

Tecniche di Specifica e di Verifica

Modeling with Transition Systems

An example

The Dining Philosophers

- Possible problems:
 - *Deadlock*: system state where no action can be taken (no meaningful transition possible)
 - *Starvation*: When a system component is prevented access to resources.
 - *Livelock*: When the system components are not blocked but the system as a whole does not progress (e.g., some components are prevented to take specific actions).

Fairness

The Dining Philosophers

- A possible solution to deadlock:
 - *pick up right fork only if both are present*

Useful assumptions on the system:

- *weak fairness*: any phil. trans. **continuously** enabled will **eventually** fire (e.g. eating philosophers will finish)
- *strong fairness*: any phil. trans. enabled **infinitely often** will **eventually** occur (e.g. if 2 fork available infinitely often, phil. will eventually eat).

Starvation

The Dining Philosophers

- Possible solution:
 - *pick up fork only if both are present*

Assumptions:

- *strong fairness*: any phil. trans. enabled infinitely often, will eventually occur (if 2 fork available infinitely often, philosopher will eventually eat).

strong fairness is not enough to prevent *starvation*

Why? Think of the case with 4 philosophers!

Sol.(?): Try *preventing consecutive eating*.

Still suffers from *starvation* with 5 phils! **Why?**

Outline

- The model – Transition systems
- Some features
 - Paths
 - Computations
 - Branching
- First order representation

Transition systems

- A **transition system** (*Kripke structure*) is a structure

$$\mathbf{TS} = (\mathbf{S}, \mathbf{S}_0, \mathbf{R})$$

where:

- \mathbf{S} is a **finite** set of **states**.
- $\mathbf{S}_0 \subseteq \mathbf{S}$ is the set of **initial states**.
- $\mathbf{R} \subseteq \mathbf{S} \times \mathbf{S}$ is a **transition relation**
 - \mathbf{R} must be **total**, that is
 - $\forall s \in \mathbf{S} \exists s' \in \mathbf{S} . (s, s') \in \mathbf{R}$ or, equivalently,
 - for every state s in \mathbf{S} , there exists s' in \mathbf{S} such that (s, s') is in \mathbf{R} .

Notions and Notations

- $TS = (S, S_0, R)$
- $(s, s') \in R \quad R(s, s') \quad s \rightarrow s'$
- A (**finite**) *path* from **s** is a sequence

$$s_1, s_2, \dots, s_n$$

such that

$$- s = s_1$$

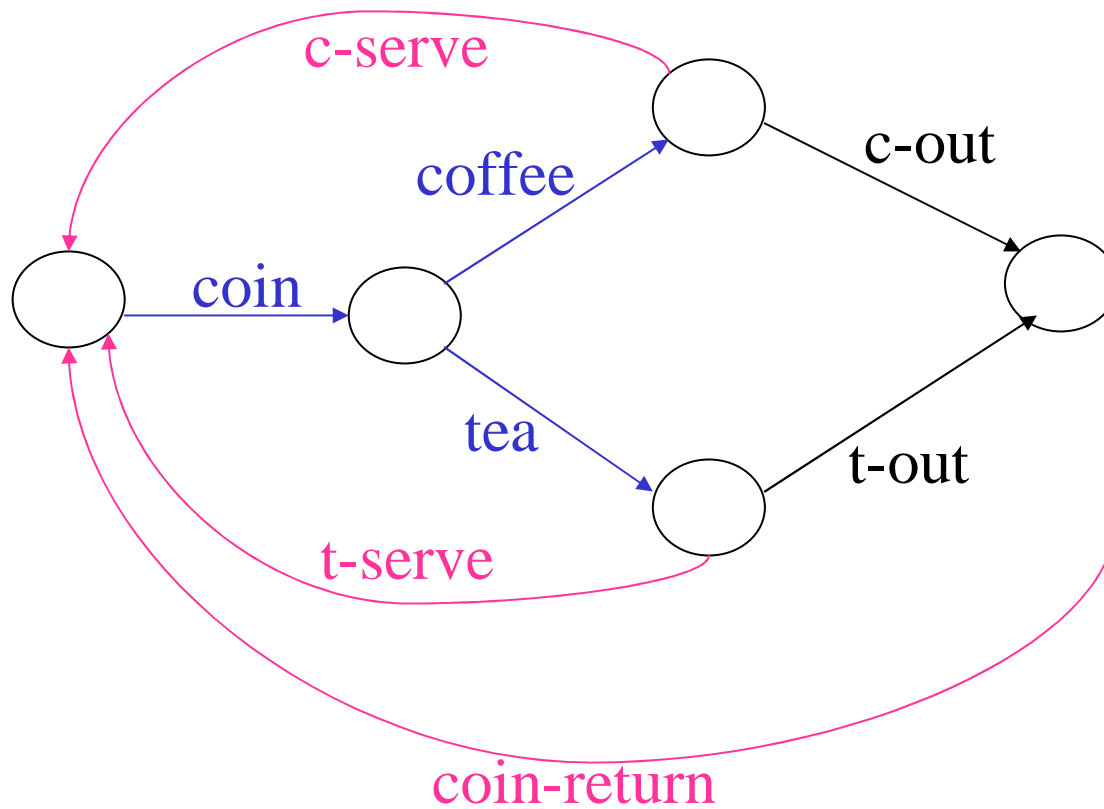
$$- s_i \rightarrow s_{i+1} \quad \text{for } 0 < i < n.$$

- It is from **s** to **s'** if $s_n = s'$.
- An **infinite** path from **s** is an *infinite sequence*

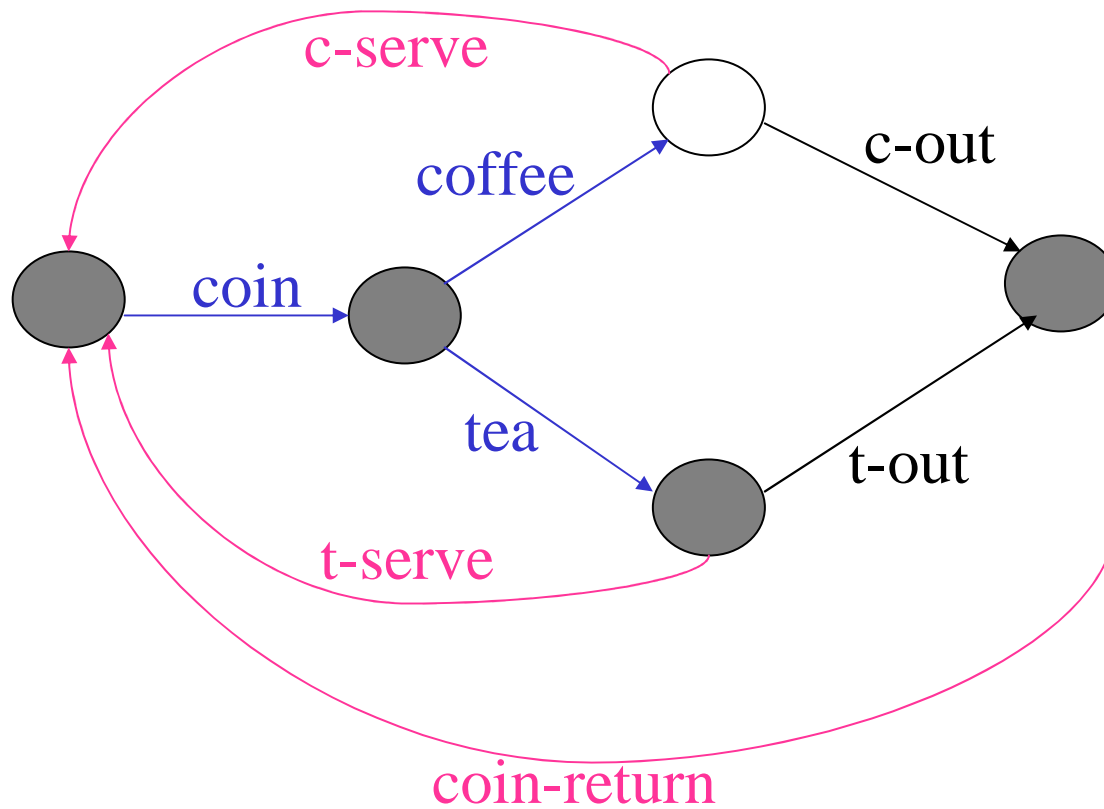
Labeled transition systems

- Sometimes we may use a *finite* set of actions:
 - $\mathbf{Act} = \{\mathbf{a}, \mathbf{b}, \dots\}$
- The actions will be used to label the transitions.
- **TS = (S, S₀, Act, R)**
 - $\mathbf{R} \subseteq \mathbf{S} \times \mathbf{Act} \times \mathbf{S}$, labeled transitions.
 - $(\mathbf{s}, \mathbf{a}, \mathbf{s}') \in \mathbf{R} \quad - \quad \mathbf{R}(\mathbf{s}, \mathbf{a}, \mathbf{s}') \quad - \quad \mathbf{s} \xrightarrow{\mathbf{a}} \mathbf{s}'$

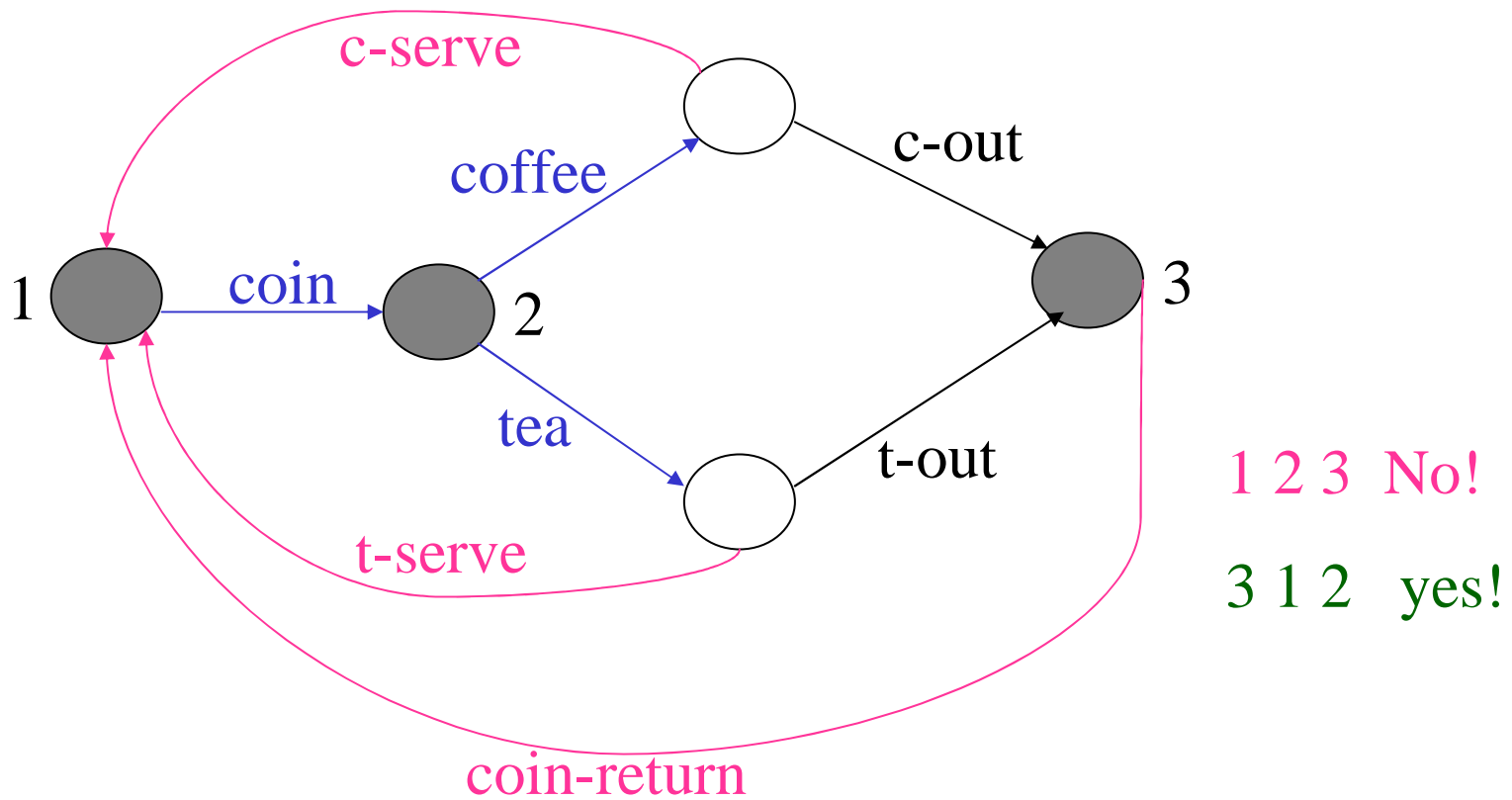
A vending machine



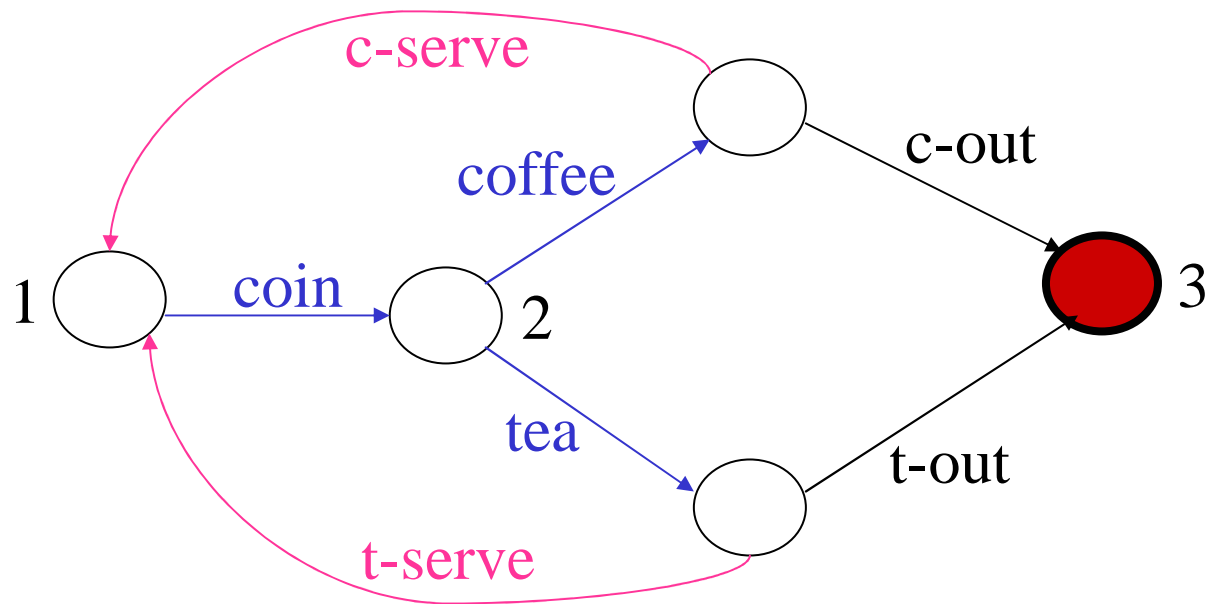
A path



A non-path



A non-total transition relation



State space

- The *state space* of a system (e.g. program) is the set of *all its possible states*.
- For example, if $V=\{a, b, c\}$ and the variables range over the naturals, then the *state space* includes:

$\langle a=0, b=0, c=0 \rangle$, $\langle a=1, b=0, c=0 \rangle$,

$\langle a=1, b=1, c=0 \rangle$, $\langle a=932, b=5609, c=6658 \rangle$

...

Atomic transition

- Each *atomic transition* represents a small piece of code (or *execution step*), such that *no smaller* piece of code (or *step*) is observable.
- Is $a:=a+1$ atomic?
- In some systems it is, e.g., when a is a register and the transition is executed using an *inc* command.

(Non)Atomicity (race conditions)

- Execute the following when **a=0** in two concurrent processes:

P1:a=a+1

P2:a=a+1

- Result: **a=2**.
- Is this always the case?

- Consider the actual translation:

**P1:load R1,a
inc R1
store R1,a**

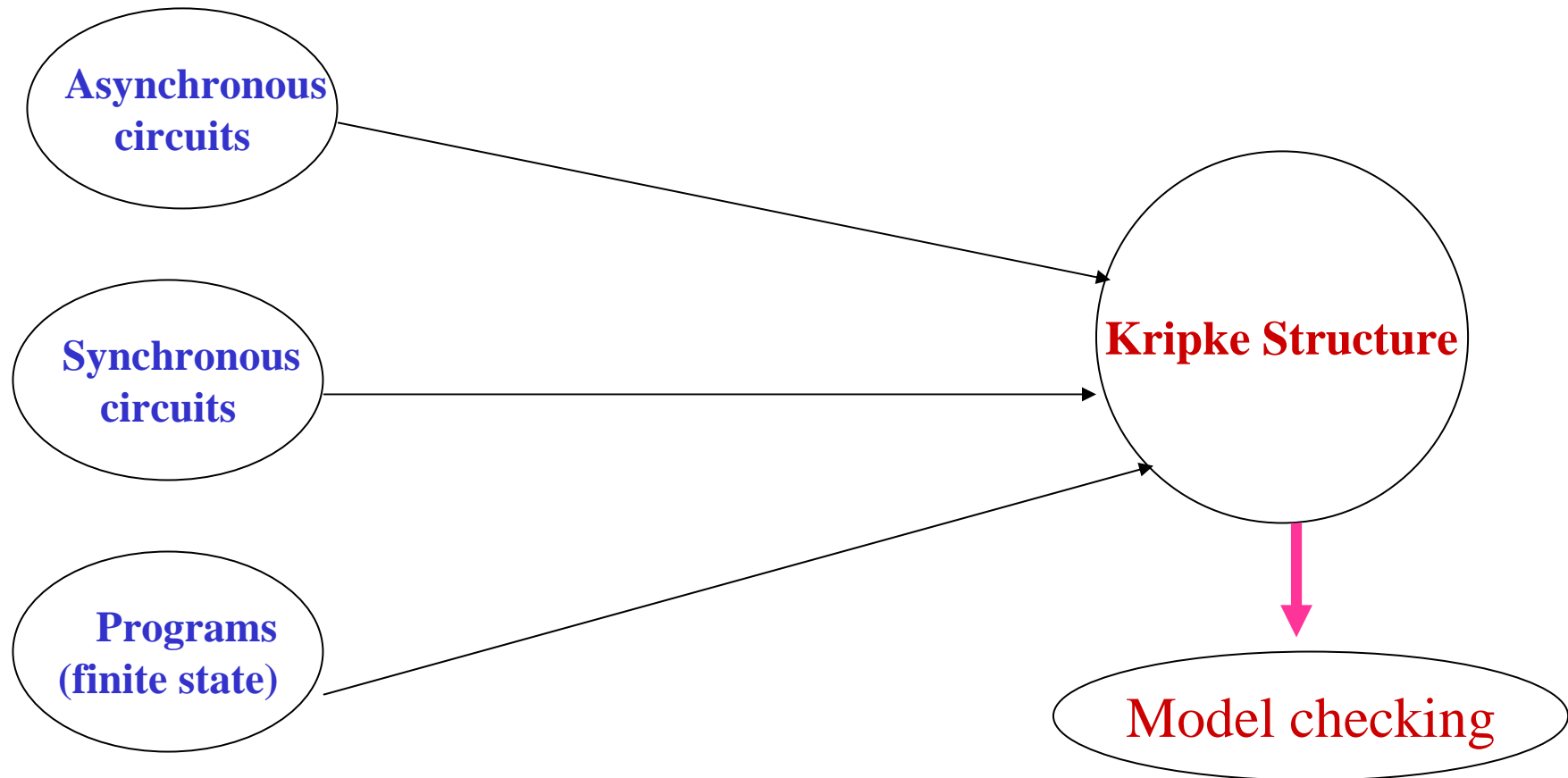
**P2:load R2,a
inc R2
store R2,a**

- **a may also be 1**

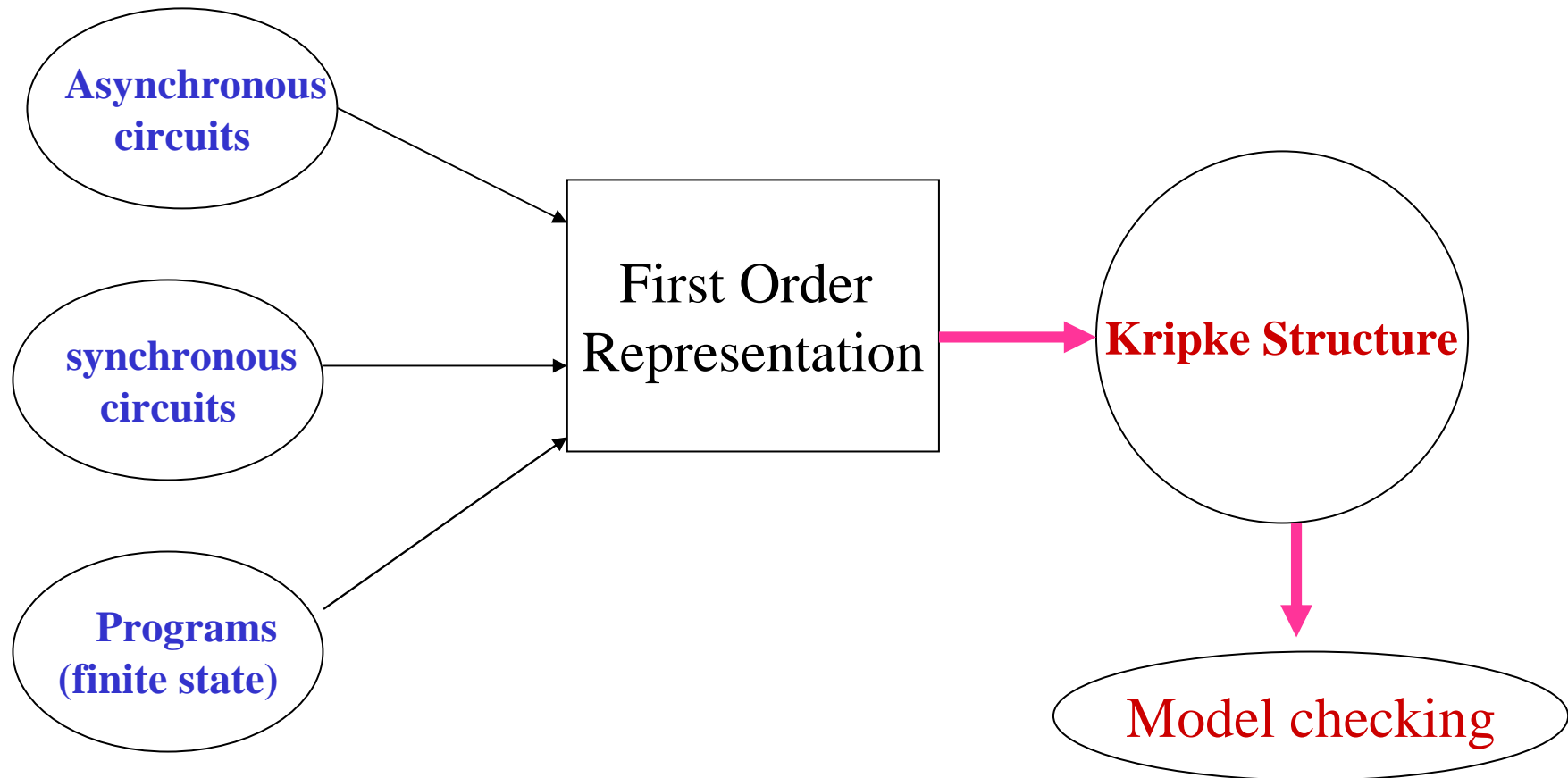
The common framework

- Many systems need to be modeled.
 - Digital circuits
 - **Synchronous**
 - **Asynchronous**
 - Programs
- Strategy : Capture the main features using a logical framework (nothing to do with temporal logics!) : *First order representation*

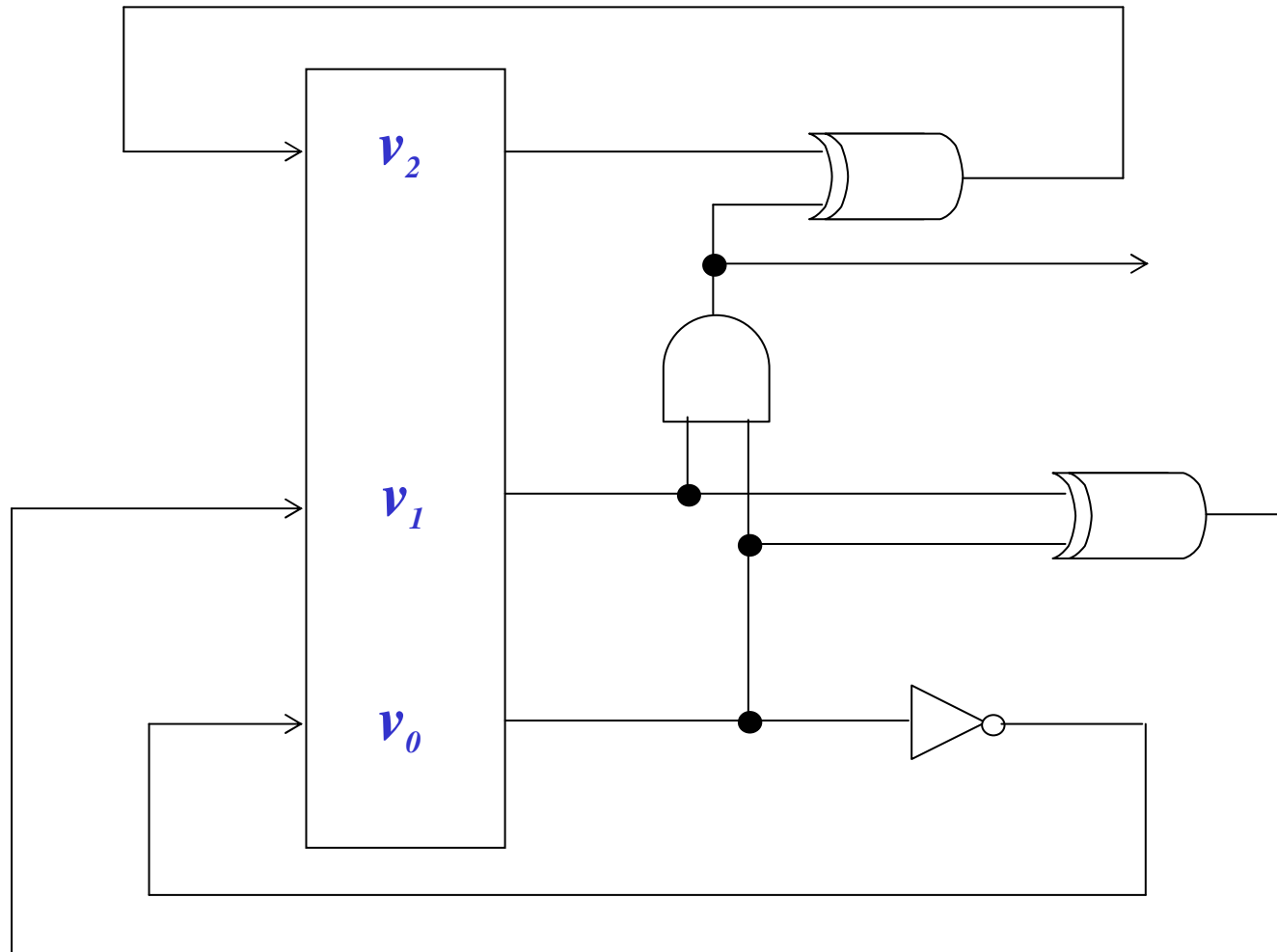
The inefficient way



The efficient way



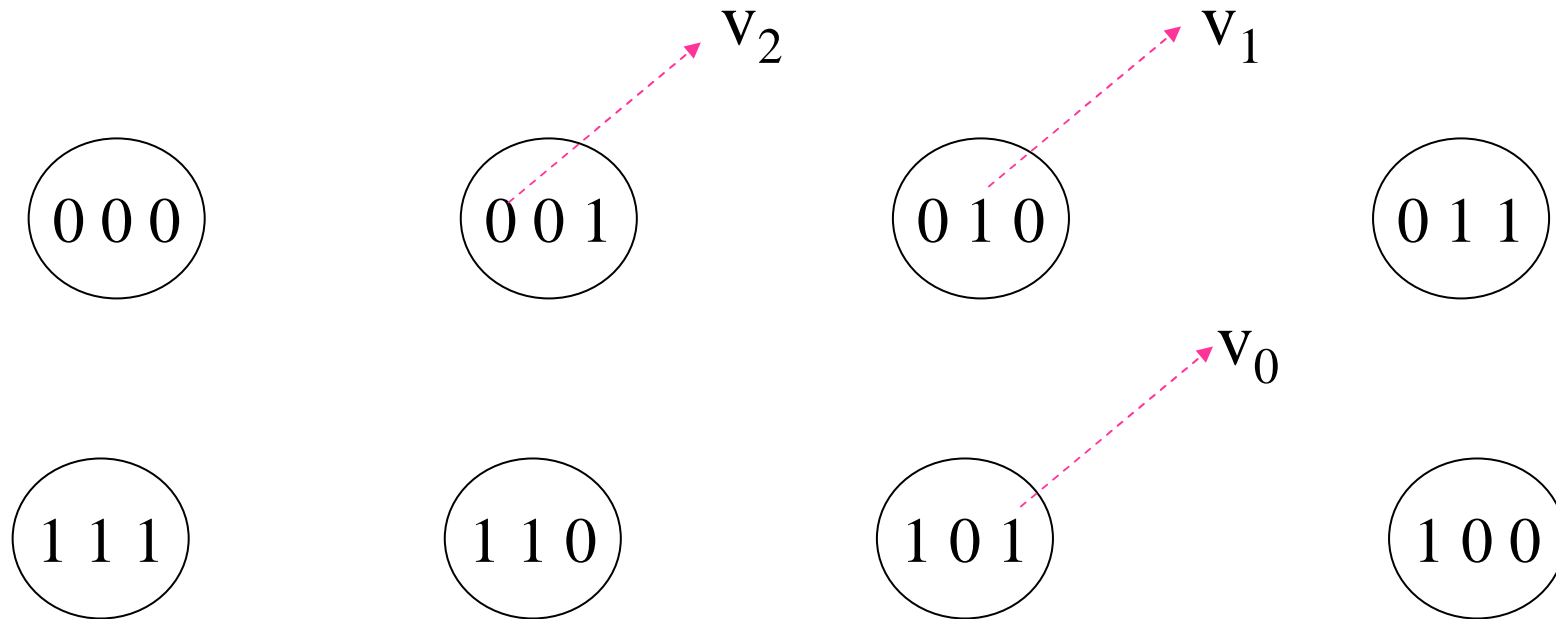
Synchronous counter modulo 8



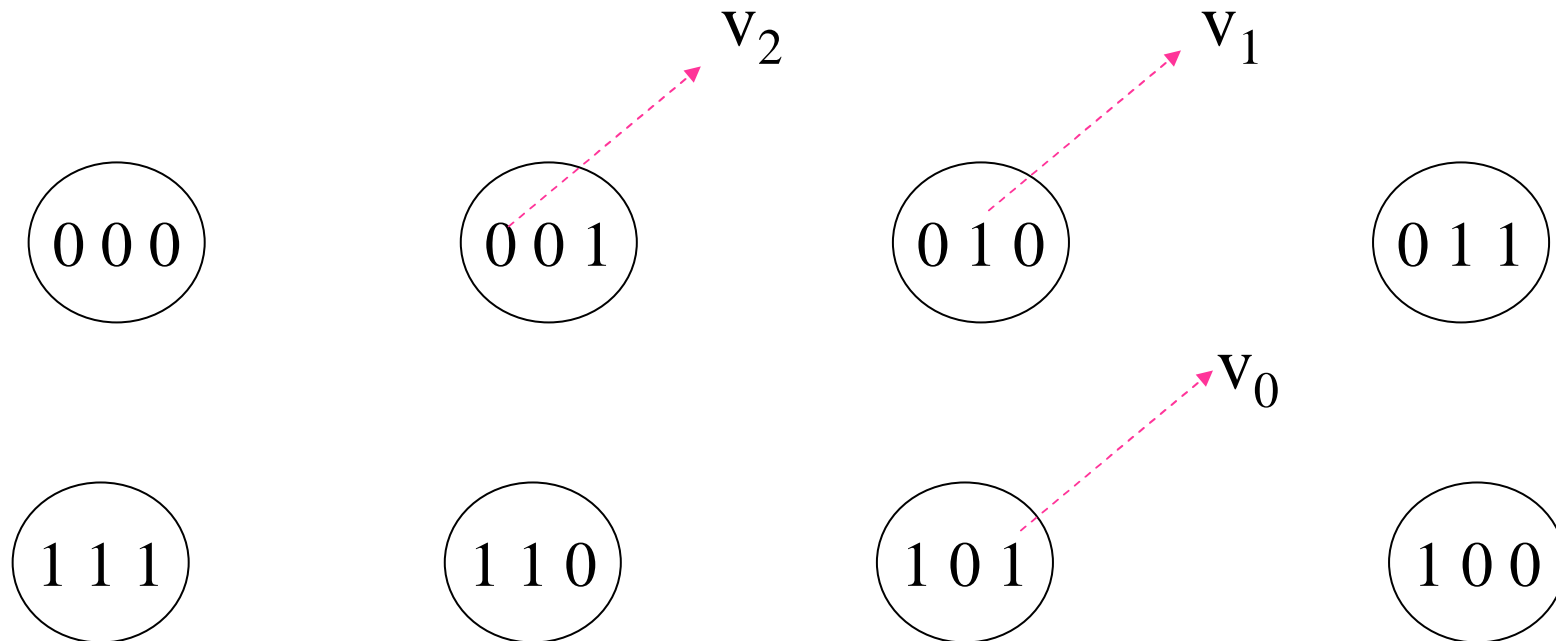
The mod-8 counter

- **System variables** : $V = \{v_2 v_1 v_0\}$
- **Domain** of v_2 is $\{0, 1\}$
Same domain for v_1 and v_0 as well.
- **Special case** : These variables are **boolean**
- Each **state** s can also be seen as a **function** assigning to each variable a **value** in its domain.
 - $s : V \rightarrow B$
 - $s(v_0) = 0 \quad s(v_1) = 1 \quad s(v_2) = 1$
 - This specifies the state $s = (1 \ 1 \ 0) !$

A mod-8 counter: states



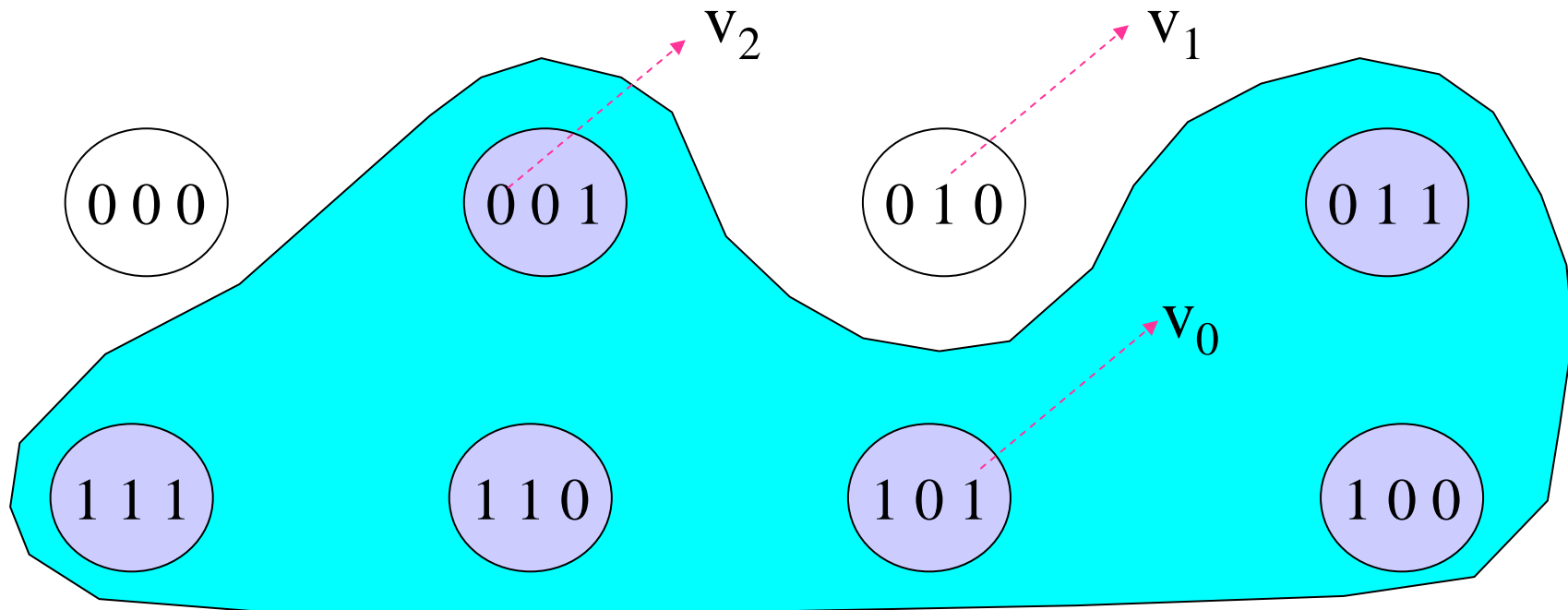
State Predicates



A set of states can be picked out by a propositional formula:

$\mathbf{X} = v_2 \vee v_0$ is the set $\{ \dots \}$

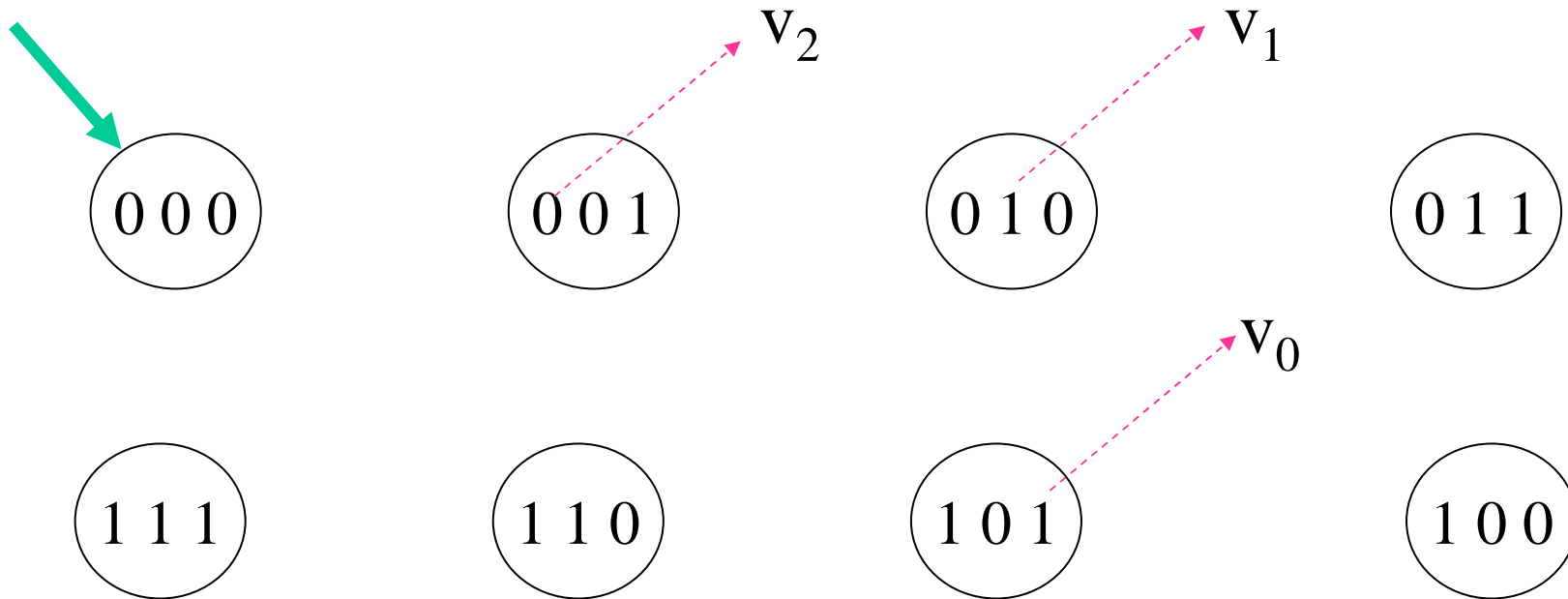
State Predicates



A set of states can be picked out by a propositional formula:

$\mathbf{X} = \mathbf{v}_2 \vee \mathbf{v}_0$ is the set $\{100, 101, 110, 111, 001, 011\}$

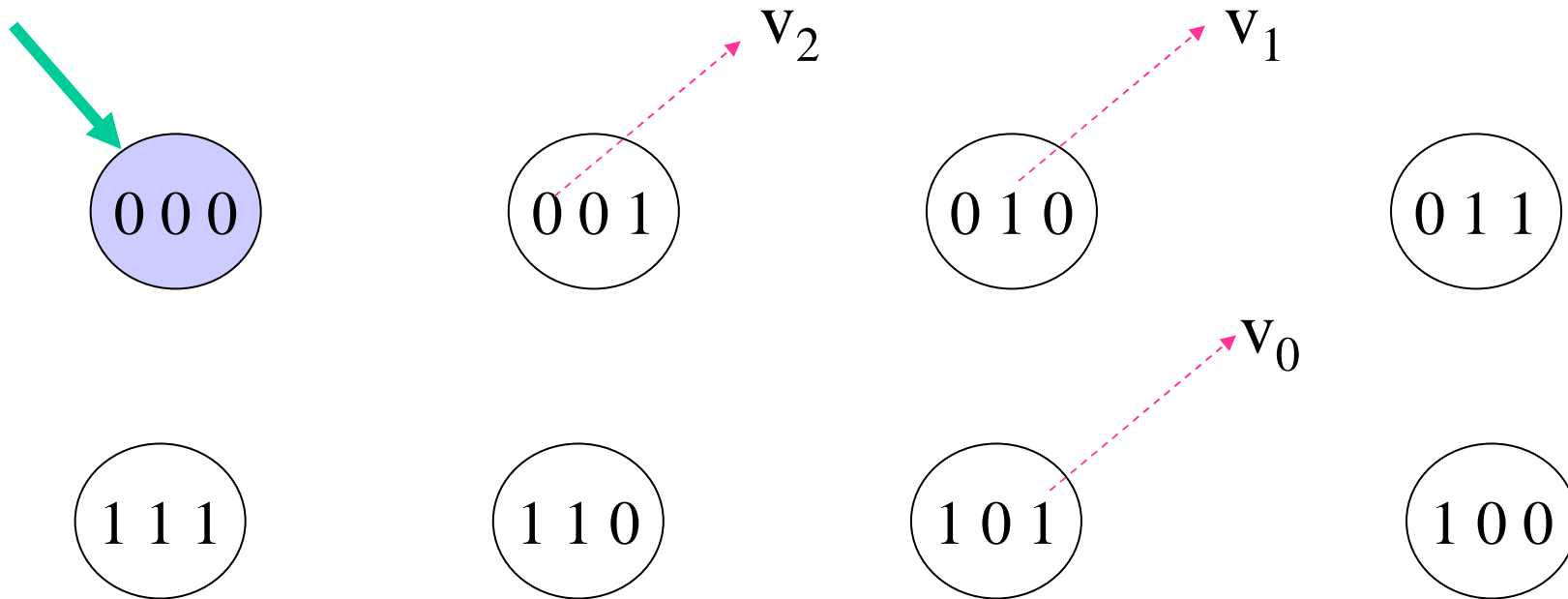
Initial States Predicate



A set of states can be picked out by a formula;

$$S_0 = \neg v_2 \wedge \neg v_1 \wedge \neg v_0$$

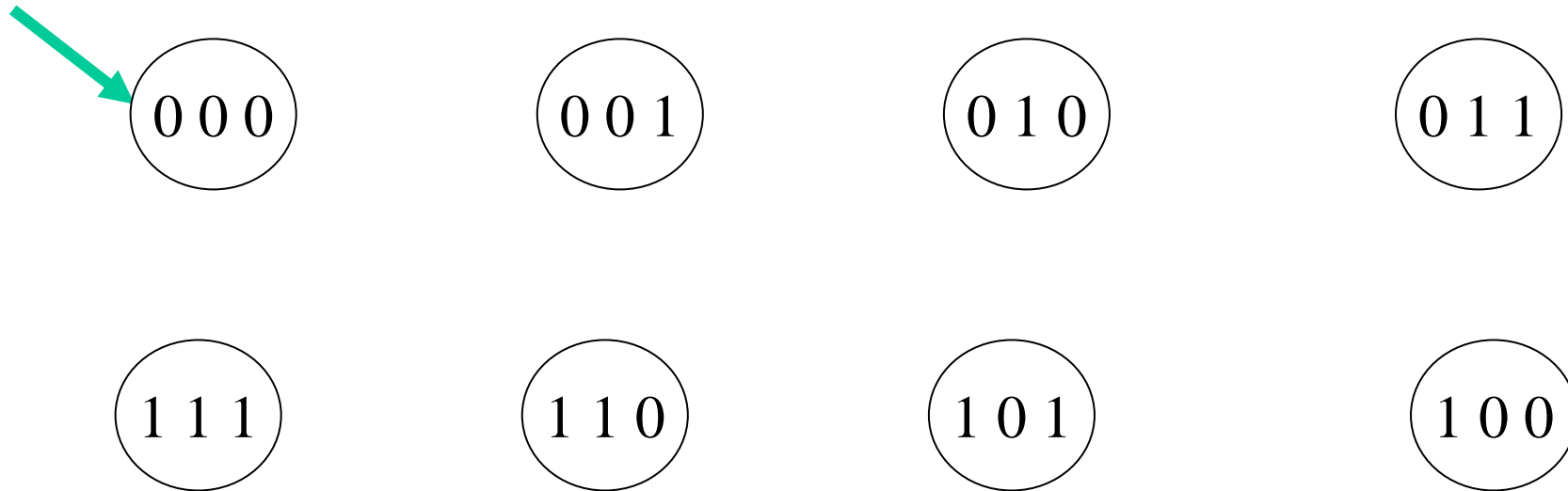
Initial States Predicate



A set of states can be picked out by a formula;

$$\mathbf{S}_0 = \neg v_2 \wedge \neg v_1 \wedge \neg v_0 \quad \text{therefore} \quad \mathbf{X}_1 = \{ \mathbf{S}_0 \} = \{ 000 \}$$

Transition relation predicate

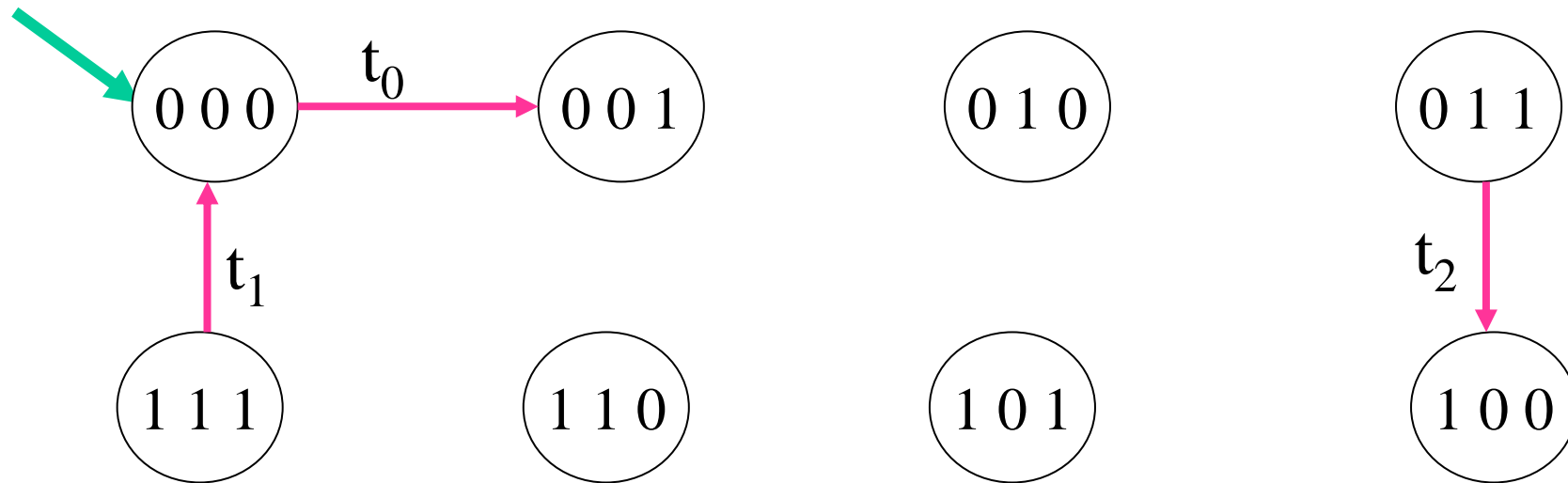


A set of *transitions* can also be picked out by a formula.

$$\mathbf{R}_2 = \mathbf{v}_2' \Leftrightarrow (\mathbf{v}_0 \wedge \mathbf{v}_1) \oplus \mathbf{v}_2$$

\mathbf{v}_2 – current value \mathbf{v}_2' – next value

Transition relation predicate

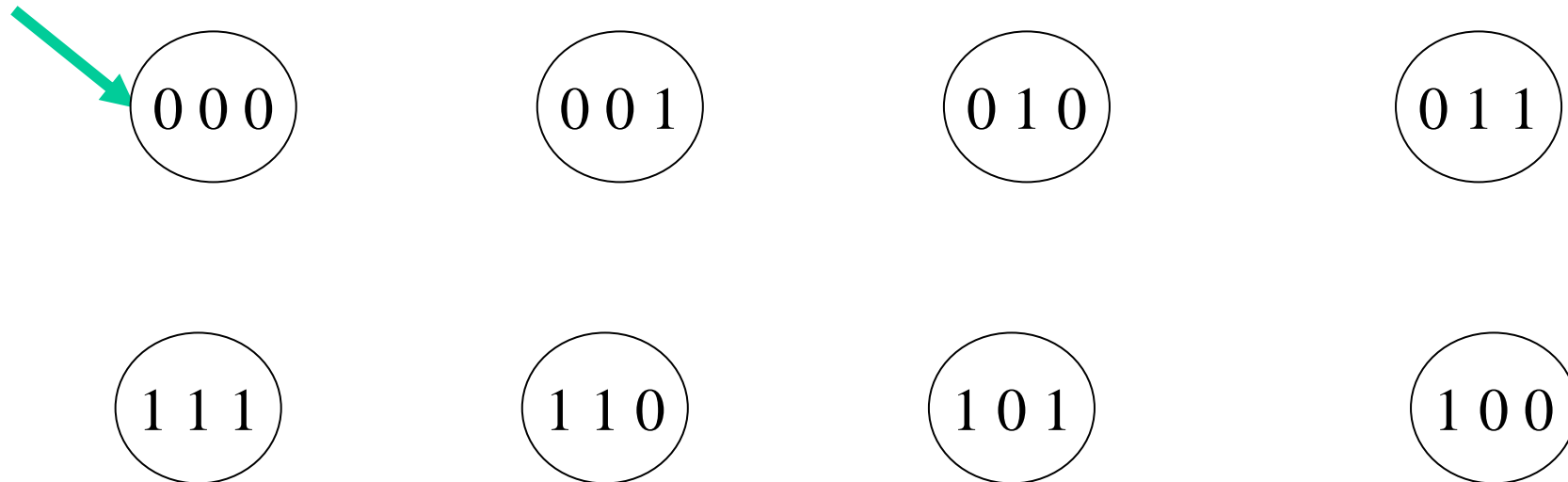


A set of transitions can also be picked out by a formula.

$$\mathbf{R}_2 = \mathbf{v}_2' \Leftrightarrow (\mathbf{v}_0 \wedge \mathbf{v}_1) \oplus \mathbf{v}_2 \quad \mathbf{v}_2 - \text{current value} \quad \mathbf{v}_2' - \text{next value}$$

$$\{t_0, t_1, t_2\} \subseteq \mathbf{R}_2$$

Transition relation predicate

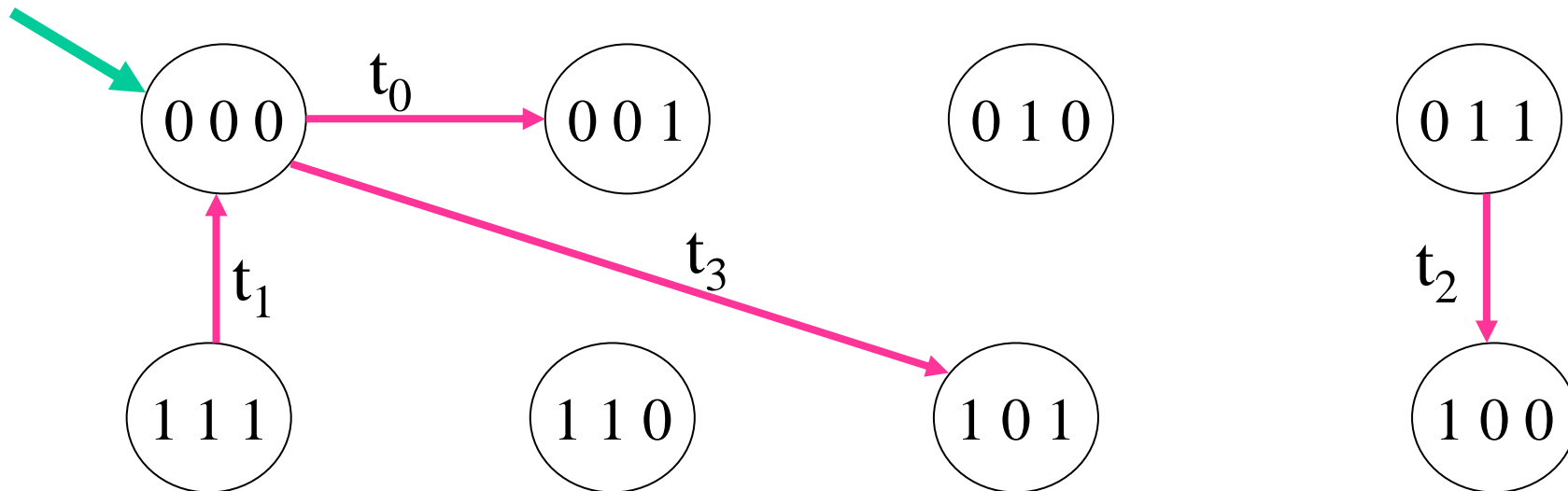


$$\mathbf{R} = \mathbf{Formula}(v_2, v_1, v_0, v_2', v_1', v_0')$$

Not all formulae will define subsets of transitions.

You must pick the right formula .

Transition relation predicate



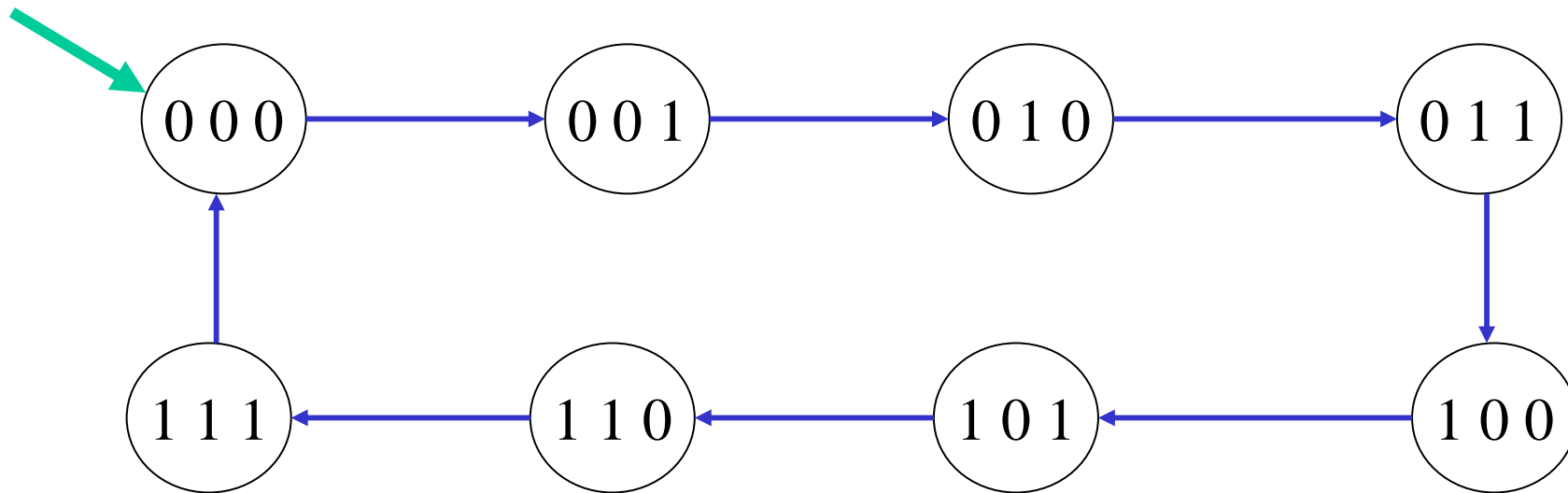
$\mathbf{R}_0 = \mathbf{v}_0' \neq \mathbf{v}_0$ \mathbf{v}_0 – current value \mathbf{v}_0' – next value

$\mathbf{R}_0 = \{(000) \longrightarrow (101), \dots\dots\dots\}$

But this is not a transition!

$\{t_0, t_1, t_2, t_3\} \subseteq \mathbf{R}_0$ but $t_3 \notin \mathbf{R}_2$

Transition relation predicate



$$\mathbf{R}_0 = \mathbf{v}_0' \neq \mathbf{v}_0 \quad \mathbf{v}_i - \text{current value} \quad \mathbf{v}_i' - \text{next value}$$

$$\mathbf{R}_1 = \mathbf{v}_1' = (\mathbf{v}_0 \oplus \mathbf{v}_1)$$

$$\mathbf{R}_2 = \mathbf{v}_2' = (\mathbf{v}_0 \wedge \mathbf{v}_1) \oplus \mathbf{v}_2$$

$$\mathbf{R} = \mathbf{R}_0 \wedge \mathbf{R}_1 \wedge \mathbf{R}_2$$

Summary of Predicates

- System variables $v_0, v_1, v_2, \dots, v_n$.
- Each v_i has a domain of values
 - Boolean , $\{a,b,c,\dots\}$, $\{5,8,0,7\}\dots$
 - We require that each domain be *finite*.
- A state is a function s which assigns to each system variable a value in its domain.
- The set of states is *finite*.

Summary

- Predicates can be used to pick out –succinctly– sets of states (useful for identifying initial states).
- **X** = **Formula**(**v**₀, **v**₁, **v**₂, ..., **v**_n)
- But this works well only when **all** domains are **boolean**.
- In general, we can use *first order formulae*.

Summary

- A set of transitions can also be picked out using predicates.
- **T** = **Formula**($\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_n, \mathbf{v}_0', \mathbf{v}_1', \dots, \mathbf{v}_n'$)
- **T** is the set of all transitions
 $(\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_n) \longrightarrow (\mathbf{v}_0', \mathbf{v}_1', \dots, \mathbf{v}_n')$
such that **Formula** (above!) is satisfied.
- Not all (state or **transition**) formulas will be legitimate.

Why use formulae?

- *Formulae* allow us to compactly describe a system and its dynamics
- It's easy to go from a “*logical*” description to *Kripke structures*.
- Once we have a *Kripke structure*, we are in business.
- We can use
 - *Temporal Logics* to specify properties
 - *Model checking* to verify these properties.

First Order Logic

- The general structure :
 - **Syntax**
 - Formulae
 - **Semantics**
 - When is a formula true?
 - Models
 - Interpretations
 - Valuations

Syntax

- **Terms**
 - Variables
 - Functions symbols, constant symbols
- **Atomic formulas**
 - Relation symbols, equality, terms
- **Formulas**
 - Atomic formulas
 - Propositional connectives
 - *Existential and universal quantifiers*

Syntax

- (individual) variables --- $\mathbf{x}, \mathbf{y}, \mathbf{v}_3, \mathbf{v}', \dots$
 - System variables in our context
- Function symbols : $\mathbf{f}^{(n)}$
 - \mathbf{n} is the arity of \mathbf{f} .
 - Add⁽²⁾
 - Next⁽¹⁾
- Function symbols will capture the functions used in the programs, circuits, ...

Constant symbols

- Apart from variables, it will also be convenient to have constant symbols.
 - *zero* , *five* ,
- Variables can be assigned different values but a constant symbol is assigned a **fixed value**.

Terms

- **Terms** are used to point at values.
- Any variable v is a term.
 - x, v, v''
- Any constant symbol c is a term.
- Suppose f is a function symbol of arity n and t_1, t_2, \dots, t_n are terms, then $f(t_1, t_2, \dots, t_n)$ is a also term.

Terms

- Let **Plus** be a function symbol of arity 2.
- $v_1, v_2, \text{Plus}(v_2, \text{Plus}(v_1, v_1))$ are terms.
 - the semantics of the last term is intuitively $v_2 + 2v_1$
- Let **weird_op** be a function symbol of arity 3
- Then $\text{Plus}(\text{weird_op}(v, \text{Plus}(v_1, v_2), \textit{five}), \text{Plus}(v, v''))$ is a term.

Predicates

- Relation (predicate) symbols :
 - P which also has an arity
 - *Greater-Than* has arity 2
 - *Prime* has arity 1
 - *Middle* has arity 3 -- $Middle(t_1, x, t_2)$
 - intuitively, x lies between t_1 and t_2
- *Equal* has arity 2
 - will be denoted as $=$
 - It is a “**constant**” relation symbol.

Atomic formulas.

- If t_1 and t_2 are terms then $=(t_1, t_2)$ is an atomic formula.
 - also written $t_1 = t_2$
- Suppose P has arity n and t_1, t_2, \dots, t_n are terms.
- Then $P(t_1, t_2, \dots, t_n)$ is an atomic formula.

Atomic formulas

- *Greater-Than*(five, zero)
- *Greater-Than*(two, four)
- *Prime*(Plus(v_1 , v''))
- Plus(v , Zero) = weird_op(v , v , four)
- $v = \text{Greater_Than}(v_1, v_2)$ is *not* an atomic formula !

Terms and Predicates

- A *term* is meant to denote a domain value.
 - **It makes no sense to talk about a term being true or false.**
- An *atomic formula* may be *true* or *false* (depends on the interpretation).
 - **It does not make sense to associate a domain value with an atomic formula.**

Formulas

- Every atomic formula is a formula.
- If φ is a formula then $\neg\varphi$ is a formula.
- If φ and φ' are formulas then $\varphi \vee \varphi'$ is a formula.
- $\varphi \wedge \varphi'$ abbreviates: $\neg(\neg\varphi \vee \neg\varphi')$
- $\varphi \supset \varphi'$ abbreviates : $\neg\varphi \vee \varphi'$
- $\varphi \equiv \varphi'$ abbreviates : $(\varphi \supset \varphi') \wedge (\varphi' \supset \varphi)$

Formulas

- If φ is a formula and x is a variable then $\exists x. \varphi$ is a formula.
- $\forall x. \varphi$ abbreviates : $\neg \exists x. \neg \varphi$
- These are *existential* and *universal* quantifiers.
- The power of first order logic comes from these operators!

Semantics

- **Models :**
 - *Domain of interpretation*
 - *Interpretation*
 - For the function, constant and relation symbols.
 - *Fixed for all formulas.*
 - For the individual variables, on a “per formula” basis.
 - *Valuations.*

Semantics

- *Domain*
 - Each variable will have its domain of values.
 - We pretend all these domains are the same.
 - Or rather, a big enough “universe” that will contain all these domains.
- Fix **D** the universe of values.

Semantics

Interpretation function I

- Assign a concrete function to each **function symbol** (of the same arity!)
- Assign a concrete member of **D** to each **constant symbol**.
- Assign a concrete relation to each **relation symbol** (of the same arity!).

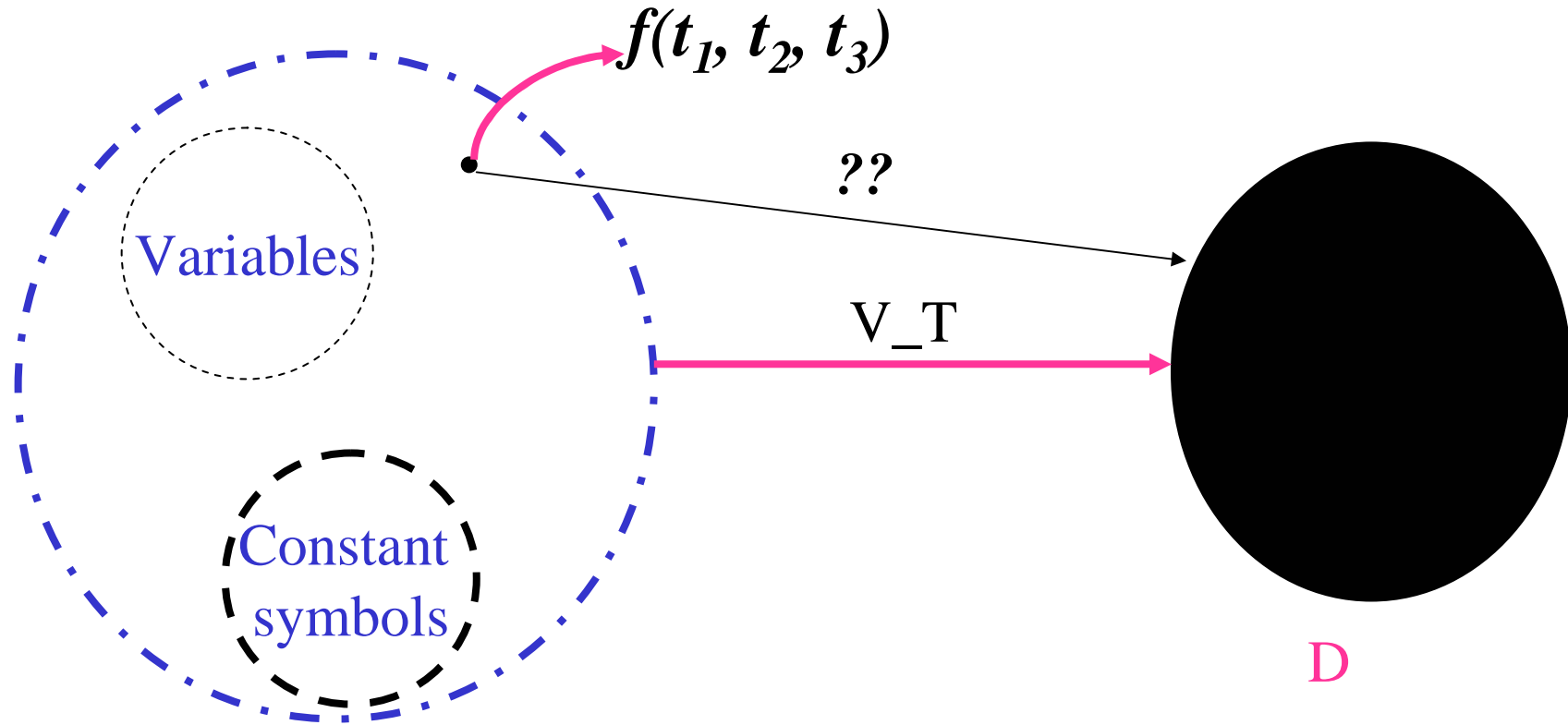
Semantics

- Assume we have fixed an interpretation for all function symbols, constant symbols and relational symbols.
- Let φ be a formula. Fix a *valuation* (or *assignment*) V which assigns a member of D to each variable.
- $V : \text{Var} \longrightarrow D$

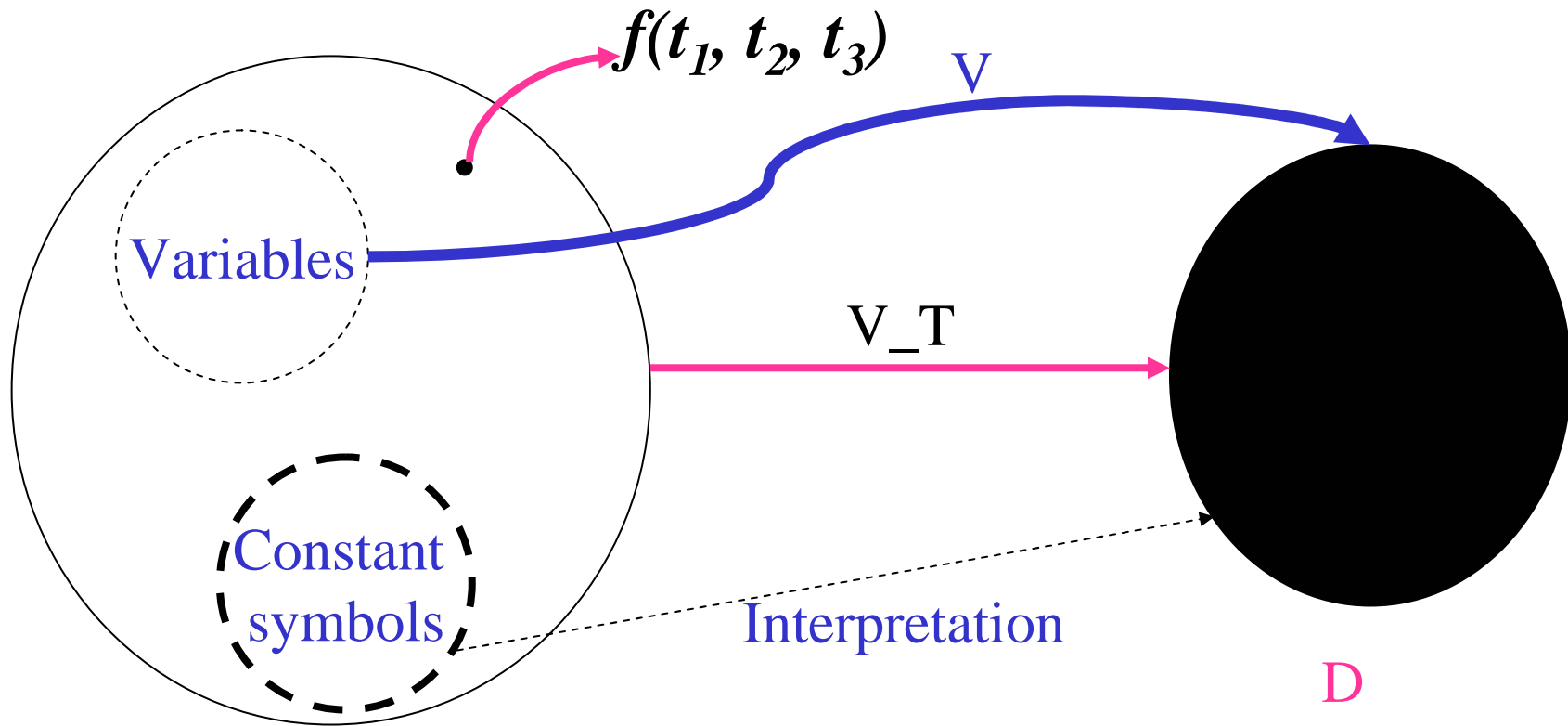
Lift V to All Terms

- We have :
 - An *interpretation* for the function symbols and constant symbols.
 - An *assignment* $V : \text{Var} \longrightarrow \mathbf{D}$
- Using these, we can construct (uniquely!)
 $V_T : \text{Terms} \longrightarrow \mathbf{D}$
the interpretation of terms!

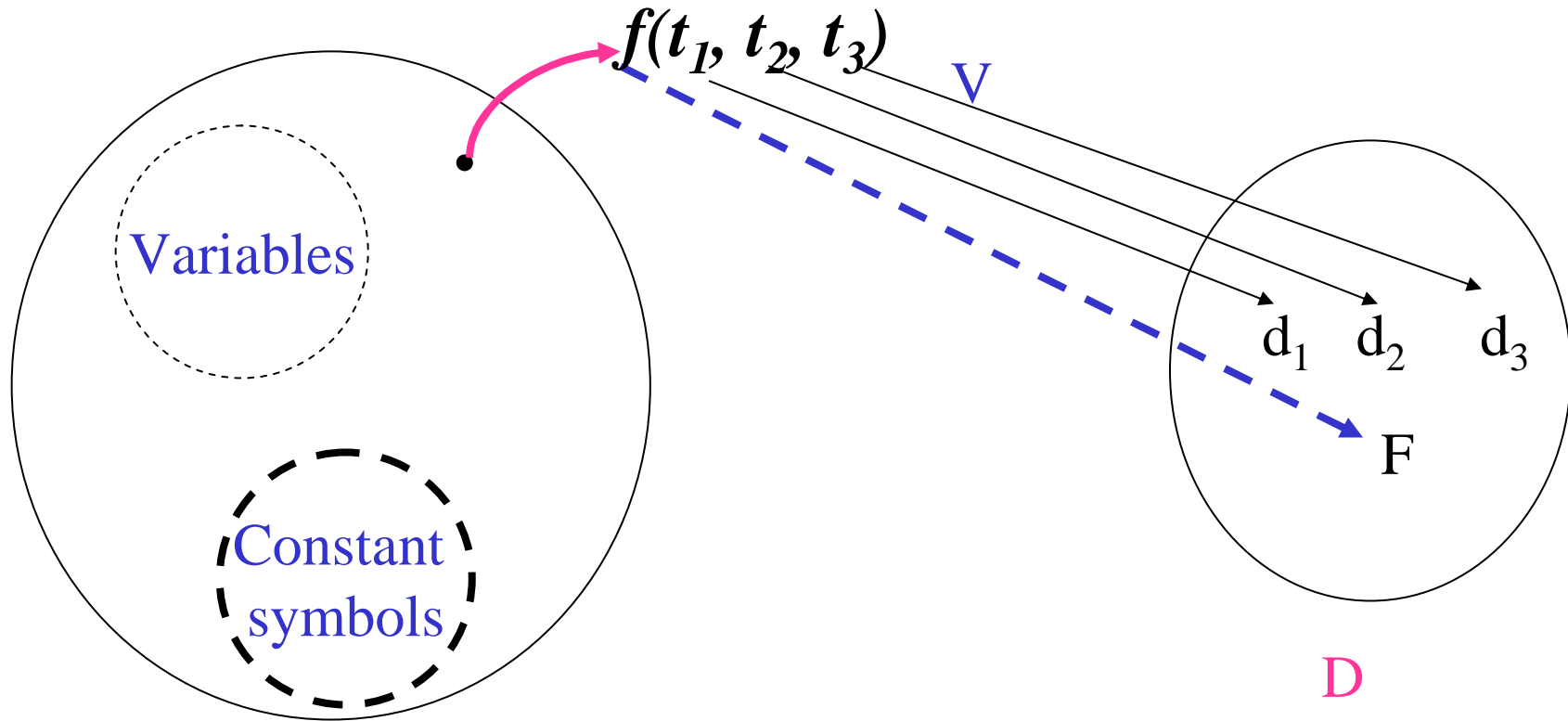
Constructing V_T



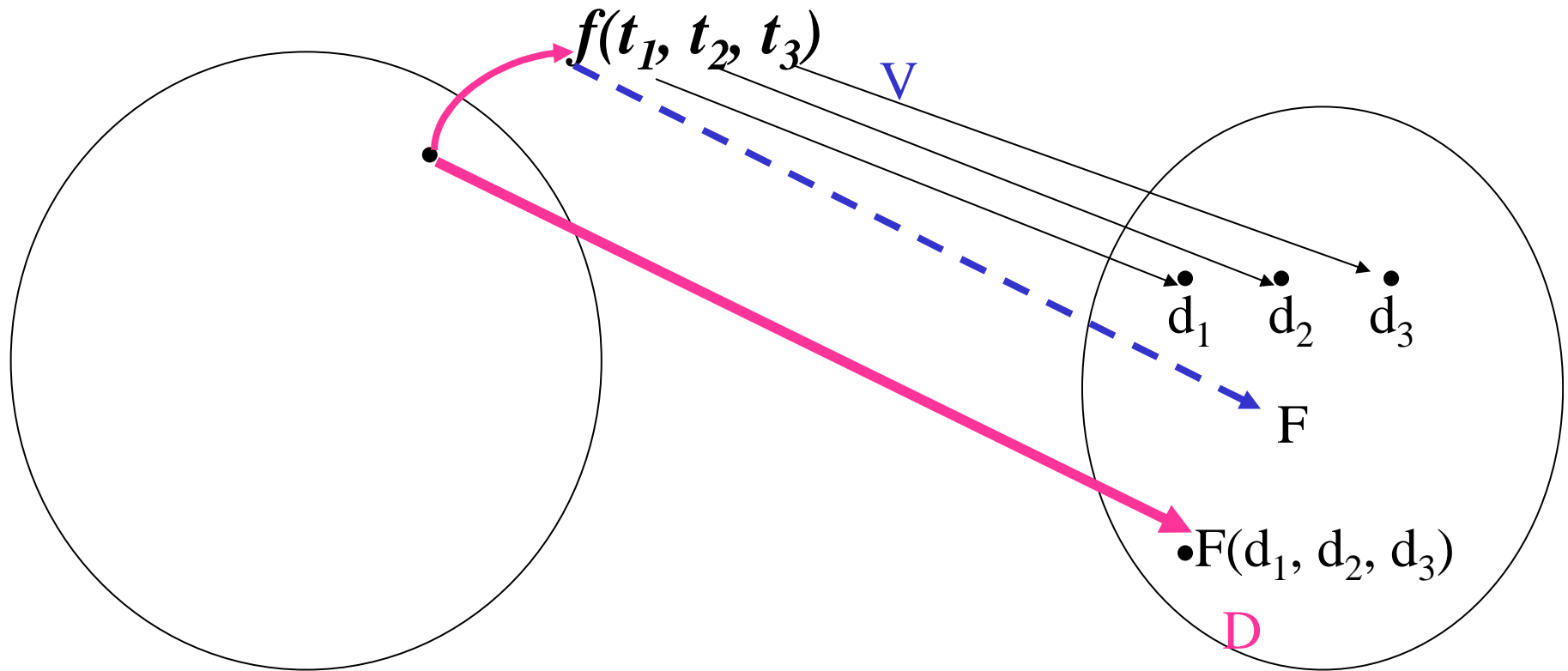
Constructing V_T



Constructing V_T



Constructing V_T



Semantics

- Let φ be a formula. Fix a valuation \mathbf{V} which assigns a member of \mathbf{D} to each variable.
- So we now have \mathbf{V}_T that assigns a member of \mathbf{D} to each term.
- φ is satisfied under \mathbf{V} (and the interpretation we have fixed, for all formulae) if :

Semantics

- Suppose $P(t_1, t_2, \dots, t_n)$ is an atomic formula and $V_{\mathbf{T}}(t_1) = d_1, \dots, V_{\mathbf{T}}(t_n) = d_n$ and \mathbf{PCON} is the relation assigned to symbol P by our interpretation \mathbf{I} .
- Then $P(t_1, t_2, \dots, t_n)$ is satisfied under \mathbf{V} iff $\mathbf{PCON}(d_1, d_2, \dots, d_n)$ holds in \mathbf{D} , that is:
 $(d_1, d_2, \dots, d_n) \in \mathbf{PCON} \subseteq \mathbf{D} \times \mathbf{D} \times \dots \times \mathbf{D}$

Semantics

- Suppose φ is of the form $\neg\varphi'$.
Then φ is satisfied under \mathbf{V} iff φ' is **not** satisfied under \mathbf{V} .
- Suppose φ is of the form $\varphi_1 \vee \varphi_2$
Then φ is satisfied under \mathbf{V} iff φ_1 is satisfied under \mathbf{V} **or** φ_2 is satisfied under \mathbf{V} .

Semantics

- *Greater-Than*(**Plus**(v , 3), **Multi**(x , 2))

t_1

t_2

- $V(v) = 2$ $V(x) = 1$

$$V_T(t_1) = 5 \quad V_T(t_2) = 2$$

$$(5, 2) \in > \subseteq \text{Integers} \times \text{Integers}$$

- $V'(v) = 1$ $V'(x) = 6$ and $V'_T(t_1) = 3$ $V'_T(t_2) = 12$

$$(3, 12) \notin > \subseteq \text{Integers} \times \text{Integers}$$

- Under V the atomic formula is true, but under V' the atomic formula is not.

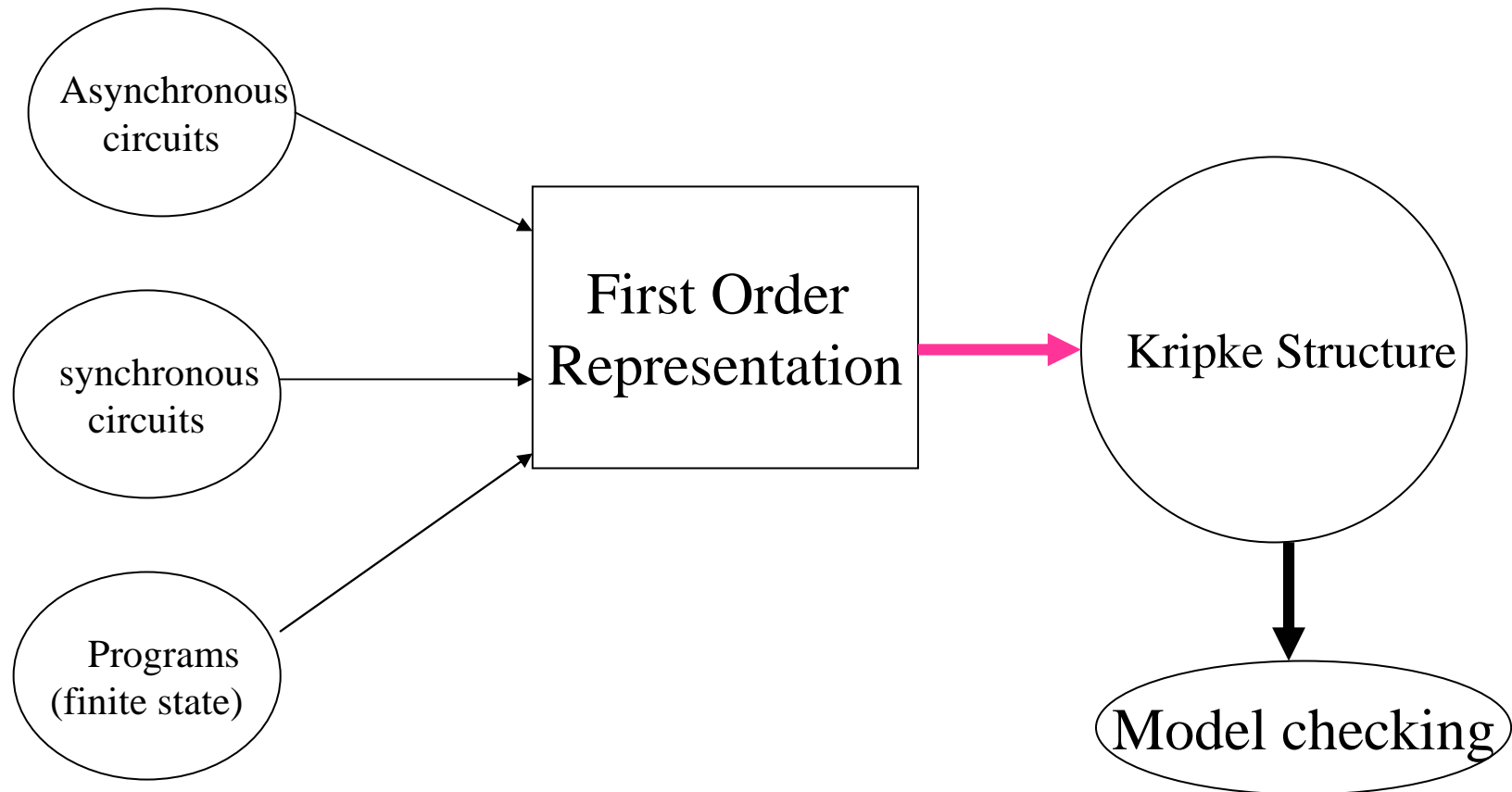
Semantics

- The only case left is when ϕ is of the form $\exists x. \phi'$
- ϕ is satisfied under V iff there is a valuation V' such that ϕ' is satisfied under V' and V' is required to meet the condition:
 - V' is exactly V for all variables except x .
 - To x , V' can assign *any value* of its choosing.

Semantics

- Whether $\exists \mathbf{x}. \varphi$ is true or not under \mathbf{V}
 - does not depend on what \mathbf{V} does on \mathbf{x} !
- $\exists \mathbf{x}. 2\mathbf{x} = \mathbf{y}$ is true under $\mathbf{V}(\mathbf{y}) = 4, \mathbf{V}(\mathbf{x}) = 1$!
- Because, we can find \mathbf{V}' , with $\mathbf{V}'(\mathbf{y}) = 4$ but $\mathbf{V}'(\mathbf{x}) = 2$.
- One says \mathbf{x} is *bound* in the formula and \mathbf{y} is *free*.

The efficient way



First Order Representation to Transition Systems

- $\{v_1, v_2, \dots, v_n\}$ --- System variables.
- D_1, D_2, \dots, D_n --- The corresponding domains.
- $D = \cup D_i$
- $s : \{v_1, v_2, \dots, v_n\} \longrightarrow D$ such that
 $s(v_1) \in D_1 \dots\dots$
- S --- The set of states.

Initial States

- $S_0(v_1, v_2, \dots, v_n)$ is a FO formula describing the set of initial states.
- Atomic formula
 - $v = d$ where v is a system variable and d is a constant symbol interpreted as a member of the domain of v .

Example:

- “ S_0 is the set of all states where the $pc = 0$ and $input$ is a power of 2”
- $\exists n. (input = EXP(n)) \wedge (pc = 0)$

Transition relation

- $R(v_1, v_2, \dots, v_n, v_1', v_2', \dots, v_n')$ is a FO formula involving the *current variables* v_1, v_2, \dots, v_n (the *system variables*) and the *next variables* (v_1', v_2', \dots, v_n').
- $(d_1, d_2, \dots, d_n) \longrightarrow (d_1', d_2', \dots, d_n')$ iff $R(v_1, v_2, \dots, v_n, v_1', v_2', \dots, v_n')$ is true under the valuation $v_1 = d_1, \dots, v_n = d_n, v_1' = d_1', \dots, v_n' = d_n'$.

Transition Relation

- $V = \{x, y, z\}$
- Program : $\{x, y, z, \text{pc}\}$

l_0 : begin

l_1 : statement₁

l_2 : statement₂

....

l_5 : if even(x) then $x = x/2$ else $x = x - 1$

l_6 :

Transition Relation

- $V = \{x, y, z\}$
- Program : $\{x, y, z, pc\}$
 - l_5 : if even(x) then $x = x/2$ else $x = x - 1$
 - l_6 :
- $\varphi(x, y, z, pc, x', y', z', pc')$
- $pc = l_5 \wedge pc' = l_6 \wedge (\exists n. (x = 2n) \supset x' = x/2) \wedge (\neg \exists n. (x = 2n) \supset x' = x-1) \wedge \text{same}(y, z)$

Notice that the formula above is equivalent to:

- $pc = l_5 \wedge pc' = l_6 \wedge ((\exists n. (x=2n) \wedge x'=x/2) \vee (\neg \exists n. (x=2n) \wedge x'=x-1)) \wedge \text{same}(y, z)$
- where $\text{same}(y, z)$ stands for $y' = y \wedge z' = z$

Transition Relation

- In a similar fashion , we can specify the transition relation formulae for :
 - Assignment statement
 - While statements
 - etc.etc.
 - See the text book!

Kripke Structures

- **AP** is a finite set of **atomic propositions**.
 - “**value of x is 5**”
 - “**x = 5**”
- **M = (S, S₀, R, L)**, a **Kripke Structure**.
 - (S, S₀, R) is a transition system.
 - **L : S** \longrightarrow **2^{AP}**
 - **2^{AP}** ----- The set of subsets of AP
(**L(s) ∈ 2^{AP}** identifies a **state**
2^{AP} identifies the **state space**)

Kripke Structures

- The atomic propositions and **L** together convert a transitions system into a model.
- We can start interpreting *formulas* over the *Kripke structure*.
- The atomic propositions make basic (easy) assertions about system states.

Automata and Kripke Structures

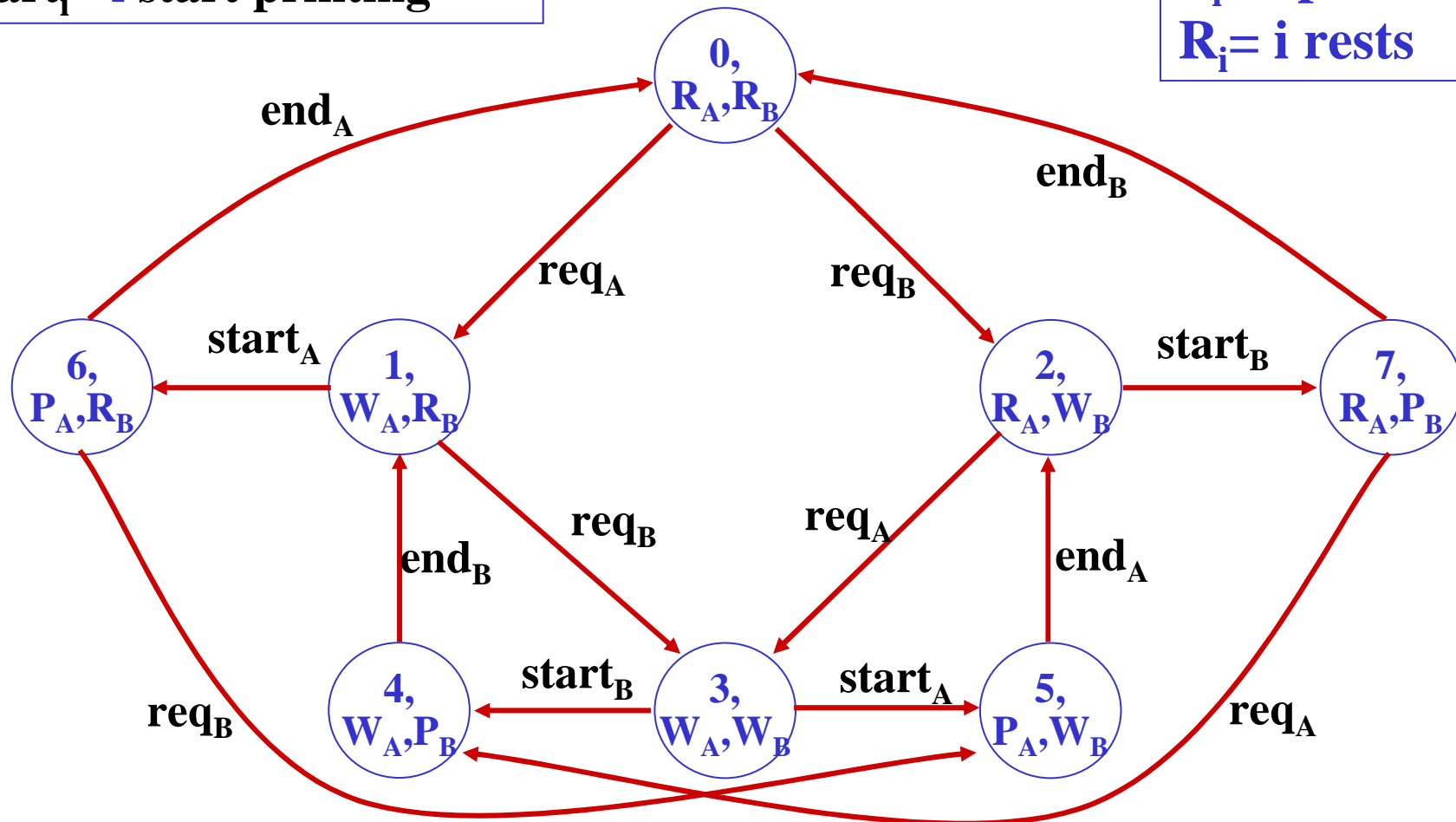
- **AP** - set of elementary property
- $\langle S, A, R, s_0, L \rangle$
- **S** - set of states
- **A** - set of transition labels
- $R \subseteq S \times A \times S$ - (labeled) transition relation
- **L** - interpretation mapping $L: S \longrightarrow 2^{AP}$
- In *FO representation* we would need two sets of variables: **V** and **Act** (for actions or input).

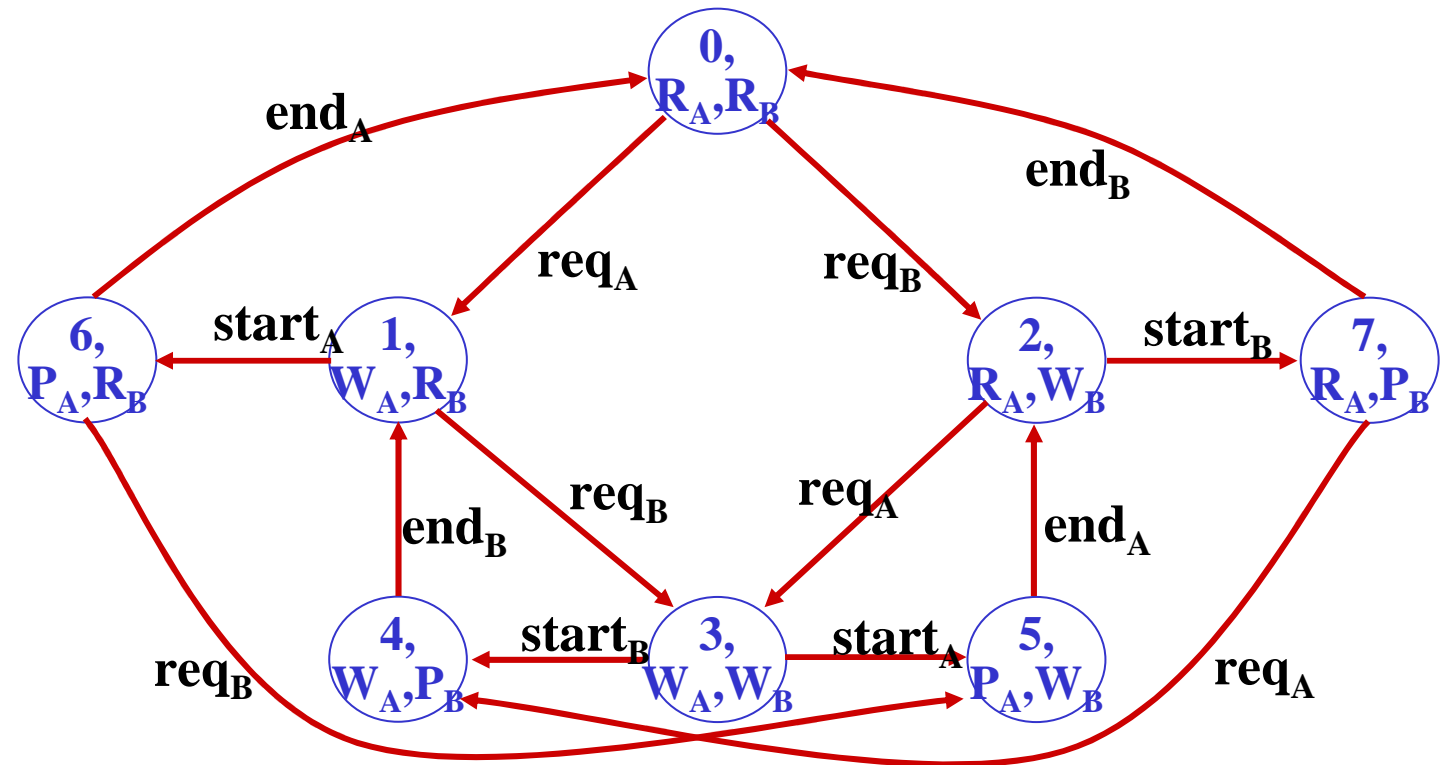
Example: a print manager

$end_i = i$ ends printing
 $req_i = i$ requests printing
 $start_i = i$ start printing

AP

$W_i = i$ waits
 $P_i = i$ prints
 $R_i = i$ rests





- $S = \{0,1,2,3,4,5,6,7\}$
- $A = \{\text{end}_A, \text{end}_B, \text{req}_A, \text{req}_B, \text{start}_A, \text{start}_B\}$
- $R = \{(0, \text{req}_A, 1), (0, \text{req}_B, 2), (1, \text{req}_B, 3), (1, \text{start}_A, 6), (2, \text{req}_A, 3), (2, \text{start}_B, 7), (3, \text{start}_A, 5), (3, \text{start}_B, 4), (4, \text{end}_B, 1), (5, \text{end}_A, 2), (6, \text{end}_A, 0), (6, \text{req}_B, 5), (7, \text{end}_B, 0), (7, \text{req}_A, 4),\}$
- $L = \{0 \rightarrow \{R_A, R_B\}, 1 \rightarrow \{W_A, R_B\}, 2 \rightarrow \{R_A, W_B\}, 3 \rightarrow \{W_A, W_B\}, 4 \rightarrow \{W_A, P_B\}, 5 \rightarrow \{P_A, W_B\}, 6 \rightarrow \{P_A, R_B\}, 7 \rightarrow \{R_A, P_B\}\}$

Properties of the printing systems

1. Every state in which P_A holds, is preceded by a state in which W_A holds
2. Any state in which W_A holds is followed (possibly not immediately) by a state in which P_A holds.
 - The first can easily be checked to be true
 - The second is *false* (e.g. 0134134134...) - in other words the system is *not fair*.

Synchronization

- Usually complex systems are composed of a number of smaller *subsystems (modules)*
- It is natural to model the whole system starting from the models of the subsystems.
- And then define how they cooperate.
- There are many ways to define cooperation (*synchronization*).

Synchronization: no interaction

The system model is just the *cartesian product* of the simpler modules.

Let TS_1, \dots, TS_n be n automata (or **TSs**), where

$$TS_i = \langle S_i, A_i, R_i, s_{i0} \rangle$$

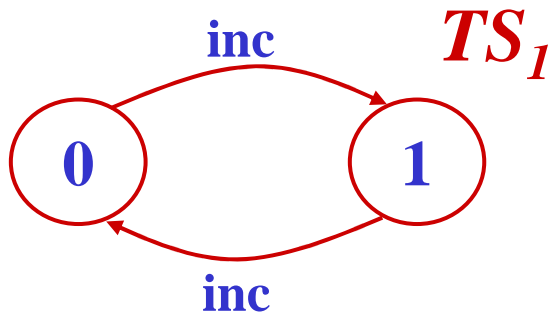
The system is then defined as $TS = \langle S, A, R, s_0 \rangle$ where

$$S = S_1 \times S_2 \times \dots \times S_n$$

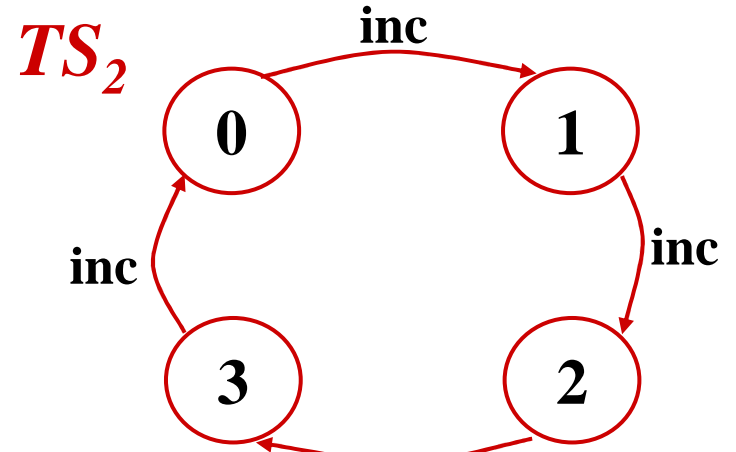
$$A = A_1 \cup \{-\} \times A_2 \cup \{-\} \times \dots \times A_n \cup \{-\}$$

$$R = \{ (\langle s_1, \dots, s_n \rangle, \langle a_1, \dots, a_n \rangle, \langle s'_1, \dots, s'_n \rangle) / \text{for all } i, a_i \neq - \text{ and } (s_i, a_i, s'_i) \in R_i, \text{ or } a_i = - \text{ and } s'_i = s_i \}$$

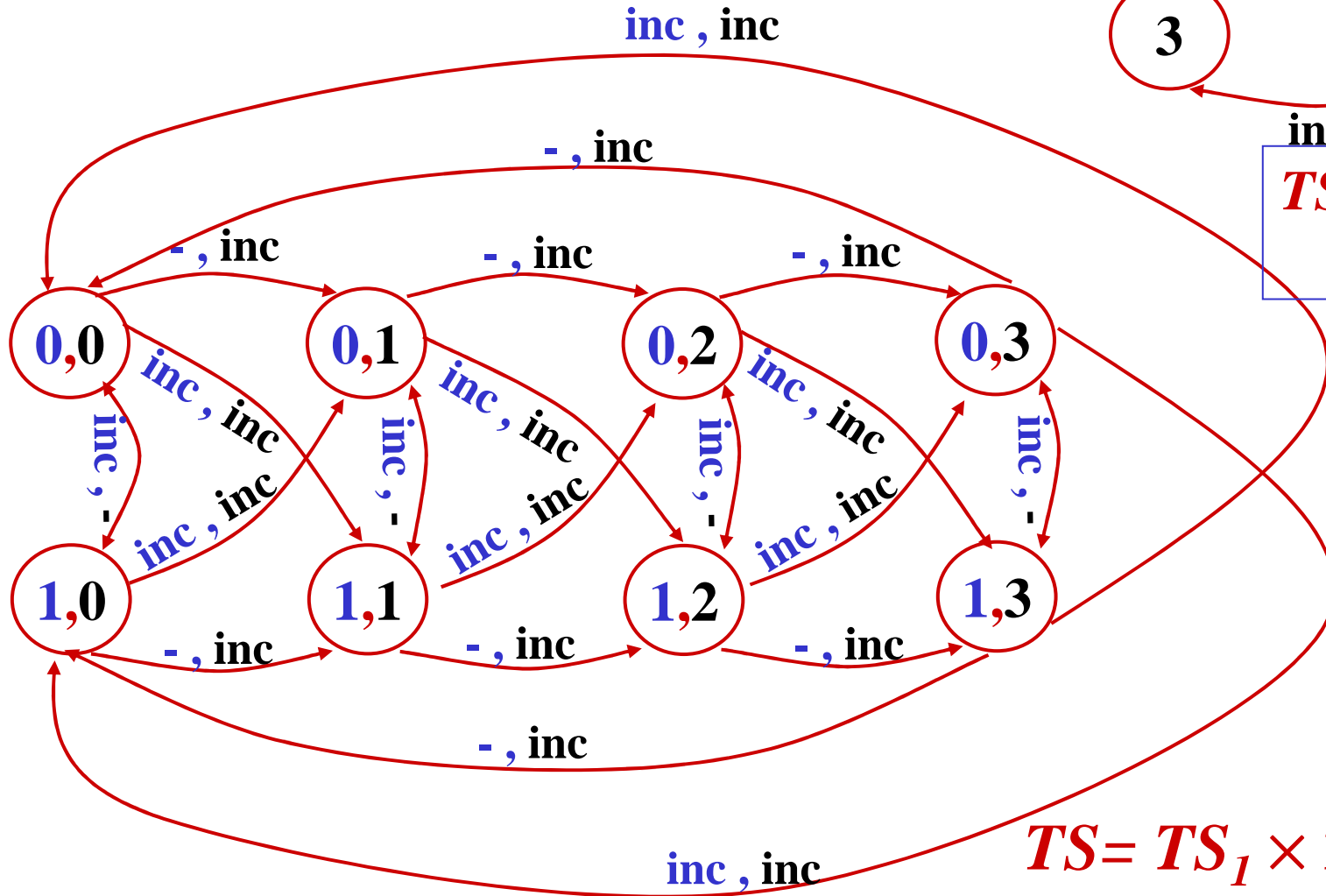
$$s_0 = \langle s_{10}, s_{20}, \dots, s_{n0} \rangle$$



TS_1 counter modulo 2



TS_2 : counter modulo 4



$TS = TS_1 \times TS_2$

Synchronization: interaction

*To allow for interaction, or synchronization on specific actions we can introduce a **Synchronization Set** (to inhibit undesired transitions) :*

- *Synchronization set is just a subset of the composite actions:*

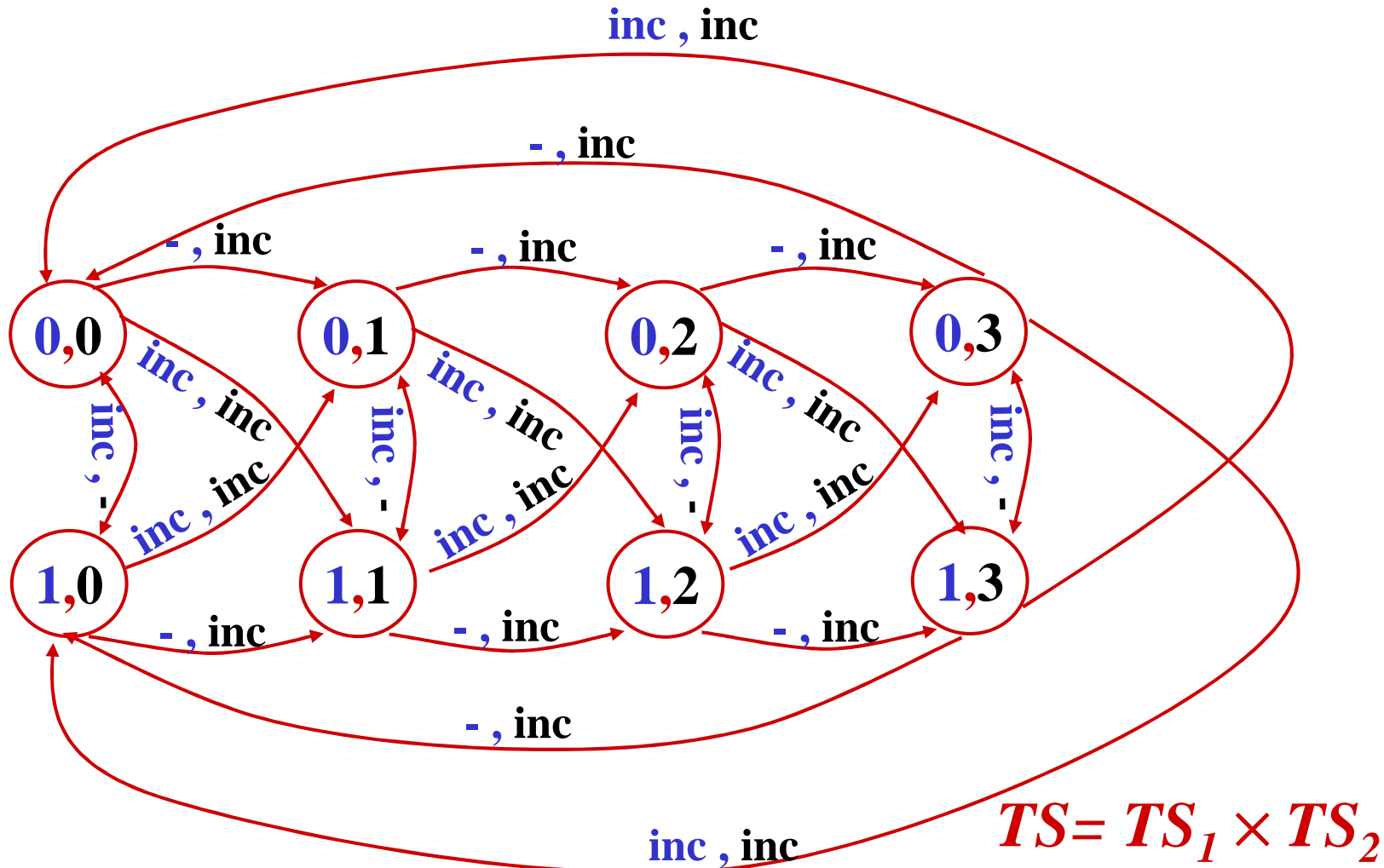
$$\text{Sync} \subseteq A_1 \cup \{-\} \times A_2 \cup \{-\} \times \dots \times A_n \cup \{-\}$$

- *Then we will have to define the **possible transitions** as:*

$$R = \{ (\langle s_1, \dots, s_n \rangle, \langle a_1, \dots, a_n \rangle, \langle s'_1, \dots, s'_n \rangle) \mid \\ (a_1, \dots, a_n) \in \text{Sync} \text{ and for all } i, a_i \neq - \\ \text{and } (s_i, a_i, s'_i) \in R_i, \text{ or } a_i = - \text{ and } s'_i = s_i \}$$

Free synchronization (Asynchronous systems):

$$\text{Sync} = \{\text{inc}, -\} \times \{-, \text{inc}\} = \{(-, -), (\text{inc}, -), (-, \text{inc}), (\text{inc}, \text{inc})\}$$



Free synchronization

Asynchronous systems:

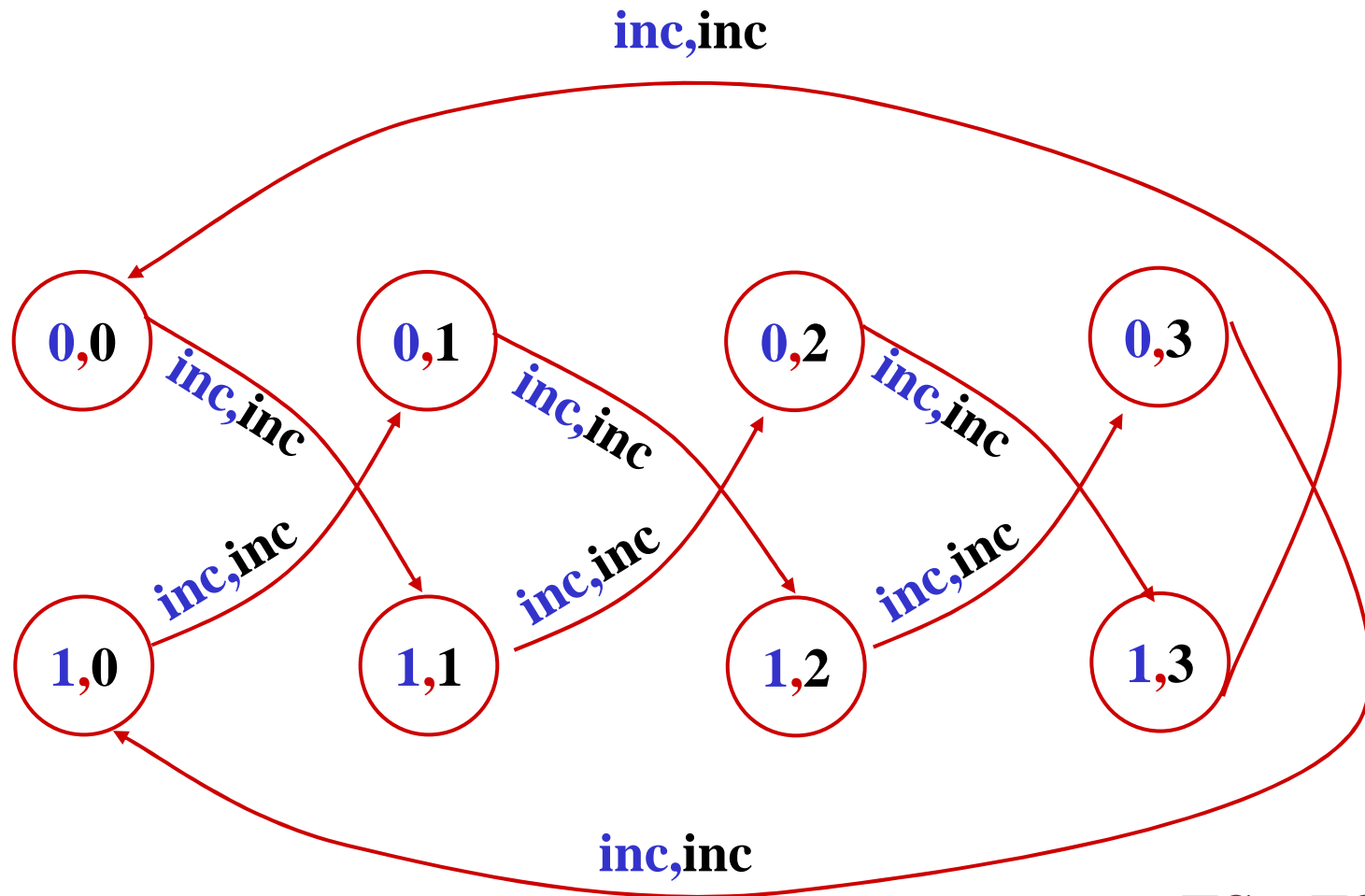
$$\mathit{Sync} = \{\mathit{inc}, -\} \times \{-, \mathit{inc}\} \setminus \{(-, -)\}$$

$$R(V, V') = \bigwedge_{i \in I} (R_i(v_i, v_i') \vee \mathit{same}(v_i)) \wedge \neg \bigwedge_{i \in I} \mathit{same}(v_i)$$

if one wants to *discard*
the situation where *no*
component acts

Synchronization on all actions (Synchronous systems):

$$\mathbf{Sync} = \{(inc, inc)\}$$



$$TS = TS_1 \times TS_2$$

Synchronous systems

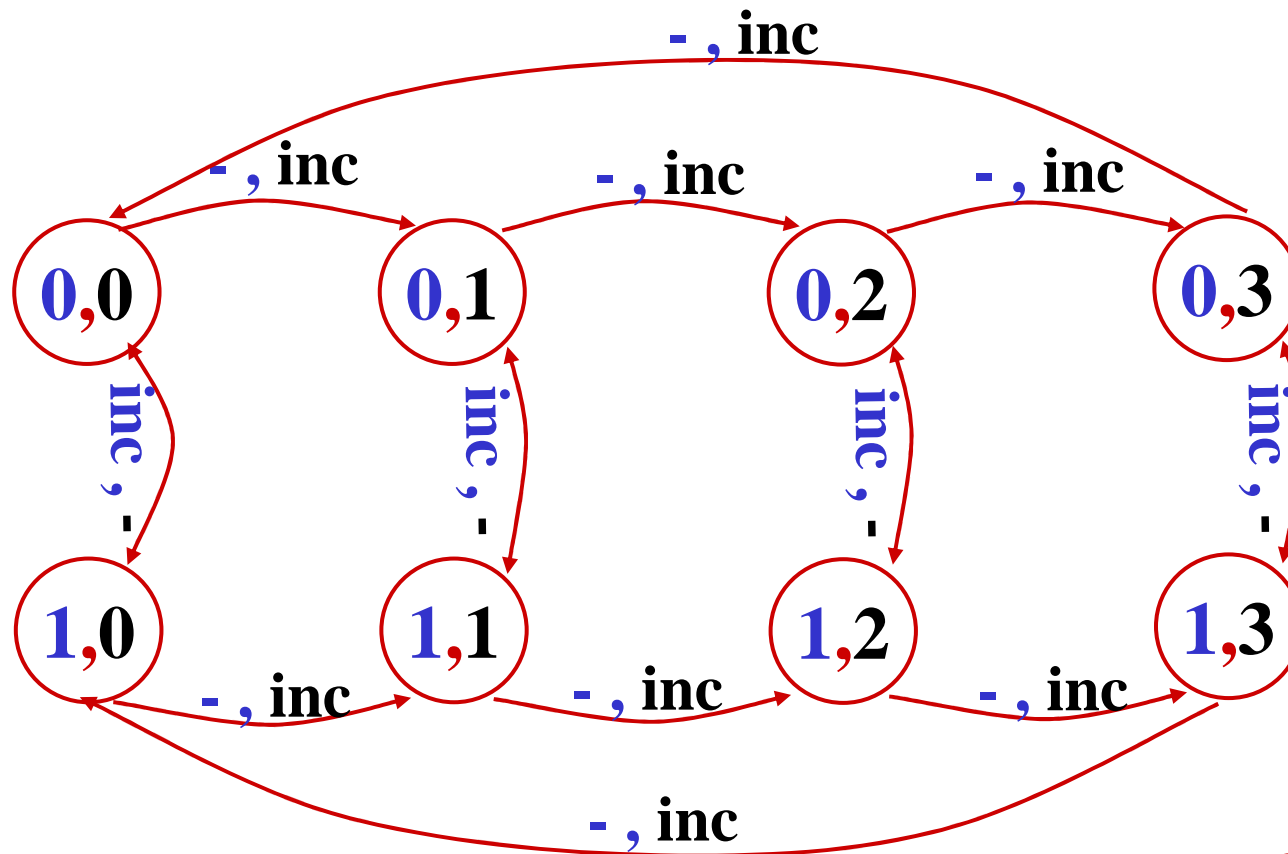
Synchronous systems:

$$\mathit{Sync} = \{(\mathit{inc}, \mathit{inc})\}$$

$$R(V, V') = \bigwedge_{i \in I} R_i(v_i, v_i')$$

Asynchronous systems with interleaving (only one component acts at any time):

$$\text{Sync} = \{(-, \text{inc}), (\text{inc}, -)\}$$



$$TS = TS_1 \times TS_2$$

Asynchronous systems: Interleaving

*Asynchronous systems: only one component
acts at any time.*

$$\text{Sync} = \{(-,inc),(inc,-)\}$$

$$R(V,V') = \bigvee_{i \in I} (R_i(v_i, v_i') \wedge \bigwedge_{j \neq i} \text{same}(v_j))$$

Concurrent programs

- Many systems to be verified can be viewed as concurrent programs
 - operating system routines
 - cache protocols
 - communication protocols
- $P = \mathbf{cobegin} (P_1 \parallel P_2 \parallel \dots \parallel P_n) \mathbf{coend}$
- P_1, P_2, \dots, P_n --- Sequential Programs.
- *Program variables* set $V = V_1 \cup \dots \cup V_n$ (set V_i for program i)
- *Program counters* set PC (one for each program)
- *Usually interleaving semantics is assumed*

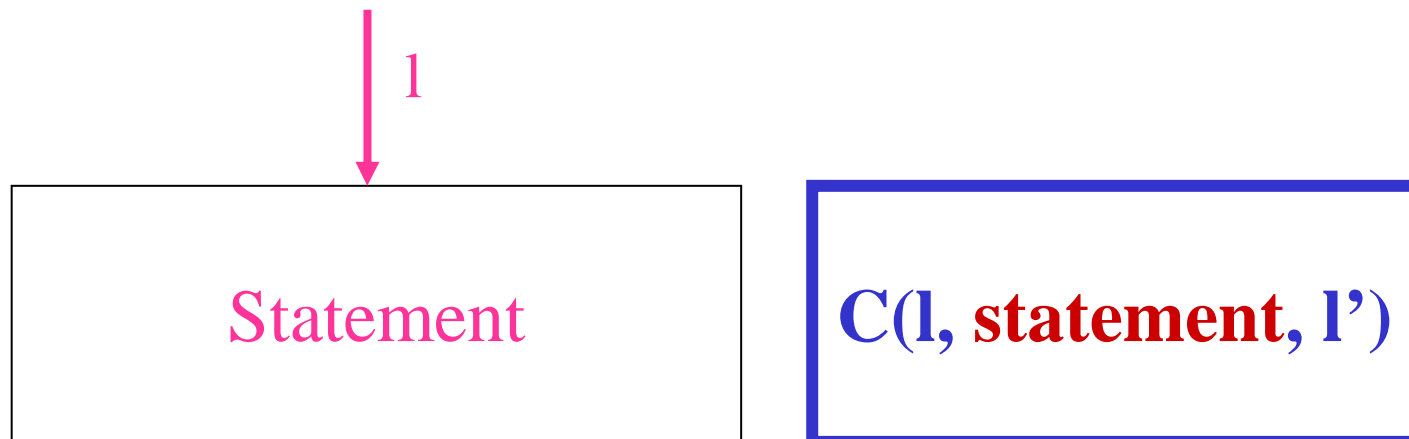
Program Statements

A program **P** is a sequence of **statements** of the following form:

- **skip**
- **v := Expr** (**Expr** an arithmetical expression)
- **wait(Cond)** (**Cond** an boolean expression)
- **lock(v)** (**v** a variable: semaphore)
- **unlock(v)** (**v** a variable: semaphore)
- **Statm₁; Statm₂; ... ; Statm_n** (sequential composition)
- **IF Cond THEN Statm₁ ELSE Statm₂ ENDIF**
- **WHILE Cond DO Statm DONE**
- **COBEGIN (P₁ || P₂ || ... || P_n) COEND**

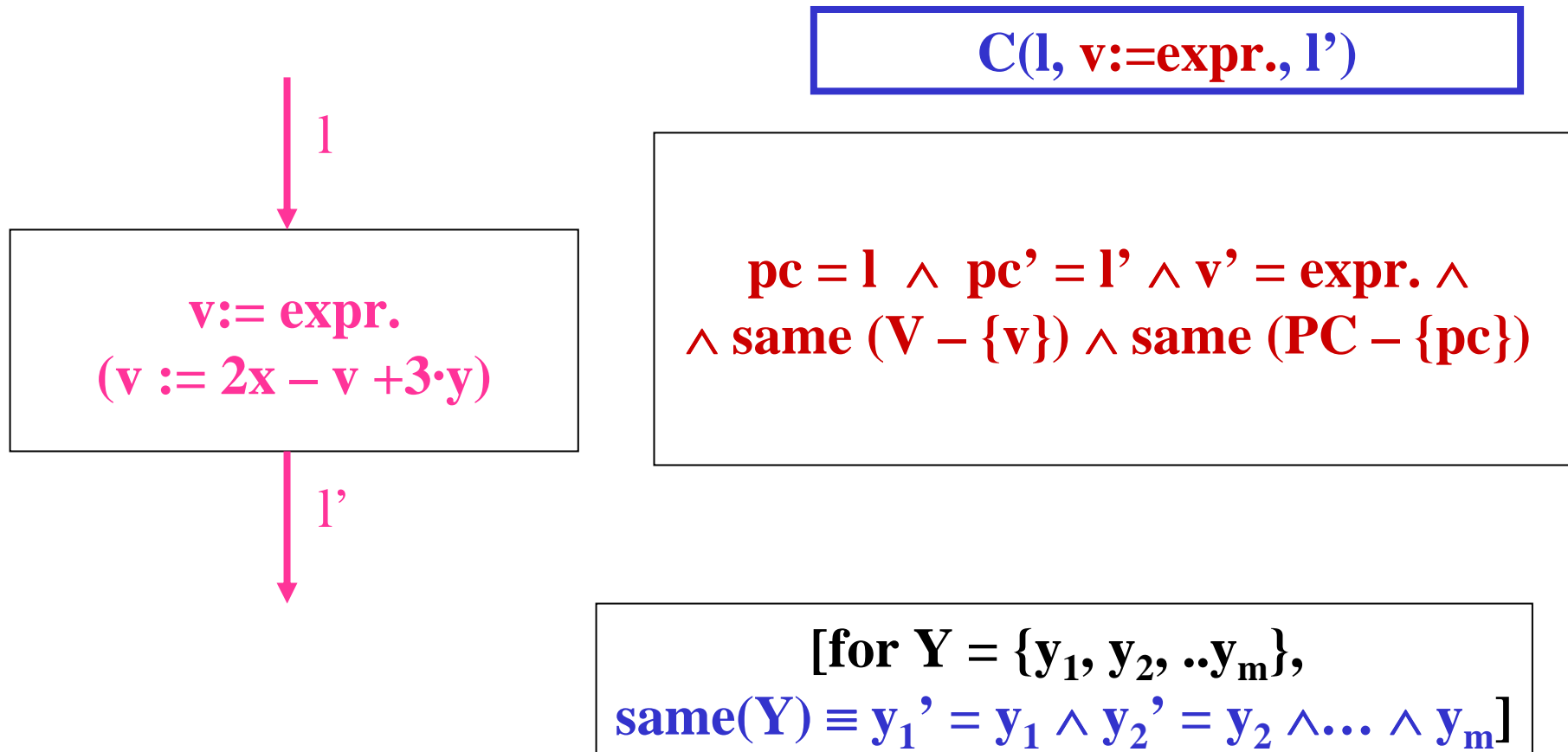
Sequential Programs: the transition predicate C

General Structure

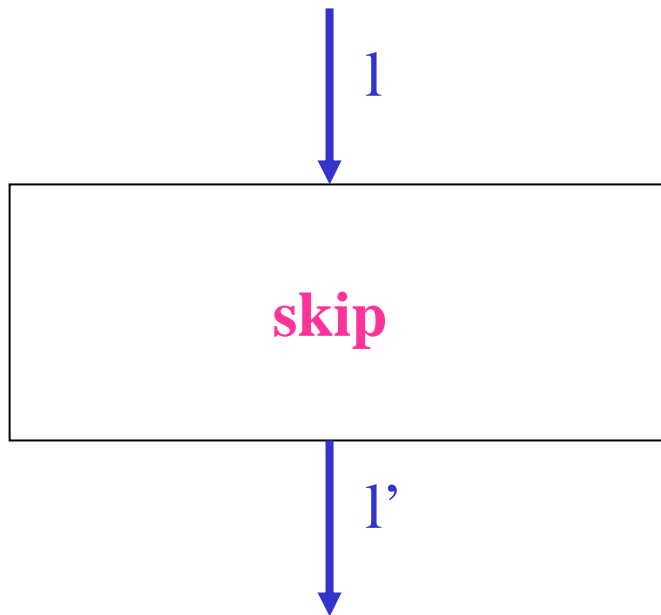


C is essentially a *translation function* taking a *label*, a *program statement* and a *label* and giving the *FOL formula* specifying the transition relation for the statement.

Assignments



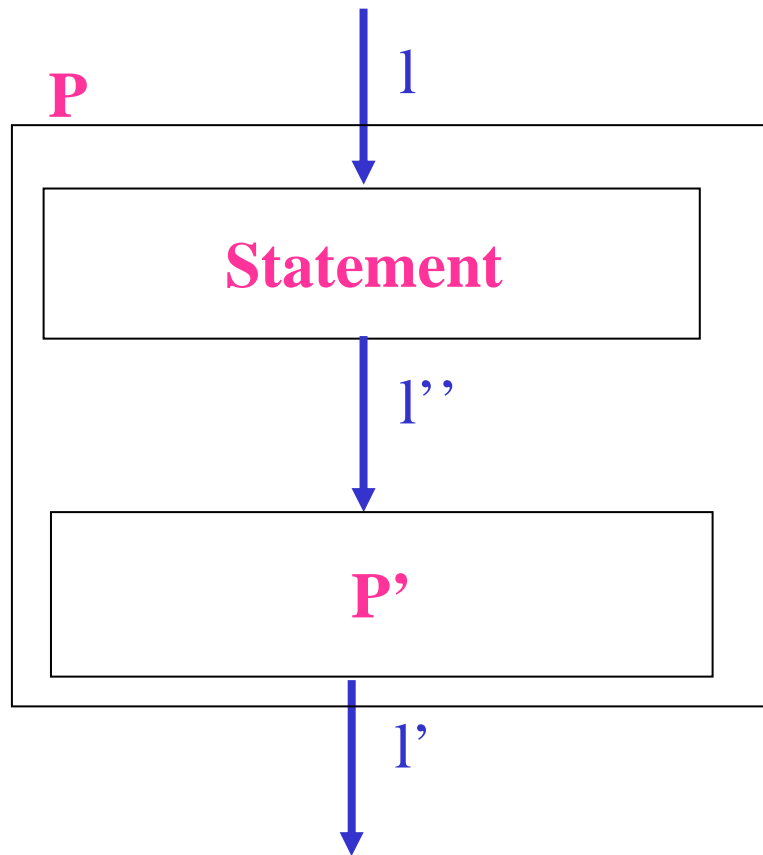
Skip



$C(l, \text{skip}, l')$

$pc = l \wedge pc' = l' \wedge \text{same}(V)$
 $\wedge \text{same}(PC - \{pc\})$

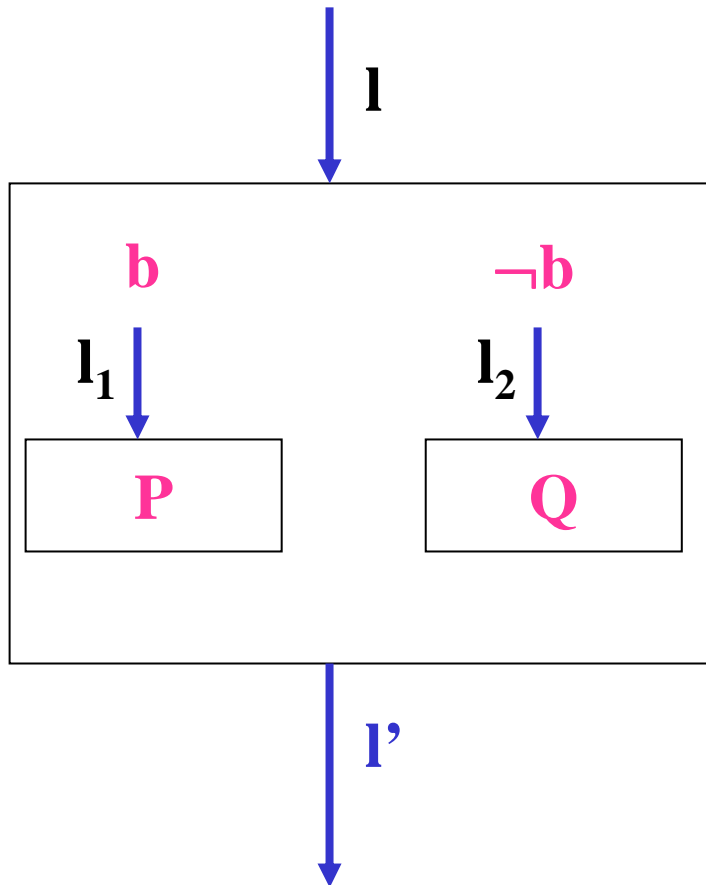
Sequential composition



$$C(l, \mathbf{P}, l')$$

$$C(l, \mathbf{Statement}, l'') \vee \\ C(l'', \mathbf{P}', l')$$

Conditional statement

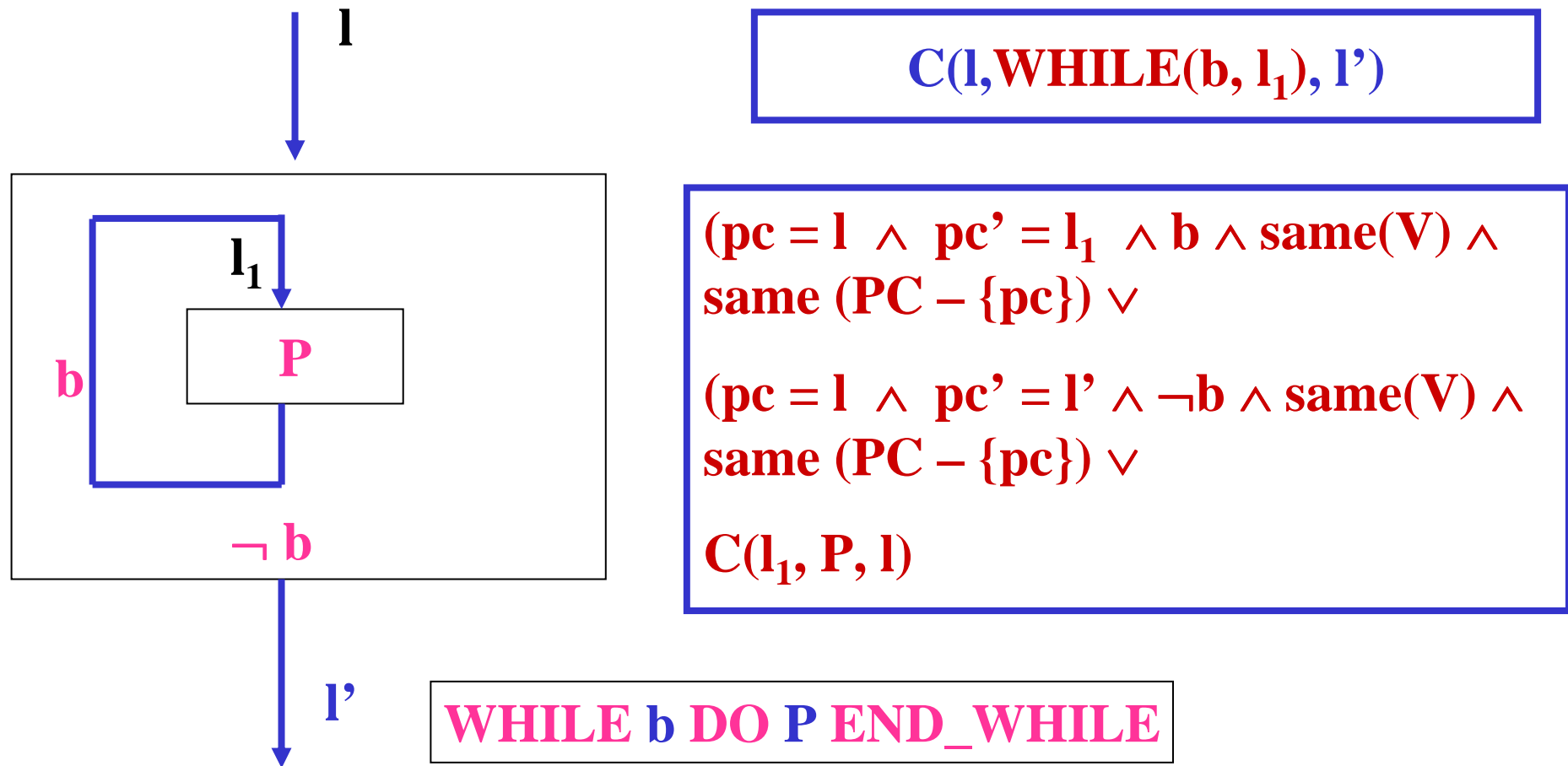


$C(l, \text{IF-THEN-ELSE}(b, l_1, l_2), l')$

$(pc = l \wedge pc' = l_1 \wedge b \wedge \text{same}(V) \wedge \text{same}(PC - \{pc\}) \vee$
 $(pc = l \wedge pc' = l_2 \wedge \neg b \wedge \text{same}(V) \wedge \text{same}(PC - \{pc\}) \vee$
 $C(l_1, P, l') \vee$
 $C(l_2, Q, l')$

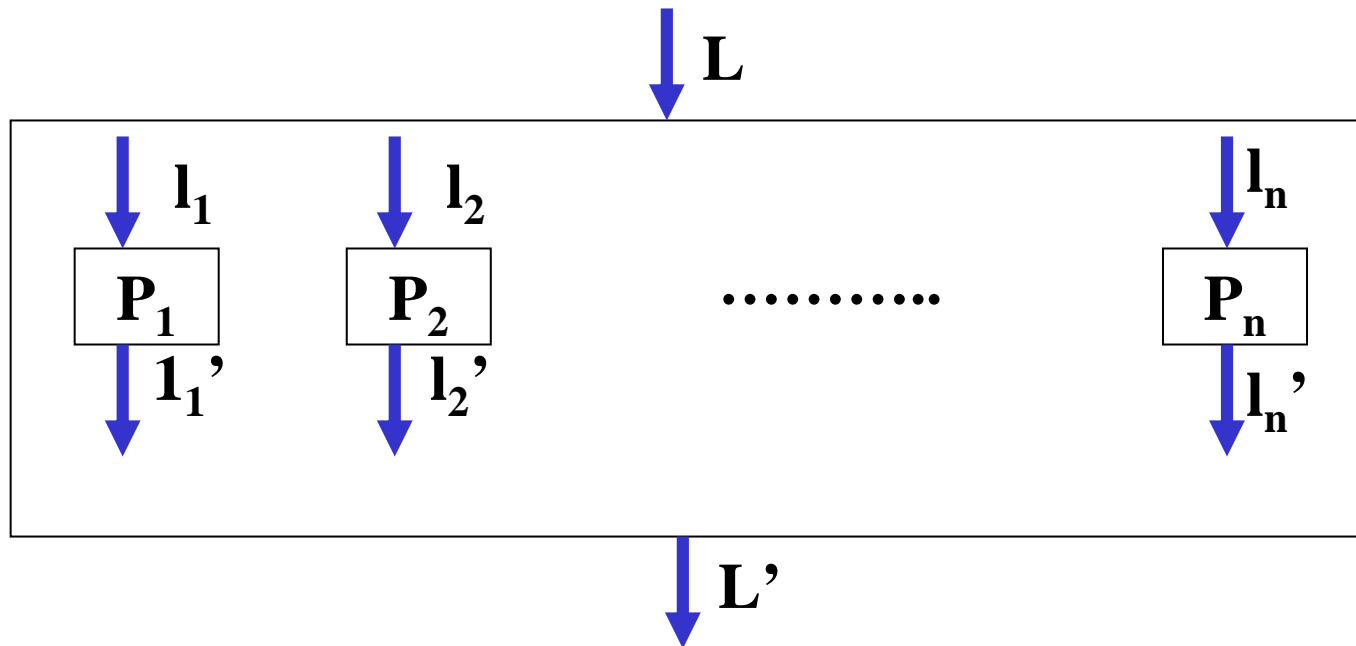
IF b THEN P ELSE Q FI

While statement



Concurrent programs

- $P = \mathbf{cobegin} (P_1 \parallel P_2 \parallel \dots \parallel P_n) \mathbf{coend}$
- P_1, P_2, \dots, P_n --- Sequential Programs.



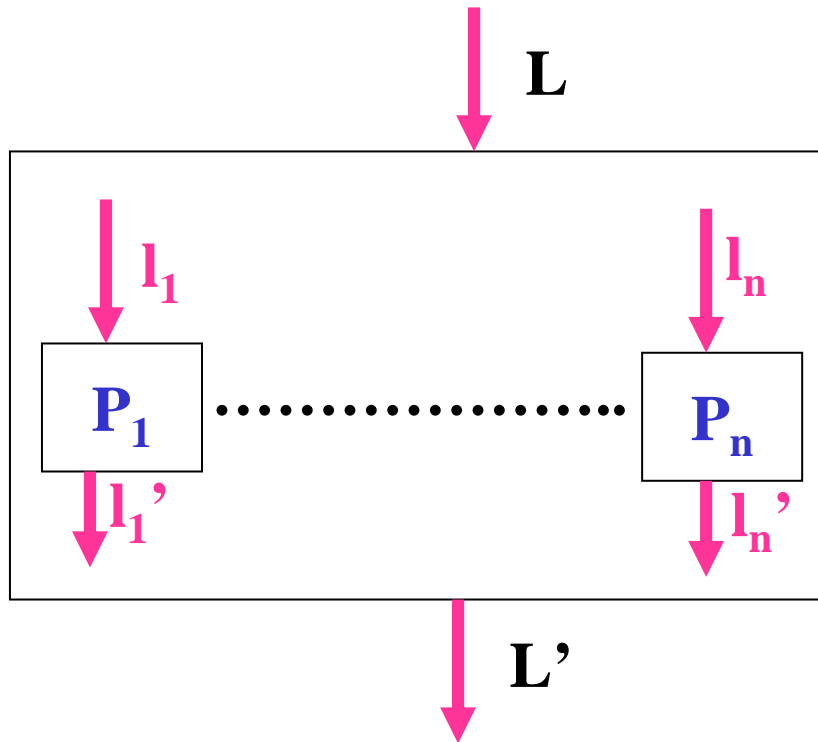
Concurrent programs

- $P = \mathbf{cobegin} (P_1 \parallel P_2 \parallel \dots \parallel P_n) \mathbf{coend}$
- P_1, P_2, \dots, P_n --- *Sequential Programs*.
- $C(l_1, P_1, l_1')$ --- The transitions of program P_1 (defined *inductively* on the structure of P_1 !).
- V_i ---- The set of variables of program P_i .
- Programs may *share* variables!
- pc_i – The program counter of program P_i .

Concurrent programs

- **pc** ---- the program counter of the *concurrent program*; it could be part of a larger program!
- \perp denotes an *undefined* program counter value.
- $S_0(V, PC) = \mathbf{pre(V)} \wedge (\mathbf{pc=L}) \wedge (\mathbf{pc_1=\perp}) \wedge \dots \wedge (\mathbf{pc_n=\perp})$

The Transition Predicate

$$C(\mathbf{L}, \mathbf{P}, \mathbf{L}')$$


$$(\mathbf{pc} = \mathbf{L} \wedge \mathbf{pc}_1' = \mathbf{l}_1 \wedge \dots \wedge \mathbf{pc}_n' = \mathbf{l}_n \wedge \mathbf{pc}' = \perp \wedge \mathbf{same}(\mathbf{V}))$$

$$\vee$$

$$(\mathbf{C}(\mathbf{l}_1, \mathbf{P}_1, \mathbf{l}_1') \wedge \mathbf{Same}(\mathbf{V} - \mathbf{V}_1) \wedge \mathbf{Same}(\mathbf{PC} \setminus \{\mathbf{pc}_1\}))$$

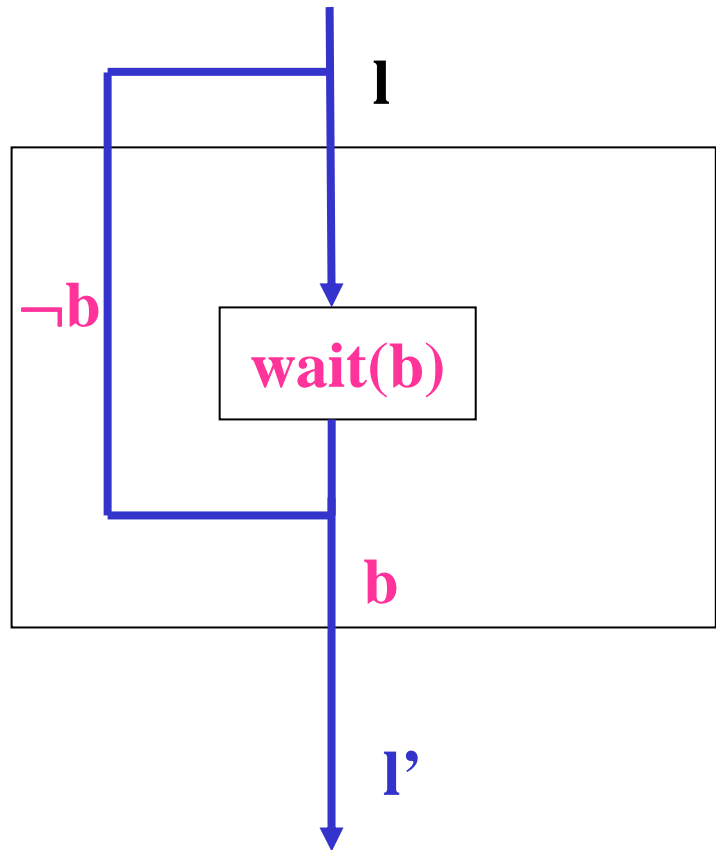
$$\vee \dots \vee$$

$$\mathbf{C}(\mathbf{l}_n, \mathbf{P}_n, \mathbf{l}_n') \wedge \mathbf{Same}(\mathbf{V} - \mathbf{V}_n) \wedge \mathbf{Same}(\mathbf{PC} \setminus \{\mathbf{pc}_n\}))$$

$$\vee$$

$$(\mathbf{pc} = \perp \wedge \mathbf{pc}_1 = \mathbf{l}_1' \wedge \dots \wedge \mathbf{pc}_n = \mathbf{l}_n' \wedge \mathbf{pc}' = \mathbf{L}' \wedge \mathbf{pc}_1' = \perp \wedge \dots \wedge \mathbf{pc}_n' = \perp \wedge \mathbf{same}(\mathbf{V}))$$

The Transition Predicate

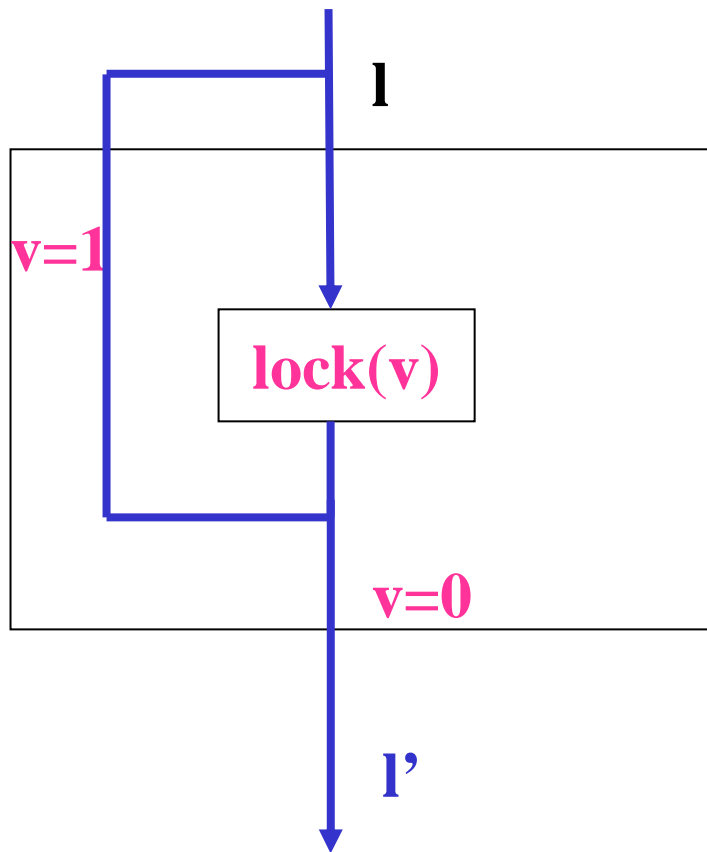


$C(l, \text{wait}(b), l')$

$$\begin{aligned} & (\text{pc}_i = l \wedge \text{pc}_i' = l \wedge \neg b \wedge \text{same}(V_i)) \\ & \quad \vee \\ & (\text{pc}_i = l \wedge \text{pc}_i' = l' \wedge b \wedge \text{same}(V_i)) \end{aligned}$$

Repeatedly tests the boolean expression **b** until it is true.
When **b** becomes **true** proceeds to the next step.

The Transition Predicate

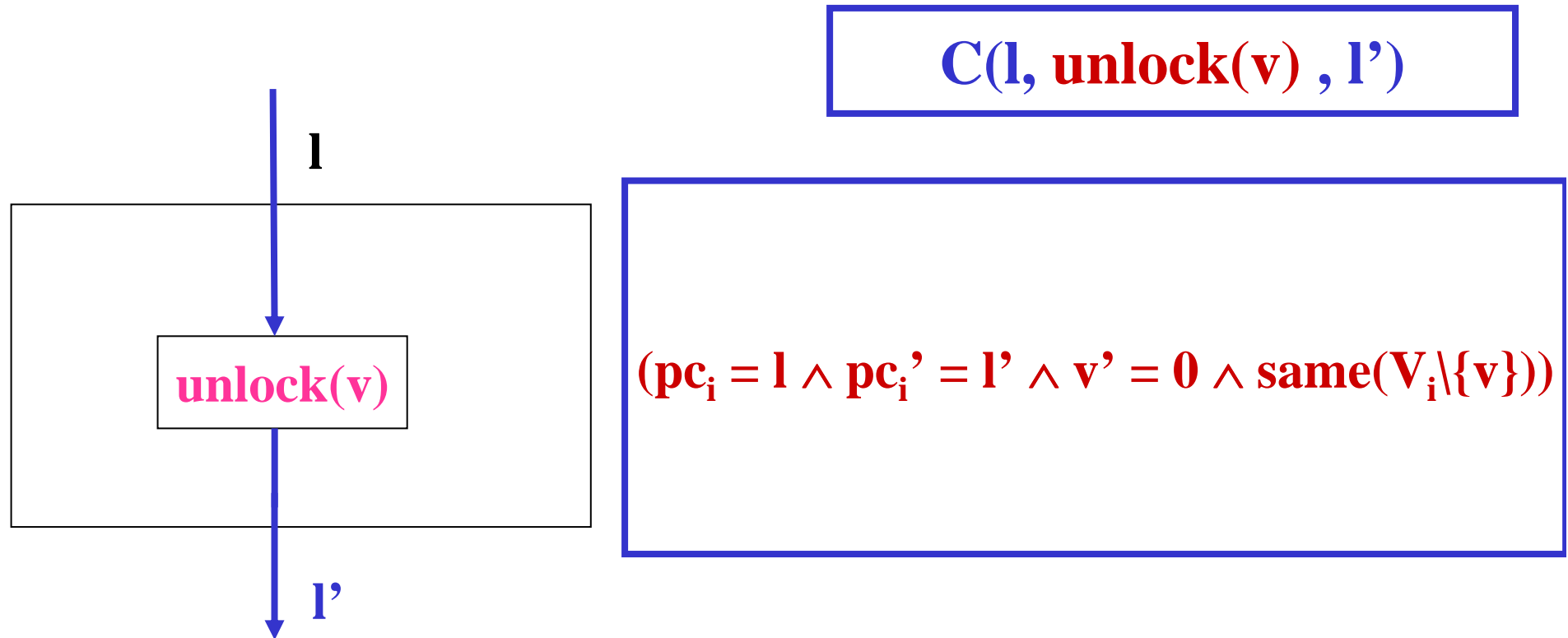


$C(l, \text{lock}(v), l')$

$$\begin{aligned}
 & (\text{pc}_i = l \wedge \text{pc}_i' = l \wedge v = 1 \wedge \text{same}(V_i)) \\
 & \quad \vee \\
 & (\text{pc}_i = l \wedge \text{pc}_i' = l' \wedge v = 0 \wedge \\
 & \quad v' = 1 \wedge \text{same}(V_i \setminus \{v\}))
 \end{aligned}$$

Similar to **wait** with boolean expression $v=0$, but when the condition becomes **true**, v is updated to **1** and it proceeds to next step.

The Transition Predicate



Simply sets variable v to 0 , thus, possibly, enabling other processes to trigger their **lock** (or **wait**) transition to enter critical regions.

Summary

- System variables
- Domain of values
- States
- Initial state predicate
- Transition predicate
- pc values (for programs)
- Synchronization mechanisms