is not limited by the application. In the following, we show the results of the *host* analysis of two mobile stations whose connections are in this set. Figure 5(b) and Figure 5(c) illustrate the time behavior of the throughput, retransmission ratio (also called retransmission score: it is the percentage of packets that are retransmitted), round trip time (RTT), and number of parallel connections of these mobile stations.

The first user (Figure 5(b)) downloads about 20 MB from a Web server on a single connection, and at the same time, it opens a few other connections, transferring a small amount of bytes. Comparing the time behavior of throughput and retransmission score, we see how this last parameter influences the throughput: for most of the samples, when the throughput decreases, the retransmissions increase. If we only look at the retransmission score, however, we can see that it is generally quite low, with a few spikes. This explains why the *connection* analysis did not identify the root cause of the performance: the retransmission score averaged over

the entire period is not high enough to be identified as the limiting cause. Comparing the other two plots, we can observe the effect of the buffering (in the mobile network and in the TCP stack): when more than two connections are active, the RTT increases.

The results for the second user are reported in Figure 5(c). For this mobile station we observe a different behavior: (i) the throughput is higher, reaching values up to 2.5 Mbps; (ii) the number of retransmissions is also higher, and they do not correlate with the throughput; (iii) the RTT is very high and reaches a few seconds. Basically, this user is able to reach the maximum throughput allowed to him by the network, but the buffering is playing a big role, and the RTT increases, which may cause problems to some applications.

Finally, we can state that the throughput of the connections of these users is limited by the network, and that the multi-layer analysis allowed to easily identify this limitation.

The root cause analysis of TCP connections permitted to spot some issues that affect the experience of the users. While for some of these issues (e.g., a high number of retransmissions in the network) it is possible to set up an alarm that automatically alerts the operator when the aggregated counter reaches a certain threshold, some other steps of the analysis (e.g., the *host* analysis) still require the observation of the results by an expert. We are currently working towards the identification of other xDRs (e.g., correlation coefficient of the throughput and retransmission score time series) that allow the automatic detection of these and other issues.

4. Discussion and Conclusion

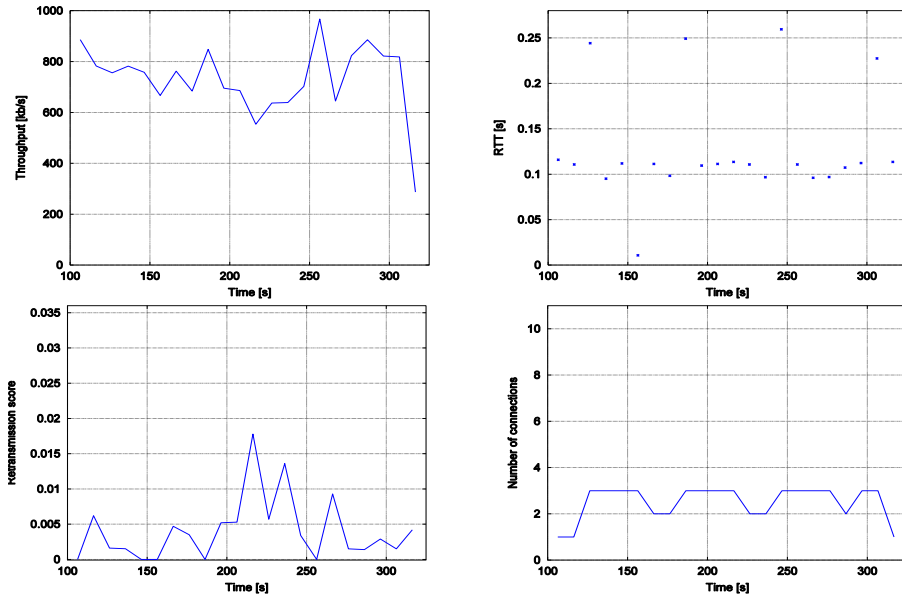
In this paper, we reviewed the main trends, issues and requirements of CSA architectures, and proposed a novel CSA platform called iCSA. The importance of the topics discussed in this paper and relevance of the novel features of iCSA were also highlighted, on the one hand, by the debate involving NGMN, 3GPP and TM forum on operations in next generation networks and how they are reflected in standardization bodies [2], and, on the other hand, by the proliferation of advanced service assurance platforms. The proposed solution relies on several innovative contributions, ranging from the adopted distributed solution to the probe architecture, from the new multi-dimensional approach to the advanced root cause analyses.

As for the multi-dimensional approach, performance measures reported by network elements are typically not separated for relevant dimensions (e.g., customer segments, device type, services etc.), but simply provide an aggregation of different events on a per node-area. iCSA xDRs track user interactions with the network at different protocol layers, thus allowing a definition of a customer/service-centric data model, and to aggregate data on such relevant dimensions. As for the root cause analyses, if a network counter reports an issue, the most relevant information provided by a network element is the reject cause from control-plane related events. The multi-dimensional view provided by iCSA identifies dimensions and specific instances that mostly aggregate the problem in the control and user plane. Finally, the iCSA architecture allows a detailed analysis by recovering xDRs to troubleshoot a certain problem, and correspondent packets for a deep protocol analysis. iCSA data model allows to define workflows that bind proactive monitoring, multi-dimensional analysis and troubleshooting.

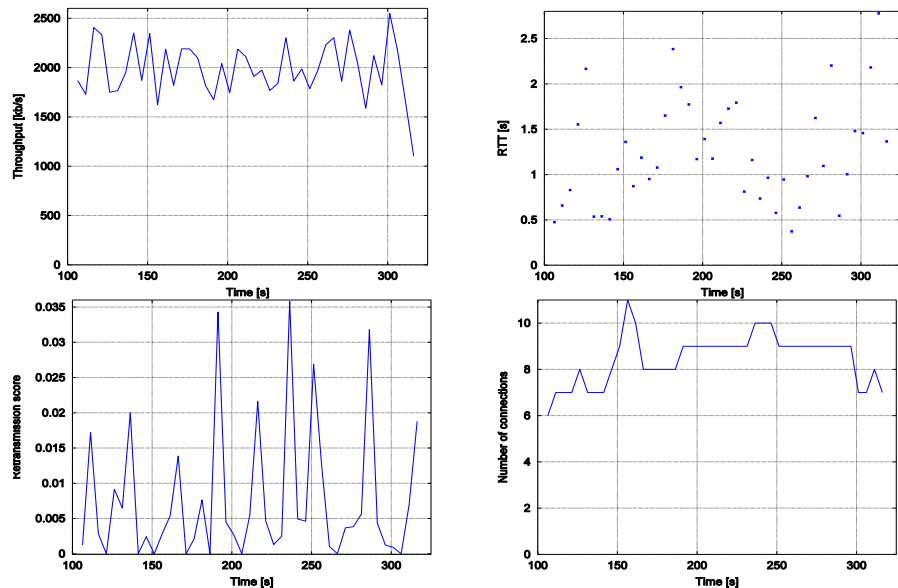
We plan to extend and improve iCSA according to several directions. First, we plan to fully automate the root cause analysis presented in this paper. Second, we envisage progress of the iCSA probes in line with the trends of network technologies, line speed and hardware architectures. Third, we plan the further integration with other systems (e.g., Policy and Charging Rules Function (PCRF) systems). Fourth, we plan to improve iCSA functionalities to include a link between root cause analysis and higher level analysis (e.g., Quality of Experience (QoE)).

Connections analyzed	159445
Packets analyzed	5379640
Connections with retransmitted packets in either direction	36608 (23%)
Connections with 1 Byte retransmitted in either direction	17389 (11%)
Connections with reset packets in either direction	47851 (30%)
Reordered packets	4327
Out of sequence packets	13085
Packets retransmitted	100706 (2%)
Packets retransmitted for timeout in uplink	36465
Packets retransmitted for fast retransmit in uplink	3937

(a) **aggregate** analysis



(b) **host** analysis for a first user



(c) **host** analysis for a second user

Figure 5 - Results of the Multi-Layer Root Cause Analysis of TCP connections.

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