### Tecniche di Specifica e di Verifica

Automata-based LTL Model-Checking

### Finite state automata

A finite state automaton is a tuple  $A = (\Sigma, S, S_0, R, F)$ 

- Σ: set of input symbols
- S: set of states --  $S_0$ : set of initial states ( $S_0 \subseteq S$ )
- $R:S \times \Sigma \rightarrow 2^S$  : the transition relation.
- F: set of accepting states (  $\mathbf{F} \subseteq S$  )
- A *run r* on  $w=a_1,...,a_n$  is a sequence  $s_0,...,s_n$  such that  $s_0 \in S_0$  and  $s_{i+1} \in \mathbb{R}(s_i,a_i)$  for  $0 \le i \le n$ .
- A run r is accepting if  $s_n \in F$ , while a word w is accepted by A if there is an accepting run of A on w.
- The *language L(A) accepted* by A is the set of finite words accepted by A.

#### Finite state automata: union

Given automata  $A_1$  and  $A_2$ , there is an automaton A accepting  $L(A) = L(A_1) \cup L(A_2)$ 

A =  $(\Sigma, S, S_0, R, F)$  is an automaton which just runs nondeterministically either A<sub>1</sub> or A<sub>2</sub> on the input word.

$$S = S_{1} \cup S_{2}$$

$$F = F_{1} \cup F_{2}$$

$$S_{0} = S_{01} \cup S_{02}$$

$$R(s,a) = \begin{cases} R_{1}(s,a) \text{ if } s \in S_{1} \\ R_{2}(s,a) \text{ if } s \in S_{2} \end{cases}$$

#### Finite state automata: union





#### Finite state automata: intersection

Given automata  $A_1$  and  $A_2$ , there is an automaton A accepting  $L(A) = L(A_1) \cap L(A_2)$ 

A =  $(\Sigma, S, S_0, R, F)$  runs simultaneously both automata A<sub>1</sub> and A<sub>2</sub> on the input word.

$$S = S_1 \times S_2$$
$$F = F_1 \times F_2$$
$$S_0 = S_{01} \times S_{02}$$

$$\boldsymbol{R}((s,t),a) = \boldsymbol{R}_1(s,a) \times \boldsymbol{R}_2(t,a)$$

#### Finite state automata: intersection





### Finite state automata: complementation

- If the automaton is deterministic, then it just suffices to set F<sup>c</sup> = S -F.
- This doesn't work, though, for *non-deterministic automata*.
- Solution:

O Determinize the automaton using the subset construction.
O Complement the resulting deterministic automaton

- The complexity of this process is *exponential* in the size of the original automaton.
- The number of states of the final automaton is 2<sup>/S/</sup>, in the *worst case*.



# Büchi automata (BA)

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- $R:S \times \Sigma \to 2^S$ : the transition relation.
- F: set of accepting states (  $\mathbf{F} \subseteq S$  )
- A *run r* on  $w=a_1,a_2,...$  is an infinite sequence  $s_0,s_1,...$ such that  $s_0 \in S_0$  and  $s_{i+1} \in \mathbb{R}(s_i,a_i)$  for  $i \ge 0$ .
- A run r is accepting if some accepting state in F occurs in r infinitely often.
- A word w is *accepted* by A if there is an accepting run of A on w, and the *language* L<sub>ω</sub>(A) accepted by A is the set of (infinite) ω-words accepted by A.

## Büchi automata (BA)

A Büchi automaton is a tuple  $A = (\Sigma, S, S_0, R, F)$ 

- A *run r* on  $w=a_1,a_2,...$  is an infinite sequence  $s_0,s_1,...$ such that  $s_0 \in S_0$  and  $s_{i+1} \in \mathbb{R}(s_i,a_i)$  for  $i \ge 0$ .
- Let  $Lim(r) = \{ s \mid s = s_i \text{ for infinitely many } i \}$

• A run r is accepting if

 $Lim(r) \cap F \neq \emptyset$ 

- A word w is *accepted* by A if there is an accepting run of A on w.
- The *language*  $L_{\omega}(A)$  *accepted* by A is the set of (infinite)  $\omega$ -words accepted by A.

### Büchi automata: union

Given Büchi automata  $A_1$  and  $A_2$ , there is an Büchi automaton A accepting  $L_{\omega}(A) = L_{\omega}(A_1) \cup L_{\omega}(A_2)$ .

The construction is the same as for ordinary automata.

A =  $(\Sigma, S, S_0, R, F)$  is an automaton which just runs nondeterministically either A<sub>1</sub> or A<sub>2</sub> on the input word.  $S = S_1 \cup S_2$  $F = F_1 \cup F_2$  $S_0 = S_{01} \cup S_{02}$  $R(s,a) = \begin{cases} R_1(s,a) \text{ if } s \in S_1 \\ R_2(s,a) \text{ if } s \in S_2 \end{cases}$ 

#### Büchi automata: intersection

- The intersection construction for automata does not work for Büchi automata.
- Instead, the intersection for Büchi automata can be defined as follows:
- A= $(\Sigma, S, S_0, R, F)$  intuitively runs simultaneously both automata A<sub>1</sub>= $(\Sigma, S_1, S_{01}, R_1, F_1)$  and A<sub>2</sub>= $(\Sigma, S_2, S_{02}, R_2, F_2)$  on the input word.

$$S = S_{I} \times S_{2} \times \{1,2\}$$

$$F = F_{I} \times S_{2} \times \{1\}$$

$$S_{0} = S_{0I} \times S_{02} \times \{1\}$$

$$R((s,t,i),a) = \begin{cases} (s',t',2) & \text{if } s' \in R_{I}(s,a), t' \in R_{2}(t,a), s \in F_{I} \text{ and } i=1 \\ (s',t',1) & \text{if } s' \in R_{I}(s,a), t' \in R_{2}(s,a), t \in F_{2} \text{ and } i=2 \\ (s',t',i) & \text{if } s' \in R_{I}(s,a), t' \in R_{I}(t,a) \end{cases}$$

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### Büchi automata: intersection

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$$S_0 = S_{01} \times S_{02} \times \{1\}$$

 $R((s,t,i),a) = \begin{cases} (s',t',2) & \text{if } s' \in R_1(s,a), t' \in R_2(t,a), s \in F_1 \text{ and } i=1 \\ (s',t',1) & \text{if } s' \in R_1(s,a), t' \in R_2(t,a), t \in F_2 \text{ and } i=2 \\ (s',t',i) & \text{if } s' \in R_1(s,a), t' \in R_1(t,a) \end{cases}$ 

- As soon as it visits an accepting state in *track 1*, it switches to *track 2* and then to *track 1* again but only after visiting an accepting state in the *track 2*.
- Therefore, to visit *infinitely often* a state in  $F(F_1)$ , the automaton must also visit *infinitely often* some state of  $F_2$ .<sup>14</sup>

### Büchi automata: complementation

#### Solution (resorts to another kind of automaton):

- Transform the (non-deterministic) Büchi automaton into a (non-deterministic) *Rabin automaton* (a more general kind of ω-automaton).
- Determinize and then complement the Rabin automaton.
- Transform the Rabin automaton into a Büchi automaton.
- Therefore, also Büchi automata are closed under complementation.

### Rabin automata

- A Rabin automaton is like a Büchi automaton, except that the accepting condition is defined differently.
- $A = (\Sigma, S, S_0, R, F)$ , where  $F = ((G_1, B_1), ..., (G_m, B_m))$ .
- and the acceptance condition for a run r = s<sub>0</sub>, s<sub>1</sub>,... is as follows: for some i
  - $Lim(r) \cap G_i \neq \emptyset$  and
  - $Lim(r) \cap B_i = \emptyset$

in other words, there is a pair  $(G_i, B_i)$  such that the left-hand set  $(G_i)$  is visited *infinitely often*, while the right-hand  $(B_i)$  set is visited *finitely often*.

### Rabin versus Büchi automata



The Büchi automaton fot  $L_{\omega} = (0+1)^* 1^{\omega}$ 



The Rabin automaton has  $F=((\{t\},\{s\}))$ 

Note that the Rabin automaton is *deterministic*.

### Language emptiness for Büchi automata

- The *emptiness problem for Büchi automata* is the problem of *deciding* whether the language accepted by a Büchi automaton A is empty, i.e. if  $L(A)=\emptyset$ .
- <u>Theorem</u>: The emptiness problem for Büchi automata is decidable in linear time, i.e. in time O(|A|).
- <u>*Fact*</u>:  $L(A) = \emptyset$  *iff* in the Büchi automaton there is no <u>reachable cycle</u> A containing a state in F.

### Language emptiness for Büchi automata

- In other words,  $L(A) = \emptyset$  *iff* there is a cycle containing an *accepting state*, which is also *reachable from some initial state* of the automaton.
  - We need to find whether there is such a reachable cycle
- We could simply compute the *SCCs* of **A** using the standard *DFS* algorithm, and check if there exists a reachable (*nontrivial*) *SCC* containing a state in *F*.
- But this is *too inefficient* in practice. We will therefore use a *more efficient nested DFS* (more efficient in the *average-case*).

# Efficient language emptiness for BA

**Input:** A Initialize: Stack<sub>1</sub>:= $\emptyset$ , Stack<sub>2</sub>:= $\emptyset$ Table<sub>1</sub>:=  $\emptyset$ , Table<sub>2</sub>:=  $\emptyset$ **Algorithm Main()** foreach  $s \in Init$ if  $s \notin Table_1$  then DFS1(s); output("empty"); return; **Algorithm DFS1(s)** push(s,Stack<sub>1</sub>); hash(s,Table\_1); for each  $t \in Succ(s)$ if  $t \notin Table_1$  then DFS1(t);if  $s \in F$  then **DFS2(s); pop**(Stack<sub>1</sub>);

Algorithm DFS2(s) push(s,Stack<sub>2</sub>); hash(s,Table<sub>2</sub>) ; foreach t ∈ Succ (s) do if t ∉ Table<sub>2</sub> then DFS2(t) else if t is on Stack<sub>1</sub> output("not empty"); output(Stack<sub>1</sub>,Stack<sub>2</sub>,t); return; pop(Stack<sub>2</sub>);

**<u>Note</u>: upon finding a bad cycle, Stack<sub>1</sub>+Stack<sub>2</sub>+t, determines a counterexample: a bad cycle reached from an init state.** 

## Generalized Büchi automata (GBA)

Generalized Büchi automaton:  $A = (\Sigma, S, S_0, R, (F_1, ..., F_m))$ 

- A *run r* on  $w=a_1,a_2,...$  is an infinite sequence  $s_0,s_1,...$ such that  $s_0 \in S_0$  and  $s_{i+1} \in \mathbb{R}(s_i,a_i)$  for  $i \ge 0$ .
- Let  $Lim(r) = \{ s \mid s = s_i \text{ for infinitely many } i \}$
- A *run r* is *accepting* if for each  $1 \le i \le m$

$$Lim(r) \cap F_i \neq \emptyset$$

Any *Generalized Büchi automaton* can be easily transformed into a *Büchi automaton* as follows:

$$L(\Sigma, S, S_0, R, (F_1, ..., F_m)) = \bigcap_{i \in \{1, ..., m\}} L(\Sigma, S, S_0, R, F_i)$$

This transformation is not very efficient, though.

### From GBA to BA efficiently

Generalized Büchi automaton:  $A = (\Sigma, S, S_0, R, (F_1, ..., F_m))$ 

A Generalized Büchi automaton can be efficiently transformed into a Büchi automaton as follows:

 $S' = S \times \{1, \dots, m\}$   $F' = F \times \{i\} \text{ for some } 1 \le i \le m$   $S'_0 = S_0 \times \{i\} \text{ for some } 1 \le i \le m$   $R((s,i),a) = \begin{cases} (s', (i \mod m) + 1) & \text{if } s' \in R(s,a) \text{ and } s \in F_i \\ (s',i) & \text{if } s' \in R(s,a) \text{ and } s \notin F_i \end{cases}$ 

Notice that the transformation above expands the automaton size by a factor or *m* (see *Büchi Intersection*).

### LTL-semantics and Büchi automata

- We can interpret a formula  $\psi$  as expressing a property of  $\omega$ -words, i.e., an  $\omega$ -language  $L(\psi) \subseteq \Sigma_{AP}^{\omega}$ .
- For ω-word σ = σ<sub>0</sub>, σ<sub>1</sub>, σ<sub>2</sub>,.... ∈ Σ<sub>AP</sub><sup>ω</sup>, let σ<sup>i</sup> = σ<sub>i</sub>, σ<sub>i+1</sub>, σ<sub>i+2</sub>... be the suffix of σ starting at position *i*. We defined the "*satisfies*" relation, ⊧, inductively:
  - $\sigma \models p_j$  iff  $p_j \in \sigma_0$  (for any  $p_j \in P$ ).
  - $\sigma \models \neg \psi$  iff not  $\sigma \models \psi$ .
  - $\sigma \models \psi_1 \lor \psi_2$  iff  $\sigma \models \psi_1$  or  $\sigma \models \psi_2$ .
  - $\sigma \models X\psi$  iff  $\sigma^{1} \models \psi$ .
  - $\sigma \models \psi_1 \cup \psi_2$  iff  $\exists i \ge 0$  such that  $\sigma^i \models \psi_2$ ,

and  $\forall \mathbf{j}, \mathbf{0} \leq \mathbf{j} < \mathbf{i}, \sigma^j \models \psi_1$ .

• We finally define the language  $L(\psi) = \{ \sigma | \sigma \models \psi \}$ . 23

### **Relation with Kripke structures**

- We extend our definition of *"satisfies"* to transition systems, or *Kripke structures*, as follows:
- $K_{AP} \models \psi$  iff *for all* computations (runs)  $\pi$  of  $K_{AP}$ ,  $L(\pi) \models \psi$ , or in other words, iff

 $L(K_{AP}) \subseteq L(\psi).$ 

### **Relation with Kripke structures**

We can transform any Kripke structure into a Büchi automaton as follows:



where every state is accepting! 25

### LTL Model Checking



### LTL Model Checking: explanation

$$\begin{split} \mathbf{M} \models \psi & \Leftrightarrow \mathbf{L}(\mathbf{K}_{AP}) \subseteq \mathbf{L}(\psi) \\ \Leftrightarrow & \mathbf{L}(\mathbf{K}_{AP}) \cap (\Sigma_{AP}{}^{\omega} \setminus \mathbf{L}(\psi)) = \emptyset \\ \Leftrightarrow & \mathbf{L}(\mathbf{K}_{AP}) \cap \mathbf{L}(\neg \psi) = \emptyset \\ \Leftrightarrow & \mathbf{L}(\mathbf{K}_{AP}) \cap \mathbf{L}(\mathbf{A}_{\neg \psi}) = \emptyset \end{split}$$

#### The algorithmic tasks to perform

We have reduced LTL model checking to two tasks:

- 1 Convert an LTL formula  $\varphi$  (i.e.  $\neg \psi$ ) to a Büchi automaton  $A_{\varphi}$ , such that  $L(\varphi) = L(A_{\varphi})$ .
  - Can we in general do this? yes.....
- 2 Check whether  $K_{AP} \models \psi$ , by checking whether the intersection of languages  $L(K_{AP}) \cap L(A_{\neg\psi})$  is empty.
  - It is actually unwise to first construct all of  $K_{AP}$ , because  $K_{AP}$  can be far too big (*state explosion*).
  - Instead, we shall see how it is possible perform the check by *constructing* states of  $K_{AP}$  only *as needed*.

- First, let's put LTL formulas  $\varphi$  in *normal form* where:
  - ¬ 's have been "**pushed in**", applying only to propositions.
  - the only propositional operators are  $\neg$ ,  $\land$ , $\lor$ .
  - the only temporal operators are **X**, **U** and its dual **R**.
- In order to do that we use the following rules:
  - $p \rightarrow q \equiv \neg p \lor q$ ;  $p \leftrightarrow q \equiv (\neg p \lor q) \land (\neg q \lor p)$
  - $\neg (p \lor q) \equiv \neg p \land \neg q$ ;  $\neg (p \land q) \equiv \neg p \lor \neg q$ ;  $\neg \neg p \equiv p$
  - $\neg$ (p U q)  $\equiv$  ( $\neg$  p) **R** ( $\neg$  q) ;  $\neg$  (p **R** q)  $\equiv$  ( $\neg$  p) U ( $\neg$  q)
  - $F p \equiv T U p$ ;  $G p \equiv \bot R p$ ;  $\neg X p \equiv X \neg p$

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- We use the following rules:
  - $p \rightarrow q \equiv \neg p \lor q$ ;  $p \leftrightarrow q \equiv (\neg p \lor q) \land (\neg q \lor p)$

• 
$$\neg(p \lor q) \equiv \neg p \land \neg q$$
;  $\neg(p \land q) \equiv \neg p \lor \neg q$ ;  $\neg \neg p \equiv p$ 

- $\neg$  (p U q)  $\equiv$  ( $\neg$  p) **R** ( $\neg$  q) ;  $\neg$  (p **R** q)  $\equiv$  ( $\neg$  p) U ( $\neg$  q)
- $F p \equiv T U p$ ;  $G p \equiv \bot R p$ ;  $\neg X p \equiv X \neg p$

Examples:

$$((p U q) \rightarrow F r) \equiv \neg(p U q) \lor F r \equiv \neg(p U q) \lor (T U r) \equiv \\ \equiv (\neg p R \neg q) \lor (T U r)$$

 $\begin{array}{c|c} GF p \rightarrow F r \equiv (\perp \mathbf{R} (Fp)) \rightarrow (T U p) \equiv (\perp \mathbf{R} (T U p)) \rightarrow (T U r) \equiv \\ \equiv \neg (\perp \mathbf{R} (T U p)) \lor (T U r) \equiv (T U \neg (T U p)) \lor (T U r) \equiv \\ \equiv (T U (\perp R \neg p)) \lor (T U r) \end{array}$ 

- States of  $\mathbf{A}_{\varphi}$  will be <u>sets of subformulas</u> of  $\varphi$ , thus if we have  $\varphi = \mathbf{p}_1 \mathbf{U} \neg \mathbf{p}_2$ , a state is given by  $\Gamma \subseteq \{\mathbf{p}_1, \neg \mathbf{p}_2, \mathbf{p}_1 \mathbf{U} \neg \mathbf{p}_2\}$ .
- Consider a word  $\boldsymbol{\sigma} = \boldsymbol{\sigma}_0, \, \boldsymbol{\sigma}_1, \, \boldsymbol{\sigma}_2, \dots \in \Sigma_{AP}^{\omega}$  such that  $\boldsymbol{\sigma} \models \boldsymbol{\varphi}$ , where, e.g.,  $\boldsymbol{\varphi} = \boldsymbol{\psi}_1 \mathbf{U} \boldsymbol{\psi}_2$ .
- Mark each position i with the set of subformulas  $\Gamma_i$  of  $\phi$  that hold true there:

 $\Gamma_0 \ \Gamma_1 \ \Gamma_2 \ \dots$ 

 $\sigma_0 \sigma_1 \sigma_2 \ldots \ldots$ 

- Clearly,  $\phi \in \Gamma_0$ . But then, by *consistency*, either:
  - $\psi_1 \in \Gamma_0$  and  $\phi \in \Gamma_1$ , or
  - $\psi_2 \in \Gamma_0$ .
- The consistency rules dictate our states and transitions.

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Let  $sub(\phi)$  denote the set of subformulas of  $\phi$ . We define  $A_{\phi} = (Q, \Sigma, R, L, Init, F)$  as follows. First, the state set:

- $\mathbf{Q} = \{ \Gamma \subseteq \mathbf{sub}(\varphi) \mid \text{s.t. } \Gamma \text{ is } \underline{\textit{locally consistent}} \}.$ 
  - For  $\Gamma$  to be *locally consistent* we should, e.g., have:
    - ⊥∉ Γ
    - if  $\psi \lor \gamma \in \Gamma$ , then  $\psi \in \Gamma$  or  $\gamma \in \Gamma$ .
    - if  $\psi \land \gamma \in \Gamma$ , then  $\psi \in \Gamma$  and  $\gamma \in \Gamma$ .
    - if  $\mathbf{p}_i \in \Gamma$  then  $\neg \mathbf{p}_i \notin \Gamma$ , and if  $\neg \mathbf{p}_i \in \Gamma$  then  $\mathbf{p}_i \notin \Gamma$ .
    - if  $\psi U \gamma \in \Gamma$ , then  $(\psi \in \Gamma \text{ or } \gamma \in \Gamma)$ .
    - if  $\psi \mathbf{R} \gamma \in \Gamma$ , then  $\gamma \in \Gamma$ .

Now, labeling the states of  $A_{\varphi}$ :

- The labeling  $L: Q \mapsto \Sigma$  is  $L(\Gamma) = \{ l \in sub(\phi) \mid l \in \Gamma \}$ .
  - Now, a word  $\sigma = \sigma_0 \sigma_1 \dots \in (\Sigma_{AP})^{\omega}$  is in  $L(A_{\varphi})$  *iff* there is a run  $\pi = \Gamma_0 \to \Gamma_1 \to \Gamma_2 \to \dots$  of  $A_{\varphi}$ , s.t.,  $\forall i \in \mathbb{N}$ , we have that  $\sigma_i$  "*satisfies*"  $L(\Gamma_i)$ , i.e.,  $\sigma_i$  is a "*satisfying assignment*" for  $L(\Gamma_i)$ .
  - This constitutes a <u>slight redefinition of Büchi</u> <u>automata</u>, where labeling is on the states instead of on the edges. This facilitates a much more compact  $A_{\phi}$ .

Now, the transition relation, and the rest of  $A_{\phi}$ . Based on the following *LTL rules*:

- $(\psi U \gamma) \equiv \gamma \lor (\psi \land X (\psi U \gamma))$
- $(\psi \mathbf{R} \gamma) \equiv \gamma \land (\psi \lor \mathbf{X} (\psi \mathbf{R} \gamma)) \equiv (\gamma \land \psi) \lor (\gamma \land \mathbf{X}(\psi \mathbf{R} \gamma))$

and on the semantics of X, we define:

- $\mathbf{R} \subseteq \mathbf{Q} \times \mathbf{Q}$ , where  $(\Gamma, \Gamma') \in \mathbf{R}$  iff:
  - if  $(\psi U \gamma) \in \Gamma$  then  $\gamma \in \Gamma$ , or  $(\psi \in \Gamma \text{ and } (\psi U \gamma) \in \Gamma')$ .
  - if  $(\psi \mathbf{R} \gamma) \in \Gamma$  then  $\gamma \in \Gamma$ , and  $(\psi \in \Gamma \text{ or } (\psi \mathbf{R} \gamma) \in \Gamma')$ .
  - if  $X \psi \in \Gamma$ , then  $\psi \in \Gamma$ '.

- Init = { $\Gamma \in \mathbf{Q} \mid \phi \in \Gamma$ }.
- For each  $(\psi \cup \gamma) \in sub(\phi)$ , there is a set  $\mathbf{F}_i \in \mathbf{F}$ , such that:
  - $\mathbf{F}_i = \{ \Gamma \in \mathbf{Q} \mid (\psi \mathbf{U} \gamma) \notin \Gamma \text{ or } \gamma \in \Gamma \}$
  - (or equivalently  $\mathbf{F}_i = \{ \Gamma \in \mathbf{Q} \mid \text{if } (\psi \cup \gamma) \in \Gamma, \text{ then } \gamma \in \Gamma \}$ )
  - (notice that if there are no ( $\psi \cup \gamma$ )  $\in$  sub( $\phi$ ), then the acceptance condition is the trivial one: all states are accepting)

<u>Lemma</u>:  $L(\phi) = L(A_{\phi})$ .

but, at this point,  $A_\phi$  is a generalized Büchi automaton  $\ldots$ 

### LTL to BA translation: example












Consider the following formula:  $\top U p$   $sub(\top U p) = \{\top U p, p\}$  $F = \{F_{\top U p}\} = \{\Gamma \in sub(\top U p) | (\top U p) \notin \Gamma \text{ or } p \in \Gamma\}_{41}$ 





Consider the following formula:  $G p \equiv \bot R p$   $sub(\bot R p) = \{\bot R p, p\}$  $Init = \{\Gamma \in sub(\bot R p) | \bot R p \in \Gamma\}$ 



Consider the following formula:  $G p \equiv \bot R p$   $sub(\bot R p) = \{\bot R p, p\}$  $(\bot R p) \equiv p \land X (\bot R p)$ 





Consider the following formula:  $p \cup q$   $sub(p \cup q) = \{p \cup q, p, q\}$  $Init = \{\Gamma \in sub(p \cup p) \mid p \cup q \in \Gamma\}$ 



Consider the following formula:  $p \cup q$   $sub(p \cup q) = \{p \cup q, p, q\}$  $Init = \{\Gamma \in sub(p \cup p) \mid p \cup q \in \Gamma\}$ 



Consider the following formula:  $p \cup q$   $sub(p \cup q) = \{p \cup q, p, q\}$  $(p \cup q) \equiv q \lor (p \land X (p \cup q))$ 



Consider the following formula: *p* U *q* 

 $sub(p U q) = \{p U q, p, q\}$  $\mathbf{F} = \{\mathbf{F}_{pUq}\} = \{\Gamma \in sub(p U q) \mid (p U q) \notin \Gamma \text{ or } q \in \Gamma\}_{48}$ 

### **On-the-fly translation algorithm**

- There is another more *efficient way* to build the Büchi automaton corresponding to a LTL formula.
- The algorithm proposed by *Vardi* and his colleagues, is based on the idea of refining states *only as needed*.
- It only record the *necessary information* (what *must hold*) of a state, *instead* of recording *the complete information* about each state (both what *must hold* and what *might or might-not hold*).
- In a way what "*might or might-not hold*" is treated as '*don't care*' information (which can be filled in, but whose value has no relevant effect).

#### Algorithm data structure: node

- Name: A string identifying the current node.
- Father: The name of the father node of current node.
- *Incoming*: List of *fully expanded nodes* with edges to the current node.
- *Old*: A set of *temporal formulae* which must hold and in the *current node* have been processed already.
- *New*: A set of *temporal formulae* which must hold but in the *current node* have not been processed yet.
- *Next*: A set of *temporal formulae* which should hold in the *next node* (immediate successor) of the *current node*.



function create graph( $\phi$ ) return(expand([Name Father new\_name(), Incoming [Init], New  $\in \{\phi\}$ , Old  $\in \emptyset$ , Next  $\in \emptyset$ ],  $\emptyset$ )

function expand (Node, Nodes\_Set)

if  $New(Node) = \emptyset$  then if  $\exists ND \in Nodes\_Set$  with Old(ND) = Old(Node) and and Next(ND) = Next(Node) then  $Incoming(ND) := Incoming(ND) \cup Incoming(Node);$ return( $Nodes\_Set$ ); else return( $expand([Name \leftarrow Father \leftarrow new_name(),$   $Incoming \leftarrow \{Name(Node)\},$   $New \leftarrow Next(Node), Old \leftarrow \emptyset, Next \leftarrow \emptyset],$  $Nodes\_Set \cup \{Node\});$ 

else ....



Name:	Node8
Father:	Node6
Incoming:	4
New:	{}
Next:	$\{\perp \mathbf{R} \ p\}$
Old:	$\{\perp \mathbf{R} p; p\}$



function expand (*Node*, *Nodes\_Set*) if *New(Node)*=Ø then{*preceding block*} else let  $\eta \in \text{New}$ ; **Contradiction found**  $New(Node) := New(Node) \setminus \{\eta\};$ case  $\eta$  of  $\eta = \mathbf{p}_i \text{ or } \neg \mathbf{p}_i \text{ or } \mathsf{T} \text{ or } \bot$ (if  $\eta = \bot$  or Neg $(\eta) \in Old(Node)$ ) then return(Nodes\_Set); /\* Discard current node \*/ else  $Old(Node) := Old(Node) \cup \{\eta\};$ return(expand(*Node*, *Nodes Set*));  $\eta = \mu \mathbf{U} \psi$  or  $\mu \mathbf{R} \psi$  or  $\mu \vee \psi : \dots$ 

### Additional functions

 $\begin{array}{ll} \text{The function Neg}() \text{ is applied only to literals:} \\ \text{Neg}(p_i) = \neg \ p_i & \text{Neg}(\top) = \bot \\ \text{Neg}(\neg p_i) = p_i & \text{Neg}(\bot) = \top \end{array}$ 

The functions New1(), New2() and Next1(), used for <u>splitting nodes</u>, are applied to temporal formulae and defined as follows:

η	<b>New1</b> (η)	<b>Next1</b> (η)	<b>New2</b> (η)
μυψ	{µ}	$\{\mu U \psi\}$	$\{\psi\}$
μRψ	$\{\psi\}$	$\{\mu \mathbf{R} \psi\}$	{ <b>μ</b> , <b>ψ</b> }
$\mu \lor \psi$	{µ}	Ø	$\{\psi\}$





```
function expand (Node, Nodes_Set)
if New(Node)=Ø then {preceding block}
else
  let \eta \in New;
  New(Node):=New(Node) \setminus \{\eta\};
   case \eta of
        \eta = \mathbf{p}_i or \neg \mathbf{p}_i or \mathsf{T} or \bot: {preceding block}
        \eta = \mu \mathbf{U} \psi \text{ or } \mu \mathbf{R} \psi \text{ or } \mu \lor \psi : \{ preceding block \} \}
        \eta = \mu \wedge \psi:
            return(expand([Name \Leftarrow Name(Node),
                                   Father \Leftarrow Father(Node),
                                   Incoming \Leftarrow Incoming(Node),
                                   New \leftarrow (New(Node) \cup {\mu,\psi} \ Old(Node)),
                                  Old \leftarrow Old(Node) \cup \{\eta\}, Next = Next(Node)],
                     Nodes_Set);
```

 $\eta = \mathbf{X} \boldsymbol{\psi} : \dots$ 

Name:	Node1
Father:	Node1
Incoming:	Init
New:	{ <b>p</b> ^ <b>q,</b> }
Next:	<b>{</b> }
Old:	<b>{</b> }
	expand
Name:	Node2
Father:	Node1
Incoming:	Init
New:	{ <b>p</b> , <b>q</b> ,}
Next:	<b>{</b> }
Old:	$\{,p \land q\}$

```
function expand (Node, Nodes_Set)
if New(Node)=Ø then {preceding block}
else
   let \eta \in New;
   New(Node):=New(Node) \setminus \{\eta\};
   case \eta of
         \eta = \mathbf{p}_i or \neg \mathbf{p}_i or \mathsf{T} or \bot: {preceding block}
         \eta = \mu \mathbf{U} \psi \text{ or } \mu \mathbf{R} \psi \text{ or } \mu \lor \psi : \{ preceding block \} \}
         \eta = \mu \land \psi : \{ preceding \ block \} \}
         \eta = \mathbf{X} \boldsymbol{\Psi}:
              return(expand(
                      [Name \Leftarrow Name(Node), Father \Leftarrow Father(Node),
                       Incoming \Leftarrow Incoming(Node), New \Leftarrow New(Node),
                       Old \leftarrow Old(Node) \cup \{\eta\}, Next = Next(Node) \cup \{\psi\}\},\
                    Nodes_Set);
```

esac;

end expand;

Name:	Node1
Father:	Node1
Incoming:	Init
New:	{X p,}
Next:	<b>{}</b>
Old:	<b>{</b> }
	expand
Name:	Node1
Father:	Node1
Incoming:	Init
New:	{}
Next:	{,p}
Old:	{, X p}

### The need for accepting conditions

- *IMPORTANT*: Remember that *not every maximal path*  $\pi = s_0 s_1 s_2...$  in the graph *determines a model* of the formula: the construction above allows some node to contain  $\mu U \psi$  while none of the successor nodes contain  $\psi$ .
- This is solved again by imposing the generalized Büchi acceptance conditions :
  - for each subformula of  $\phi$  of the form  $\mu \cup \psi$ , there is a set  $F_{\phi} \in \mathbf{F}$ , including the nodes  $s \in \mathbf{Q}$ , such that either  $\mu \cup \psi \notin Old(s)$ , or  $\psi \in Old(s)$ .

#### **Complexity of the construction**

- <u>THEOREM</u>: For any LTL formula  $\phi$  a *Büchi* automaton  $A_{\phi}$  can be constructed which accepts all an only the  $\omega$ -sequences satisfying  $\phi$ .
- <u>THEOREM</u>: Given a LTL formula  $\phi$ , the *Büchi* automaton for  $\phi$  whose states are  $O(2^{|\phi|})$  (in the worst-case). [ $|\phi|$  is the number of subformulae of  $\phi$ ].
- <u>THEOREM</u>: Given a LTL formula  $\phi$  and a Kripke structure  $K_{sys}$  the, the LTL model checking problem can be solved in time  $O(|K_{sys}| \cdot 2^{|\phi|})$ . [actually it is *PSPACE*-complete].

• Consider the following formula:

### **G***p*

- where *p* is an atomic formula.
- Its negation-normal form is

 $\perp \mathbf{R} p$ 

Init

Current node is Node 1 Incoming = [Init] Old = [] $(\perp \mathbf{R} p) \equiv (p \land \bot) \lor \\ (p \land \mathbf{X}(\bot \mathbf{R} p))$ New =  $[\perp \mathbf{R} p]$ Next = []New(node) not empty, removing  $\eta = \perp \mathbf{R} p$ , node *split* into 2, 3, about to expand them

Init

Current node is Node 2 Incoming = [Init] Old =  $[\perp \mathbf{R} p]$ New = [p]Next =  $[\perp \mathbf{R} p]$ 

New(node) not empty, removing  $\eta = p$ , node replaced by 4 about to expand them

Init

Current node is Node 4 Incoming = [Init] Old =  $[\perp \mathbf{R} p; p]$ New = [] Next =  $[\perp \mathbf{R} p]$ 

New(node) empty, no equivalent nodes. About to add, timeshift and expand.



Current node is Node 5 Incoming = [4] Old = [] New = [ $\perp \mathbf{R} p$ ] Next = [] New(node) not empty, removing  $\eta = \perp \mathbf{R} p$ , node *split* into 6, 7

about to expand them



Current node is Node 6 Incoming = [4] Old =  $[\perp \mathbf{R} p]$ New = [p]Next =  $[\perp \mathbf{R} p]$ 

New(node) not empty, removing  $\eta = p$ , node replaced by 8, about to expand it



Current node is Node 8 Incoming = [4] Old =  $[\perp \mathbf{R} p; p]$ New = [] Next =  $[\perp \mathbf{R} p]$ 

New(node) empty, found equivalent old node in Node\_Set (4). Returning it instead.



Current node is Node 7 Incoming = [4] Old =  $[\perp \mathbf{R} p]$ New =  $[\perp; p]$ Next = []

New(node) not empty, removing  $\eta = \bot$ , inconsistent node deleted - dead end!.


Current node is Node 3 Incoming = [Init]  $Old = [\bot \mathbf{R} p]$ New = [ $\bot$ ; p] Next = []

New(node) not empty, removing  $\eta = \bot$ , inconsistent node deleted - dead end!.



#### Final graph for $\mathbf{G} p \equiv \perp \mathbf{R} p$



#### Consider the following formula: p U qwhere p and q are atomic formulae.

Init

Current node is Node 1 Incoming = [Init] Old = [] New = [ $p \cup q$ ] Next = [] New(node) not empty, removing  $\eta = p \cup q$  node *split* into 3, 2,

about to expand them

Init

Current node is Node 2 Incoming = [Init]  $Old = [p \cup q]$ New = [p]Next =  $[p \cup q]$ 

New(node) not empty, removing  $\eta = p$  node replaced by 4, about to expand them

Init

Current node is Node 4 Incoming = [Init]  $Old = [p \cup q ; p]$ New = [] Next =  $[p \cup q]$ 

New(node) empty, no equivalent nodes. Add, timeshift and expand.



Current node is Node 5 Incoming = [4]Old = []  $(p \mathbf{U} q) \equiv q \vee (p \wedge \mathbf{X}(p \mathbf{U} q))$ New =  $[p \cup q]$ Next = []New(node) not empty, removing  $\eta = p U q$ , node *split* into 6, 7, about to expand.



Current node is Node 6 Incoming = [4]  $Old = [p \cup q]$ New = [p] $Next = [p \cup q]$ 

New(node) not empty, removing  $\eta = p$ , node replaced by 8, about to expand it



Current node is Node 8 Incoming = [4]  $Old = [p \cup q; p]$ New = [] Next = [ $p \cup q$ ]

New(node) empty. Found equivalent old note (4) in Node\_Set. Returning it instead.



Current node is Node 7 Incoming = [4]  $Old = [p \cup q]$ New = [q]Next = []

New(node) not empty, removing  $\eta = q$ , node replaced by 9, about to expand it



Current node is Node 9 Incoming = [4]  $Old = [p \cup q; q]$ New = [] Next = []

New(node) empty, no equivalent node found. Add timeshift and expand



New(node) empty, no equivalent node found. Add timeshift and expand



New(node) empty. Found equivalent old node in Node\_Set (10). Returning it instead.



New(node) not empty, node replaced by 12, about to expand.



New(node) empty. Found equivalent old node (4) in Node\_Set. Returning it instead.



Final graph for *p* U *q* 

#### Comparison of the two algorithms



The graphs for  $p \cup q$  obtained from the two algorithms

#### Notes on the algorithm

- Notice that nodes do *not necessarily* assign truth value to *all atomic propositions* (in AP)!
- Indeed the *labeling* to be associated to a node can be *any element of* 2<sup>AP</sup> which agrees with the *literals* (AP or negations of AP) in Old(Node).

• Let 
$$Pos(q) = Old(q) \cap AP$$

• Let  $Neg(q) = \{\eta \in AP | \neg \eta \in Old(q)\}$ 

$$\mathcal{L}(q) = \{ \mathbf{X} \subseteq \mathbf{AP} \mid \mathbf{X} \supseteq Pos(q) \land (\mathbf{X} \cap Neg(q)) = \emptyset \}$$



## Composing $A_{sys}$ and $A_{\phi}$

- In general what we need to do is to compute the intersection of the languages recognized by the two automata  $A_{sys}$  and  $A_{\phi}$  and check for emptiness.
- We have already seen (*slide 12*) how this can be done.
- When the *System* need *not* satisfy FAIRNESS conditions (or in general  $A_{sys}$  have the trivial acceptance condition, i.e. *all the states are accepting*) there is a more efficient construction...

## Efficient composition of $A_{sys}$ and $A_{\phi}$

- When  $A_{sys}$  have the *trivial acceptance condition*, i.e. *all the states are accepting* there is a more efficient construction.
- In this case we can just compute:

$$\mathbf{A}_{\mathrm{sys}} \cap \mathbf{A}_{\phi} = <\Sigma, \, \mathbf{S}_{\mathrm{sys}} \times \mathbf{S}_{\phi}, \, \mathbf{R}', \, \mathbf{S}_{0\mathrm{sys}} \times \mathbf{S}_{0\phi}, \, \mathbf{S}_{\mathrm{sys}} \times \mathbf{F}_{\phi} >$$

• where

 $(\langle s,t \rangle,a,\langle s',t'\rangle) \in \mathbb{R}'$  iff  $(s,a,s') \in \mathbb{R}_{sys}$  and  $(t,a,t') \in \mathbb{R}_{\phi}$ 

# Efficient composition of $A_{sys}$ and $A_{\phi}$

- Notice that in our case both automata have *labels in the states* (instead of on the transitions).
- This can be dealt with by simply *restricting the set of states* of the intersection automaton to those which *agree on the labeling* on both automata.
- Therefore we define

$$\mathbf{A}_{\mathrm{sys}} \cap \mathbf{A}_{\phi} = <\Sigma, S', R', (S_{0\mathrm{sys}} \times S_{0\phi}) \cap S', S_{\mathrm{sys}} \times F_{\phi} >$$

• where

 $S' = \{(s,t) \in S_{sys} \times S_{\phi} \mid L_{sys}(s) = L_{\phi}(t)\} \text{ and} \\ (\langle s,t \rangle, \langle s',t' \rangle) \in \mathbb{R}' \quad iff \quad (s,s') \in \mathbb{R}_{sys} \text{ and } (t,t') \in \mathbb{R}_{\phi} \}$