

# Tecniche di Specifica e di Verifica

Modeling with Transition Systems

# An example

## *The Dining Philosophers*

- Possible problems:
  - *Deadlock*: system state where no action can be taken (no transition possible)
  - *Livelock*: When system component is prevented to take any action, or a particular one (individual starvation)
  - *Starvation*: obvious.

# Fairness

## *The Dining Philosophers*

- Possible solution to deadlock:
  - *pick up right fork only if both are present*

Assumptions:

- *weak fairness*: any trans. continuously enabled, will eventually fire (eating philosophers will finish)
- *strong fairness*: any trans. enabled infinitely often, will eventually occur (if 2 fork available infinitely often, phil. will eat).

# Livelock

## *The Dining Philosophers*

- Possible solution:
  - *pick up fork only if both are present*

Assumptions:

- *strong fairness*: any trans. enabled infinitely often, will eventually occur (if 2 fork available infinitely often, phil. will eat).

*strong fairness* is not enough to prevent *livelock*

*Why?* Think of the case with 4 phil.!

Sol.(?): Try *preventing consecutive eating*.

Still suffers from *livelock* with 5 phil.! *Why?*

# Outline

- The model – Transition systems
- Some features
  - Paths
  - Computations
  - Branching
- First order representation

# Transition systems

- A transition system (*Kripke structure*) is a structure

$$\mathbf{TS} = (\mathbf{S}, \mathbf{S}_0, \mathbf{R})$$

where:

- $\mathbf{S}$  is a finite set of states.
- $\mathbf{S}_0 \subseteq \mathbf{S}$  is the set of initial states.
- $\mathbf{R} \subseteq \mathbf{S} \times \mathbf{S}$  is a transition relation
  - $\mathbf{R}$  must be total, that is
    - $\forall s \in \mathbf{S} \exists s' \in \mathbf{S} . (s, s') \in \mathbf{R}$  or, equivalently,
    - For every state  $s$  in  $\mathbf{S}$ , there exists  $s'$  in  $\mathbf{S}$  such that  $(s, s')$  is in  $\mathbf{R}$ .

# Notions and Notations

- $\text{TS} = (\text{S}, \text{S}_0, \text{R})$
- $(s, s') \in \text{R} \quad \text{R}(s, s') \quad s \rightarrow s'$
- A (finite) *path* from  $s$  is a sequence

$$s_1, s_2, \dots, s_n$$

such that

$$- s = s_1$$

$$- s_i \rightarrow s_{i+1} \text{ for } 0 < i < n.$$

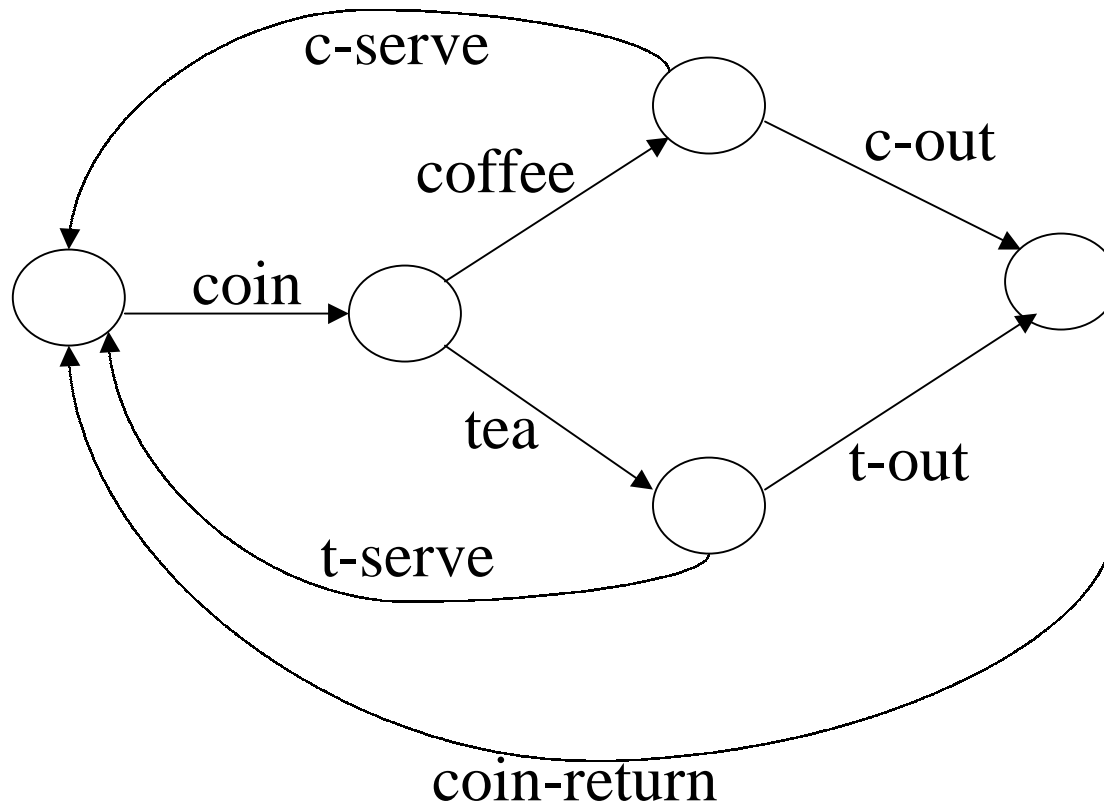
- It is from  $s$  to  $s'$  if  $s_n = s'$ .
- An infinite path from  $s$  is a sequence .....

# Labeled transition systems

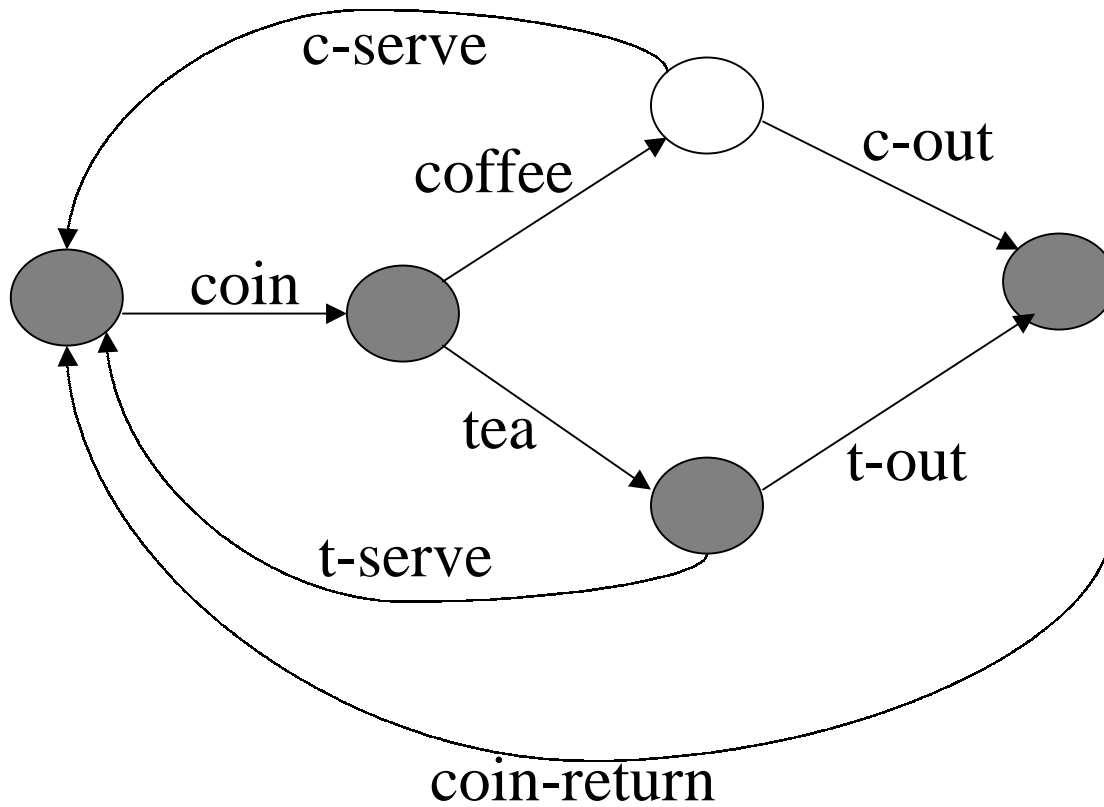
- Sometimes we may use a finite set of actions:
  - $\mathbf{Act} = \{\mathbf{a}, \mathbf{b}, \dots\}$
- The actions will be used to label the transitions.
- $\mathbf{TS} = (\mathbf{S}, \mathbf{S}_0, \mathbf{Act}, \mathbf{R})$ 
  - $\mathbf{R} \subseteq \mathbf{S} \times \mathbf{Act} \times \mathbf{S}$ , labeled transitions.
- $(\mathbf{s}, \mathbf{a}, \mathbf{s}') \in \mathbf{R} \text{ - } \mathbf{R}(\mathbf{s}, \mathbf{a}, \mathbf{s}') \text{ - } \mathbf{s} \xrightarrow{\mathbf{a}} \mathbf{s}'$



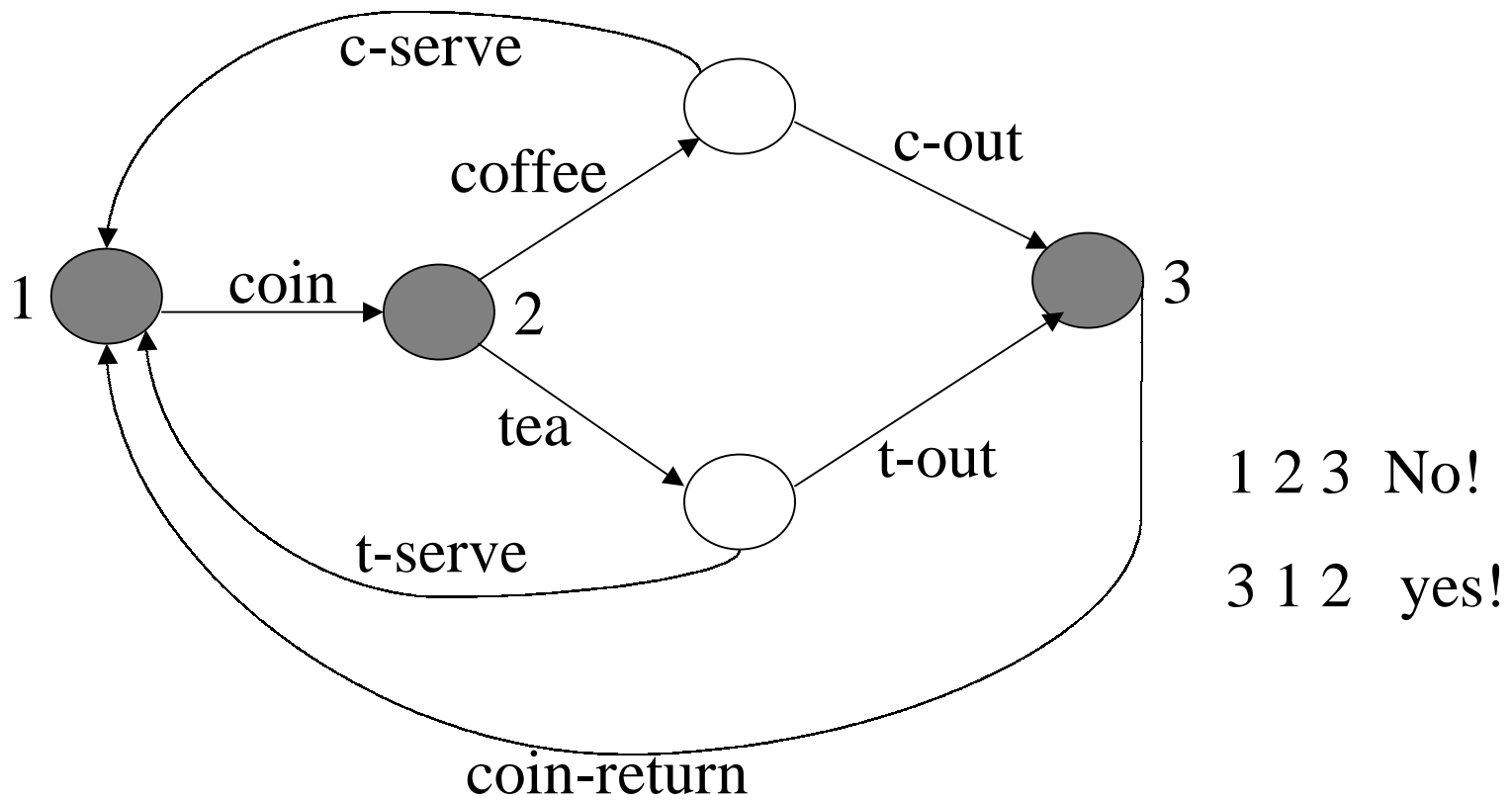
# A vending machine



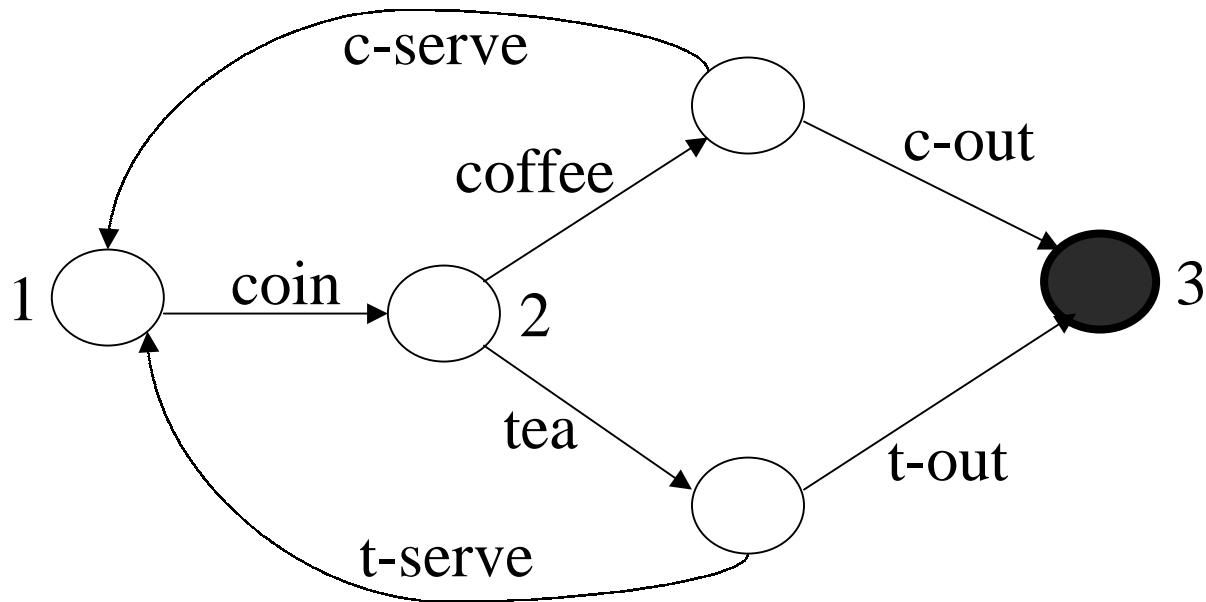
# A path



# A non-path



# A non-total transition relation



# State space

- The *state space* of a system (e.g. program) is the set of all possible states for it.
- For example, if  $V=\{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$  and the variables are over the naturals, then the state space includes:

$\langle a=0, b=0, c=0 \rangle, \langle a=1, b=0, c=0 \rangle, \langle a=1, b=1, c=0 \rangle$   
 $, \langle a=932, b=5609, c=6658 \rangle \dots$

# Atomic transition

- Each *atomic transition* represents a small piece of code (or execution step), such that no smaller piece of code (or step) is observable.
- Is  $a:=a+1$  atomic?
- In some systems, e.g., when  $a$  is a register and the transition is executed using an `inc` command.

# (Non)Atomicity

- Execute the following when  $x=0$  in two concurrent processes:

**P1:a=a+1**

**P2:a=a+1**

- Result:  $a=2$ .
- Is this always the case?

- Consider the actual translation:

**P1:load R1,a**  
**inc R1**  
**store R1,a**

**P2:load R2,a**  
**inc R2**  
**store R2,a**

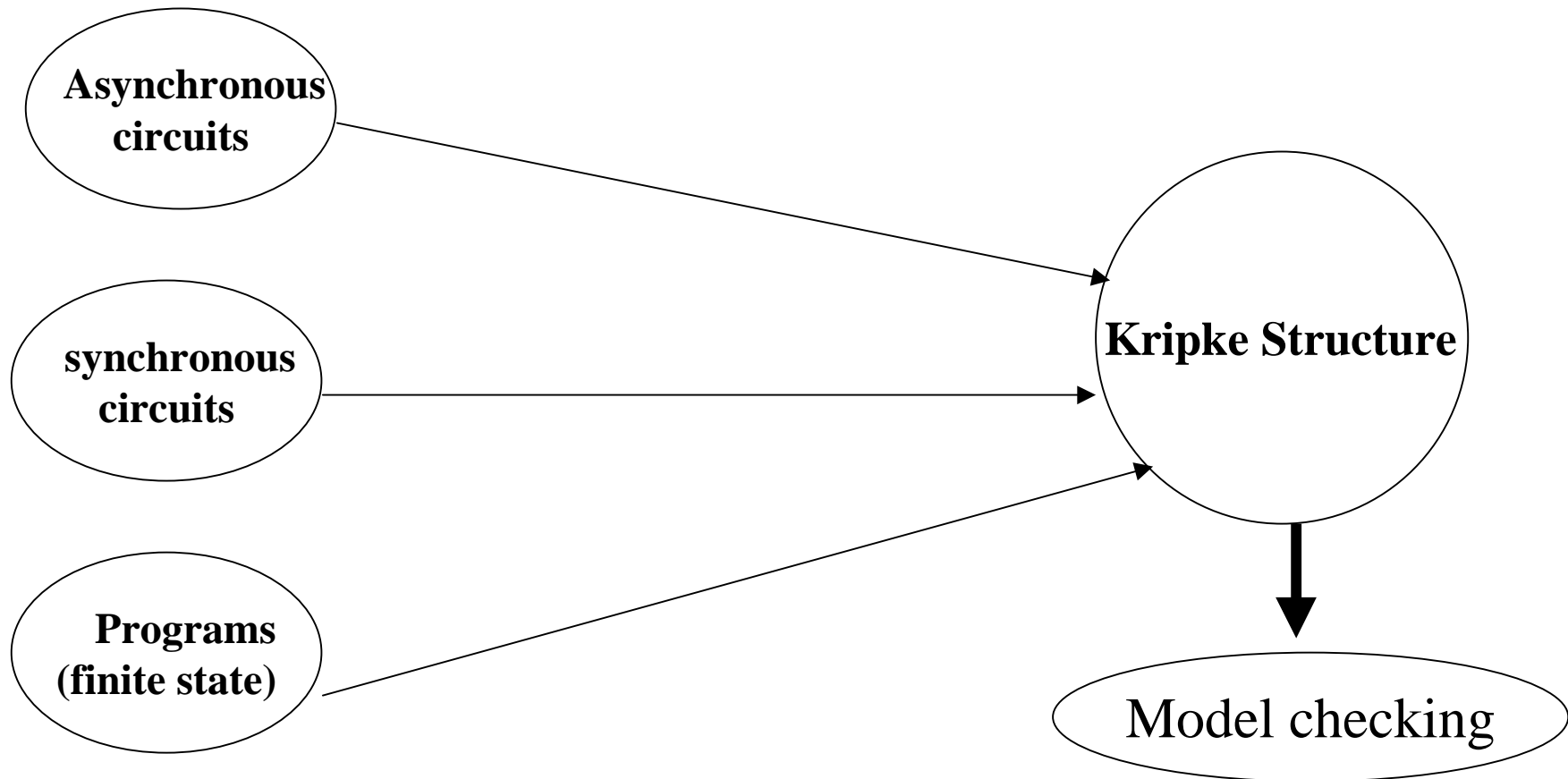
- **a may be also 1**

# The common framework

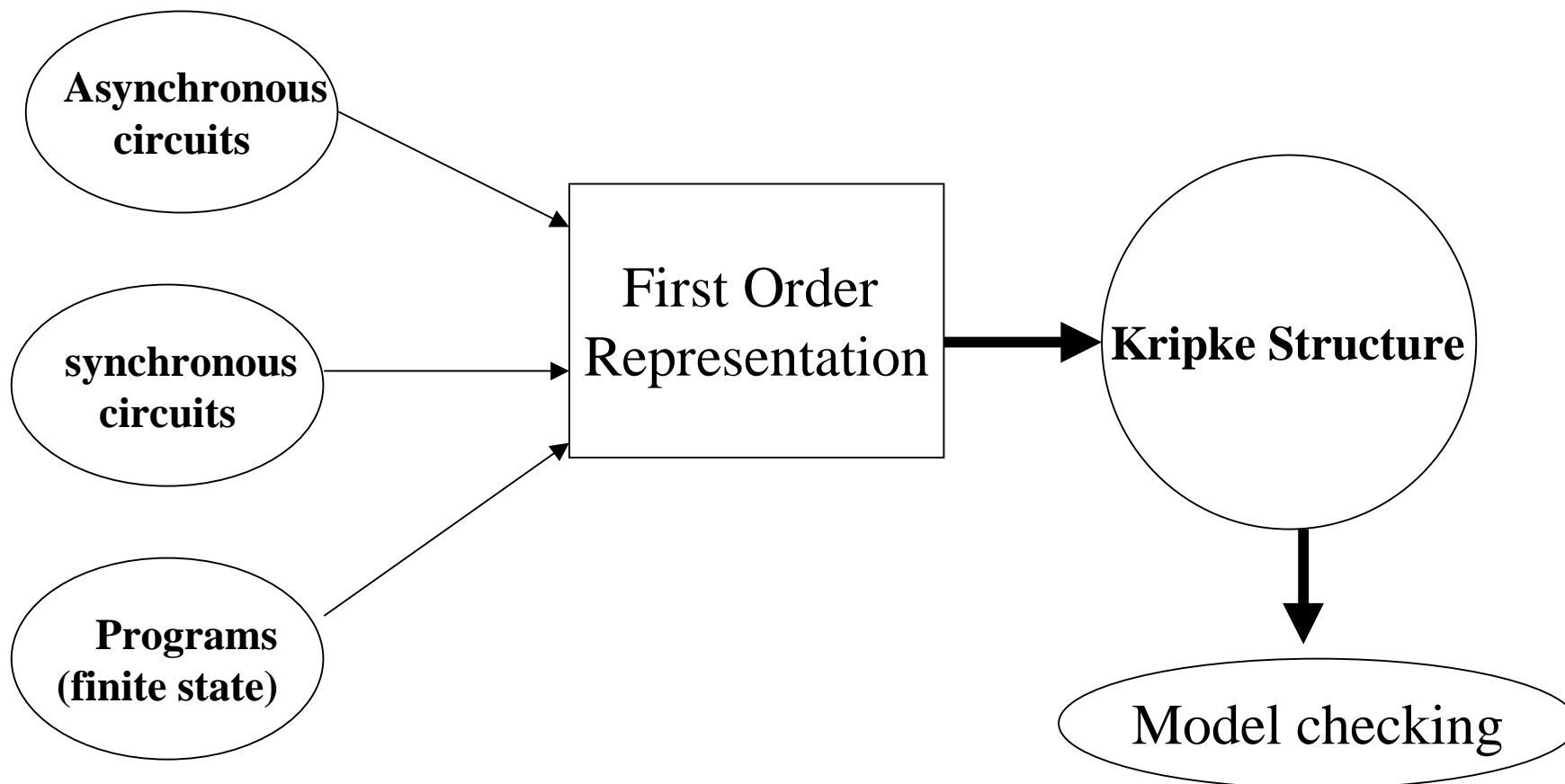
- Many systems need to be modeled.
  - Digital circuits
    - **Synchronous**
    - **Asynchronous**
  - Programs
- Strategy : Capture the main features using a logical framework (nothing to do with temporal logics!) : *First order representation*



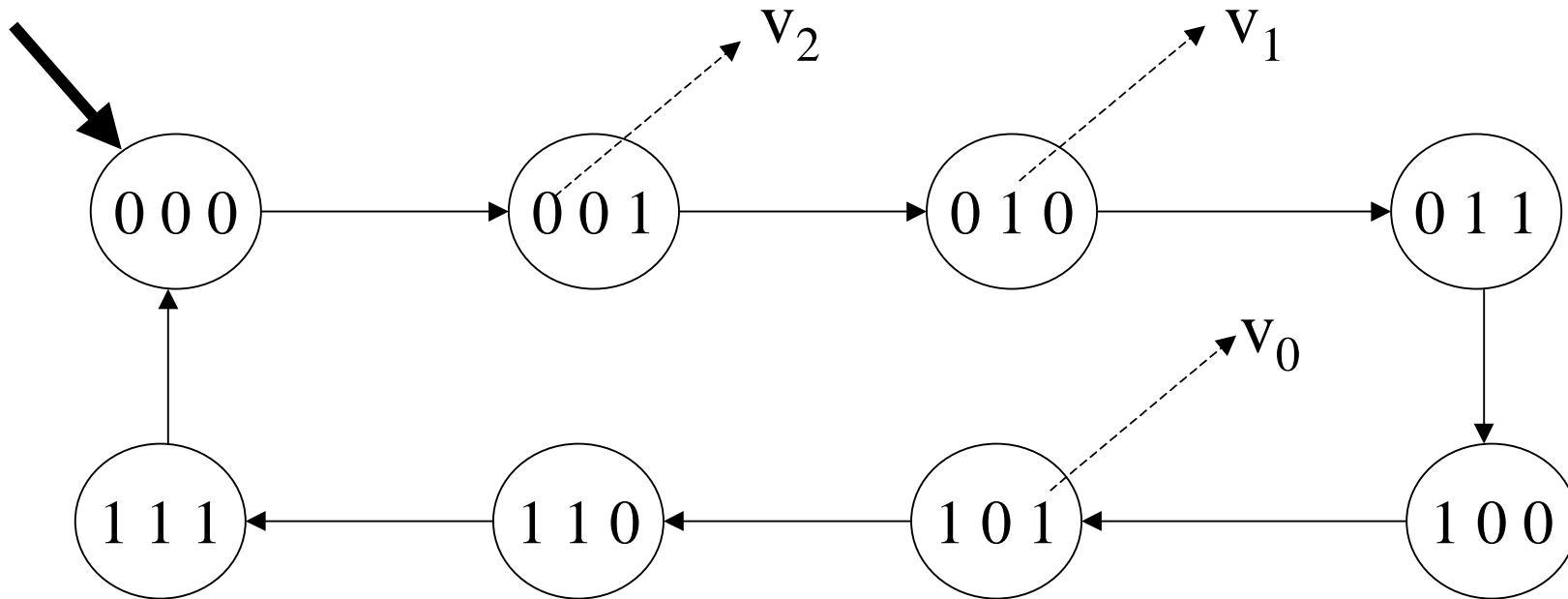
# The inefficient way



# The efficient way



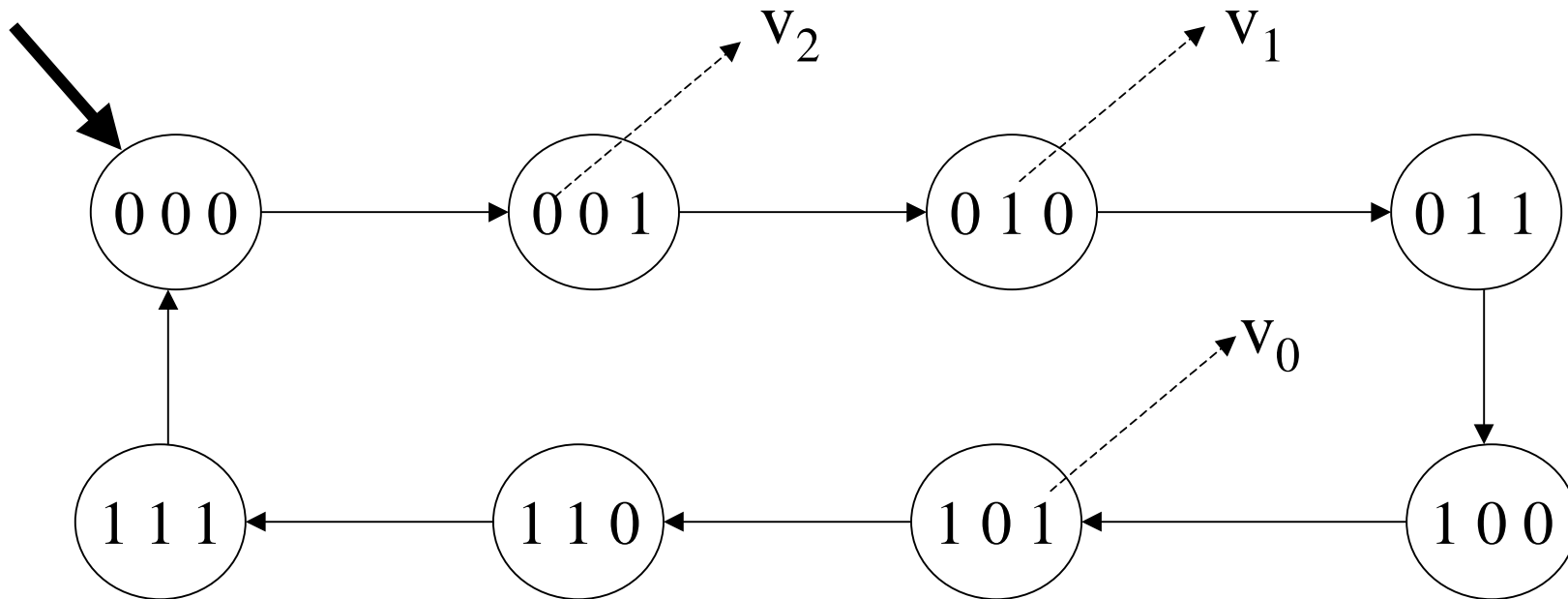
# A mod-8 counter



# The mod-8 counter

- System variables :  $v_2 v_1 v_0$
- Domain of  $v_2 = \{0, 1\}$
- Same for  $v_1$  and  $v_0$
- Special case : These variables are boolean
- A state is a function which assigns to each variable a value in its domain.
- $s(v_0) = 0 \quad s(v_1) = 1 \quad s(v_2) = 1$
- It is the state (1 1 0) !

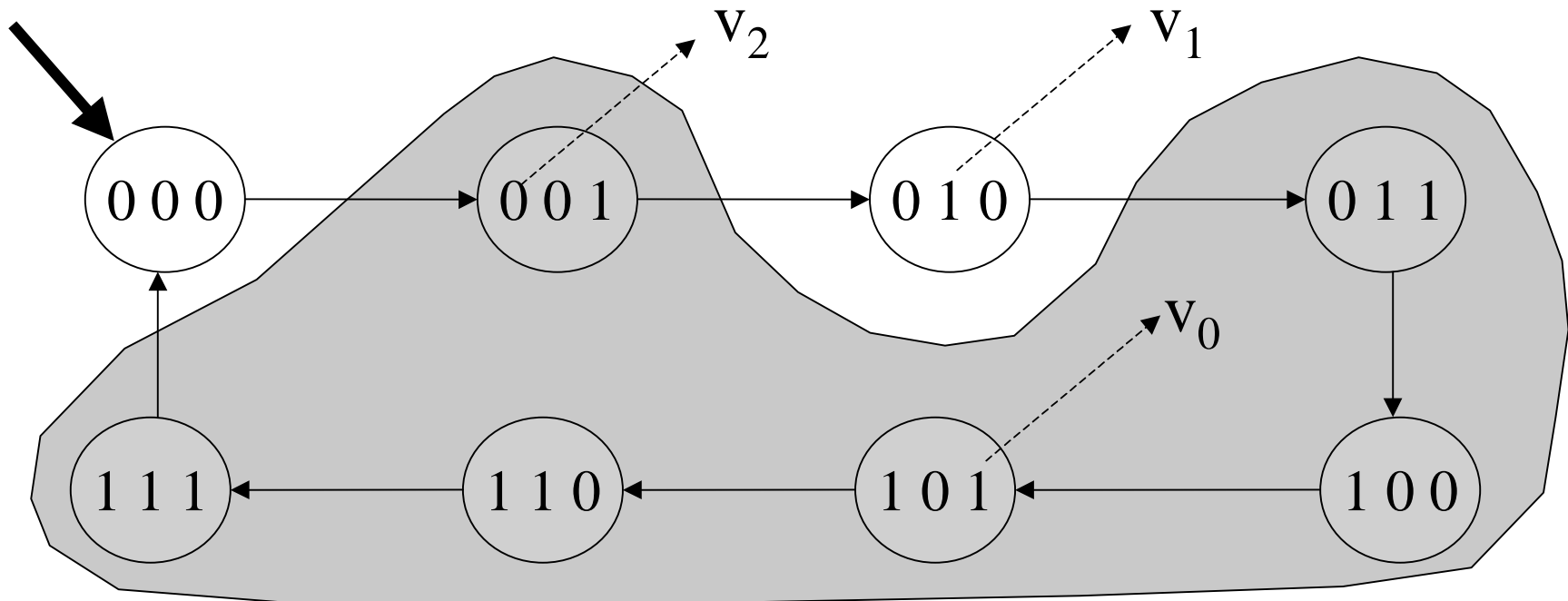
# State Predicates



A set of states can be picked out by a formula;

$\mathbf{X} = \mathbf{v}_2 \vee \mathbf{v}_0$  is the set {...}

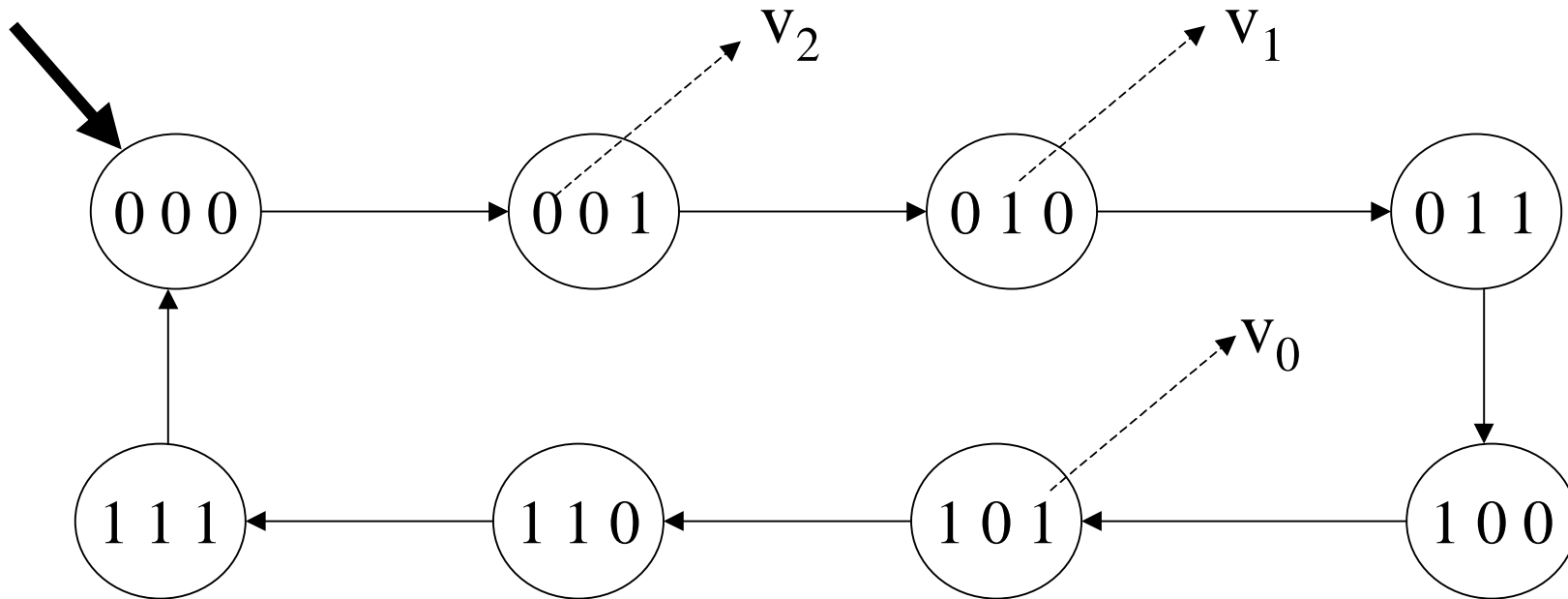
# State Predicates



A set of states can be picked out by a formula;

$\mathbf{X} = \mathbf{v}_2 \vee \mathbf{v}_0$  is the set  $\{100, 101, 110, 111, 001, 011\}$

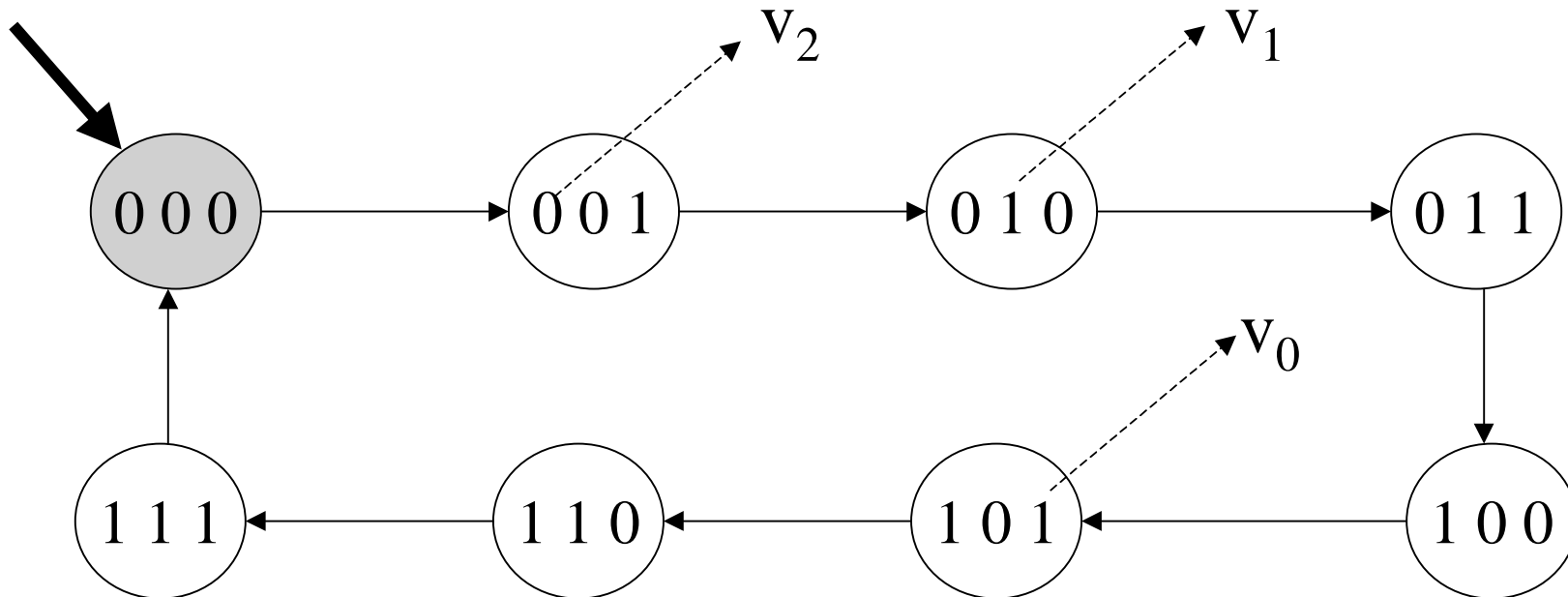
# Initial States Predicate



A set of states can be picked out by a formula;

$$\mathbf{X}' = \neg v_2 \wedge \neg v_1 \wedge \neg v_0$$

# Initial States Predicate

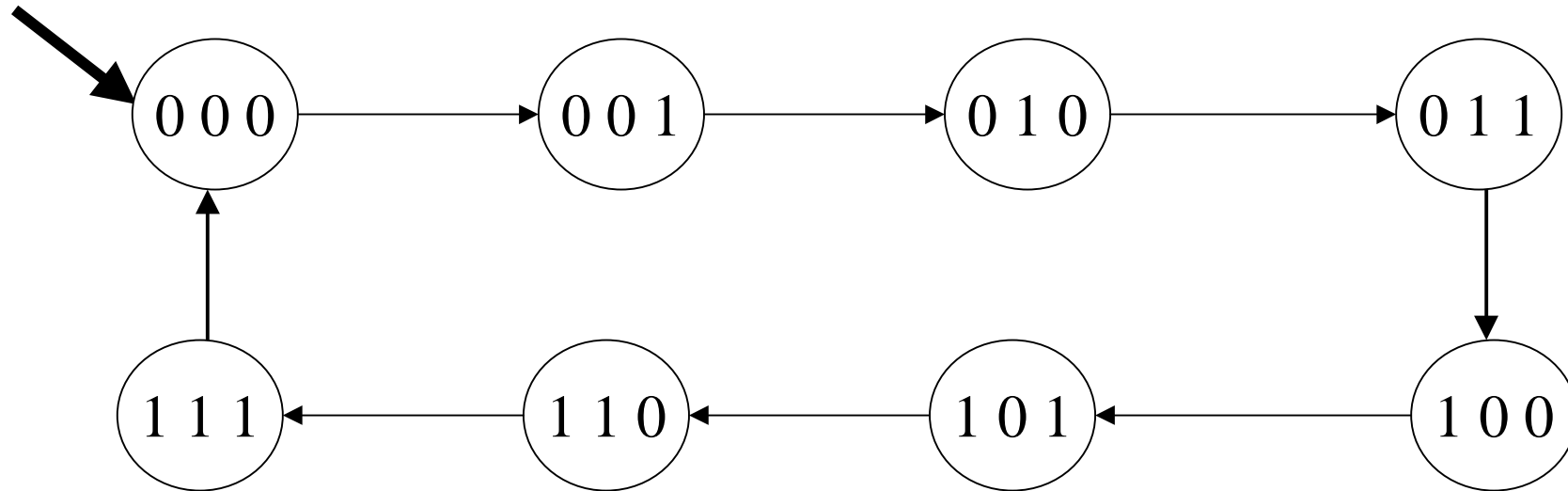


A set of states can be picked out by a formula;

$$\mathbf{X}' = \neg v_2 \wedge \neg v_1 \wedge \neg v_0 \quad \mathbf{X}' = \{ \mathbf{S}_0 \} = \{ 000 \}$$



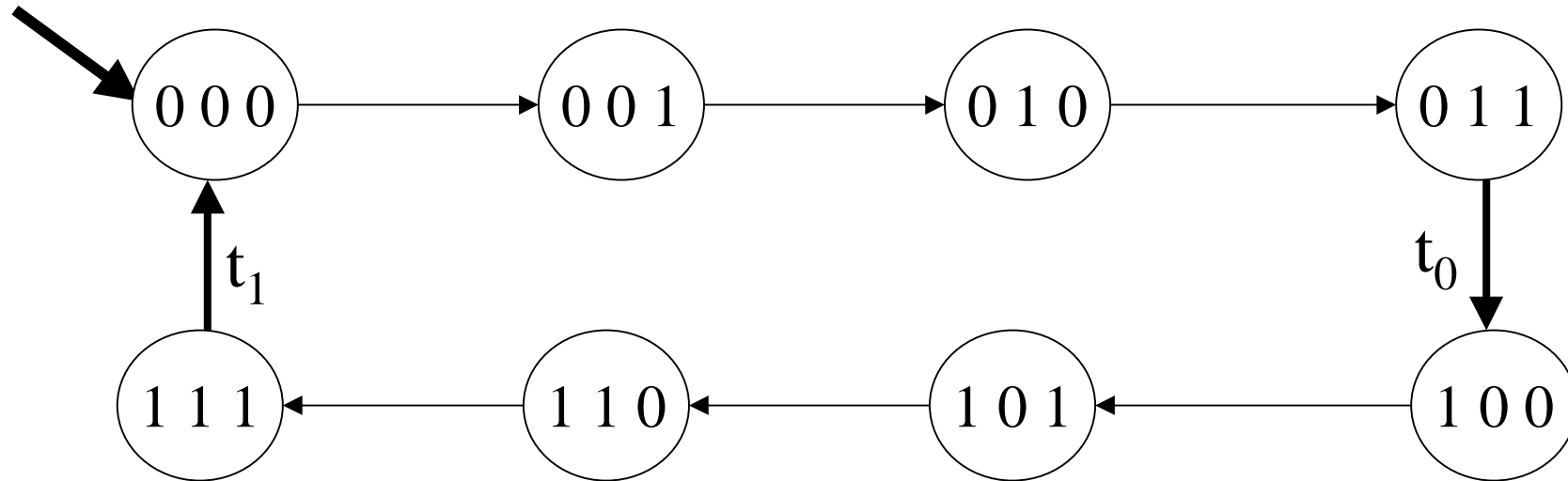
# Transition relation predicate



A set of transitions can also be picked out by a formula.

$$\mathbf{R}_2 = \mathbf{v}_2' = (\mathbf{v}_0 * \mathbf{v}_1) \oplus \mathbf{v}_2 \quad \mathbf{v}_2 - \text{old value} \quad \mathbf{v}_2' - \text{new value}$$

# Transition relation predicate

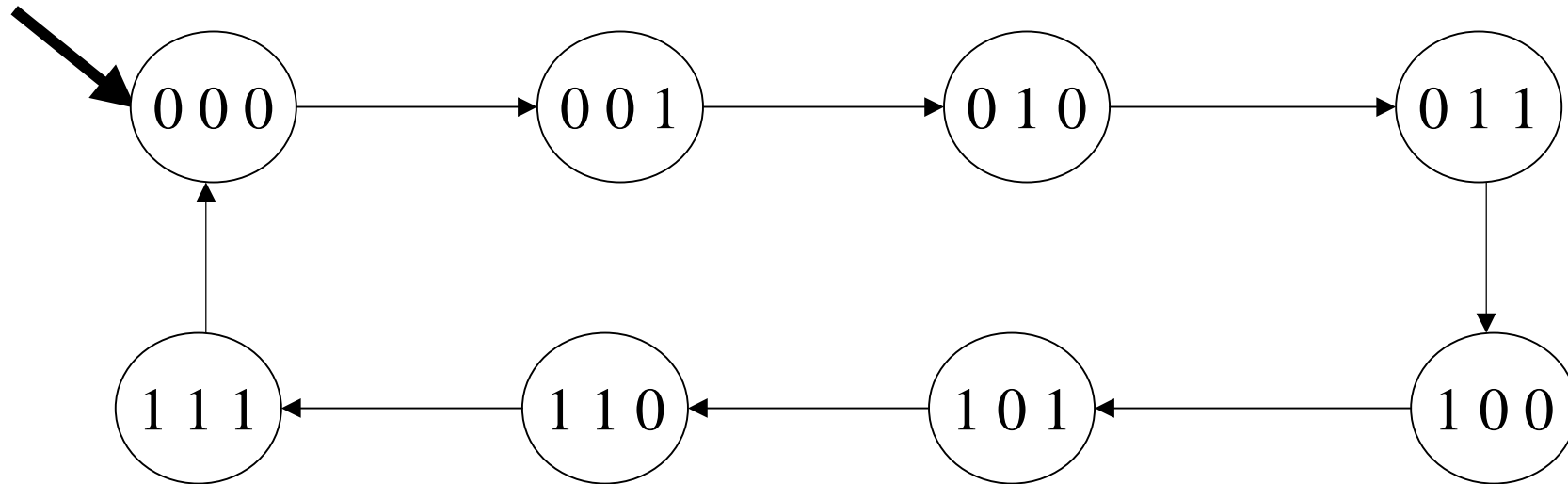


A set of transitions can also be picked out by a formula.

$$\mathbf{R}_2 = \mathbf{v}_2' = (\mathbf{v}_0 \wedge \mathbf{v}_1) \oplus \mathbf{v}_2 \quad \mathbf{v}_2 - \text{old value} \quad \mathbf{v}_2' - \text{new value}$$

$$\{t_0, t_1\} \subseteq \mathbf{R}_2$$

# Transition relation predicate

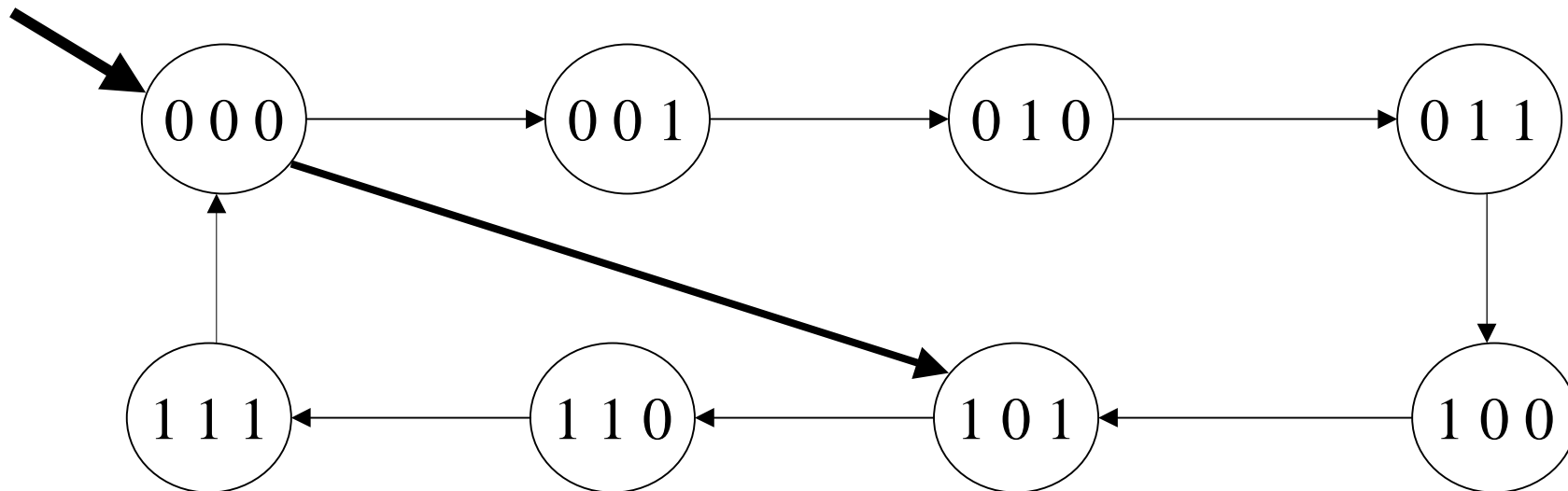


$$\mathbf{T} = \text{Formula}(v_2, v_1, v_0, v_2', v_1', v_0')$$

Not all formulas will define subsets of transitions.

**You** must pick the right formula .

# Transition relation predicate

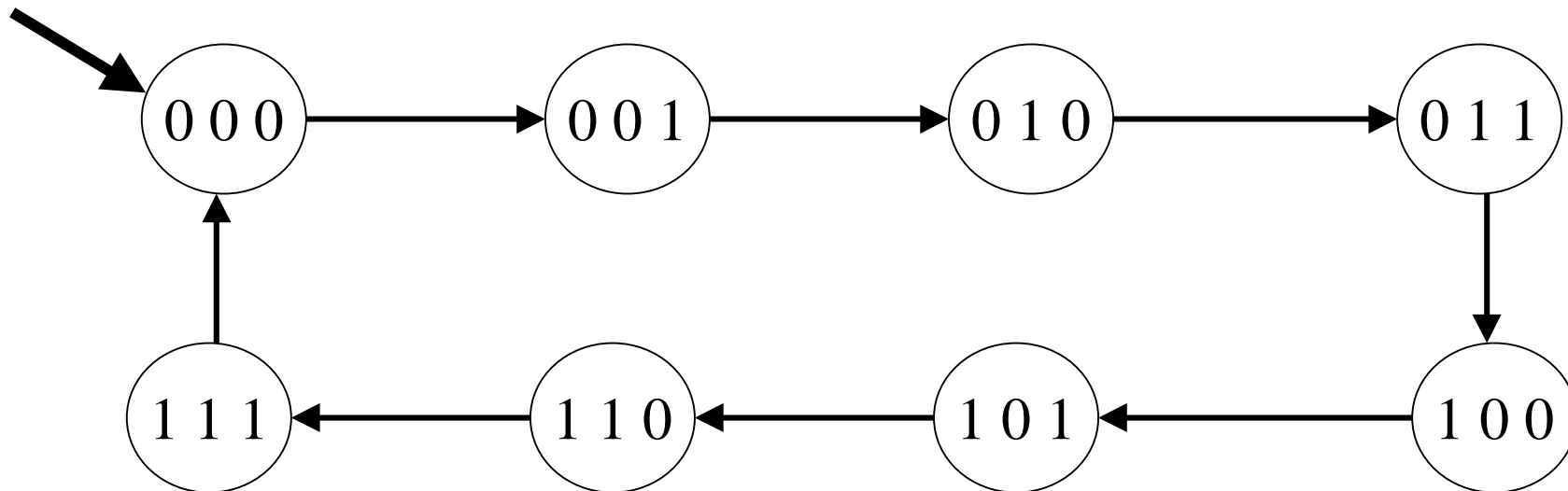


$\mathbf{R}_0 = \mathbf{v}_0' \neq \mathbf{v}_0$        $\mathbf{v}_0$  – old value     $\mathbf{v}_0'$  – new value

$\mathbf{R}_0 = \{(000) \longrightarrow (101), \dots\dots\dots\}$

But this is not a transition!

# Transition relation predicate



$$\mathbf{R}_0 = \mathbf{v}_0' \neq \mathbf{v}_0 \quad \mathbf{v}_i - \text{old value} \quad \mathbf{v}_i' - \text{new value}$$

$$\mathbf{R}_1 = \mathbf{v}_1' = (\mathbf{v}_0 \oplus \mathbf{v}_1)$$

$$\mathbf{R}_2 = \mathbf{v}_1' = (\mathbf{v}_0 \wedge \mathbf{v}_1) \oplus \mathbf{v}_2$$

$$\mathbf{R} = \mathbf{R}_0 \wedge \mathbf{R}_1 \wedge \mathbf{R}_2$$

# Summary of Predicates

- System variables  $v_0, v_1, v_2, \dots, v_n$ .
- Each  $v_i$  has a domain of values
  - Boolean ,  $\{a,b,c,\dots\}$ ,  $\{5,8,0,7\}\dots$
  - Each domain is required to be finite.
- A state is a function  $s$  which assigns to each system variable a value in its domain.
- The set of states is *finite*.

# Summary

- Predicates can be used to pick out –succinctly– sets of states (useful for identifying initial states).
- $\mathbf{X} = \text{Formula}(v_0, v_1, v_2, \dots, v_n)$
- But this works only when all domains are boolean.
- In general Formula will be a first order formula.

# Summary

- A set of transitions can also be picked out using predicates.
- $\mathbf{T} = \text{Formula } (v_0, v_1, \dots, v_n, v_0', v_1', \dots, v_n')$
- $\mathbf{T}$  is the set of all transitions  
 $(v_0, v_1, \dots, v_n) \longrightarrow (v_0', v_1', \dots, v_n')$   
such that Formula (above!) is satisfied.
- Not all (state or transition) formulas will be legitimate.



# Why use formulas?

- Once and for all, say how to go from the “logical” description to Kripke structures.
- Once we have a Kripke structure, we are in business.
- We can use
  - temporal logics to specify properties
  - Model checking to verify these properties.

# First Order Logic

- The general structure :
  - Syntax
    - Formulas
  - Semantics
    - When is a formula true ?
    - Models
      - Interpretations
      - Valuations

# Syntax

- Terms
  - Variables
  - Functions symbols, constant symbols
- Atomic formulas
  - Relation symbols, equality, terms
- Formulas
  - Atomic formulas
  - Propositional connectives
  - *Existential and universal quantifiers*

# Syntax

- (individual) variables ---  $\mathbf{x}, \mathbf{y}, \mathbf{v}_3, \mathbf{v}', \dots$ 
  - System variables in our context
- Function symbols :  $\mathbf{f}^{(n)}$ 
  - $\mathbf{n}$  is the arity of  $\mathbf{f}$ .
  - Add<sup>(2)</sup>
  - Next<sup>(1)</sup>
- Function symbols will capture the functions used in the programs, circuits, ...

# Constant symbols

- Apart from variables, it will also be convenient to have constant symbols.
  - *zero* , *five* , ...
- Variables can be assigned different values but a constant symbol is assigned a fixed value.

# Terms

- Terms are used to point at values.
- A variable is a term.
  - $x, v, v''$
- A constant symbol is a term.
- Suppose  $f$  is a function symbol of arity  $n$  and  $t_1, t_2, \dots, t_n$  are terms then  $f(t_1, t_2, \dots, t_n)$  is also a term.

# Terms

- Let Plus be a function symbol of arity 2.
- $\mathbf{v}_1$  ,  $\mathbf{v}_2$ ,  $\mathbf{Plus}(\mathbf{v}_2, \mathbf{Plus}(\mathbf{v}_1, \mathbf{v}_1))$  are terms.
  - the semantics of the last term is intuitively  
 $\mathbf{v}_2 + 2\mathbf{v}_1$
- Let weird\_op be a function symbol of arity 3
- Then  
 $\mathbf{Plus}(\mathbf{weird\_op}(\mathbf{v}, \mathbf{Plus}(\mathbf{v}_1, \mathbf{v}_2), \mathbf{five}), \mathbf{Plus}(\mathbf{v}, \mathbf{v}''))$   
is a term.

# Predicates

- Relation (predicate) symbols :
  - $P$  which also has an arity
  - *Greater-Than* has arity 2
  - *Prime* has arity 1
  - *Middle* has arity 3 --  $Middle(t_1, x, t_2)$ 
    - intuitively,  $x$  lies between  $t_1$  and  $t_2$
- *Equal* has arity 2
  - will be denoted as =
  - It is a “**constant**” relation symbol.



# Atomic formulas.

- If  $\mathbf{t}_1$  and  $\mathbf{t}_2$  are terms then  $\mathbf{=(t_1, t_2)}$  is an atomic formula.
  - also written  $\mathbf{t_1 = t_2}$
- Suppose  $\mathbf{P}$  has arity  $\mathbf{n}$  and  $\mathbf{t_1, t_2, \dots, t_n}$  are terms.
- Then  $\mathbf{P(t_1, t_2, \dots, t_n)}$  is an atomic formula.

# Atomic formulas

- *Greater-Than*(five, zero)
- *Greater-Than*(two, four)
- *Prime*(Plus( $v_1$ ,  $v''$ ))
- **Plus**( $v$ , Zero) = weird\_op( $v$ ,  $v$ , four)
- $v = \text{Greater\_Than}(v_1, v_2)$  is *not* an atomic formula !

# Terms and Predicates

- A term is meant to denote a value.
  - Makes no sense to talk about a term being true or false.
- An atomic formula may be true or false (depends on the interpretation).
  - Does not make sense to associate a value with an atomic formula.

# Formulas

- Every atomic formula is a formula.
- If  $\varphi$  is a formula then  $\neg\varphi$  is a formula.
- If  $\varphi$  and  $\varphi'$  are formulas then  $\varphi \vee \varphi'$  is a formula.
- $\varphi \wedge \varphi'$  abbreviates:  $\neg(\neg\varphi \vee \neg\varphi')$
- $\varphi \supset \varphi'$  abbreviates :  $\neg\varphi \vee \varphi'$
- $\varphi \equiv \varphi'$  abbreviates :  $(\varphi \supset \varphi') \wedge (\varphi' \supset \varphi)$

# Formulas

- If  $\varphi$  is a formula and  $\mathbf{x}$  is a variable then  $\exists \mathbf{x}. \varphi$  is a formula.
- $\forall \mathbf{x}. \varphi$  abbreviates :  $\neg \exists \mathbf{x}. \neg \varphi$
- These are *existential* and *universal* quantifiers.
- The power of first order logic comes from these operators!

# Semantics

- **Models :**
  - *Domain of interpretation*
  - *Interpretation*
    - For the function, constant and relation symbols.
      - *Fixed for all formulas.*
    - For the individual variables, on a “per formula” basis.
      - *Valuations.*

# Semantics

- *Domain*
  - Each variable will have its domain of values.
  - We pretend all these domains are the same.
  - Or rather, a big enough “universe” that will contain all these domains.
- Fix **D** the universe of values.

# Semantics

## *Interpretation function $I$*

- Assign a concrete function to each function symbol (of the same arity!)
- Assign a concrete member of  $\mathbf{D}$  to each constant symbol.
- Assign a concrete relation to each relation symbol (of the same arity!).



# Semantics

- **D** --- The set of integers.
- *Plus*  $\xrightarrow{I}$  +
- *Greater\_Than*  $\xrightarrow{I}$  >
- *Zero*  $\xrightarrow{I}$  0
- *weird\_op*  $\xrightarrow{I}$   $f$  where for each  $i, j, k$   
 $f(i, j, k) = 2i + 3j - 17k$

# Semantics

- Assume we have fixed an *interpretation* for all function symbols, constant symbols and relational symbols.
- Let  $\varphi$  be a formula. Fix a *valuation*  $\mathbf{V}$  which assigns a member of  $\mathbf{D}$  to each *variable*.
- $\mathbf{V} : \text{Variables} \longrightarrow \mathbf{D}$

# Semantics

- Let  $\phi$  be a formula. Fix a valuation  $\mathbf{V}$  which assigns a member of  $\mathbf{D}$  to each variable.
- $\mathbf{V} : \text{Variables} \longrightarrow \mathbf{D}$
- This extends to a valuation  $\mathbf{V\_T}$  for **all terms!**
  - $\mathbf{V\_T}(v) = \mathbf{V}(v)$  if  $v$  is a variable.
  - $\mathbf{V\_T}(c) = \mathbf{d}$  if  $c$  is a constant symbol and the interpretation we have fixed assigns the value  $\mathbf{d}$  to  $c$ .

# Semantics

- Let  $\phi$  be a formula. Fix a valuation  $\mathbf{V}$  which assigns a member of  $\mathbf{D}$  to each variable.
- $\mathbf{V} : \text{Variables} \longrightarrow \mathbf{D}$
- This extends to a valuation  $\mathbf{V\_T}$  for **all terms!**
  - Suppose  $f$  is of arity  $n$  and  $t_1, t_2, \dots, t_n$  are terms with  $\mathbf{V\_T}(t_1) = d_1, \dots, \mathbf{V\_T}(t_n) = d_n$ .
  - Suppose  $f$  has been assigned the function  $F$  by our interpretation. Then
  - $\mathbf{V\_T}(f(t_1, t_2, \dots, t_n)) = F(d_1, d_2, \dots, d_n)$ .

# Semantics

- Let  $\varphi$  be a formula. Fix a valuation  $\mathbf{V}$  which assigns a member of  $\mathbf{D}$  to each variable.
- So we now have  $\mathbf{V\_T}$  that assigns a member of  $\mathbf{D}$  each term.
- $\varphi$  is satisfied under  $\mathbf{V}$  (and the interpretation we have fixed for all formulas) if :
- suppose  $P(t_1, t_2, \dots, t_n)$  is an atomic formula  
and  $\mathbf{V\_T}(t_1) = d_1, \dots, \mathbf{V\_T}(t_n) = d_n$   
and  $\text{PCON}$  is the relation assigned to  $P$  by our interpretation.

# Semantics

- Suppose  $P(t_1, t_2, \dots, t_n)$  is an atomic formula and  $V_{\mathbf{T}}(t_1) = d_1, \dots, V_{\mathbf{T}}(t_n) = d_n$  and  $\text{PCON}$  is the relation assigned to  $P$  by our interpretation.
- Then  $P(t_1, t_2, \dots, t_n)$  is satisfied under  $V$  iff  $\text{PCON}(d_1, d_2, \dots, d_n)$  holds in  $\mathbf{D}$ .  
 $(d_1, d_2, \dots, d_n) \in \text{PCON} \subseteq \mathbf{D} \times \mathbf{D} \times \dots \times \mathbf{D}$

# Semantics

- Suppose  $\varphi$  is of the form  $\neg\varphi'$ .  
then  $\varphi$  is satisfied under  $\mathbf{V}$  iff  $\varphi'$  is **not** satisfied under  $\mathbf{V}$ .
- Suppose  $\varphi$  is of the form  $\varphi_1 \vee \varphi_2$   
then  $\varphi$  is satisfied under  $\mathbf{V}$  iff  $\varphi_1$  is satisfied under  $\mathbf{V}$  or  $\varphi_2$  is satisfied under  $\mathbf{V}$ .

# Semantics

- The only case left is when  $\varphi$  is of the form  $\exists \mathbf{x}.\varphi'$ .
- $\varphi$  is satisfied under  $\mathbf{V}$  iff there is a valuation  $\mathbf{V}'$  such that  $\varphi'$  is satisfied under  $\mathbf{V}'$ , and  $\mathbf{V}'$  is required to meet the condition :
  - $\mathbf{V}'$  is exactly  $\mathbf{V}$  for all variables except  $\mathbf{x}$ .
  - for  $\mathbf{x}$  ,  $\mathbf{V}'$  can assign any value in  $\mathbf{D}$  of its choosing.



# Semantics II

- Models :
  - **Domain** of interpretation
  - **Interpretation**
    - For the function, constant and relation symbols.
      - Fixed for all formulas.
    - For the individual variables, on a per formula basis.
      - **Valuations.**

# Semantics II

- Assign a concrete function to each function symbol (of the same arity!)
- Assign a concrete member of **D** to each constant symbol.
- Assign a concrete relation to each relation symbol (of the same arity!).

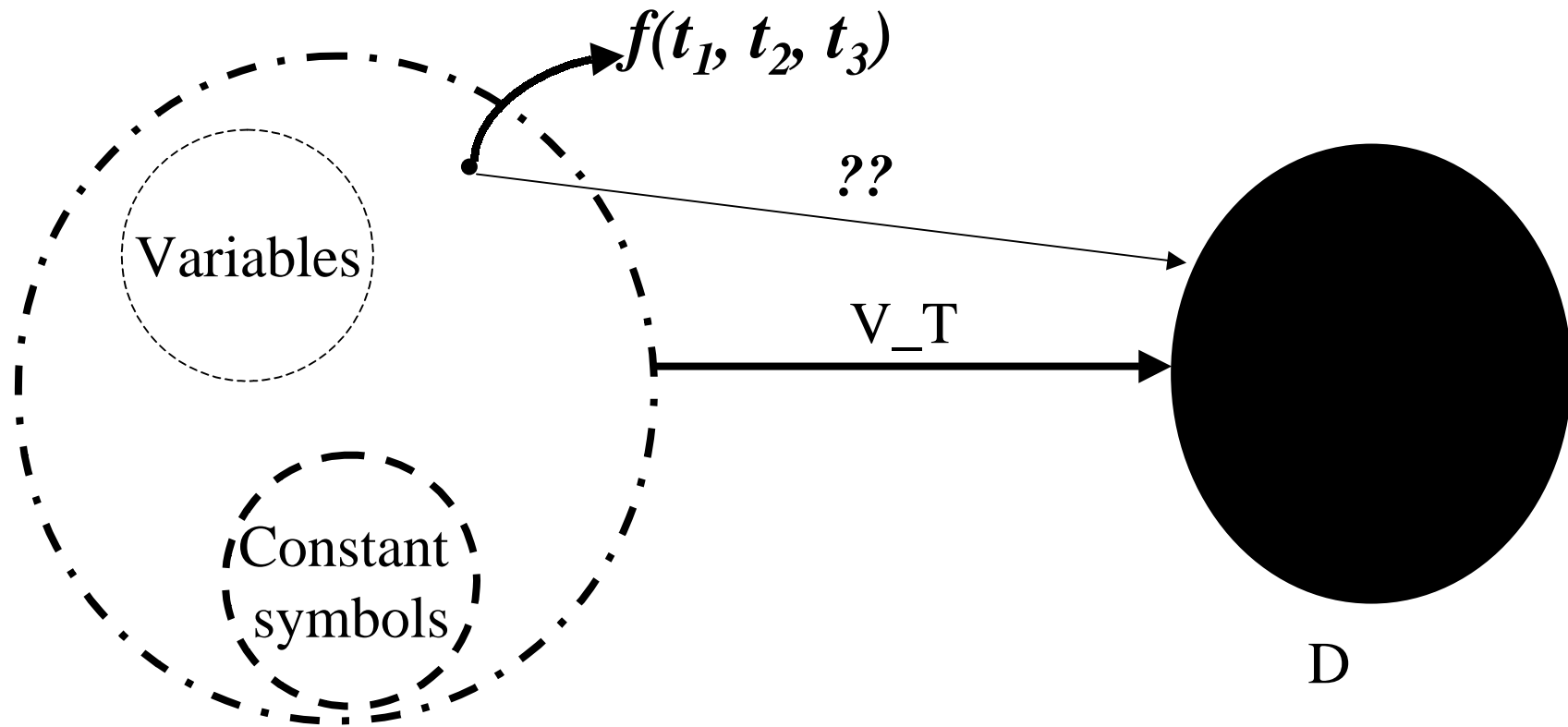
# Semantics II

- Assume we have fixed an interpretation for all function symbols, constant symbols and relational symbols.
- Let  $\varphi$  be a formula. Fix a **valuation  $V$**  which assigns a member of  **$D$**  to each variable.
- **$V : \text{Variables} \longrightarrow D$**

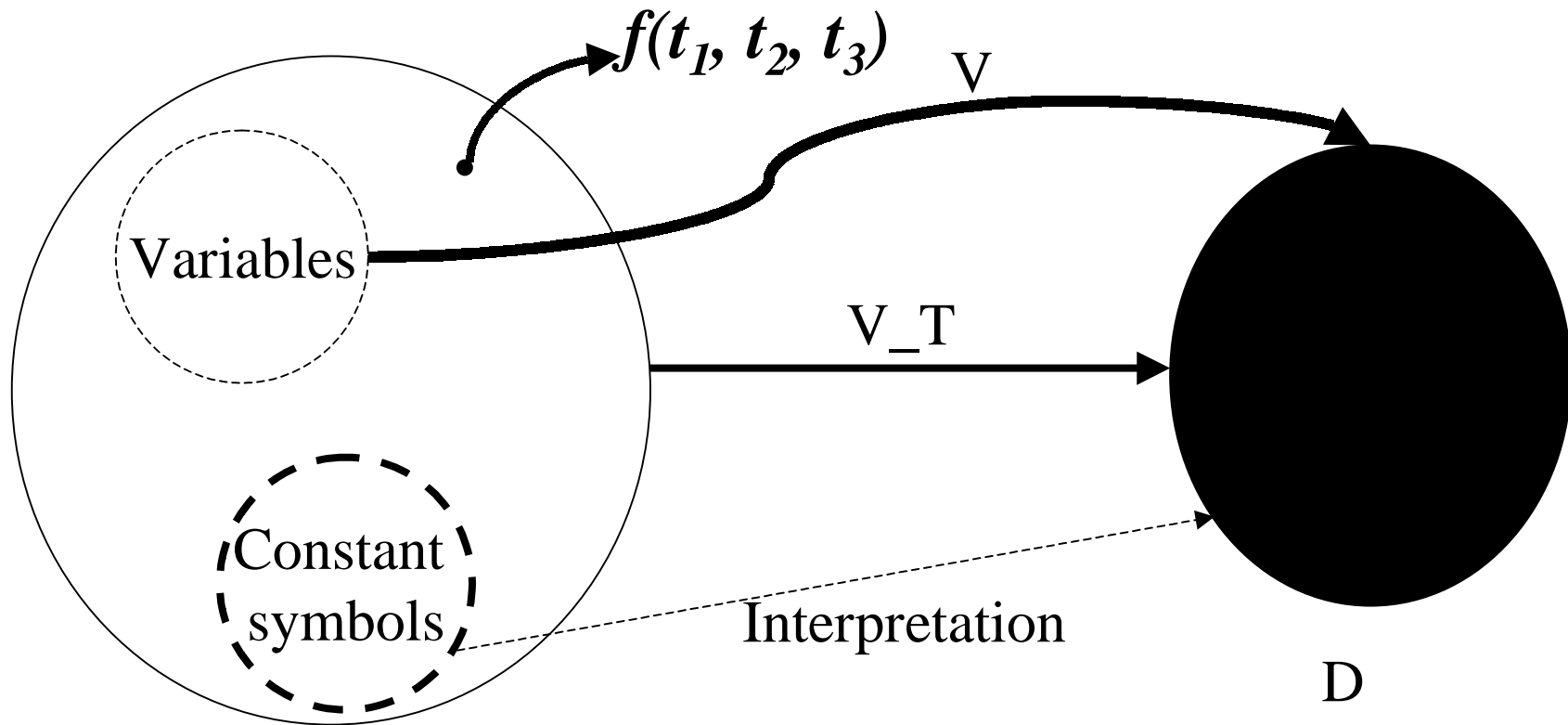
# Lift $V$ to All Terms

- We have :
  - An interpretation for the function symbols and constant symbols.
  - $V : \text{Variables} \longrightarrow D$
- Using this, we can construct (uniquely!)  
 $V\_T : \text{Terms} \longrightarrow D$

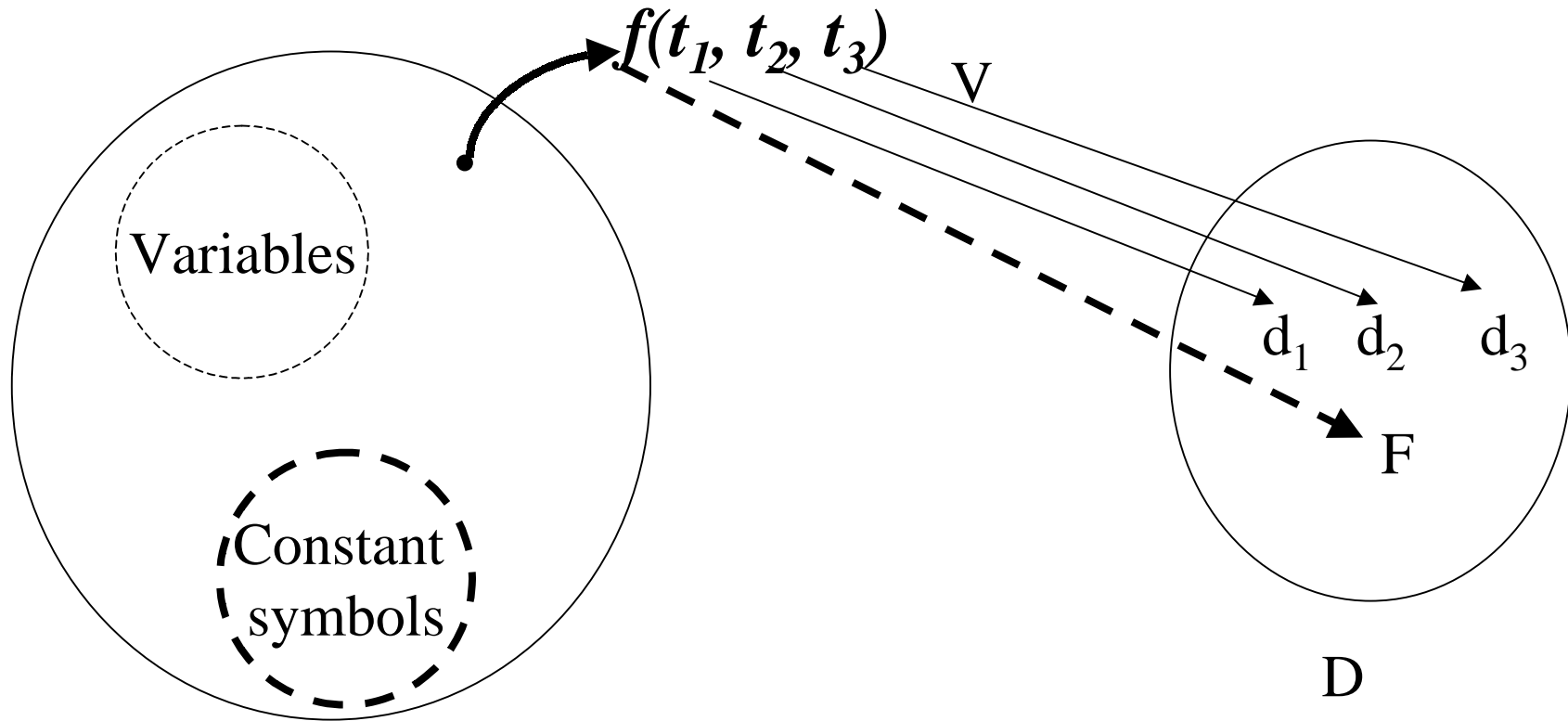
# Constructing V\_T



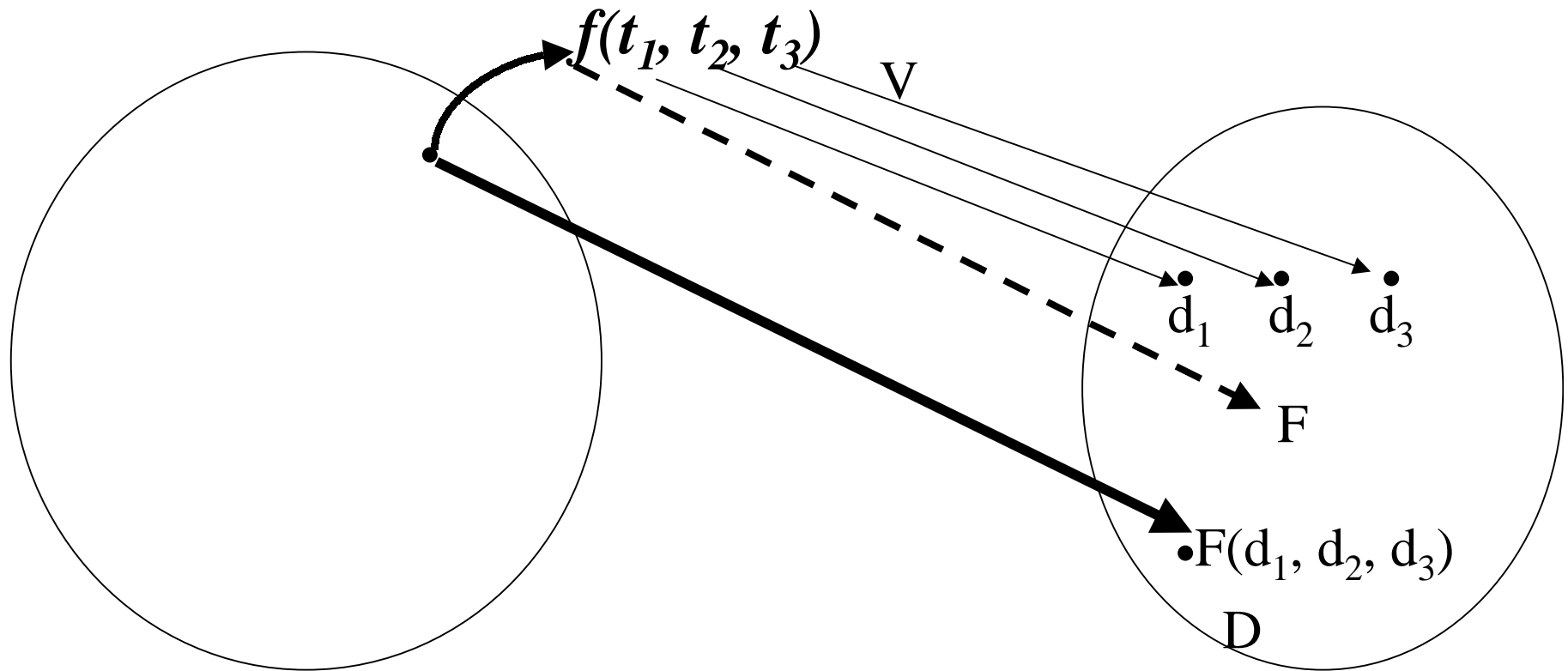
# Constructing $V_T$



# Constructing V\_T



# Constructing $V_T$





# Semantics II

- Let  $\varphi$  be a formula. Fix a valuation  $\mathbf{V}$  which assigns a member of  $\mathbf{D}$  to each variable.
- So we now have  $\mathbf{V}_T$  that assigns a member of  $\mathbf{D}$  each term.
- $\varphi$  is satisfied under  $\mathbf{V}$  (and the interpretation we have fixed for all formulas) if :

# Semantics II

- Suppose  $P(t_1, t_2, \dots, t_n)$  is an atomic formula and  $V_{\mathbf{T}}(t_1) = d_1, \dots, V_{\mathbf{T}}(t_n) = d_n$  and  $\text{PCON}$  is the relation assigned to  $P$  by our interpretation.
- Then  $P(t_1, t_2, \dots, t_n)$  is satisfied under  $V$  iff  $\text{PCON}(d_1, d_2, \dots, d_n)$  holds in  $\mathbf{D}$ .  
 $(d_1, d_2, \dots, d_n) \in \text{PCON} \subseteq \mathbf{D} \times \mathbf{D} \times \dots \times \mathbf{D}$

# Semantics II

- Suppose  $\varphi$  is of the form  $\neg\varphi'$ .
- Then  $\varphi$  is satisfied under  $\mathbf{V}$  iff  $\varphi'$  is not satisfied under  $\mathbf{V}$ .
- Suppose  $\varphi$  is of the form  $\varphi_1 \vee \varphi_2$
- Then  $\varphi$  is satisfied under  $\mathbf{V}$  iff  $\varphi_1$  is satisfied under  $\mathbf{V}$  or  $\varphi_2$  is satisfied under  $\mathbf{V}$ .

# Semantics II

- ***Greater-Than(Plus( $v$ , 3), Multi( $x$ , 2))***

$t_1$

$t_2$

- $V(v) = 2 \quad V(x) = 1$
- $V\_T(t_1) = 5 \quad V\_T(t_2) = 2$
- $(5, 2) \in > \subseteq \text{Integers} \times \text{Integers}$
- $V'(v) = 1 \quad V'(x) = 6$
- Under  $V'$ , the atomic formula is not true.

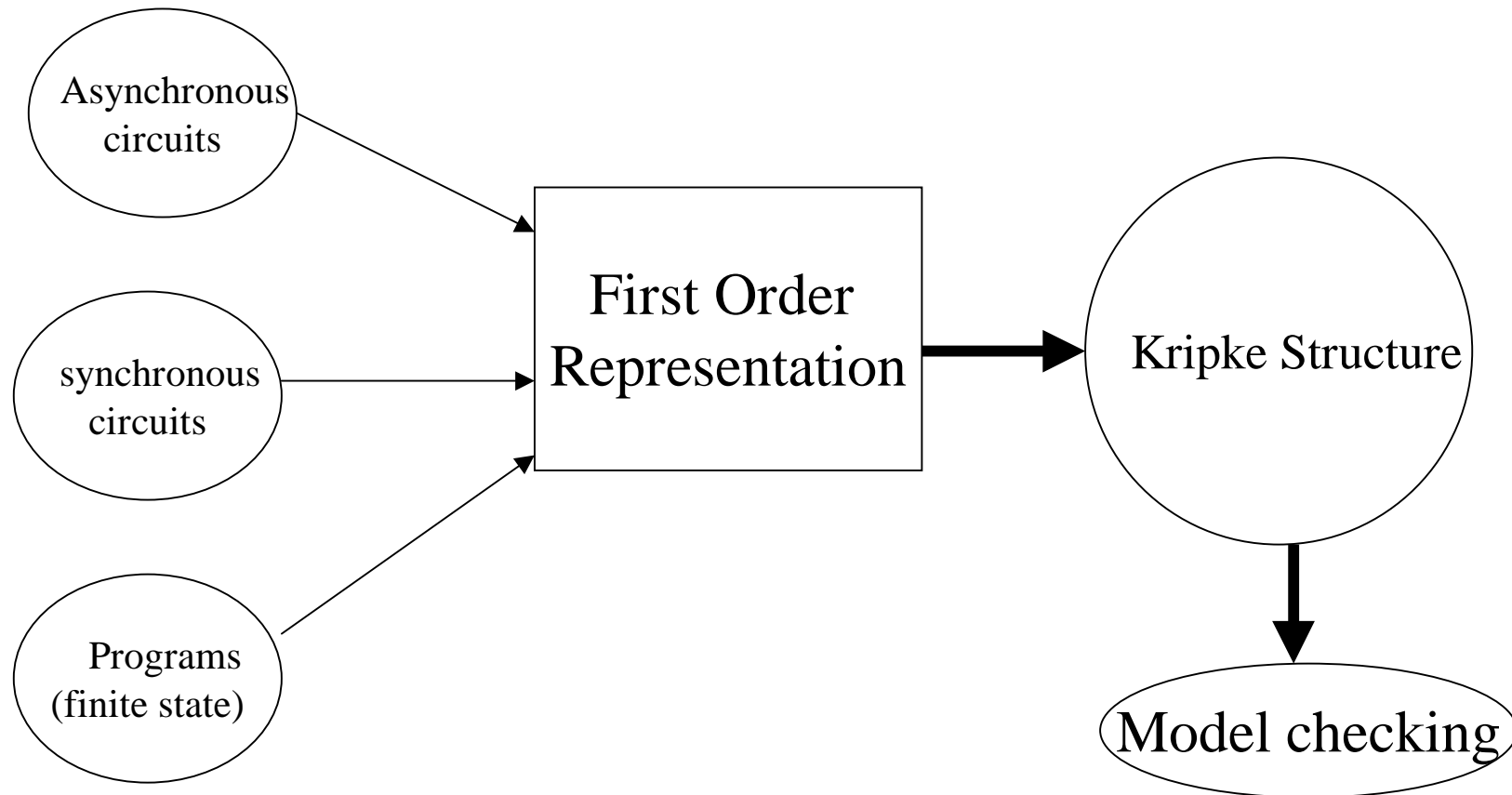
# Semantics II

- The only case left is when  $\varphi$  is of the form  $\exists \mathbf{x}. \varphi'$
- $\varphi$  is satisfied under  $\mathbf{V}$  iff there is a valuation  $\mathbf{V}'$  such that  $\varphi'$  is satisfied under  $\mathbf{V}'$  and  $\mathbf{V}'$  is required to meet the condition :
  - $\mathbf{V}'$  is exactly  $\mathbf{V}$  for all variables except  $\mathbf{x}$ .
  - For  $\mathbf{x}$ ,  $\mathbf{V}'$  can assign any value of its choosing.

# Semantics II

- Whether  $\exists \mathbf{x}. \varphi$  is true or not under  $\mathbf{V}$ 
  - does not depend on what  $\mathbf{V}$  does on  $\mathbf{x}$  !
- $\exists \mathbf{x}. 2\mathbf{x} = \mathbf{y}$  is true under  $\mathbf{V}(\mathbf{y}) = 4$   
 $\mathbf{V}(\mathbf{x}) = 1$ !
- Because, we can find  $\mathbf{V}'$  with  $\mathbf{V}'(\mathbf{y}) = 4$  but  
 $\mathbf{V}'(\mathbf{x}) = 2$ .
- One says  $\mathbf{x}$  is bound in the formula and  $\mathbf{y}$  is free.

# The efficient way



# First Order Representation to Transition Systems

- $\{v_1, v_2, \dots, v_n\}$  --- System variables.
- $D_1, D_2, \dots, D_n$  --- The corresponding domains.
- $D = \cup D_i$
- $s : \{v_1, v_2, \dots, v_n\} \longrightarrow D$  such that  
 $s(v_1) \in D_1 \dots\dots$
- $S$  --- The set of states.



# Initial States

- $S_0(v_1, v_2, \dots, v_n)$  is a FO formula describing the set of initial states.
- Atomic formula
  - $v = d$  where  $v$  is a system variable and  $d$  is a constant symbol interpreted as a member of the domain of  $v$ .

## *Example:*

- “ $S_0$  is the set of all states where the  $pc = 0$  and input is a power of 2”
- $\exists n. (input = EXP(n)) \wedge (pc = 0)$

# Transition relation

- $R (v_1, v_2, \dots, v_n, v_1', v_2', \dots, v_n')$  is a FO formula involving the variables  $v_1, v_2, \dots, v_n$  (the system variables) and the new variables  $(v_1', v_2', \dots, v_n')$ .
- $(d_1, d_2, \dots, d_n) \longrightarrow (d_1', d_2', \dots, d_n')$  iff  $R (v_1, v_2, \dots, v_n, v_1', v_2', \dots, v_n')$  is true under the valuation  $v_1 = d_1, \dots, v_n = d_n, v_1' = d_1', \dots, v_n' = d_n'$ .

# Transition Relation

- $V = \{x, y, z\}$
- Program :  $\{x, y, z, pc\}$

$l_0$  : begin

$l_1$  : statement<sub>1</sub>

$l_2$  : statement<sub>2</sub>

...

$l_5$  : if even(x) then  $x = x/2$  else  $x = x - 1$

$l_6$  : ...

# Transition Relation

- $V = \{x, y, z\}$
- Program :  $\{x, y, z, pc\}$ 
  - $l_5$  : if even(x) then  $x = x/2$  else  $x = x - 1$
  - $l_6$  : ...
- $\varphi(x, y, z, pc, x', y', z', pc')$
- $pc = l_5 \wedge pc' = l_6 \wedge (\exists n. (x = 2n) \supset x' = x/2) \wedge$   
 $(\neg \exists n. (x = 2n) \supset x' = x-1) \wedge \text{same}(y, z)$

which is equivalent to

- $pc = l_5 \wedge pc' = l_6 \wedge$   
 $((\exists n. (x=2n) \wedge x'=x/2) \vee (\neg \exists n. (x=2n) \wedge x'=x-1))) \wedge$   
 $\text{same}(y, z)$
- $\text{same}(y, z) \dashv\vdash y' = y \wedge z' = z$

# Transition Relation

- In a similar fashion , we can construct transition relation formulas for :
  - Assignment statement
  - While statements
  - etc.etc.
  - See the text book!

# Kripke Structures

- **AP** is a finite set of atomic propositions.
  - “value of  $x$  is 5”
  - “ $x = 5$ ”
- **M** = **(S, S<sub>0</sub>, R, L)**, a Kripke Structure.
  - **(S, S<sub>0</sub>, R)** is a transition system.
  - **L : S**  $\longrightarrow$  **2<sup>AP</sup>**
  - **2<sup>AP</sup>** ----- The set of subsets of AP

# Kripke Structures

- The atomic propositions and  $\mathbf{L}$  together convert a transitions system into a model.
- We can start interpreting *formulas* over the *Kripke structure*.
- The atomic propositions make basic (easy) assertions about system states.

# Automata and Kripke Structures

- **AP** - set of elementary property
- **$\langle S, A, R, s_0, L \rangle$**
- **S** - set of states
- **A** - set of transition labels
- **$R \subseteq S \times A \times S$**  - (labeled) transition relation
- **L** - interpretation mapping  **$L: S \longrightarrow 2^{AP}$**

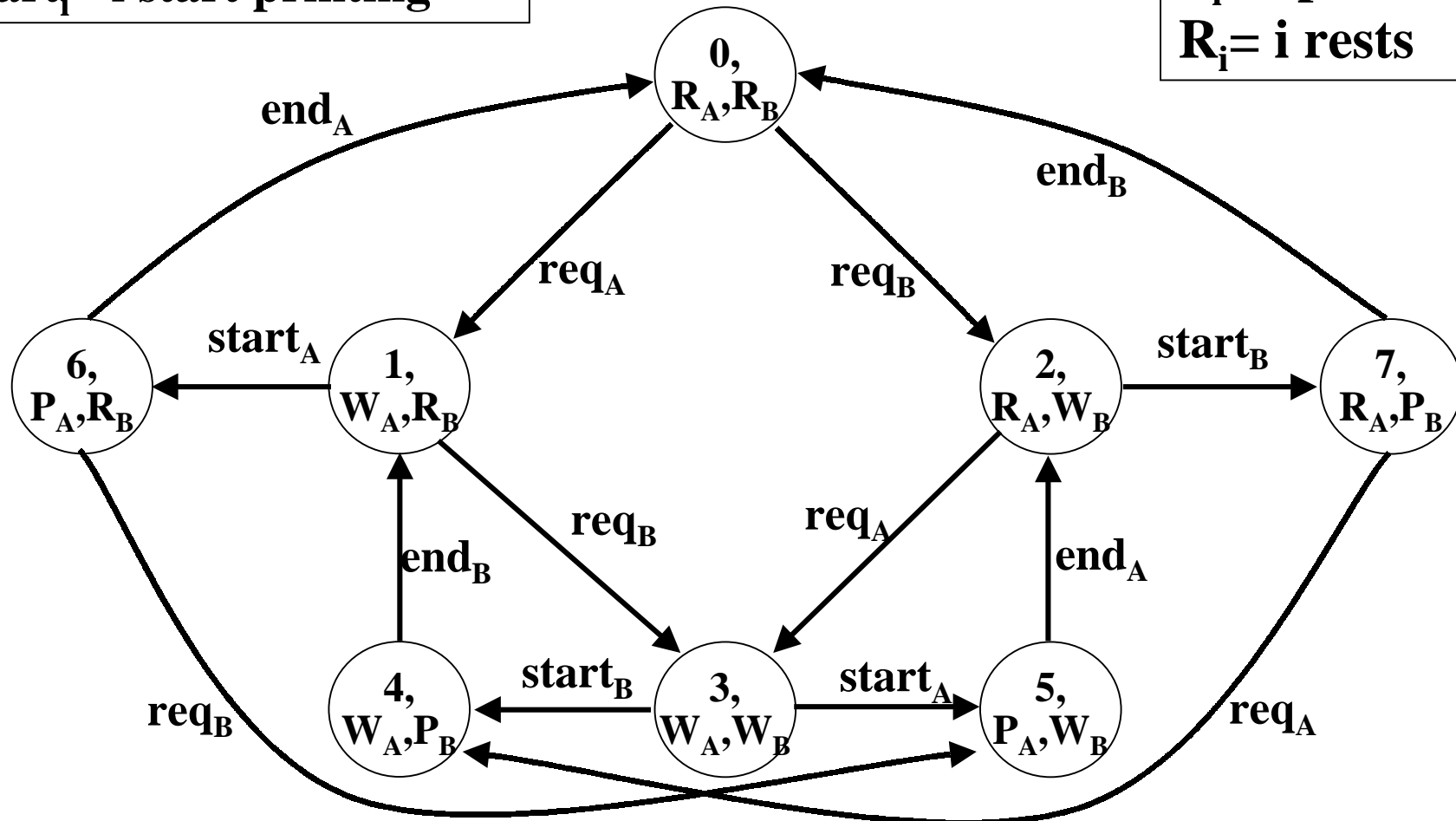


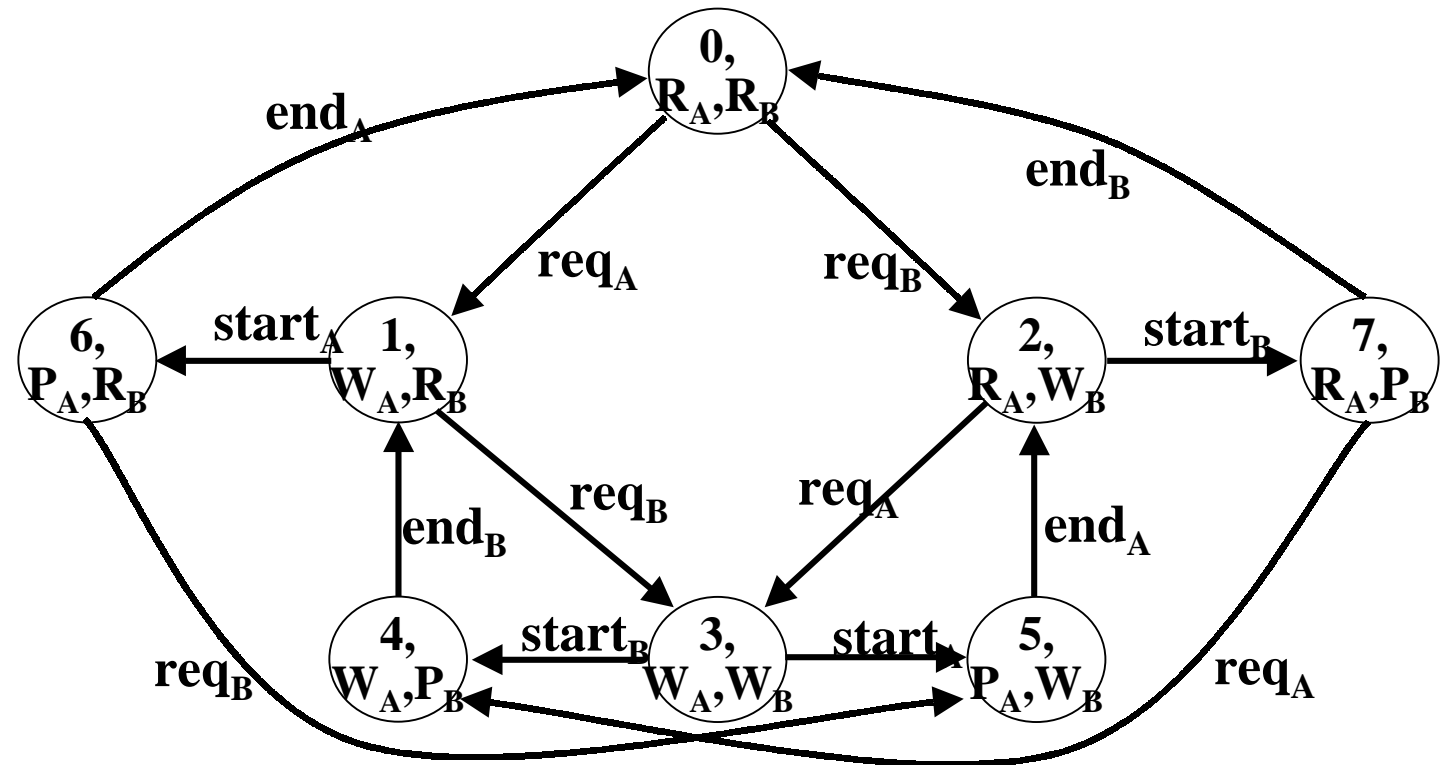
# Example: a print manager

$end_i = i$  ends printing  
 $req_i = i$  requests printing  
 $start_i = i$  start printing

AP

$W_i = i$  waits  
 $P_i = i$  prints  
 $R_i = i$  rests





- $S = \{0,1,2,3,4,5,6,7\}$
- $A = \{end_A, end_B, req_A, req_B, start_A, start_B\}$
- $R = \{(0, req_A, 1), (0, req_B, 2), (1, req_B, 3), (1, start_A, 6), (2, req_A, 3), (2, start_B, 7), (3, start_A, 5), (3, start_B, 4), (4, end_B, 1), (5, end_A, 2), (6, end_A, 0), (6, req_B, 5), (7, end_B, 0), (7, req_A, 4),\}$
- $L = \{0 \rightarrow \{R_A, R_B\}, 1 \rightarrow \{W_A, R_B\}, 2 \rightarrow \{R_A, W_B\}, 3 \rightarrow \{W_A, W_B\}, 4 \rightarrow \{W_A, P_B\}, 5 \rightarrow \{P_A, W_B\}, 6 \rightarrow \{P_A, R_B\}, 7 \rightarrow \{R_A, P_B\}\}$

# Properties of the printing systems

- Every state in which  $P_A$  holds, is preceded by a state in which  $W_A$  holds
- In any state in which  $W_A$  holds is followed (possibly not immediately) by a state in which  $P_A$  holds.
- The first can easily be checked to be true
- The second is false (e.g. 0134134134...) - in other words the system is *not fair*.

# Synchronization

- Usually complex systems are composed of a number of smaller *subsystems (modules)*
- It is natural to model the whole system starting from the models of the subsystems.
- And then define how they cooperate.
- There are many ways to define cooperation (*synchronization*).

# Synchronization: no interaction

The system model is just the *cartesian product* of the simple modules.

Let  $TS_1, \dots, TS_n$  be  $n$  automata (or **TS**), where

$$TS_i = \langle S_i, A_i, R_i, s_{i0} \rangle$$

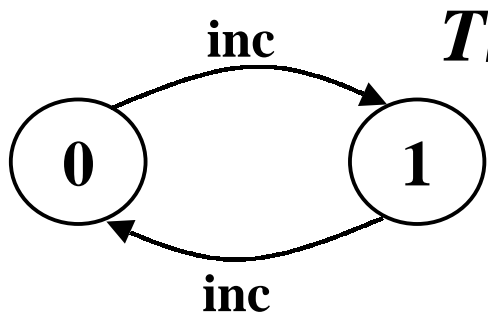
The system is then defined as  $TS = \langle S, A, R, s_0 \rangle$  where

$$S = S_1 \times S_2 \times \dots \times S_n$$

$$A = A_1 \cup \{-\} \times A_2 \cup \{-\} \times \dots \times A_n \cup \{-\}$$

$$R = \{((s_1, s_2, \dots, s_n), (a_1, a_2, \dots, a_n), (s'_1, s'_2, \dots, s'_n)) / \text{for all } i, \\ a_i \neq - \text{ and } (s_i, a_i, s'_i) \in R_i, \text{ or } a_i = - \text{ and } s'_i = s_i\}$$

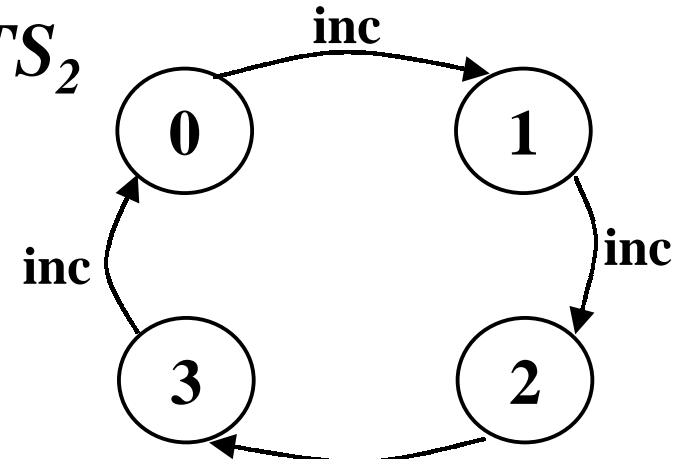
$$s_0 = (s_{10}, s_{20}, \dots, s_{n0})$$



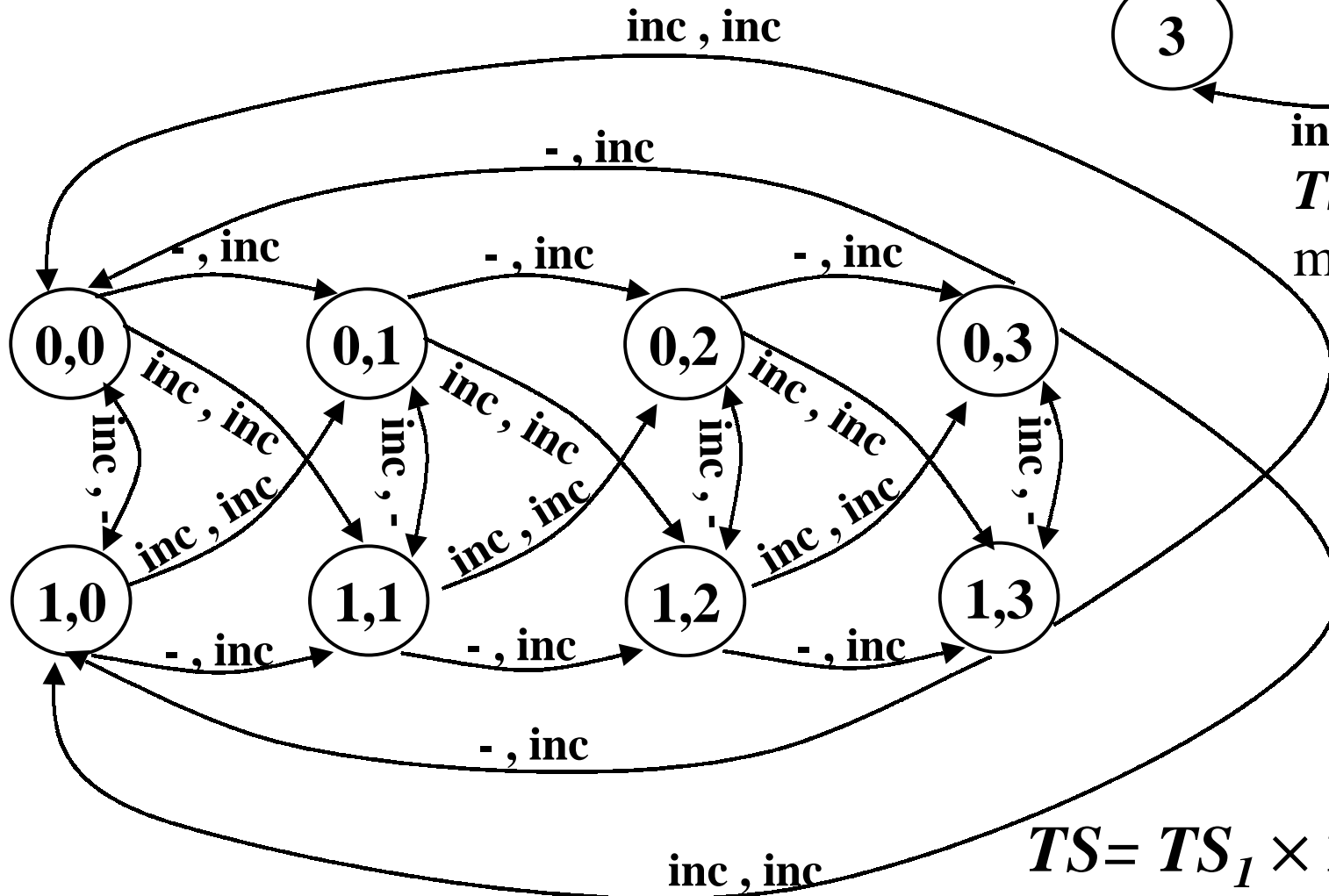
$TS_1$

$TS_1$  contatore  
modulo 2

$TS_2$



$TS_2$ : contatore  
modulo 4



$TS = TS_1 \times TS_2$

# Synchronization: interaction

*To allow for interaction, or synchronization on specific actions we can introduce a Synchronization Set (to inhibit undesired transitions) :*

- *Synchronization set is just a subset of the composite actions:*

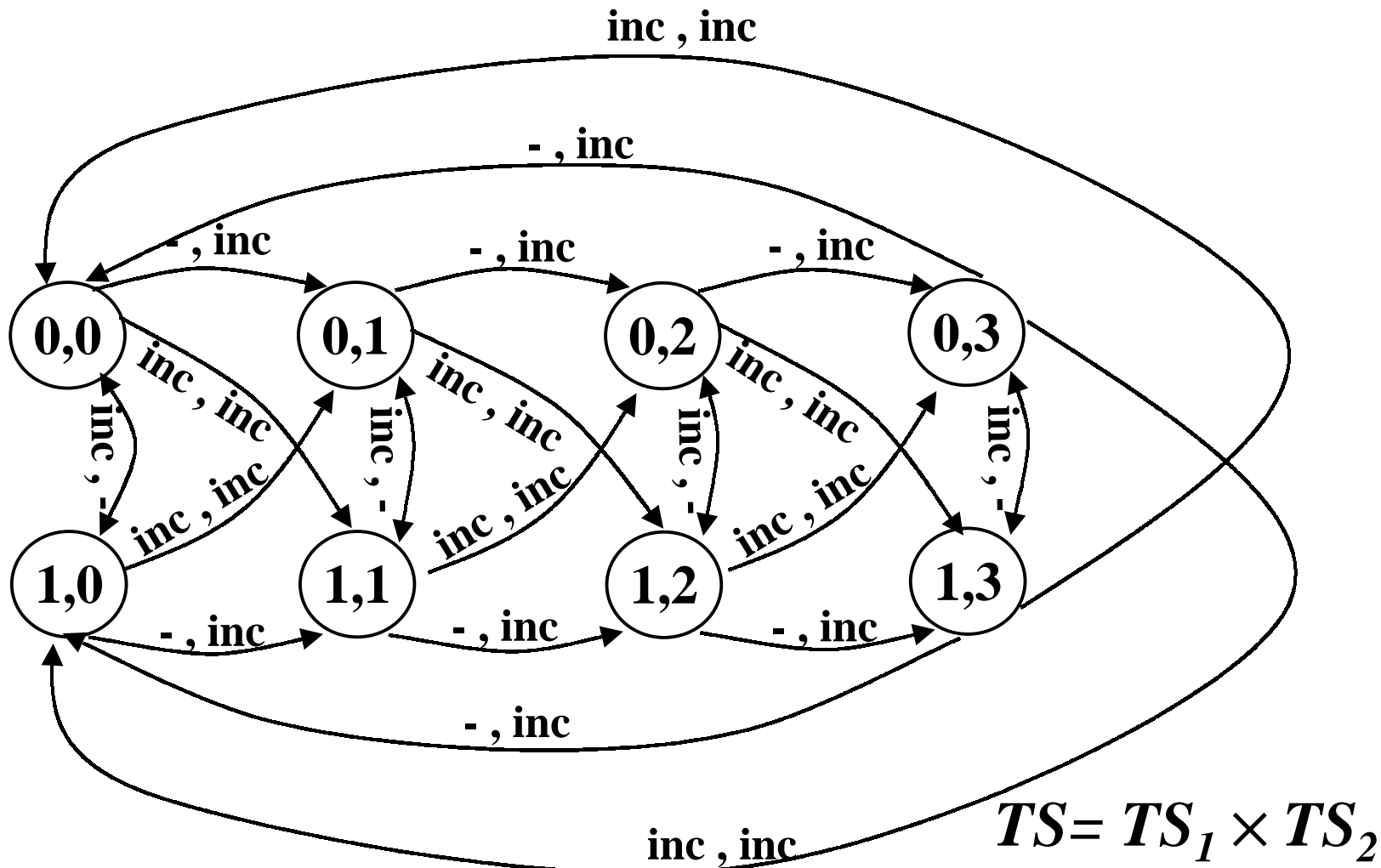
$$\text{Sync} \subseteq A_1 \cup \{-\} \times A_2 \cup \{-\} \times \dots \times A_n \cup \{-\}$$

- *Then we will have to define the possible transitions as:*

$$R = \{((s_1, s_2, \dots, s_n), (a_1, a_2, \dots, a_n), (s'_1, s'_2, \dots, s'_n)) \mid (a_1, a_2, \dots, a_n) \in \text{Sync} \text{ and for all } i, a_i \neq - \text{ and } (s_i, a_i, s'_i) \in R_i, \text{ or } a_i = - \text{ and } s'_i = s_i\}$$

# Free synchronization (Asynchronous systems):

$$\text{Sync} = \{\text{inc}, -\} \times \{\text{inc}, -\}$$





# *Free synchronization*

*Asynchronous systems:*

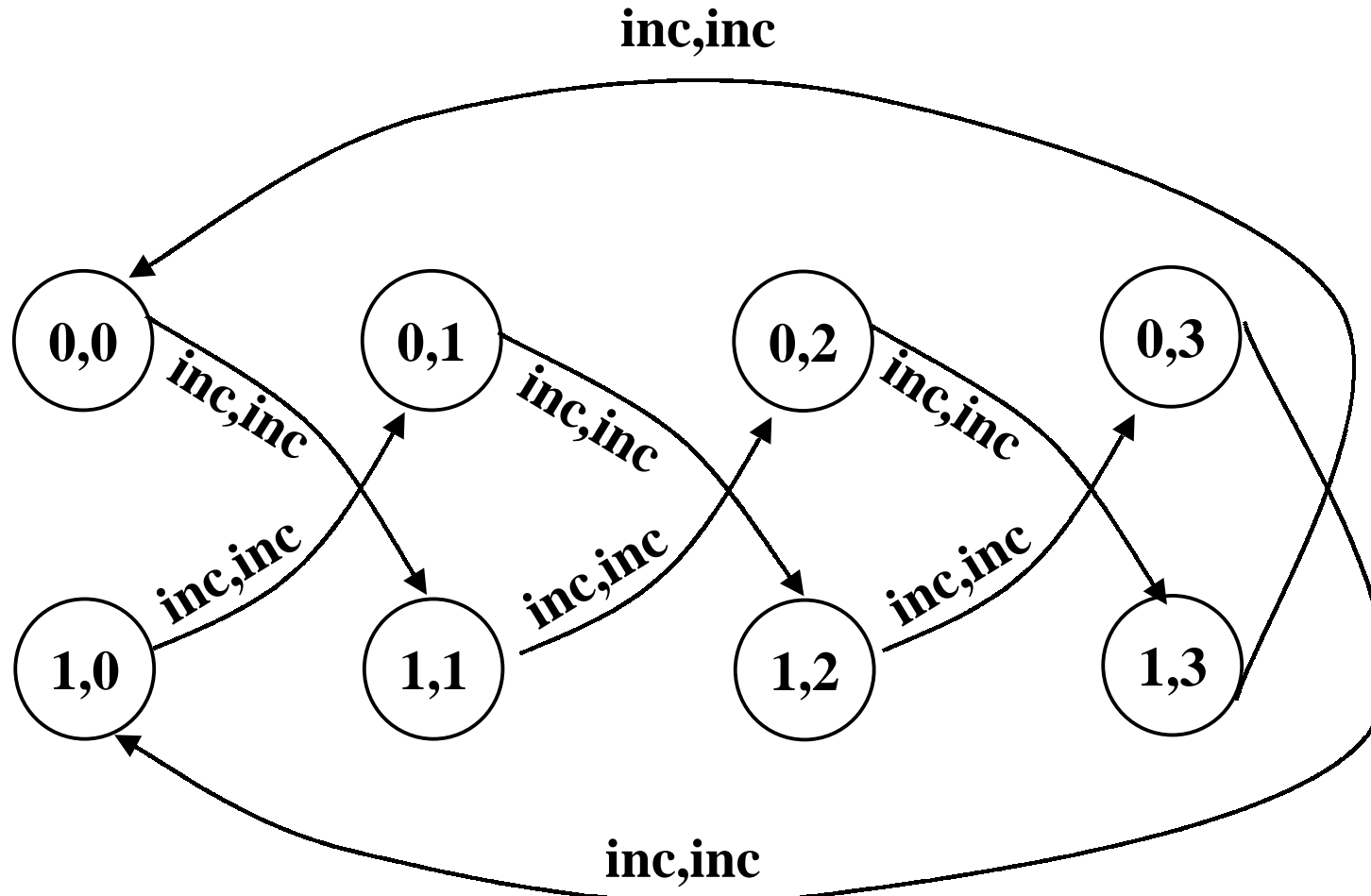
$$\text{Sync} = \{inc, -\} \times \{inc, -\}$$

- $R(V, V') = \bigwedge_{i \in I} (R_i(v_i, v_i') \vee \text{same}(v_i)) \wedge \neg \bigwedge \text{same}(v_i)$

if one wants to *discard*  
the situation where *no*  
*component acts*

*Synchronization on all actions (Synchronous systems):*

$$\text{Sync} = \{(inc, inc)\}$$



$$TS = TS_1 \times TS_2 \quad 90$$

# *Synchronous systems*

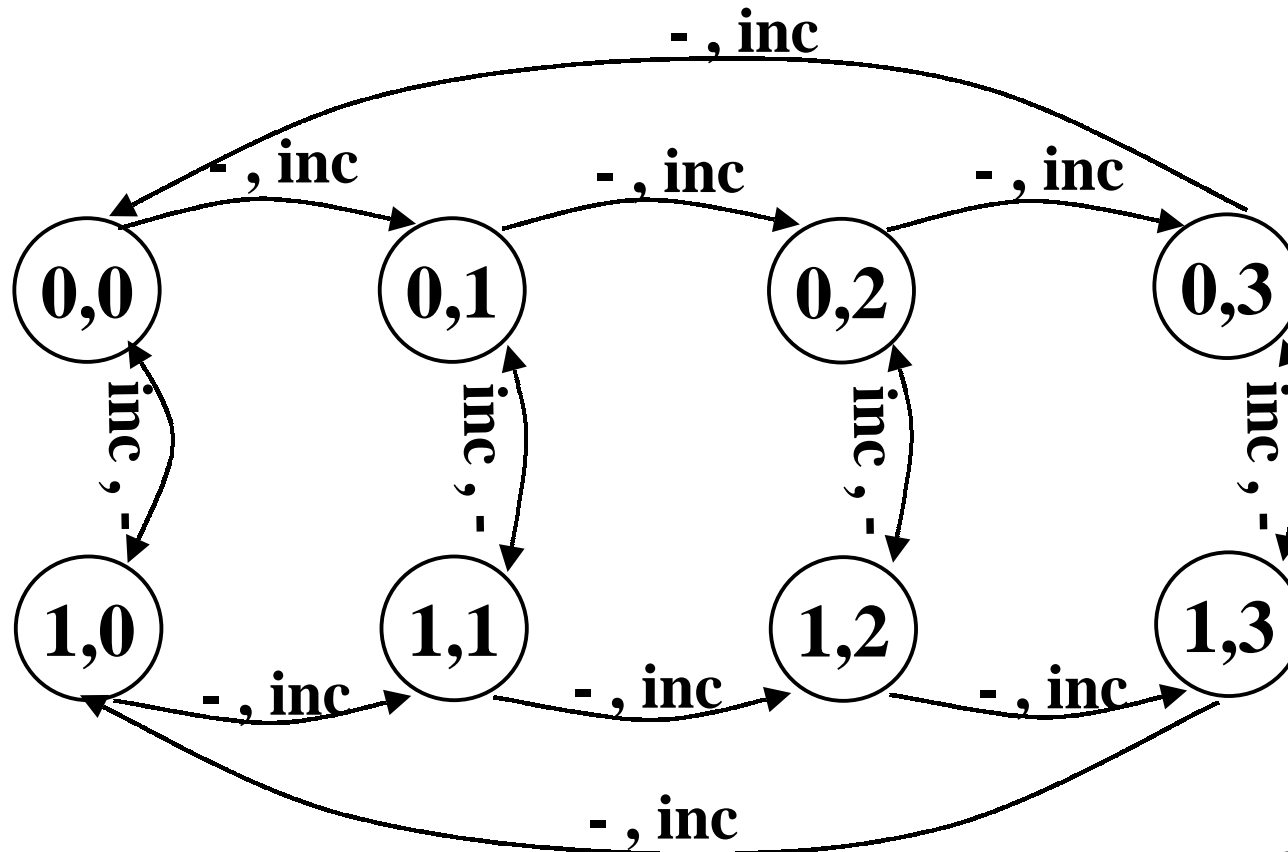
*Synchronous systems:*

$$\text{Sync} = \{(inc, inc)\}$$

- $R(V, V') = \bigwedge_{i \in I} R_i(v_i, v_i')$

*Asynchronous systems with interleaving (only one component acts at any time):*

$$\text{Sync} = \{(-, \text{inc}), (\text{inc}, -)\}$$



$$TS = TS_1 \times TS_2 \quad 92$$

# *Asynchronous systems: Interleaving*

*Asynchronous systems:*

$$\text{Sync} = \{\text{inc}, -\} \times \{\text{inc}, -\}$$

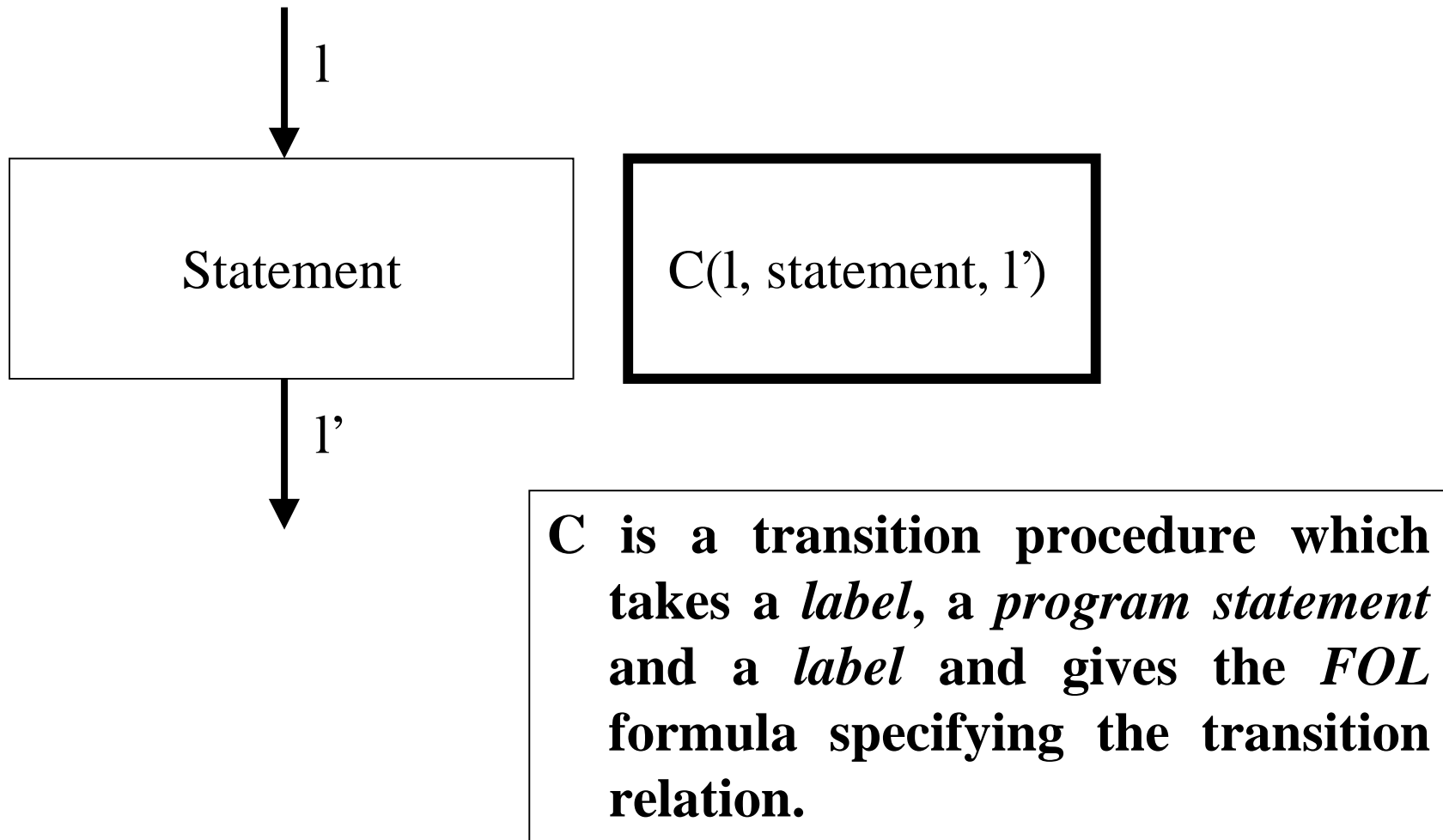
- $R(V, V') = \bigvee_{i \in I} (R_i(v_i, v_i')) \wedge \bigwedge_{i \neq j} \text{same}(v_j)$

# Concurrent programs

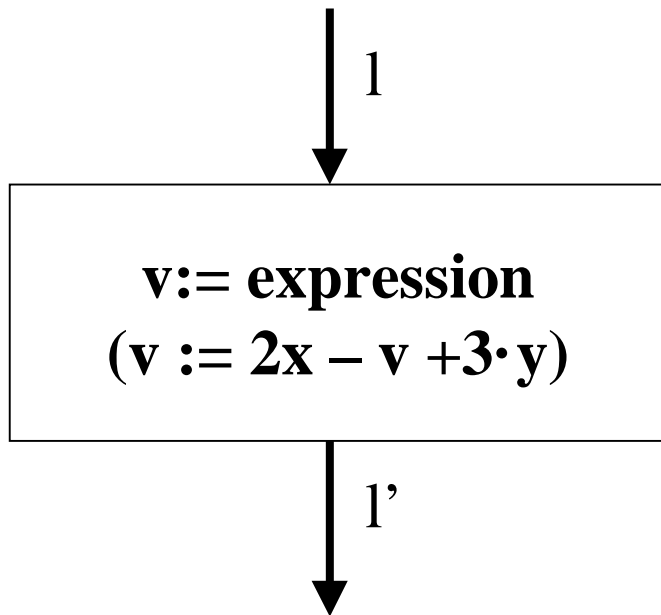
- Many systems to be verified can be viewed as concurrent programs
  - operating system routines
  - cache protocols
  - communication protocols
- **$P = \text{cobegin } (P_1 \parallel P_2 \parallel \dots \parallel P_n) \text{ coend}$**
- $P_1, P_2, \dots, P_n$  --- Sequential Programs.
- *Usually interleaving semantics is assumed*

# Sequential Programs

General Structure



# Assignments



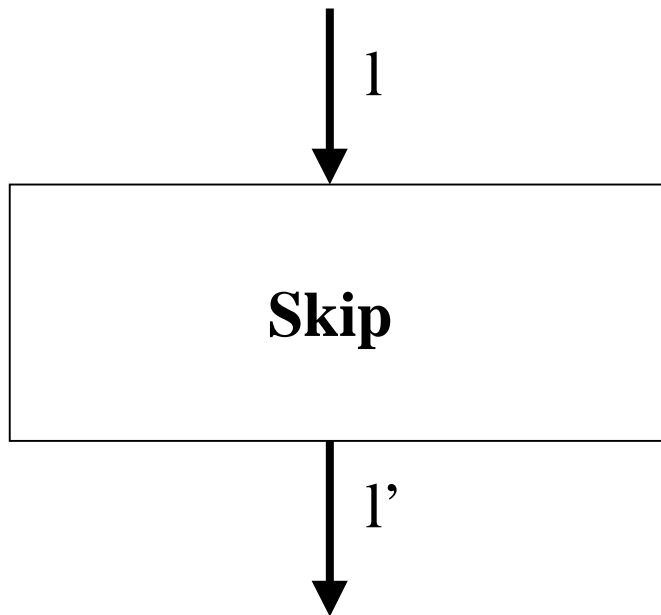
$C(l, \text{assignment}, l')$

$pc = l \wedge pc' = l' \wedge$   
 $v' = \text{expression} \wedge \text{same}(V - \{v\})$

$[Y = \{y_1, y_2, \dots, y_m\}$   
 $y_1' = y_1 \wedge y_2' = y_2 \wedge \dots \wedge y_m']$



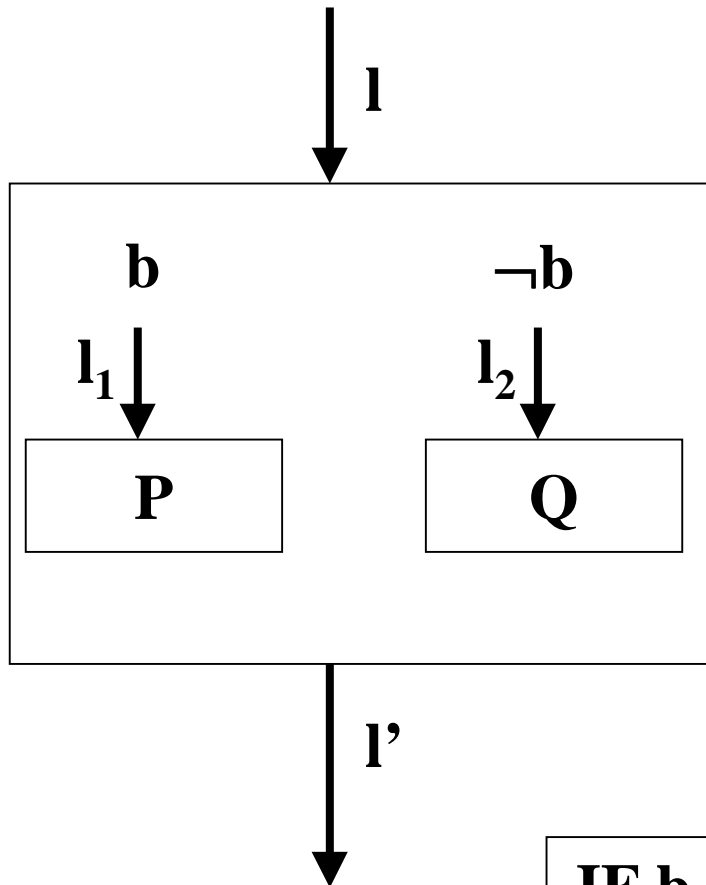
# Skip



**$C(l, \text{skip}, l')$**

**$pc = l \wedge pc' = l' \wedge \text{same}(V)$**

# Conditional statement

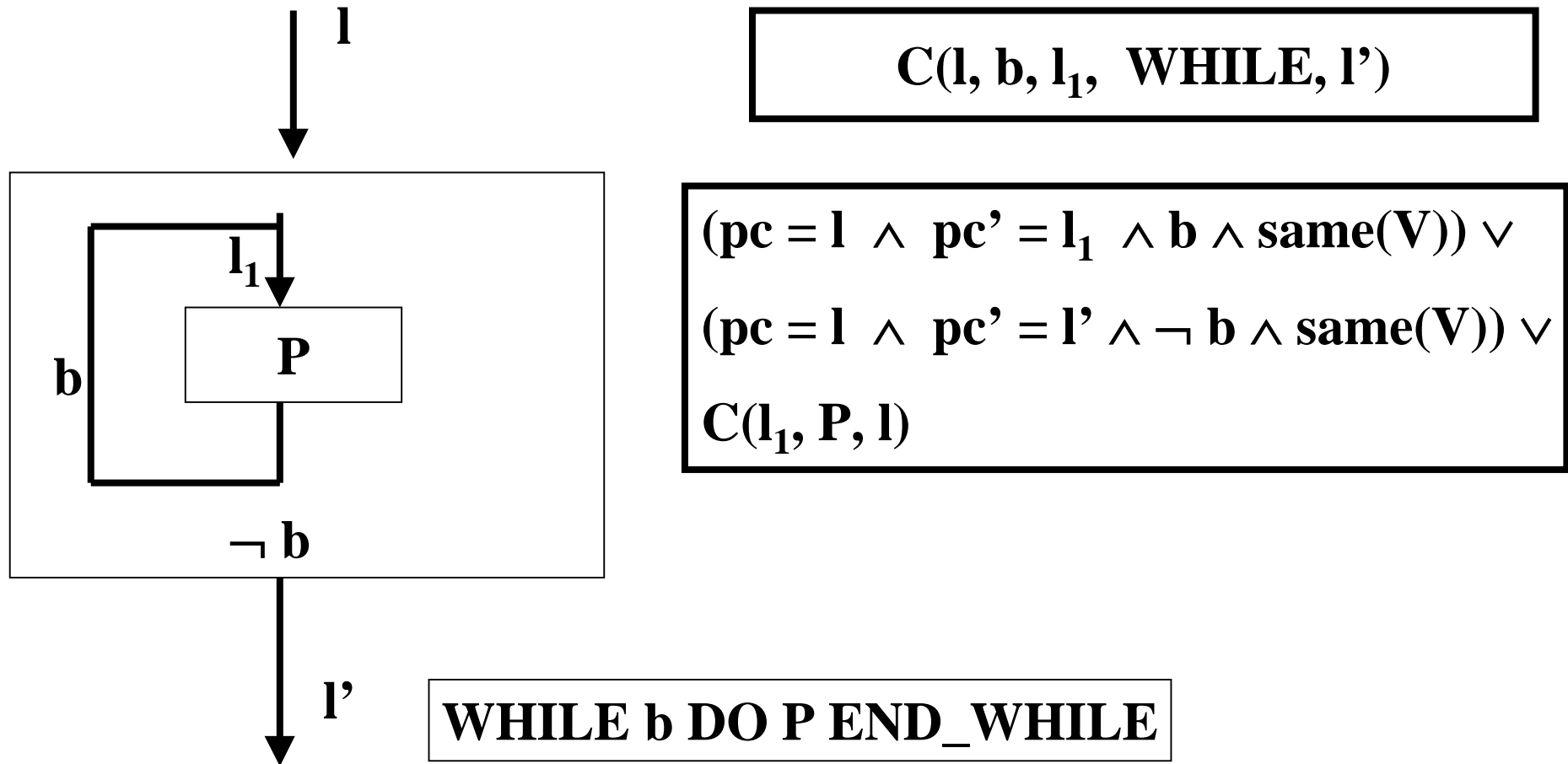


$C(l, b, \text{IF-THEN-ELSE}, l_1, l_2, l')$

$(pc = l \wedge pc' = l_1 \wedge b \wedge \text{same}(V)) \vee$   
 $(pc = l \wedge pc' = l_2 \wedge \neg b \wedge \text{same}(V)) \vee$   
 $C(l_1, P, l') \vee$   
 $C(l_2, Q, l')$

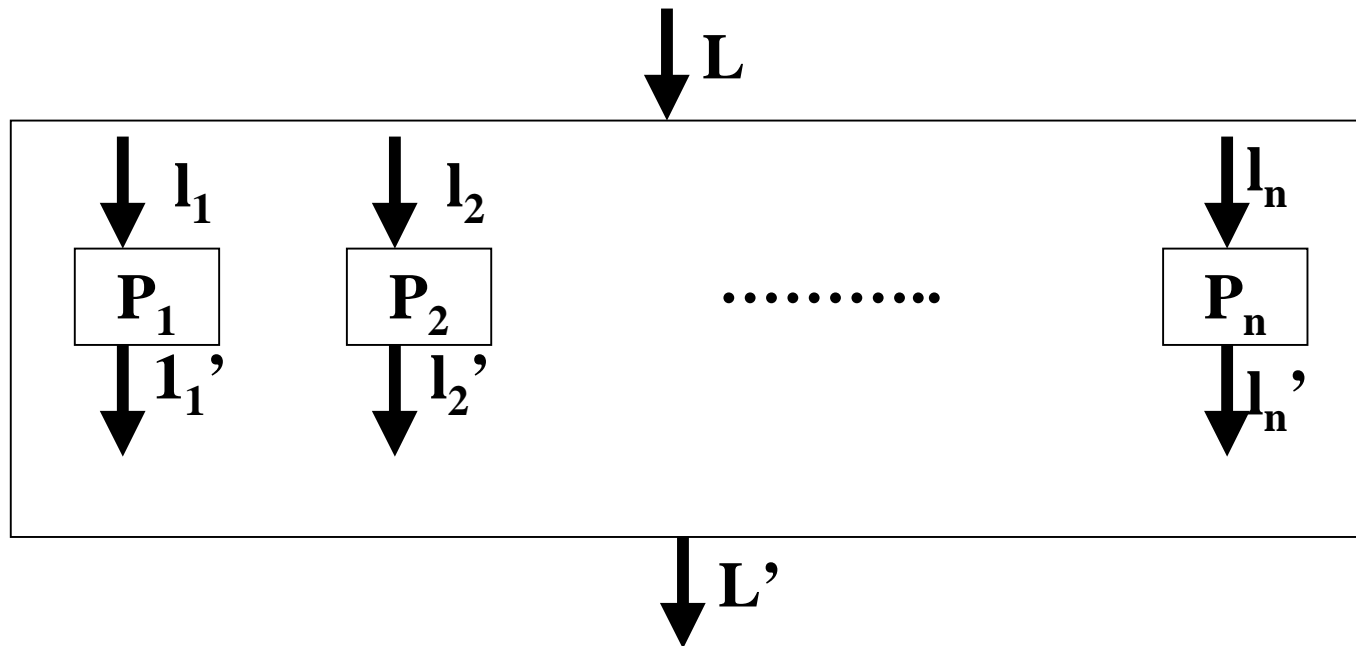
**IF b THEN P ELSE Q FI**

# While statement



# Concurrent programs

- $P = \text{cobegin } (P_1 \parallel P_2 \parallel \dots \parallel P_n) \text{ coend}$
- $P_1, P_2, \dots, P_n$  --- Sequential Programs.



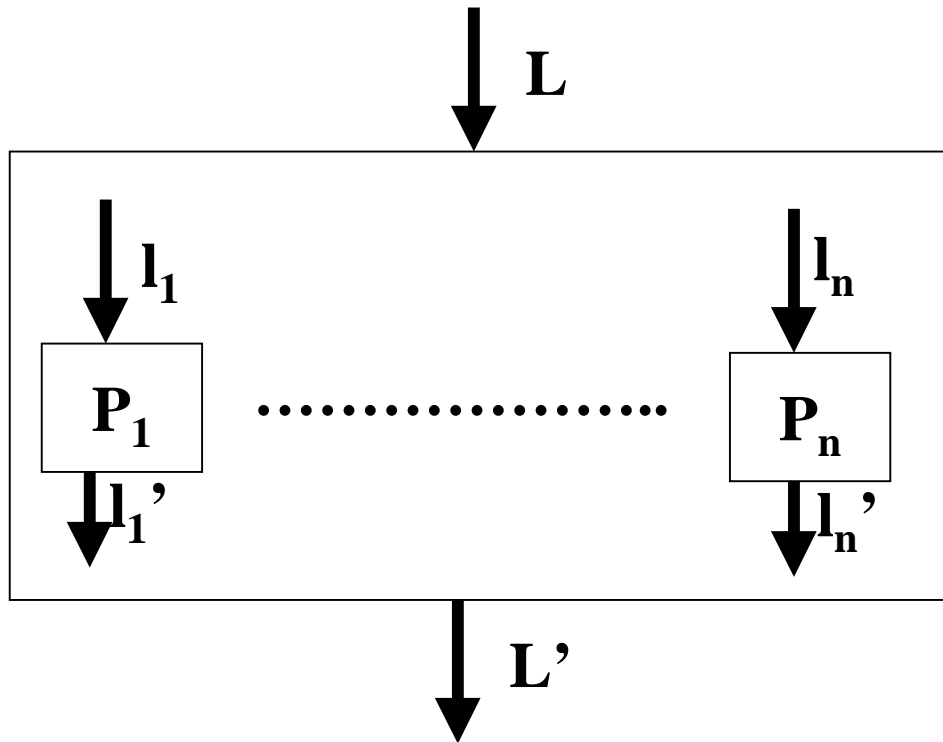
# Concurrent programs

- $P = \text{cobegin } (P_1 \parallel P_2 \parallel \dots \parallel P_n) \text{ coend}$
- $P_1, P_2, \dots, P_n$  --- *Sequential Programs*.
- $C(l_1, P_1, l_1')$  --- The transitions of  $P_1$  (defined inductively!).
- $V_i$  ----- The set of variables of  $P_i$ .
- Programs may *share* variables !
- $pc_i$  – The program counter of  $P_i$ .

# Concurrent programs

- **pc** ---- the program counter of the concurrent program; it could be part of a larger program!
- $\perp$  denotes the program counter value is *undefined* .
- $S_0(V, PC) = \mathbf{pre}(V) \wedge \mathbf{pc} = \mathbf{L} \wedge$   
 $\mathbf{pc}_1 = \perp \wedge \dots \wedge \mathbf{pc}_n = \perp$

# The Transition Predicate



$$\begin{aligned}
 & (\text{pc} = L \wedge \text{pc}_1' = l_1 \wedge \dots \\
 & \quad \wedge \text{pc}_n' = l_n \wedge \text{pc}' = \perp) \vee \\
 & (\text{pc} = \perp \wedge \text{pc}_1 = l_1' \wedge \dots \\
 & \quad \text{pc}_n = l_n' \wedge \text{pc}' = L' \wedge \\
 & \quad \text{pc}_1' = \perp \wedge \dots \text{pc}_n' = \perp) \vee \\
 & (C(l_1, P_1, l_1') \wedge \text{Same}(V - V_1) \\
 & \quad \wedge \text{Same}(\text{PC} - \{\text{pc}_1\})) \vee \dots \\
 & C(l_n, P_n, l_n') \wedge \text{Same}(V - V_n) \\
 & \quad \wedge \text{Same}(\text{PC} - \{\text{pc}_n\}))
 \end{aligned}$$

# Summary

- System variables
- Domain of values
- States
- Initial state predicate
- Transition predicate
- pc values (for programs)