Tecniche di Specifica e di Verifica

Automata-based
LTL Model-Checking

Finite state automata

A finite state automaton is a tuple $A = (\Sigma, S, S_0, R, F)$

- Σ: set of input symbols
- S: set of *states* -- S_0 : set of *initial states* ($S_0 \subseteq S$)
- $R: S \times \Sigma \rightarrow 2^S$: the *transition relation*.
- F: *set of accepting states* ($F \subseteq S$)
- A *run r* on $w = a_1, \ldots, a_n$ is a sequence $s_0, \ldots, s_n$ such that $s_0 \in S_0$ and $s_{i+1} \in R(s_i, a_i)$ for $0 \leq i \leq n$.
- A *run r* is *accepting* if $s_n \in F$, while a word w is *accepted* by A if there is an accepting run of A on w.
- The *language* $\mathcal{L}(A)$ *accepted* by A is the set of finite words accepted by A.

Finite state automata: union

Given automata A_1 and A_2, there is an automaton A accepting $\mathcal{L}(A) = \mathcal{L}(A_1) \cup \mathcal{L}(A_2)$

$A = (\Sigma, S, S_0, R, F)$ is an automaton which just runs non-deterministically either A_1 or A_2 on the input word.

$$S = S_1 \cup S_2$$

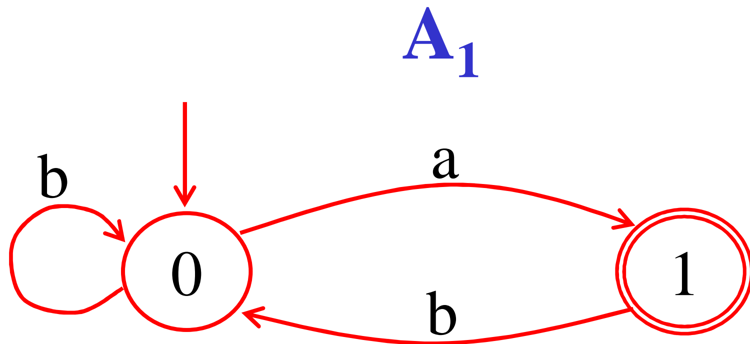
$$F = F_1 \cup F_2$$

$$S_0 = S_{01} \cup S_{02}$$

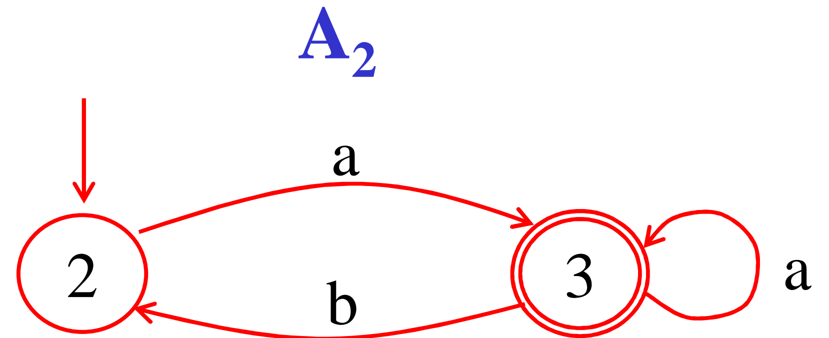
$$R(s,a) = \begin{cases} R_1(s,a) \text{ if } s \in S_1 \\ R_2(s,a) \text{ if } s \in S_2 \end{cases}$$

Finite state automata: union

$\mathbf{A_1}$

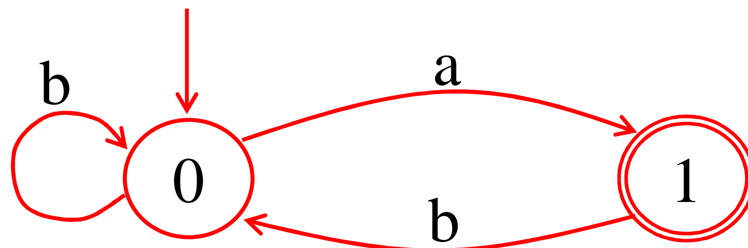


$\mathbf{A_2}$

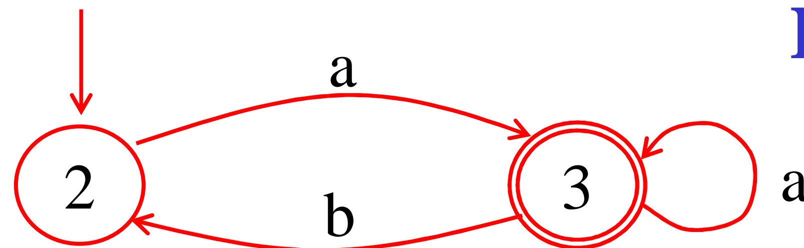


$L(A_1)=b^*(ab)^*a$

$L(A_2)=a(a^*ba)^*$



$A_1 \cup A_2$



$L(A)=L(A_1) \cup L(A_2)$

Finite state automata: intersection

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$A = (\Sigma, S, S_0, R, F)$ runs simultaneously both automata A_1 and A_2 on the input word.

$$S = S_1 \times S_2$$

$$F = F_1 \times F_2$$

$$S_0 = S_{01} \times S_{02}$$

$$R((s,t),a) = R_1(s,a) \times R_2(t,a)$$

Finite state automata: intersection

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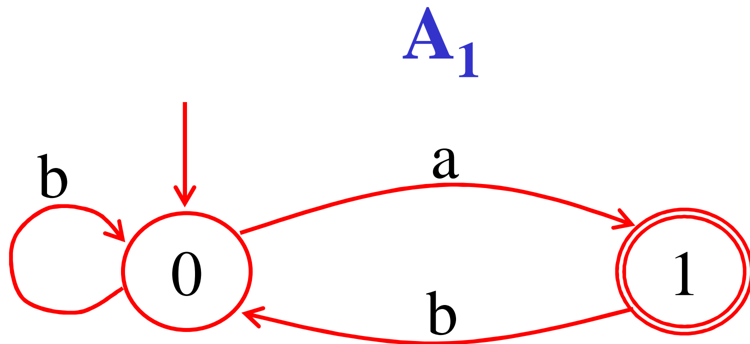
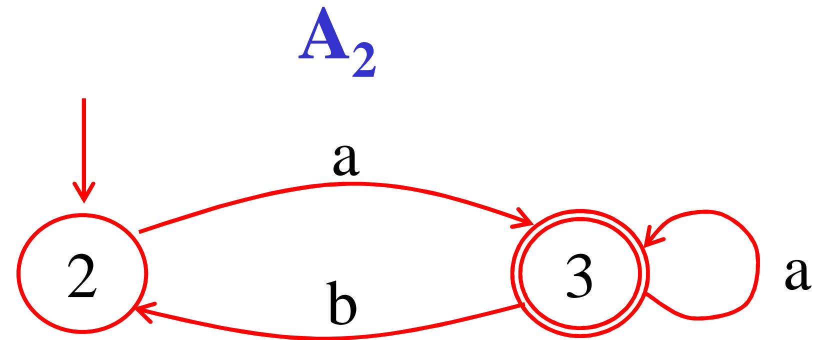


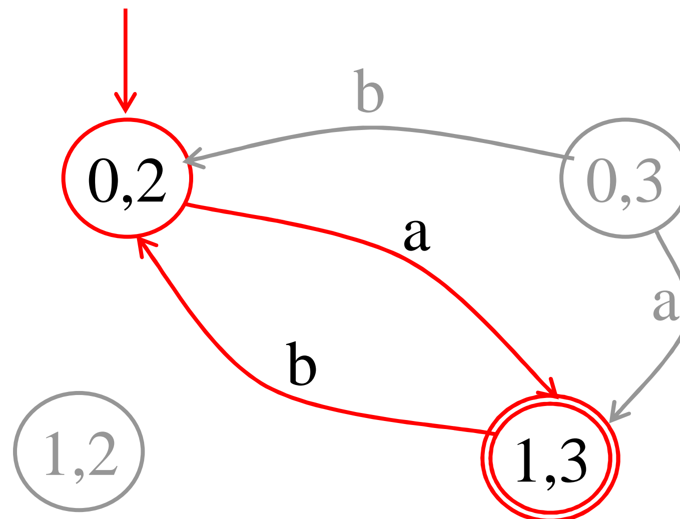
$\mathcal{L}(A_1)=b^*(ab)^*a$

A_2



$\mathcal{L}(A_2)=a(a^*ba)^*$

$A_1 \cap A_2$

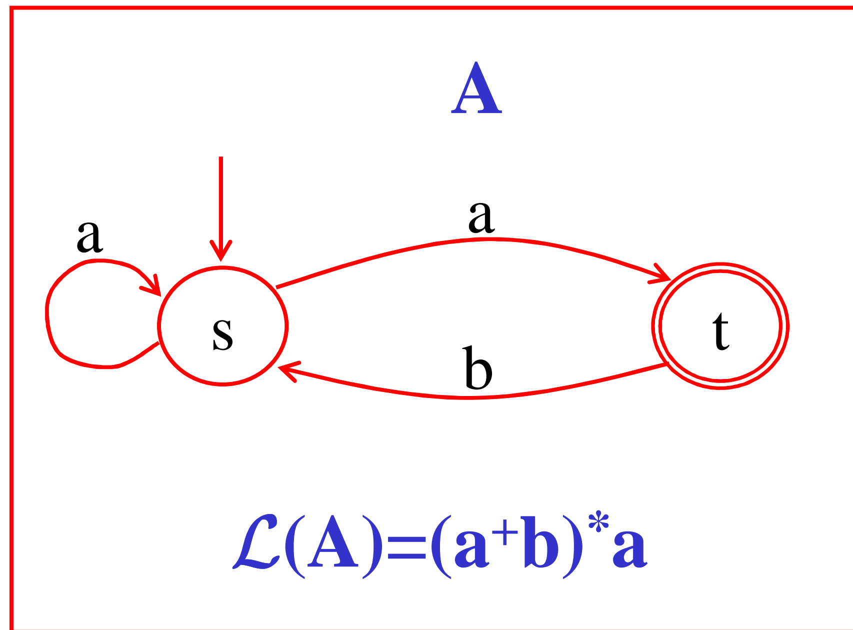


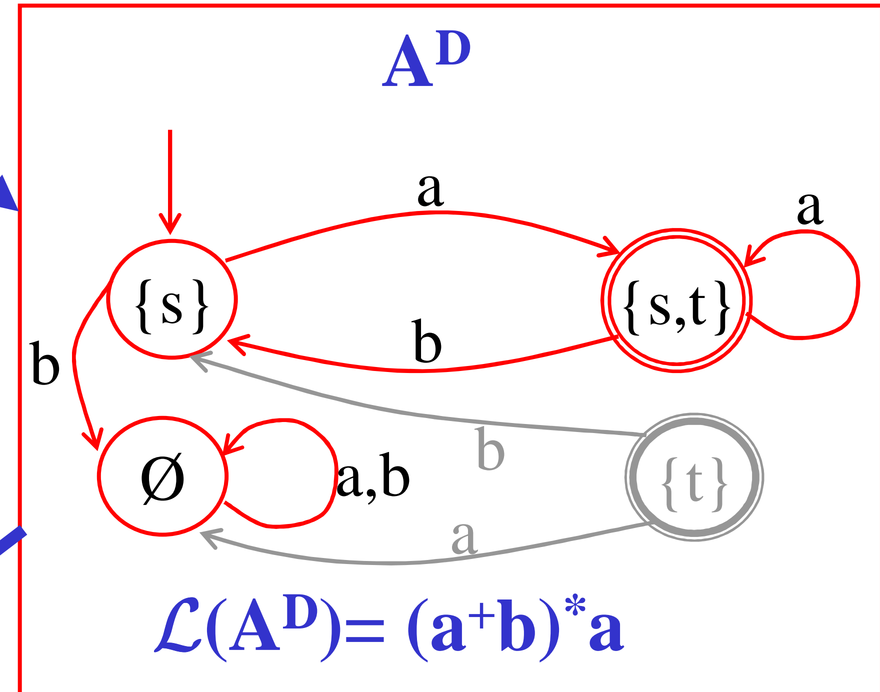
$\mathcal{L}(A)=\mathcal{L}(A_1) \cap \mathcal{L}(A_2)$

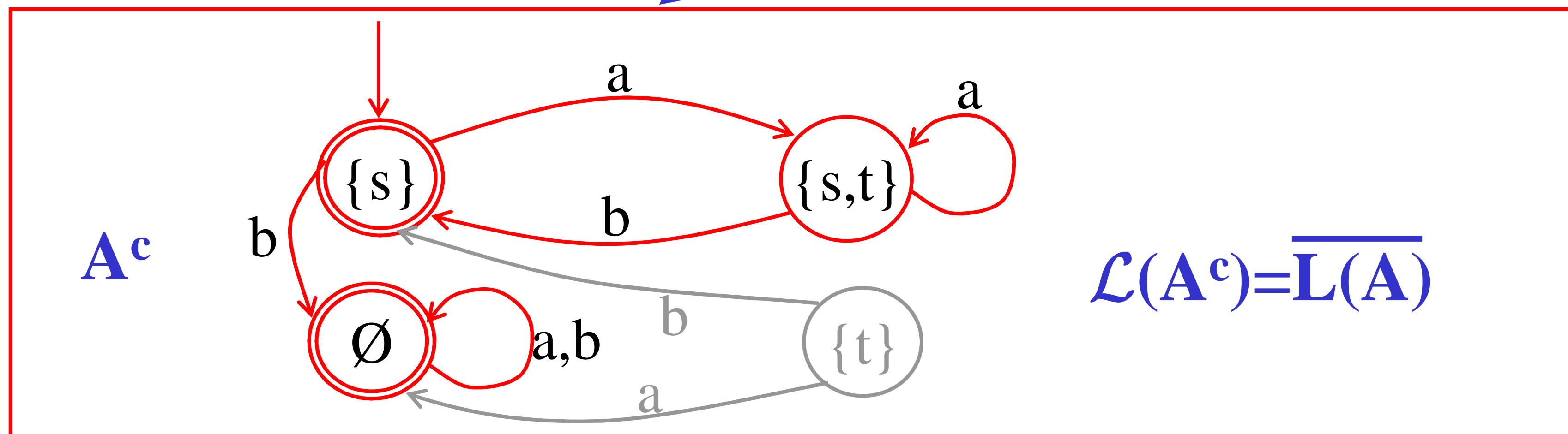
Finite state automata: complementation

- **If the automaton is deterministic, then it just suffices to set $F^c = S \setminus F$.**
- **This doesn't work, though, for *non-deterministic automata*.**
- **Solution:**
  1. ***Determinize* the automaton using the subset construction.**
  2. ***Complement* the resulting deterministic automaton**
- **The complexity of this process is *exponential* in the size of the original automaton.**
- **The number of states of the final automaton is $2^{|S|}$, in the *worst case*.**

Finite state automata: complementation







Büchi automata (BA)

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- Σ: set of input symbols
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- $R: S \times \Sigma \rightarrow 2^S$: the *transition relation*.
- F: *set of accepting states* ($F \subseteq S$)
- A *run r* on $w = a_1, a_2, \ldots$ is an infinite sequence $s_0, s_1, \ldots$ such that $s_0 \in S_0$ and $s_{i+1} \in R(s_i, a_i)$ for $i \geq 0$.
- A *run r* is *accepting* if some *accepting state in F* occurs in *r infinitely often*.
- A word w is *accepted* by *A* if there is an accepting run of A on w, and the *language* $\mathcal{L}_\omega(A)$ *accepted* by A is the set of (infinite) ω-words accepted by A.

Büchi automata (BA)

A Büchi automaton is a tuple $A = (\Sigma, S, S_0, R, F)$

- **A** ***run r*** **on** $w=a_1,a_2,\ldots$ **is an infinite sequence** $s_0,s_1,\ldots$ **such that** $s_0 \in S_0$ **and** $s_{i+1} \in R(s_i,a_i)$ **for** $i \geq 0$**.**
- **Let** $Lim(r) = \{ s \mid s = s_i$ **for infinitely many** $i \}$
- **A** ***run r*** **is** ***accepting*** **if**

$$Lim(r) \cap F \neq \varnothing$$

- **A word w is** ***accepted*** **by** ***A*** **if there is an accepting run of A on w.**
- **The** ***language*** $\mathcal{L}_\omega(A)$ ***accepted*** **by A is the set of (infinite) ω-words accepted by A.**

Büchi automata: union

Given Büchi automata A_1 and A_2, there is an Büchi automaton A accepting $\mathcal{L}_\omega(A) = \mathcal{L}_\omega(A_1) \cup \mathcal{L}_\omega(A_2)$.

The *construction* is the same as for *ordinary automata*.

$A = (\Sigma, S, S_0, R, F)$ is an automaton which just runs non-deterministically either A_1 or A_2 on the input word.

$S = S_1 \cup S_2$

$F = F_1 \cup F_2$

$S_0 = S_{01} \cup S_{02}$

$$R(s,a) = \begin{cases} R_1(s,a) \text{ if } s \in S_1 \\ R_2(s,a) \text{ if } s \in S_2 \end{cases}$$

Büchi automata: intersection

- **The intersection construction for automata does not work for Büchi automata.**
- **Instead, the intersection for Büchi automata can be defined as follows:**

$A=(\Sigma,S,S_0,R,F)$ **intuitively runs simultaneously both automata** $A_1=(\Sigma,S_1,S_{01},R_1,F_1)$ **and** $A_2=(\Sigma,S_2,S_{02},R_2,F_2)$ **on the input word.**

$$S = S_1 \times S_2 \times \{1,2\}$$

$$F = F_1 \times S_2 \times \{1\}$$

$$S_0 = S_{01} \times S_{02} \times \{1\}$$

$$R((s,t,i),a) = \begin{cases} (s',t',2) & \text{if } s' \in R_1(s,a),\ t' \in R_2(t,a),\ s \in F_1 \text{ and } i=1 \\ (s',t',1) & \text{if } s' \in R_1(s,a),\ t' \in R_2(s,a),\ t \in F_2 \text{ and } i=2 \\ (s',t',i) & \text{if } s' \in R_1(s,a),\ t' \in R_1(t,a) \end{cases}$$

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The automaton remembers *2 tracks*, one for each automaton, and *points* to one of the tracks. As soon as it goes through an accepting state on the current track, it changes track.

The accepting condition and the transition relation ensure that this change of track must happens infinitely often.

Büchi automata: intersection

A = (Σ, S, S_0, R, F) **runs simultaneously both automata** A_1 **and** A_2 **on the input word.**

$$S = S_1 \times S_2 \times \{1,2\}$$

$$F = F_1 \times S_2 \times \{1\}$$

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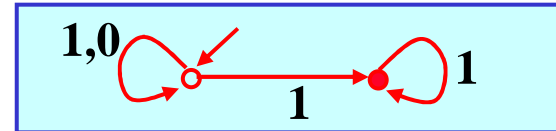
As soon as it visits an accepting state in *track 1*, it switches to *track 2* and then to *track 1* again but only after visiting an accepting state in the *track 2*.

Therefore, to visit *infinitely often* a state in F (F_1), the automaton must also visit *infinitely often* some state of F_2.

Büchi automata: complementation

It's a complicated construction -- the standard subset construction for *determinizing automata doesn't work* as *non-deterministic automata* are *more powerful* than *deterministic ones* (e.g. $\mathcal{L}_\omega=(0+1)^*1^\omega$)



Solution (resorts to another kind of automaton):

- **Transform the (non-deterministic) Büchi automaton into a (non-deterministic) *Rabin automaton* (a more general kind of ω-automaton).**
- **Determinize and then complement the Rabin automaton.**
- **Transform the Rabin automaton into a Büchi automaton.**

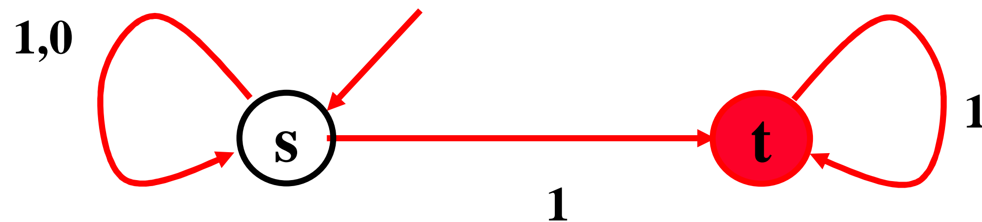
- **Therefore, also *Büchi automata are closed under complementation*.**

Rabin automata

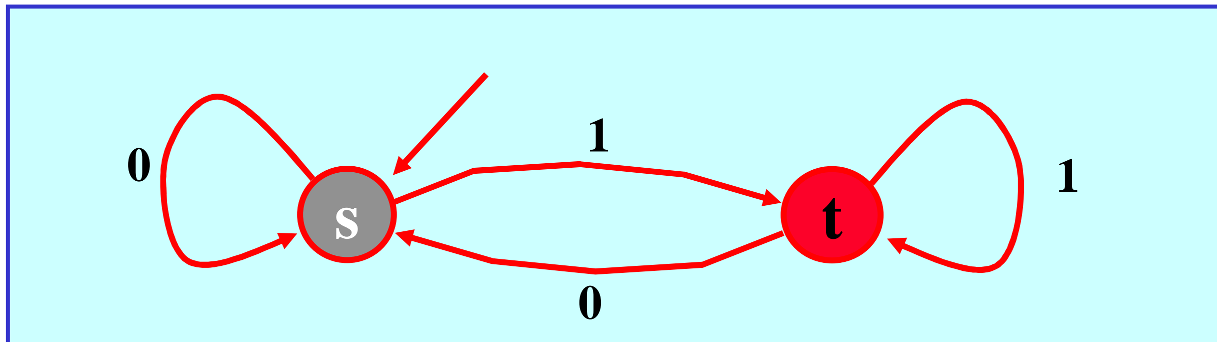
- **A Rabin automaton is like a Büchi automaton, except that the accepting condition is defined differently.**
- $A = (\Sigma, S, S_0, R, F)$, **where** $F=((G_1,B_1),\ldots,(G_m,B_m))$.
- **and the acceptance condition for a run** $r = s_0, s_1, \ldots$ **is as follows: for some** i
  - $Lim(r) \cap G_i \neq \emptyset$ **and**
  - $Lim(r) \cap B_i = \emptyset$

in other words, there is a pair (G_i,B_i) **such that the "*good*" set (**G_i**) is visited *infinitely often*, while the "*bad*" set (**B_i**) is visited only *finitely often*.**

Rabin versus Büchi automata



The Büchi automaton
fot $\mathcal{L}_\omega = (0+1)^* 1^\omega$



The **Rabin automaton**
fot $\mathcal{L}_\omega = (0+1)^* 1^\omega$

The Rabin automaton has $F=((\{t\},\{s\}))$

Note that the Rabin automaton is ***deterministic***.

Language emptiness for Büchi automata

The ***emptiness problem for Büchi automata*** is the problem of ***deciding*** whether the language accepted by a Büchi automaton **A** is empty, i.e. if **L(A)=∅**.

Theorem: The ***emptiness problem for Büchi automata*** is ***decidable in linear time***, i.e. in time **O(|A|)**.

Fact: $\mathcal{L}$**(A) = ∅** ***iff*** in the Büchi automaton there is ***no reachable cycle*** **A** ***containing a state in F***.

Language emptiness for Büchi automata

In other words, **L(A) ≠ ∅** ***iff*** there is a ***cycle*** containing an ***accepting state***, which is also ***reachable from some initial state*** of the automaton.

We need to find whether there is such a reachable cycle

We could simply compute the ***SCCs*** of **A** using the standard ***DFS*** algorithm, and check if there exists a reachable (***nontrivial***) ***SCC*** containing a state in ***F***.

But this is usually ***too inefficient*** in practice. We will therefore use a ***more efficient nested DFS*** (more efficient in the ***average-case***).

Efficient language emptiness for BA

Input: A

Initialize: $Stack_1 := \emptyset$, $Stack_2 := \emptyset$
$Table_1 := \emptyset$, $Table_2 := \emptyset$

```
Algorithm Main()
    foreach  s ∈ Init
        if  s ∉ Table1  then
            DFS1(s);
    output("empty");
    return;

Algorithm DFS1(s)
    push(s,Stack1);
    hash(s,Table1);
    foreach  t ∈ Succ(s)
        if t ∉ Table1 then
            DFS1(t);
    if  s ∈ F then
        DFS2(s);
    pop(Stack1);

Algorithm DFS2(s)
    push(s,Stack2);
    hash(s,Table2) ;
    foreach t ∈ Succ (s) do
        if t ∉ Table2 then
            DFS2(t)
        else  if t is on Stack1
            output("not empty");
            output(Stack1,Stack2,t);
            return;
    pop(Stack2);
```

Note: upon finding a bad cycle, $Stack_1 + Stack_2 + t$, determines a counterexample: a bad cycle reached from an init state.

Generalized Büchi automata (GBA)

Generalized Büchi automaton: $A = (\Sigma, S, S_0, R, (F_0, \ldots, F_{m-1}))$

- A *run r* on $w = a_1, a_2, \ldots$ is an infinite sequence $s_0, s_1, \ldots$ such that $s_0 \in S_0$ and $s_{j+1} \in R(s_j, a_j)$ for $j \geq 0$.
- Let $Lim(r) = \{ s \mid s = s_k$ for infinitely many $k \}$
- A *run r* is *accepting* if for each $0 \leq i < m$

$$Lim(r) \cap F_i \neq \emptyset$$

Any *Generalized Büchi automaton* can be easily transformed into a *Büchi automaton* as follows:

$$\mathcal{L}(A) = \bigcap_{i \in \{0, \ldots, m-1\}} \mathcal{L}(<\Sigma, S, S_0, R, F_i>)$$

This transformation is *not very efficient*, though.

From GBA to BA efficiently

Generalized Büchi automaton: $A = (\Sigma, S, S_0, R, (F_0, \ldots, F_{m-1}))$

A *Generalized Büchi automaton* A can be *efficiently* transformed into a *Büchi automaton* $A' = (\Sigma, S', S'_0, R', F')$ as follows:

$$S' = S \times \{0, \ldots, m\text{-}1\}$$

$$F' = F_i \times \{i\} \text{ for some } 0 \le i < m$$

$$S'_0 = S_0 \times \{i\} \text{ for some } 0 \le i < m$$

$$R'((s,i),a) = \begin{cases} (s', (i+1 \bmod m)) & \text{if } s' \in R(s,a) \text{ and } s \in F_i \\ (s', i) & \text{if } s' \in R(s,a) \text{ and } s \notin F_i \end{cases}$$

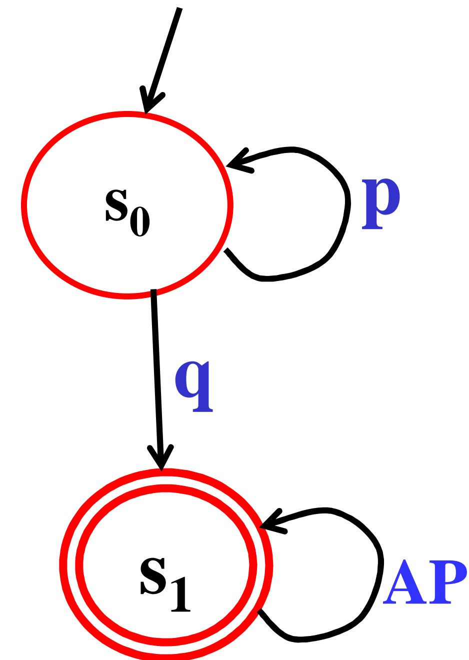
Notice that the transformation above expands the automaton size by a factor of m (compare with *Büchi Intersection*).

LTL and Büchi automata: example

- The following Büchi automaton recognizes the models of the LTL formula **p U q**
- Indeed, all these models have the form:

$$p^*q\ AP^\omega$$

where by AP^ω we mean any infinite sequence of atomic propositions in **AP**.



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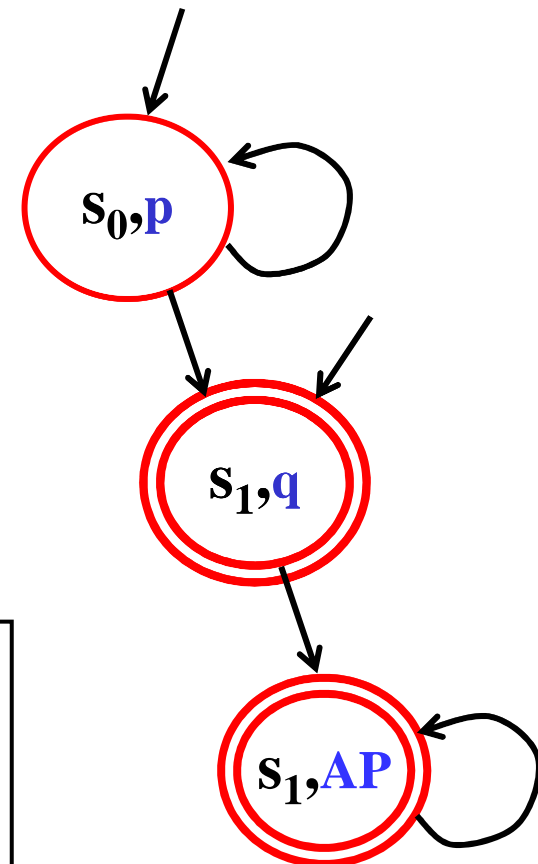


Notice that for convenience, we shall associate ***symbols to states*** instead of arcs (the general mapping between the two versions of ***Büchi automata*** can be easily defined).

LTL-semantics and Büchi automata

- **A formula ψ expresses a property of ω-words, i.e., an ω-language $\mathcal{L}(\psi) \subseteq \Sigma_{AP}{}^{\omega}$.**
- **For ω-word $\sigma = \sigma_0, \sigma_1, \sigma_2, \ldots \in \Sigma_{AP}{}^{\omega}$, let $\sigma^i = \sigma_i, \sigma_{i+1}, \sigma_{i+2} \ldots$ be the suffix of σ starting at position i. We defined the "*satisfies*" relation, $\models$, inductively:**
  - $\sigma^i \models p_j$ **iff** $p_j \in \sigma_i$ **(for $p_j \in AP$).**
  - $\sigma^i \models \neg\psi$ **iff** **not** $\sigma^i \models \psi$.
  - $\sigma^i \models \psi_1 \vee \psi_2$ **iff** $\sigma^i \models \psi_1$ **or** $\sigma^i \models \psi_2$.
  - $\sigma^i \models X\psi$ **iff** $\sigma^{i+1} \models \psi$.
  - $\sigma^i \models \psi_1 \, U \, \psi_2$ **iff** $\exists k \geq i. (\sigma^k \models \psi_2$ **and** $\forall 0 \leq j < k. \, \sigma^j \models \psi_1)$
  - $\sigma^i \models \psi_1 \, R \, \psi_2$ **iff** $\forall k \geq i. (\sigma^k \models \psi_2$ **or** $\exists 0 \leq j < k. \, \sigma^j \models \psi_1)$
- **We can then define the language $L(\psi) = \{ \sigma \mid \sigma^0 \models \psi \}$.**

Relation with Kripke structures

We extend our definition of "*satisfies*" to transition systems, or *Kripke structures*, as follows:

- **given a run $\pi = s_0 \rightarrow s_1 \rightarrow \ldots \rightarrow s_k \rightarrow \ldots$ of K_{AP}, let**

$$L(\pi) = L(s_0)\, L(s_1) \ldots L(s_k) \ldots$$

notice that $L(\pi) \in \Sigma_{AP}^{\omega}$

- **Then $K_{AP} \models \psi$ iff *<u>for all</u>* computations (runs) π of K_{AP}, $L(\pi) \models \psi$.**

In other words:

- **setting $\mathcal{L}(K_{AP}) = \{L(\pi) \mid \pi$ is an infinite path in $K_{AP}\}$**

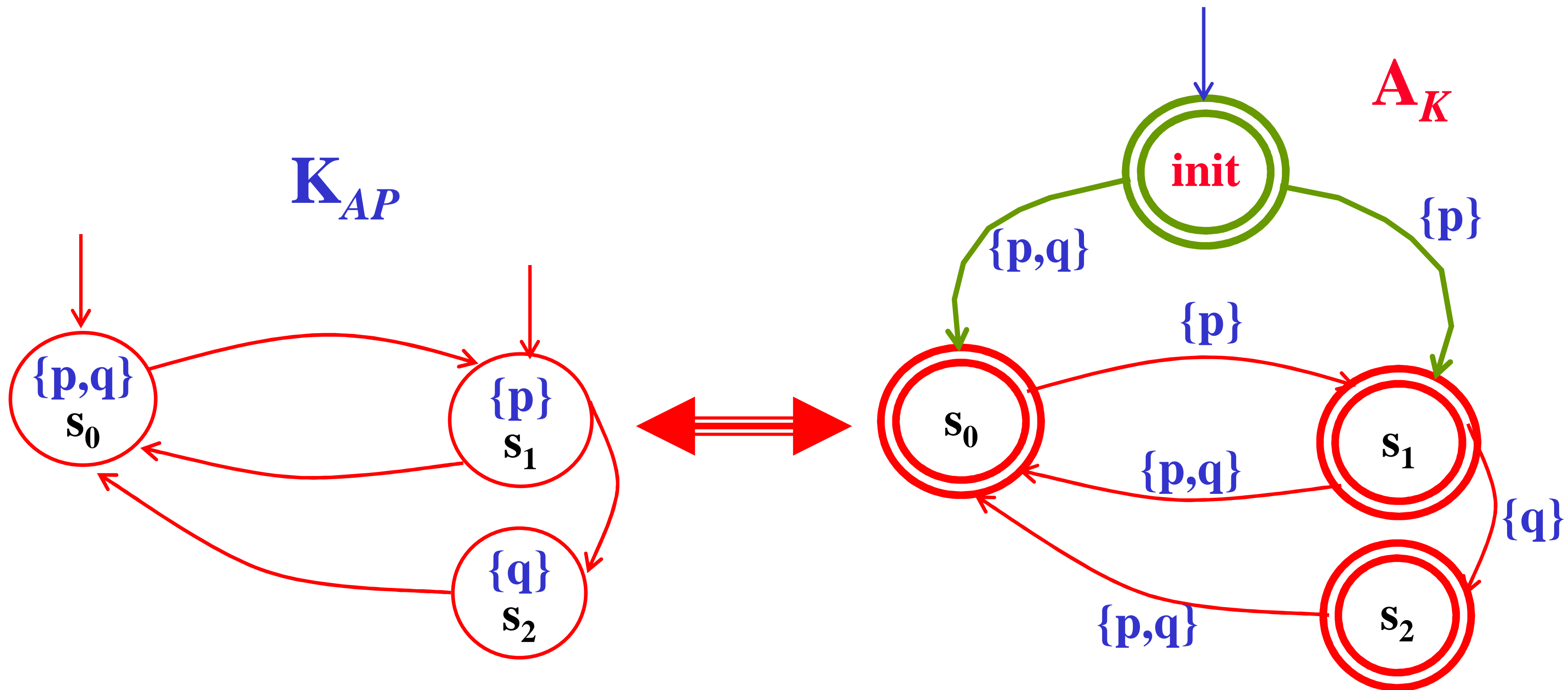
$$K_{AP} \models \psi \Leftrightarrow \mathcal{L}(K_{AP}) \subseteq \mathcal{L}(\psi).$$

LTL Model Checking: explanation

$$
\begin{aligned}
\mathbf{K}_{AP} \models \psi \quad &\Leftrightarrow \quad \mathcal{L}(\mathbf{K}_{AP}) \subseteq \mathcal{L}(\psi) \\
&\Leftrightarrow \quad \mathcal{L}(\mathbf{K}_{AP}) \cap (\Sigma_{AP}{}^{\omega} \setminus \mathcal{L}(\psi)) = \emptyset \\
&\Leftrightarrow \quad \mathcal{L}(\mathbf{K}_{AP}) \cap \mathcal{L}(\neg\psi) = \emptyset \\
&\Leftrightarrow \quad \mathcal{L}(\mathbf{K}_{AP}) \cap \mathcal{L}(\mathbf{A}_{\neg\psi}) = \emptyset
\end{aligned}
$$

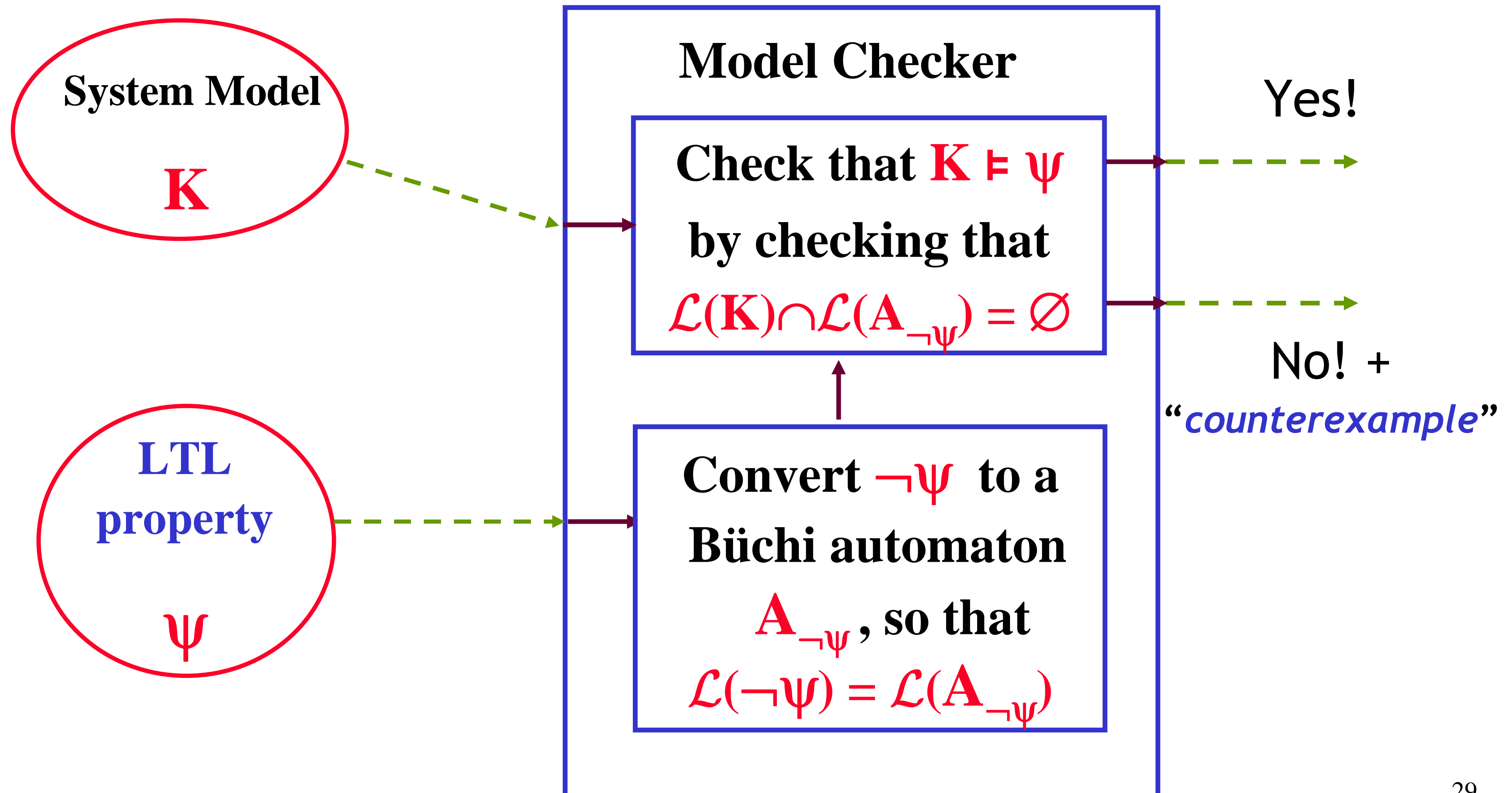
Relation with Kripke structures

We can transform any Kripke structure into a Büchi automaton as follows:



where ***every state is accepting*****!**

LTL Model Checking



The algorithmic tasks to perform

We have reduced LTL *model checking* to two tasks:

1. **Convert an LTL formula φ (i.e. $\neg\psi$) into a Büchi automaton A_φ, such that $\mathcal{L}(\varphi) = \mathcal{L}(A_\varphi)$.**
   - **Can we do this in general? …. Yes!!!……**
2. **Check whether $K_{AP} \models \psi$, by checking whether the intersection of languages $\mathcal{L}(K_{AP}) \cap \mathcal{L}(A_{\neg\psi})$ is empty.**
   - **It is actually unwise to first construct all of K_{AP}, because K_{AP} can be far too big (*state explosion*).**
   - **Instead, it is possible perform the check by *constructing* states of K_{AP} only *as needed*.**

LTL to BA translation

- First, let's put LTL formulas φ in ***normal form*** where:
  - $\neg$'s have been "**pushed in**", applying only to ***propositions***.
  - the only propositional operators are $\neg$, $\wedge$, $\vee$.
  - the only temporal operators are **X**, **U** and its dual **R**.
- We can use the following rules:
  - $p \rightarrow q \equiv \neg p \vee q$ (***definition***);
  - $\neg(p \vee q) \equiv \neg p \wedge \neg q$ (***De Morgan****'s low*);
  - $\neg(p \wedge q) \equiv \neg p \vee \neg q$ (***De Morgan****'s low*);
  - $\neg\neg p \equiv p$ (***double negation*** *low*);
  - $\neg(p \mathbf{U} q) \equiv (\neg p) \mathbf{R} (\neg q)$;
  - $\neg(p \mathbf{R} q) \equiv (\neg p) \mathbf{U} (\neg q)$;
  - $\mathbf{F} p \equiv \top \mathbf{U} p$; $\mathbf{G} p \equiv \bot \mathbf{R} p$;
  - $\neg \mathbf{X} p \equiv \mathbf{X} \neg p$ (***linearity***)

LTL to BA translation

- First, let's put LTL formulas φ in ***normal form***
  - $\neg$'s have been "**pushed in**", applying only to propositions.
- We use the following rules:
  - $p \rightarrow q \equiv \neg p \vee q$; $\neg(p \vee q) \equiv \neg p \wedge \neg q$; $\neg(p \wedge q) \equiv \neg p \vee \neg q$; $\neg\neg p \equiv p$;
  - $\neg (p\ \mathbf{U}\ q) \equiv (\neg p)\ \mathbf{R}\ (\neg q)$; $\neg (p\ \mathbf{R}\ q) \equiv (\neg p)\ \mathbf{U}\ (\neg q)$
  - $F\ p \equiv \top\ \mathbf{U}\ p$; $G\ p \equiv \bot\ \mathbf{R}\ p$; $\neg X\ p \equiv X \neg p$;

Examples:

$((p\ U\ q) \rightarrow F\ r) \equiv \neg(p\ U\ q) \vee F\ r \equiv \neg(p\ U\ q) \vee (\top\ U\ r) \equiv$

$\equiv (\neg p\ R \neg q) \vee (\top\ U\ r)$

$GF\ p \rightarrow F\ r \equiv (\bot\ \mathbf{R}\ (Fp)) \rightarrow (\top\ U\ p) \equiv (\bot\ \mathbf{R}\ (\top\ U\ p)) \rightarrow (\top\ U\ r) \equiv$

$\equiv \neg (\bot\ \mathbf{R}\ (\top\ U\ p)) \vee (\top\ U\ r) \equiv (\top\ U \neg (\top\ U\ p)) \vee (\top\ U\ r) \equiv$

$\equiv (\top\ U\ (\bot\ R \neg p)) \vee (\top\ U\ r)$

LTL to BA translation: intuition

- States of A_φ will be ***sets of subformulas*** of φ, thus if $\varphi = p_1 U \neg p_2$, a state is given by $\Gamma \subseteq \{p_1, p_2, \neg p_2, p_1 U \neg p_2\}$.
- Consider a word $\sigma = \sigma_0, \sigma_1, \sigma_2, \ldots \in \Sigma_{AP}^{\omega}$ such that $\sigma \models \varphi$, where, e.g., $\varphi = \psi_1 U \psi_2$.
- Mark each position i with the set of subformulas Γ_i of φ that hold true there:

$$\Gamma_0 \;\; \Gamma_1 \;\; \Gamma_2 \;\; \ldots\ldots\ldots\ldots$$

$$\sigma_0 \;\; \sigma_1 \;\; \sigma_2 \;\; \ldots\ldots\ldots\ldots$$

- Clearly, $\varphi \in \Gamma_0$. But then, by ***consistency***, either:
  - $\psi_1 \in \Gamma_0$ and $\varphi \in \Gamma_1$, or
  - $\psi_2 \in \Gamma_0$.
- The consistency rules dictate our states and transitions.

LTL to BA translation

Let **sub(φ)** denote the set of subformulas of φ.

We define $\mathbf{A_\varphi = (Q, \Sigma, R, L, Init, F)}$ as follows.

First, the state set:

- $\mathbf{Q} = \{\Gamma \subseteq \mathbf{sub(\varphi)} \mid$ s.t. Γ is ***locally consistent*** $\}$.
  - For Γ to be ***locally consistent*** we should have:
    - $\bot \notin \Gamma$
    - if $\psi \lor \gamma \in \Gamma$, then $\psi \in \Gamma$ or $\gamma \in \Gamma$.
    - if $\psi \land \gamma \in \Gamma$, then $\psi \in \Gamma$ and $\gamma \in \Gamma$.
    - if $\mathbf{p_i} \in \Gamma$ then $\neg \mathbf{p_i} \notin \Gamma$, and if $\neg \mathbf{p_i} \in \Gamma$ then $\mathbf{p_i} \notin \Gamma$.
    - if $\psi \mathbf{U} \gamma \in \Gamma$, then ($\psi \in \Gamma$ or $\gamma \in \Gamma$).
    - if $\psi \mathbf{R} \gamma \in \Gamma$, then $\gamma \in \Gamma$.

LTL to BA translation

Now, labeling the states of $\mathbf{A}_\varphi$:

- The labeling $\mathbf{L: Q \mapsto \Sigma}$ is $\mathbf{L(\Gamma) = \{l \in sub(\varphi) \cap \Sigma \mid l \in \Gamma\}}$.
  - Now, a word $\sigma = \sigma_0 \sigma_1 \ldots \in (\Sigma_{AP})^\omega$ is in $\mathcal{L}(\mathbf{A}_\varphi)$ ***iff*** there is a run $\pi = \Gamma_0 \rightarrow \Gamma_1 \rightarrow \Gamma_2 \rightarrow \ldots$ of $\mathbf{A}_\varphi$, s.t., $\forall \mathbf{i} \in \mathbb{N}$, we have that σ_i "***satisfies***" $\mathbf{L(\Gamma_i)}$, i.e., σ_i is a "***satisfying assignment***" for $\mathbf{L(\Gamma_i)}$.
  - This constitutes a slight redefinition of Büchi automata, where ***labeling is on the states*** instead of on the edges. This facilitates a much more compact $\mathbf{A}_\varphi$.

LTL to BA translation

Now, the transition relation, and the rest of $\mathbf{A}_\varphi$.

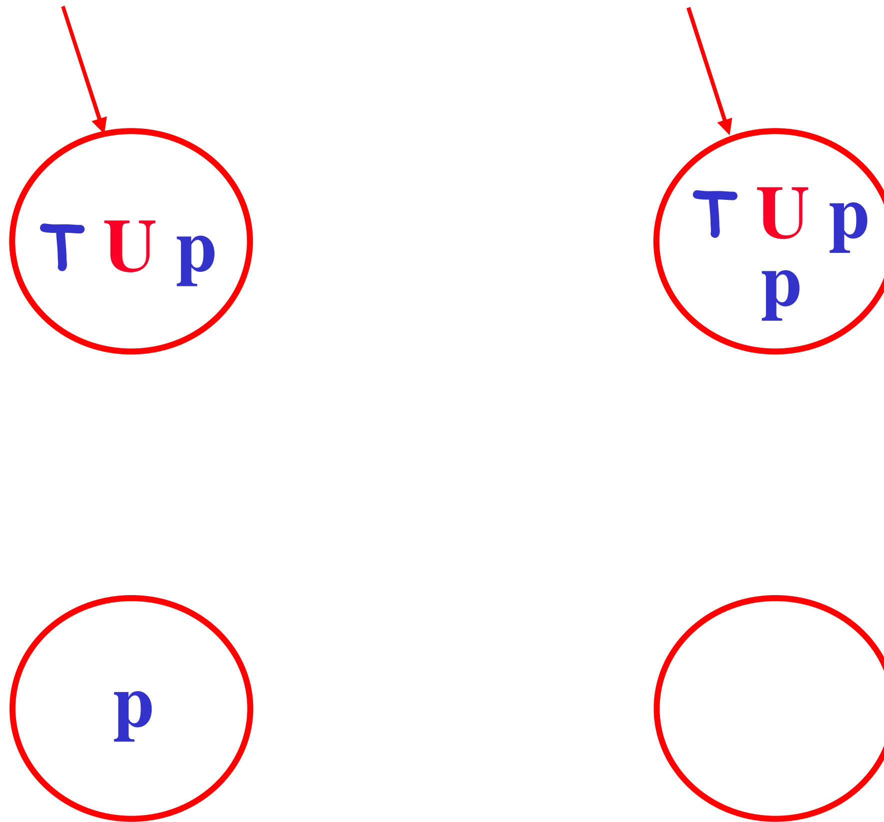
Based on the following ***LTL rules***:

- $(\psi\ \mathbf{U}\ \gamma) \equiv \gamma \vee (\ \psi \wedge \mathbf{X}\ (\psi\ \mathbf{U}\ \gamma)\ )$
- $(\psi\ \mathbf{R}\ \gamma) \equiv \gamma \wedge (\psi \vee \mathbf{X}\ (\psi\ \mathbf{R}\ \gamma)) \equiv (\ \gamma \wedge \psi) \vee (\gamma \wedge \mathbf{X}(\psi\ \mathbf{R}\ \gamma))$

and on the ***semantics of* X**, we define:

- $\mathbf{R} \subseteq \mathbf{Q} \times \mathbf{Q}$, where $(\Gamma,\Gamma') \in \mathbf{R}$ iff:
  - if $(\psi\ \mathbf{U}\ \gamma) \in \Gamma$ then $\gamma \in \Gamma$, or $(\psi \in \Gamma$ and $(\psi\ \mathbf{U}\ \gamma) \in \Gamma')$.
  - if $(\psi\ \mathbf{R}\ \gamma) \in \Gamma$ then $\gamma \in \Gamma$, and $(\psi \in \Gamma$ or $(\psi\ \mathbf{R}\ \gamma) \in \Gamma')$.
  - if $\mathbf{X}\ \psi \in \Gamma$, then $\psi \in \Gamma'$.

LTL to BA translation: example

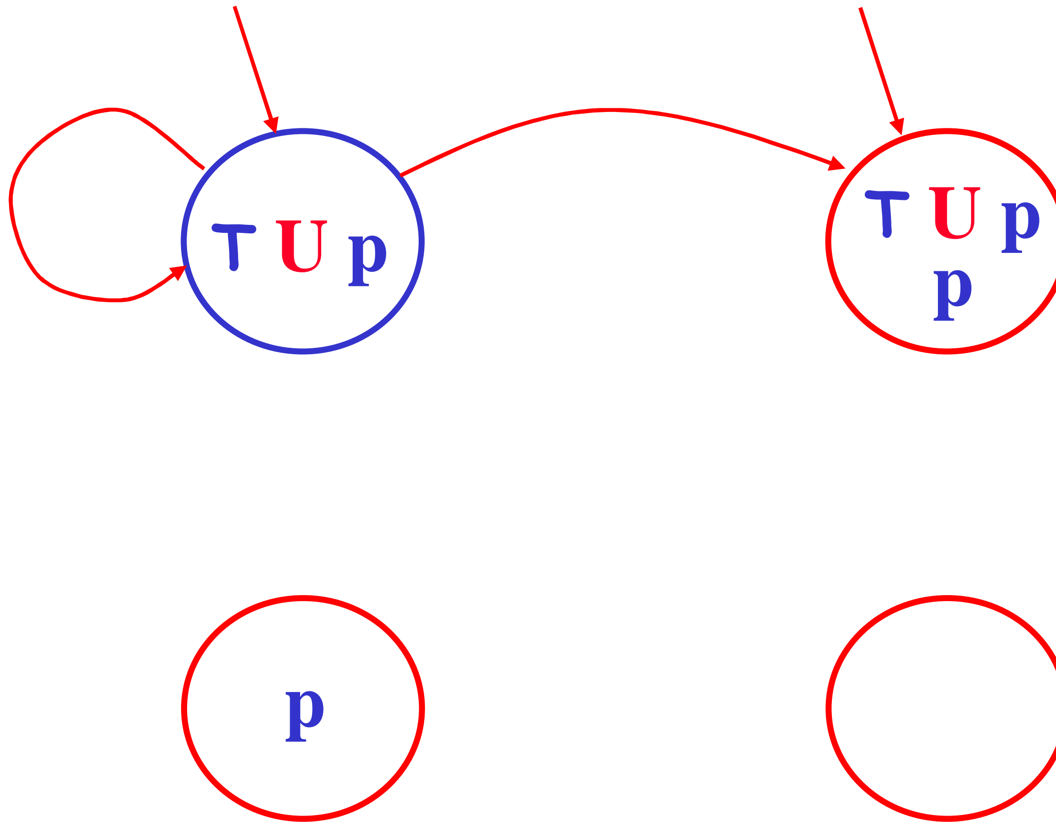


Consider the following formula: $\mathbf{F}\, p \equiv \top\, \mathbf{U}\, p$

$$\mathrm{sub}(\top\, \mathbf{U}\, p) = \{\top\, \mathbf{U}\, p\, , p\}$$

$$\mathrm{Init} = \{\Gamma \in \mathrm{sub}(\top\, \mathbf{U}\, p) \mid \top\, \mathbf{U}\, p \in \Gamma\}$$

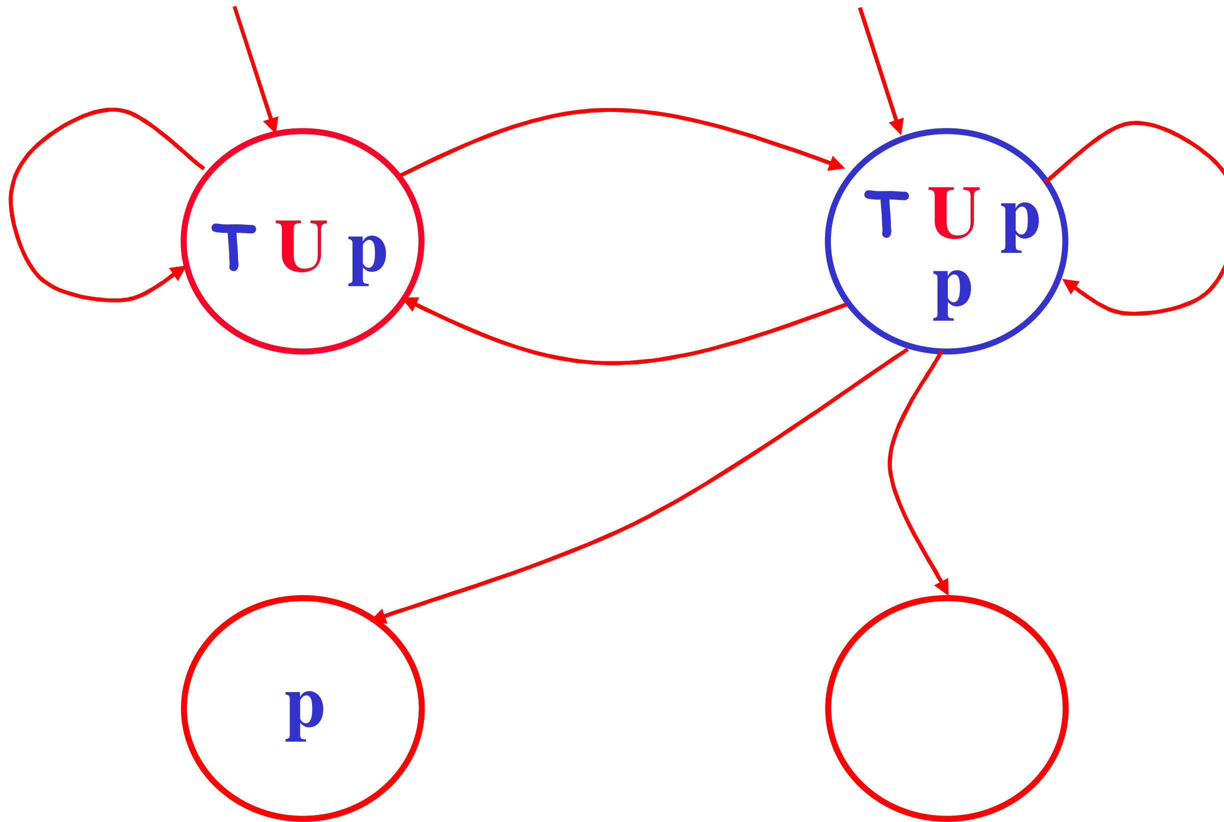
LTL to BA translation: example



Consider the following formula: $\top \, \mathbf{U} \, p$

$$(\top \, \mathbf{U} \, p) \equiv p \vee \mathbf{X} \, (\top \, \mathbf{U} \, p)$$

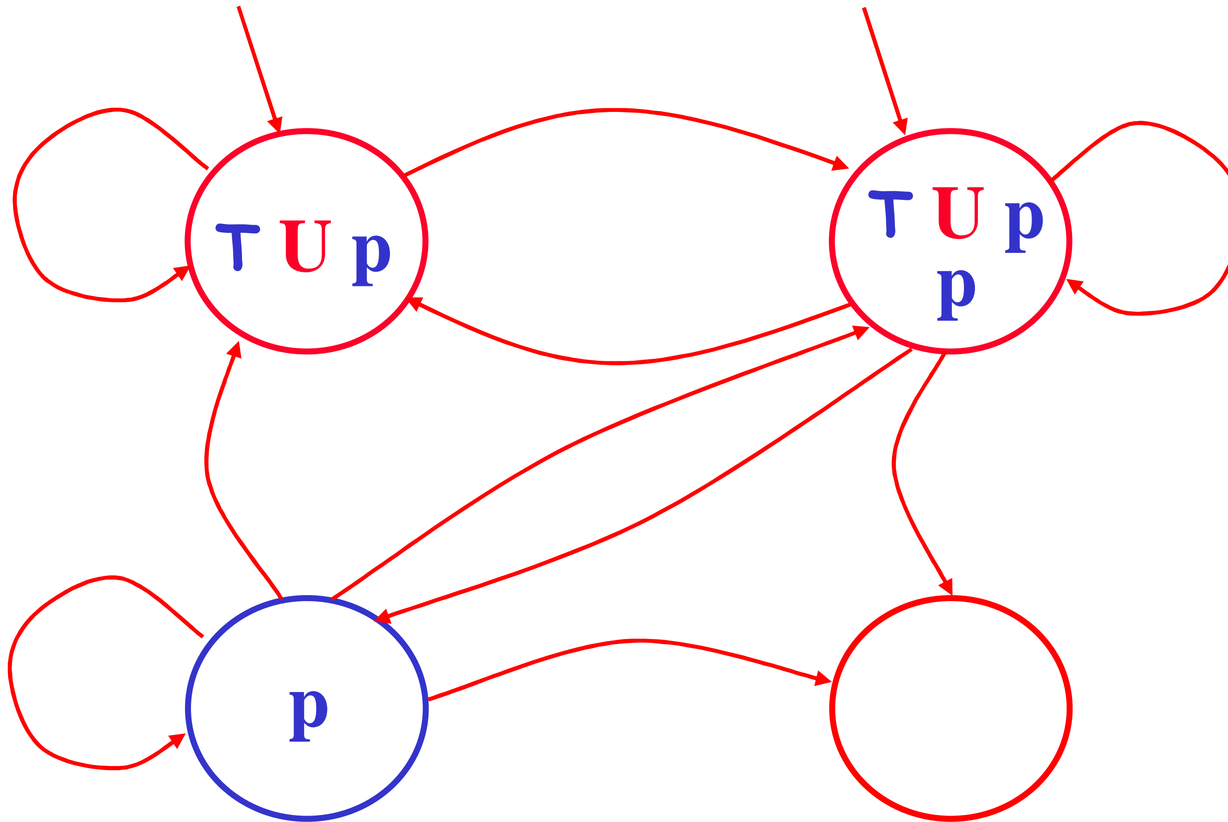
LTL to BA translation: example



Consider the following formula: $\top \, \mathbf{U} \, p$

$$(\top \, \mathbf{U} \, p) \equiv p \vee \mathbf{X} \, (\top \, \mathbf{U} \, p)$$

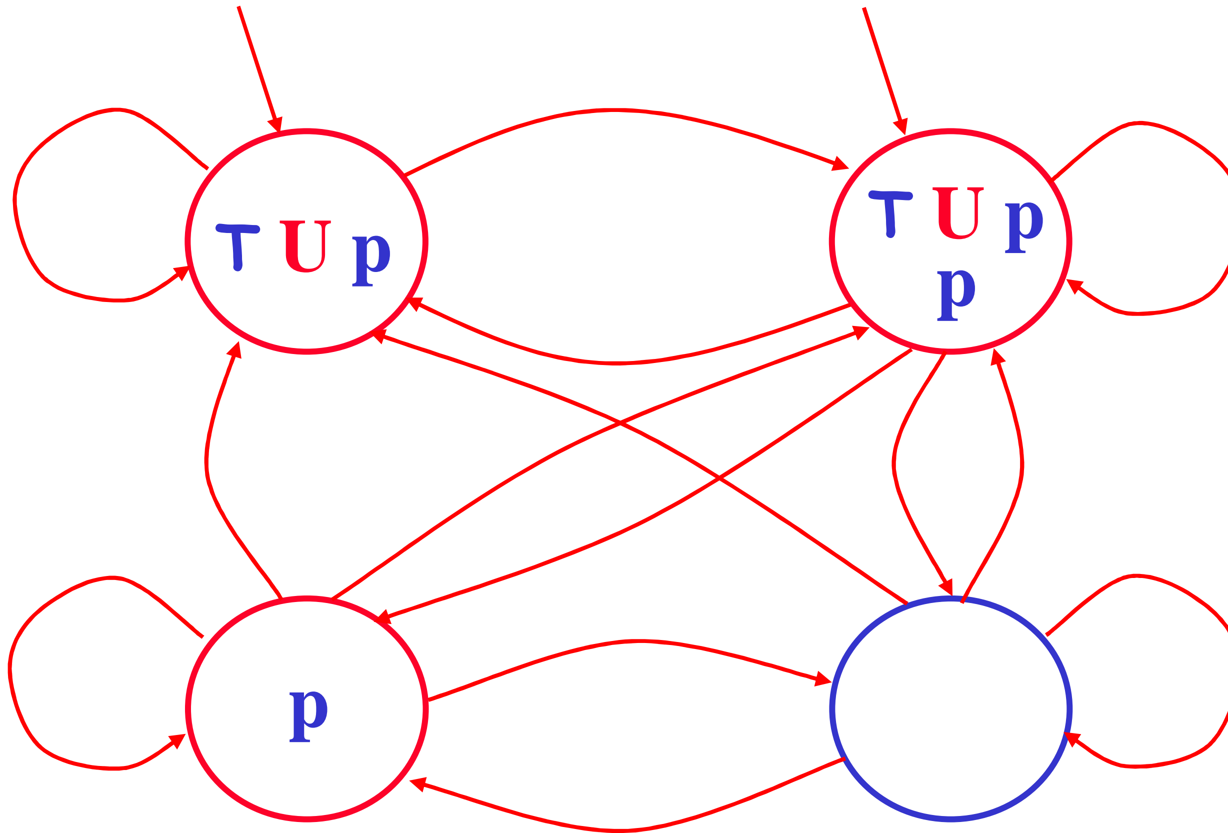
LTL to BA translation: example



Consider the following formula: $\top \, \mathbf{U} \, p$

$$(\top \, \mathbf{U} \, p) \equiv p \vee \mathbf{X} \, (\top \, \mathbf{U} \, p)$$

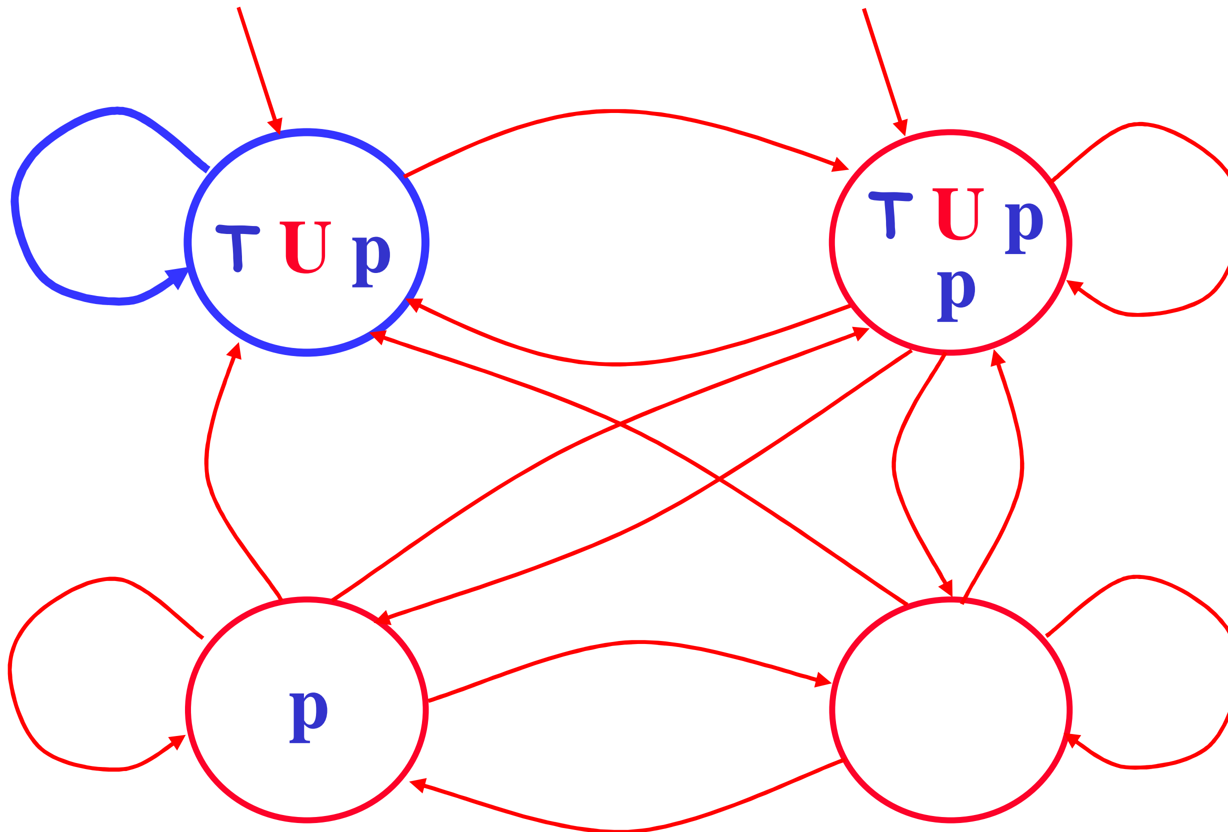
LTL to BA translation: example



Consider the following formula: $\top \, \mathbf{U} \, p$

$$(\top \, \mathbf{U} \, p) \equiv p \vee \mathbf{X} \, (\top \, \mathbf{U} \, p)$$

LTL to BA translation: example



In this automaton are runs, e.g. [⊤ U p]$^{\omega}$, where p never occurs. These run must not be accepting!

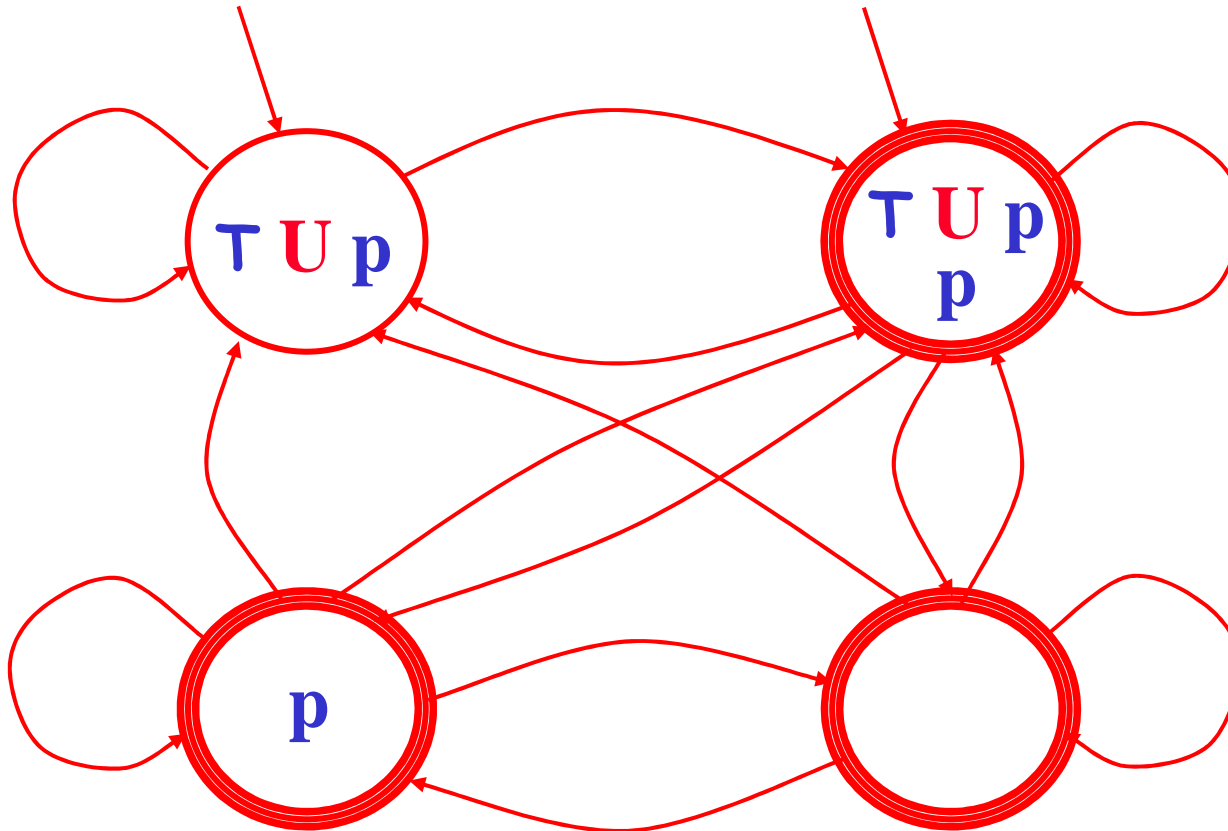
LTL to BA translation

- **Init** = {Γ ∈ **Q** | φ ∈ Γ}.
- For each (ψ **U** γ) ∈ **sub(φ)**, there is a set $\mathbf{F}_i \in \mathbf{F}$, such that:
  - $\mathbf{F}_i$ = {Γ ∈ **Q** | (ψ **U** γ) ∉ Γ or γ ∈ Γ}
  - (or equivalently $\mathbf{F}_i$ = {Γ ∈ **Q** | if (ψ **U** γ) ∈ Γ, then γ ∈ Γ})
  - (notice that if there are ***no*** (ψ **U** γ) ∈ **sub(φ)**, then the acceptance condition is the trivial one: all states are accepting)

Lemma: $\mathcal{L}(\varphi) = \mathcal{L}(\mathbf{A}_\varphi)$.

But $\mathbf{A}_\varphi$ is now a ***generalized Büchi automaton*** …

LTL to BA translation: example

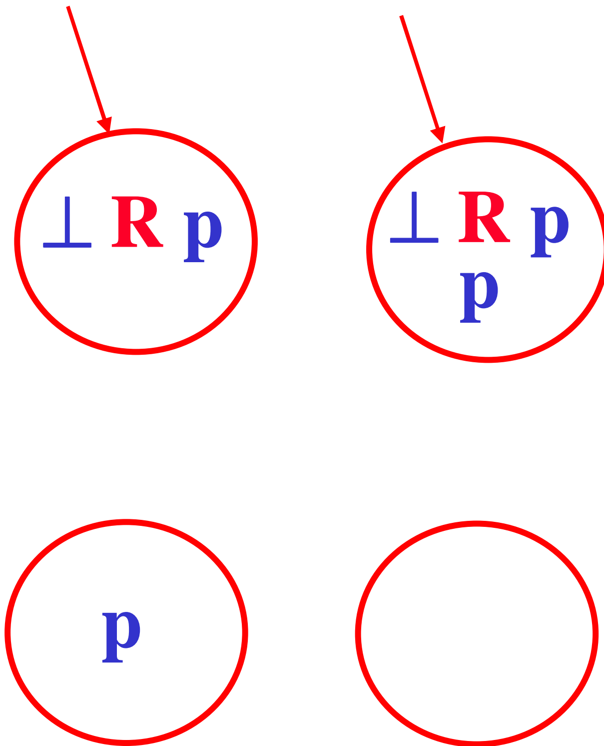


Consider the following formula: $\top \, U \, p$

$$\mathrm{sub}(\top \, U \, p) = \{\top \, U \, p \, , \mathrm{p}\}$$

$$F = \{F_{\top U p}\} = \{\Gamma \in \mathrm{sub}(\top \, U \, p) \mid (\top \, U \, p) \notin \Gamma \text{ or } p \in \Gamma\}$$

LTL to BA translation: example

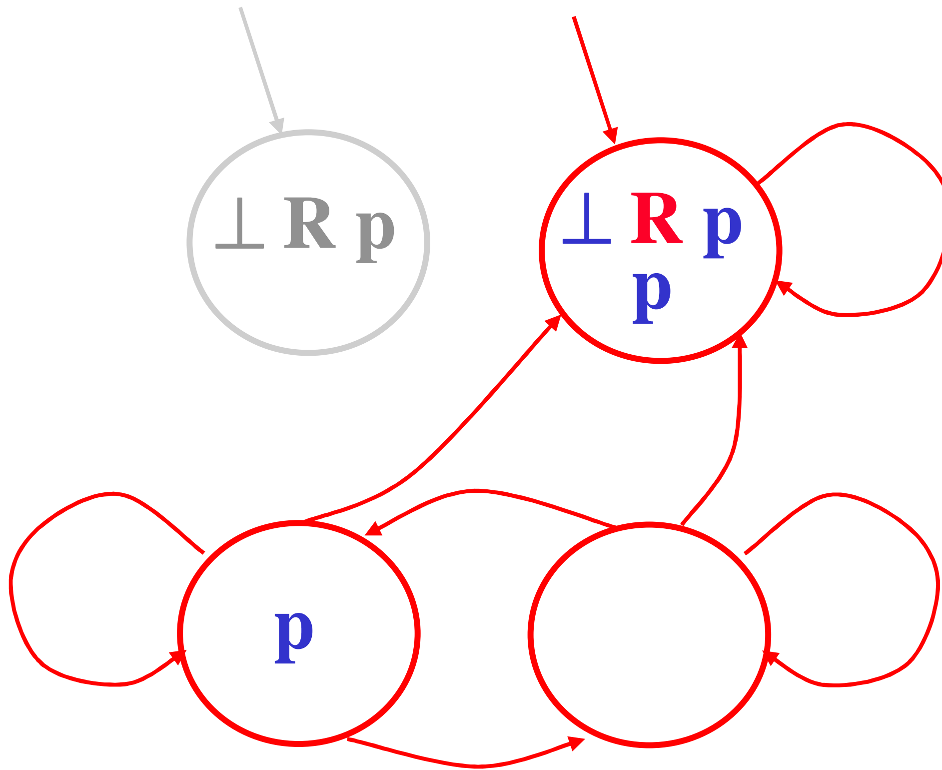


Consider the following formula: $\mathbf{G}\, p \equiv \bot\, \mathbf{R}\, p$

$$\mathrm{sub}(\bot\, \mathbf{R}\, p) = \{\bot\, \mathbf{R}\, p\ , p\}$$

$$\mathrm{Init} = \{\Gamma \in \mathrm{sub}(\bot\, \mathbf{R}\, p) \mid \bot\, \mathbf{R}\, p \in \Gamma\}$$

LTL to BA translation: example

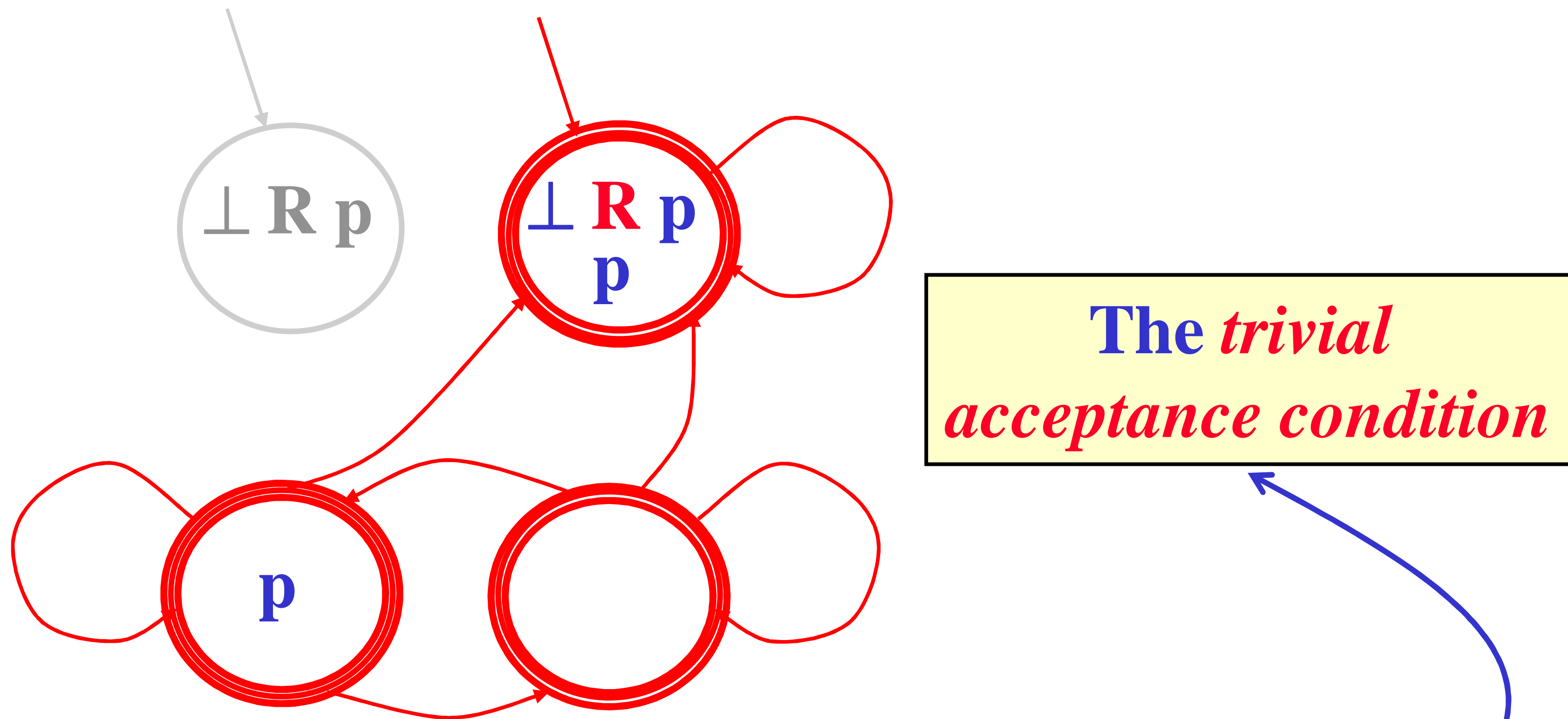


Consider the following formula: $\mathbf{G}\, p \equiv \bot\, \mathbf{R}\, p$

$$\mathbf{sub}(\bot\, \mathbf{R}\, p) = \{\bot\, \mathbf{R}\, p\, ,\, p\}$$

$$(\bot\, \mathbf{R}\, p) \equiv p \wedge \mathbf{X}\, (\bot\, \mathbf{R}\, p)$$

LTL to BA translation: example



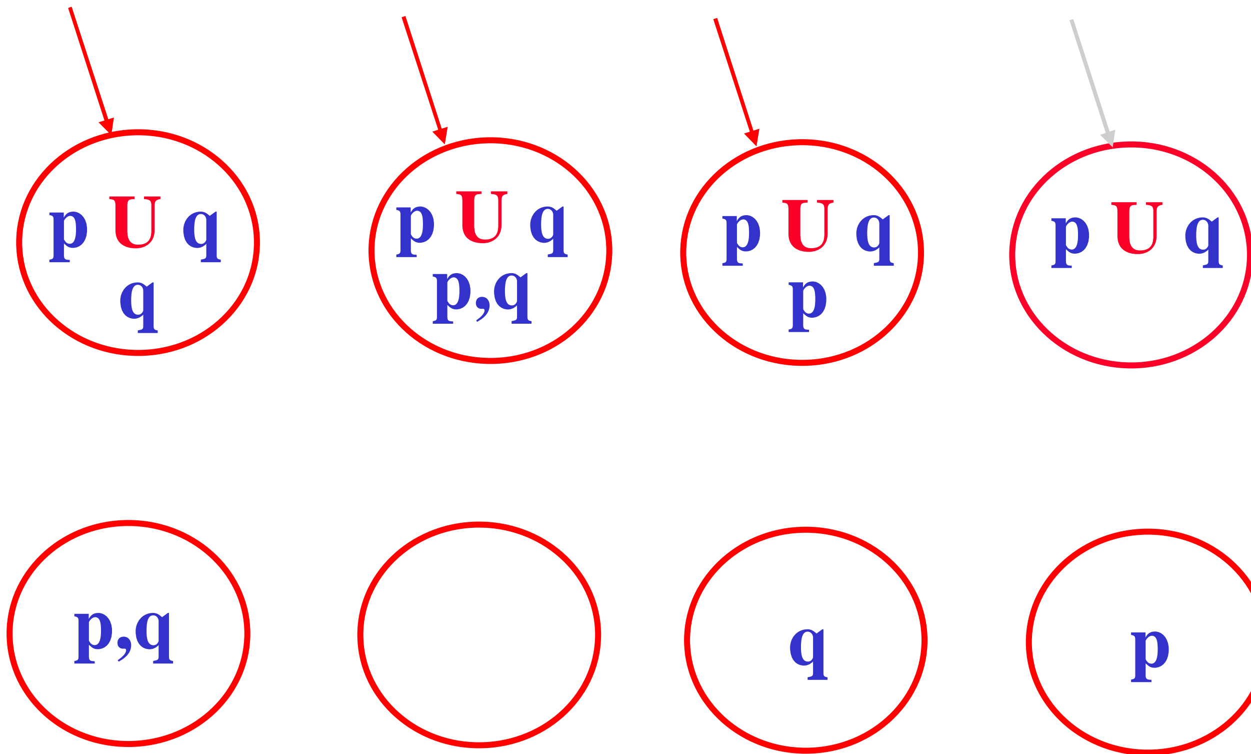
The *trivial acceptance condition*

Consider the following formula: $\mathbf{G}\,p \equiv \bot\,\mathbf{R}\,p$

$\mathrm{sub}(\bot\,\mathbf{R}\,p) = \{\bot\,\mathbf{R}\,p\ ,\ p\}$

There are *no eventualities*, hence F = { Q }

LTL to BA translation: example

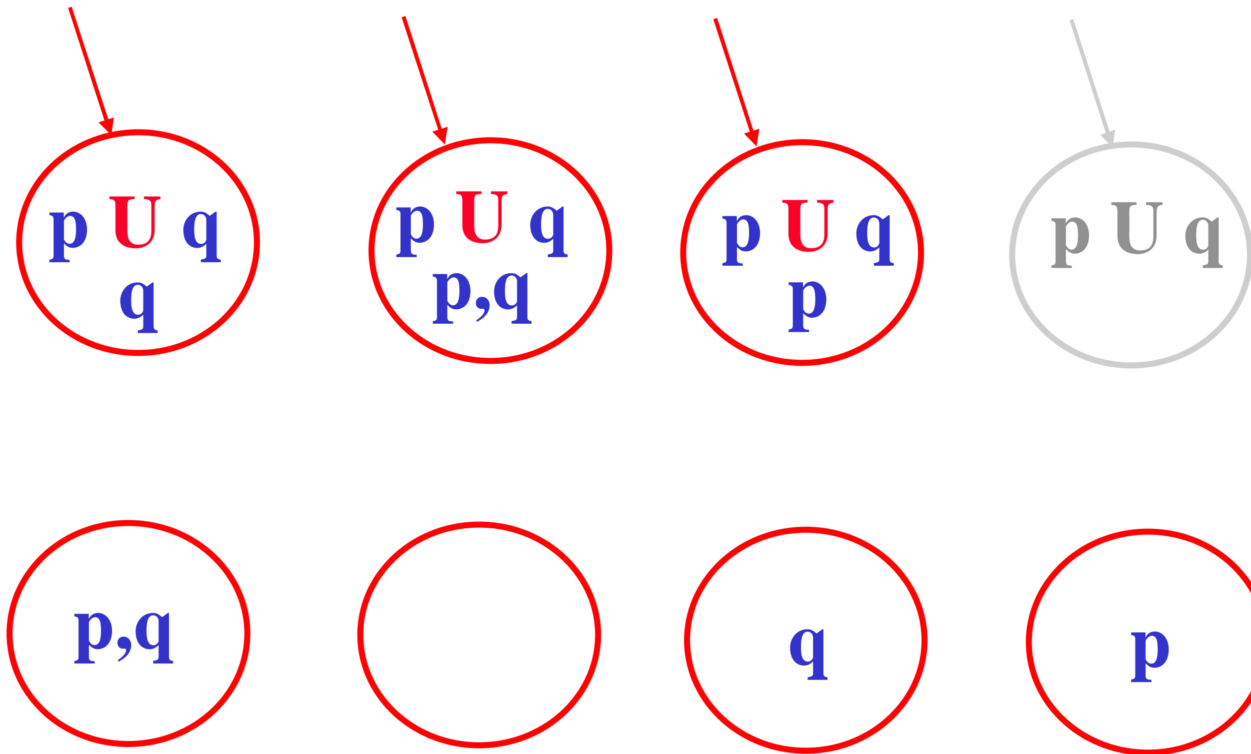


Consider the following formula: $p \; \mathrm{U} \; q$

$$\mathrm{sub}(p \; \mathrm{U} \; q) = \{p \; \mathrm{U} \; q \;, p \;, q\}$$

$$\mathrm{Init} = \{\Gamma \in \mathrm{sub}(p \; \mathrm{U} \; p) \mid p \; \mathrm{U} \; q \in \Gamma\}$$

LTL to BA translation: example

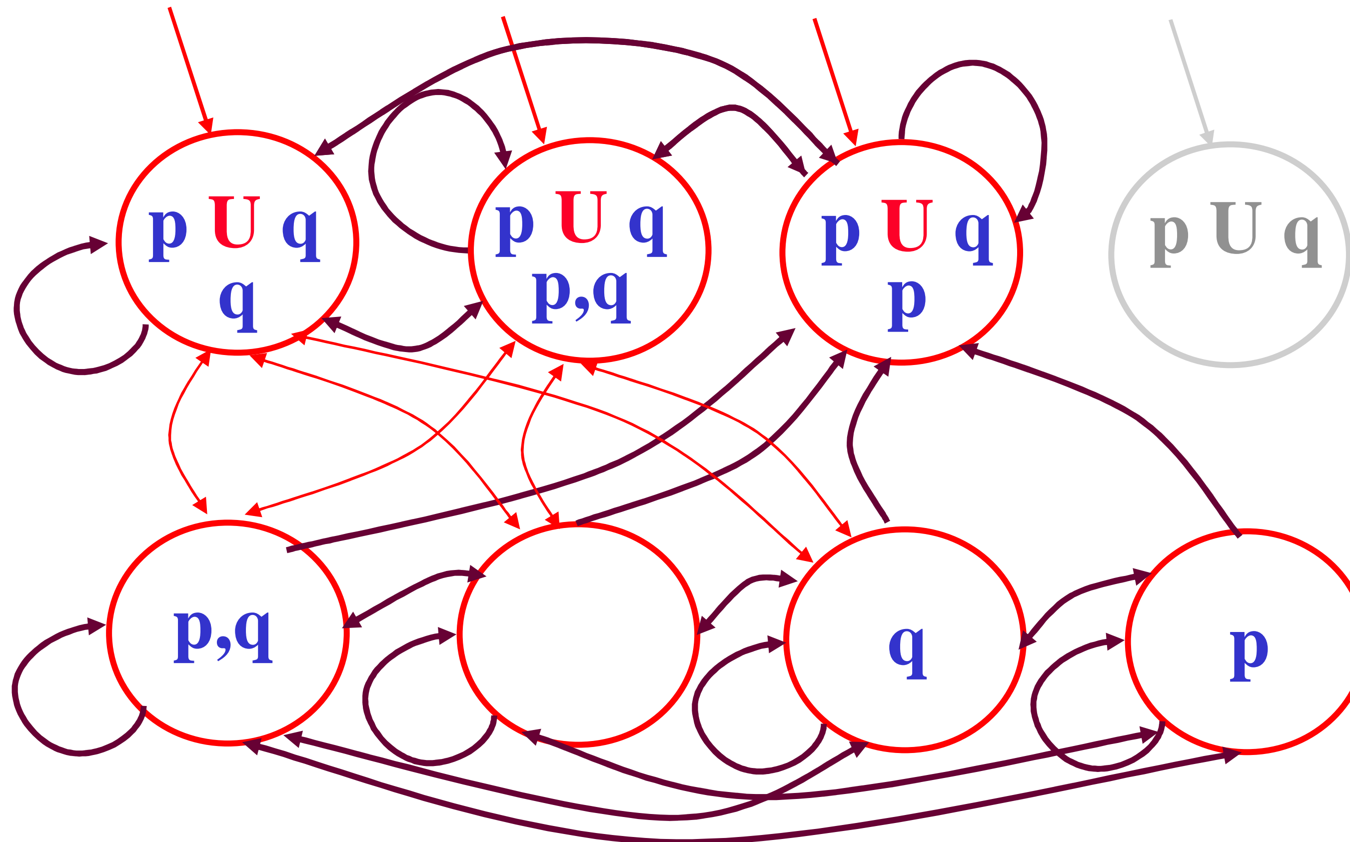


Consider the following formula: $p\ \mathrm{U}\ q$

$$\mathrm{sub}(p\ \mathrm{U}\ q) = \{p\ \mathrm{U}\ q\ , p\ , q\}$$

$$\mathrm{Init} = \{\Gamma \in \mathrm{sub}(p\ \mathrm{U}\ p) \mid p\ \mathrm{U}\ q \in \Gamma\}$$

LTL to BA translation: example

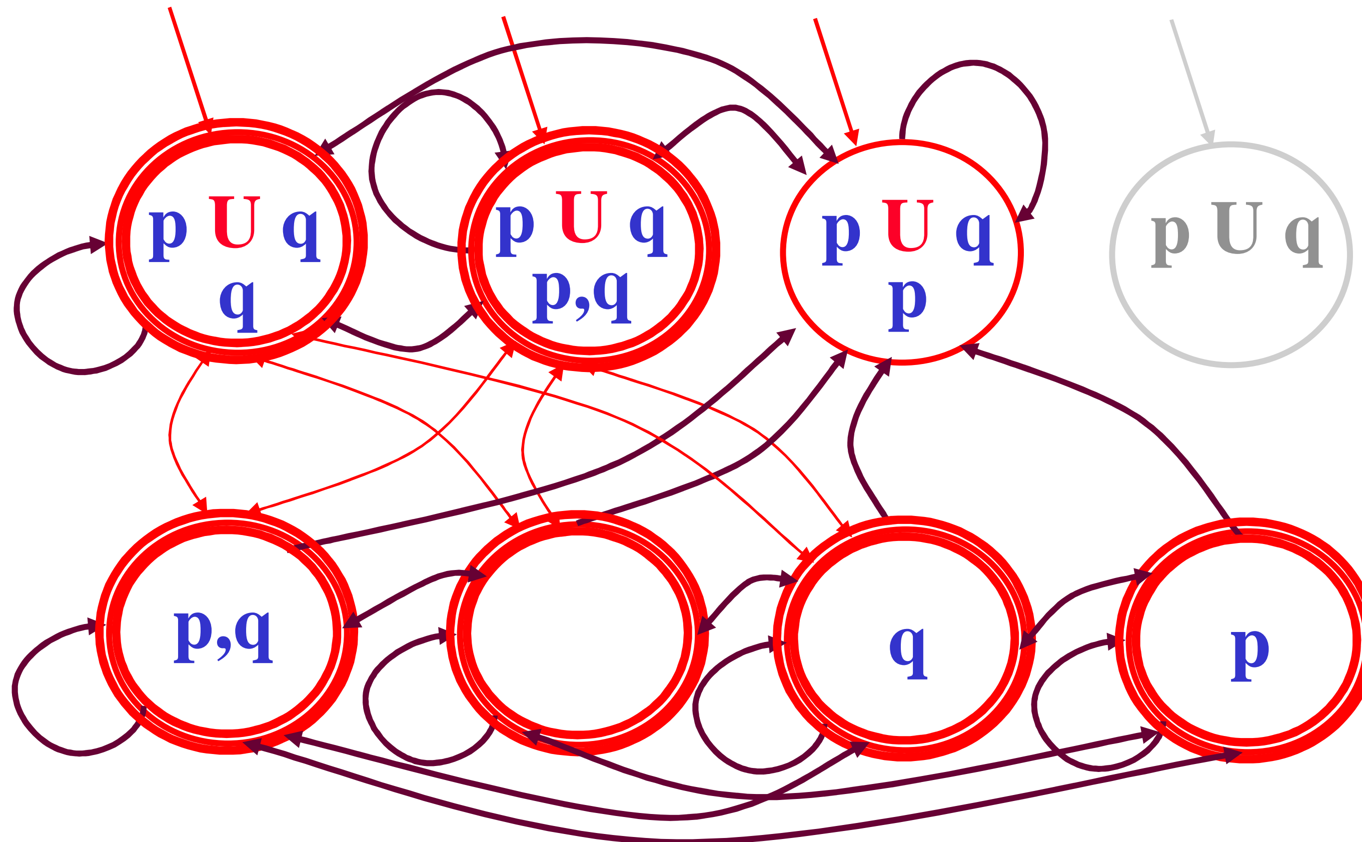


Consider the following formula: $p\ \mathrm{U}\ q$

$$\mathrm{sub}(p\ \mathrm{U}\ q) = \{p\ \mathrm{U}\ q\ ,\ p\ ,\ q\}$$

$$(p\ \mathrm{U}\ q) \equiv q \vee (p \wedge \mathbf{X}\ (p\ \mathrm{U}\ q))$$

LTL to BA translation: example



Consider the following formula: $p \mathrm{U} q$

$$\mathrm{sub}(p \mathrm{U} q) = \{p \mathrm{U} q, p, q\}$$

$$F = \{ F_{p\mathrm{U}q} \} = \{\Gamma \in \mathrm{sub}(p \mathrm{U} q) \mid (p \mathrm{U} q) \notin \Gamma \text{ or } q \in \Gamma\}$$

On-the-fly translation algorithm

There is another more *efficient way* to build the Büchi automaton corresponding to a LTL formula.

- **The algorithm proposed by *Vardi* and his colleagues, is based on the idea of refining states *only as needed*.**
- **It only record the *necessary information* (what *must hold*) at a state, *instead* of recording *the complete information* about each state (both what *must hold* and what *might or might-not hold*).**
- **In a way what "*might or might-not hold*" is treated as '*don't care*' information (which can be filled in, but whose value has no relevant effect).**

Algorithm data structure: node

***Name*: A string identifying the *current node*.**

***Father*: The name of the *father node* of *current node*.**

***Incoming*: List of *fully expanded nodes* with edges to the current node.**

***Old*: A set of *temporal formulae* which must hold and in the *current node* have been processed already.**

***New*: A set of *temporal formulae* which must hold but in the *current node* have not been processed yet.**

***Next*: A set of *temporal formulae* which should hold in the *next node* (immediate successor) of the *current node*.**

***Fully Expanded* nodes (i.e. *States* of the *Automaton*) are those nodes having the *New* field empty.**

NODE

Name:	Node1
Father:	Node1
Incoming:	Init
New:	{p U q}
Next:	{}
Old:	{}

Name:	Node2
Father:	Node1
Incoming:	Init
New:	{p}
Next:	{p U q}
Old:	{p U q}

Fully Expanded

Name:	Node3
Father:	Node1
Incoming:	Init
New:	{}
Next:	{}
Old:	{q, p U q}

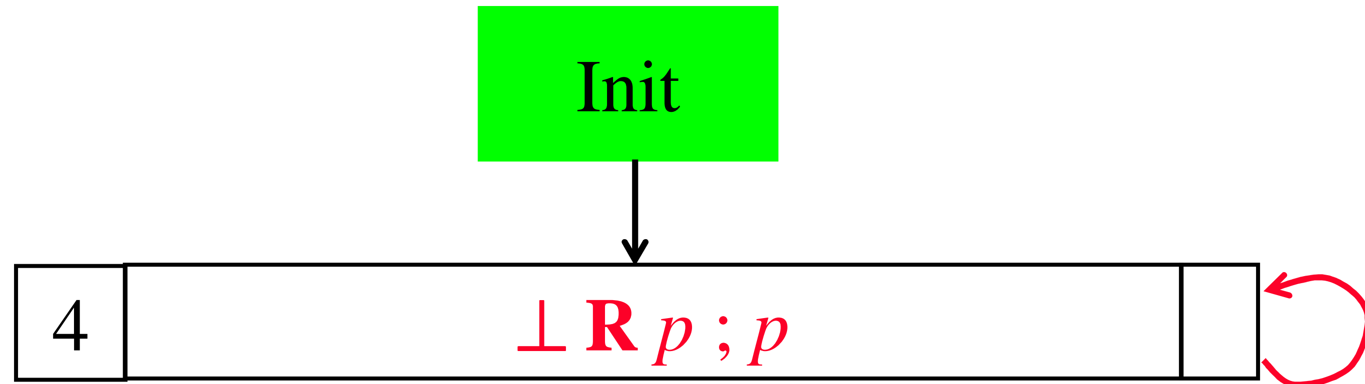
Algorithm to build set of fully expanded nodes

```
function create graph(ϕ)
    return(expand([Name⇐Father⇐new_name(),
                    Incoming⇐{Init}, New⇐{ϕ},
                              Old⇐∅, Next⇐∅], ∅)
```

```
function expand (Node, Nodes_Set)
  if New(Node)=∅ then
     if ∃ND∈ Nodes_Set with Old(ND)=Old(Node) and
                           and Next(ND) = Next(Node) then
          Incoming(ND) := Incoming(ND) ∪ Incoming(Node);
          return(Nodes_Set);
     else return(expand([Name ⇐ Father ⇐ new_name(),
                         Incoming ⇐ {Name(Node)},
                         New ⇐ Next(Node), Old ⇐ ∅, Next ⇐ ∅],
                       Nodes_Set ∪ {Node});
  else ….
```

Example: case of a fully expanded node

Nodes_Set



Name: **Node8**

Father: **Node6**

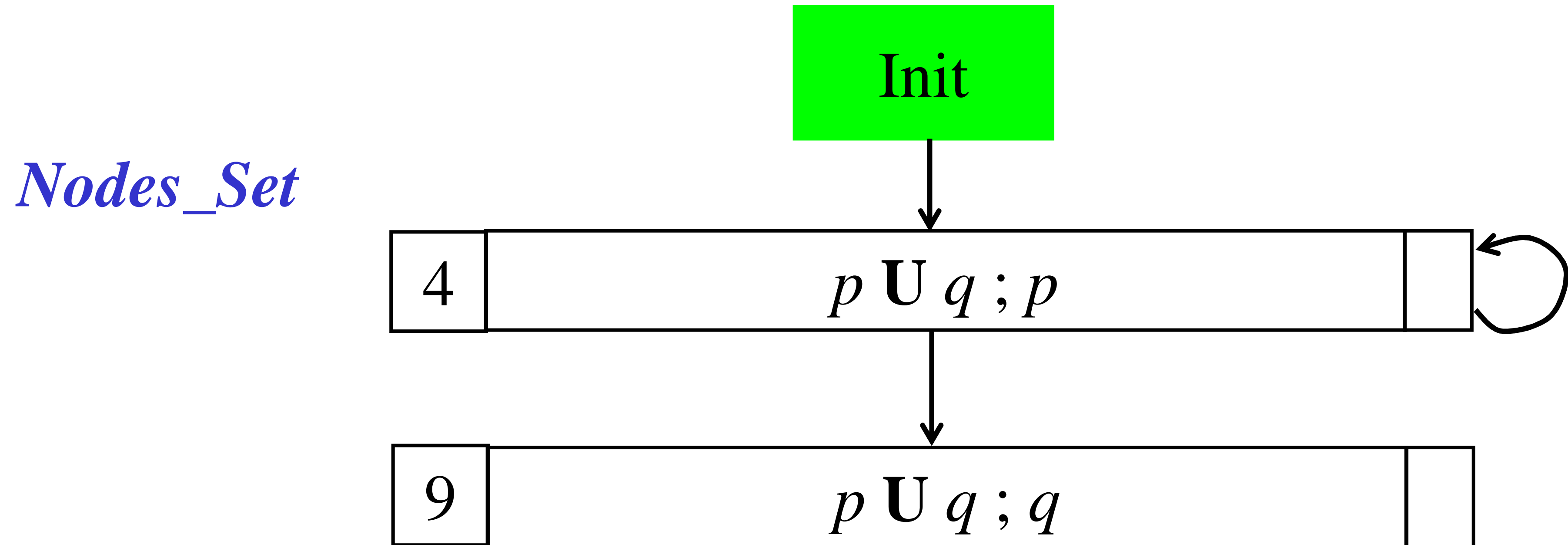
Incoming: **4**

New: {}

Next: {$\perp$ **R** *p*}

Old: {$\perp$ **R** ***p*** **;** ***p***}

Example: case of a fully expanded node



Name: **Node9**

Father: **Node7**

Incoming: **4**

New: {}

Next: {}

Old: {p **U** q ; q}

function expand (*Node, Nodes_Set*)

if *New(Node)*=∅ then{***preceding block***}

else

Expansion for literals

Contradiction found

let $\eta \in$ New;

New(Node) := New(Node) \ {η};

case η of

$\eta = \mathbf{p}_i$ or $\neg\mathbf{p}_i$ or ⊤ or ⊥ :

if η = ⊥ or Neg(η)∈ *Old(Node)* then

return(*Nodes_Set*) ; */* Discard current node */*

else *Old(Node)* := *Old(Node)* ∪ {η};

return(expand(*Node, Nodes Set*));

η = μ **U** ψ or μ **R** ψ or μ ∨ ψ : ….

Splitting a node for Disjunction

Name:	Node1
Father:	Node1
Incoming:	Init
New:	{p ∨ q}
Next:	{}
Old:	{}

split

Name:	Node2
Father:	Node1
Incoming:	Init
New:	{p}
Next:	{}
Old:	{p ∨ q}

Name:	Node3
Father:	Node1
Incoming:	Init
New:	{q}
Next:	{}
Old:	{p ∨ q}

Splitting a node for Until op.

Node1 (before split):

- *Name*: Node1
- *Father*: Node1
- *Incoming*: Init
- *New*: {p U q}
- *Next*: {}
- *Old*: {}

split

Node2:

- *Name*: Node2
- *Father*: Node1
- *Incoming*: Init
- *New*: {p}
- *Next*: {p U q}
- *Old*: {p U q}

Node3:

- *Name*: Node3
- *Father*: Node1
- *Incoming*: Init
- *New*: {q}
- *Next*: {}
- *Old*: {p U q}

Splitting a node for Release op.

Name:	Node1
Father:	Node1
Incoming:	Init
New:	{p R q}
Next:	{}
Old:	{}

split

Name:	Node2
Father:	Node1
Incoming:	Init
New:	{q}
Next:	{p R q}
Old:	{p R q}

Name:	Node3
Father:	Node1
Incoming:	Init
New:	{p,q}
Next:	{}
Old:	{p R q}

Additional functions

The function Neg() is applied only to literals:

$Neg(p_i) = \neg p_i$ $\quad$ $Neg(\top) = \bot$

$Neg(\neg p_i) = p_i$ $\quad$ $Neg(\bot) = \top$

The functions New1(), New2() and Next1(), used for *splitting nodes*, are applied to temporal formulae and defined as follows:

η	New1(η)	Next1(η)	New2(η)
μ **U** ψ	$\{\mu\}$	$\{\mu$ **U** $\psi\}$	$\{\psi\}$
μ **R** ψ	$\{\psi\}$	$\{\mu$ **R** $\psi\}$	$\{\mu, \psi\}$
$\mu \vee \psi$	$\{\mu\}$	$\varnothing$	$\{\psi\}$

function **expand** (*Node*, *Nodes_Set*)
  if *New(Node)*=∅ then ***{preceding block}***
  else
    let $\eta \in$ New;
    New(Node) := *New(Node)* \ {η};
    case η of
      $\eta = \mathbf{p}_i$ or $\neg \mathbf{p}_i$ or $\top$ or $\bot$: ***{preceding block}***

Splitting the node

$\eta = \mu$ **U** ψ or μ **R** ψ or $\mu \vee \psi$:

splitting

Node1:=[Name ⇐ new_name(), Father ⇐ *Name(Node)*,
  Incoming ⇐ *Incoming(Node)*,
  New ⇐ *New(Node)* ∪ ({***New1(η)***} \ *Old(Node)*),
  Old ⇐ *Old(Node)* ∪ {η},
  Next ⇐ *Next(Node)* ∪ {***Next1(η)***}];
Node2:=[Name ⇐ new_name(), Father ⇐ *Name(Node)*,
  Incoming ⇐ *Incoming(Node)*,
  New ⇐ *New(Node)* ∪ ({***New2(η)***} \ *Old(Node)*),
  Old ⇐ *Old(Node)* ∪ {η}, Next ⇐ *Next(Node)*];
return(expand(***Node2***, expand(***Node1***, *Nodes_Set*)));

$\eta = \mu \wedge \psi$: ....

function **expand** (*Node*, *Nodes_Set*)
  if *New(Node)*=$\emptyset$ then *{preceding block}*
  else
    let $\eta \in$ New;
    New(Node):=New(Node) \ {η};
    case η of

Expansion for conjunction

$\eta = \mathbf{p}_i$ or $\neg \mathbf{p}_i$ or $\top$ or $\bot$: *{preceding block}*

$\eta = \mu \,\mathbf{U}\, \psi$ or $\mu \,\mathbf{R}\, \psi$ or $\mu \vee \psi$: *{preceding block}*

$\eta = \mu \wedge \psi$:

return(expand([Name $\Leftarrow$ *Name(Node)*,
Father $\Leftarrow$ *Father(Node)*,
Incoming $\Leftarrow$ *Incoming(Node)*,
New $\Leftarrow$ *(New(Node)* $\cup$ {μ ,ψ } \ *Old(Node))*,
Old $\Leftarrow$*Old(Node)* $\cup$ {η}, Next = *Next(Node)*],
Nodes_Set);

$\eta = \mathbf{X}\, \psi$: ....

Expanding a node

Name: **Node1**
Father: **Node1**
Incoming: **Init**
New: $\{p \wedge q, \ldots\}$
Next: {...}
Old: {...}

expand

Name: **Node2**
Father: **Node1**
Incoming: **Init**
New: $\{p, q, \ldots\}$
Next: {...}
Old: $\{\ldots, p \wedge q\}$

```
function expand (Node, Nodes_Set)
  if New(Node)=∅ then {preceding block}
  else
    let  η∈ New;
    New(Node):=New(Node) \ {η};
    case η of
          η = p_i or ¬p_i or ⊤ or ⊥: {preceding block}
          η = μ U ψ or μ R ψ or μ ∨ ψ : {preceding block}
          η = μ ∧ ψ : {preceding block}
          η = X ψ :
              return(expand(
                    [Name ⇐ Name(Node),Father ⇐ Father(Node),
                     Incoming ⇐ Incoming(Node), New ⇐ New(Node),
                     Old ⇐ Old(Node) ∪ {η}, Next = Next(Node) ∪ {ψ}],
                  Nodes_Set);
    esac;
end expand;
```

Expansion for Next operator

Expanding a node

Name: Node1
Father: Node1
Incoming: Init
New: {X p,…}
Next: {...}
Old: {...}

expand

Name: Node1
Father: Node1
Incoming: Init
New: {…}
Next: {…,p}
Old: {…, X p}

The need for accepting conditions

- ***IMPORTANT*: Remember that *not every maximal path* $\pi = s_0 s_1 s_2 \ldots$ in the graph *determines a model* of the formula: the construction above allows some node to contain $\mu \, U \, \psi$ while none of the successor nodes contain ψ.**
- **This is solved again by imposing the *generalized Büchi acceptance conditions* :**
  - **for each subformula of ϕ of the form $\mu \, U \, \psi$, there is a set $F_\phi \in$ F, including the nodes $s \in$ Q, such that either $\mu \, U \, \psi \notin Old(s)$, or $\psi \in Old(s)$.**

Complexity of the construction

THEOREM: **For any LTL formula ϕ a *Büchi automaton* A_ϕ can be constructed which accepts all an only the ω–*sequences* satisfying ϕ.**

THEOREM: **Given a LTL formula ϕ, the *Büchi automaton* for ϕ whose states are $O(2^{|\phi|})$ (in the *worst-case*). [$|\phi|$ is the number of subformulae of ϕ].**

THEOREM: **Given a LTL formula ϕ and a Kripke structure K_{sys} the, the LTL model checking problem can be solved in time $O(|K_{sys}| \cdot 2^{|\phi|})$. [actually it is *PSPACE*-complete].**

LTL to BA: example

- **Consider the following formula:**

$$\mathbf{G}\ p$$

- **where p is an atomic formula.**
- **Its *negation-normal form* is**

$$\bot\ \mathbf{R}\ p$$

LTL to BA: example

Init

Current node is Node 1
Incoming = [Init]
Old = []
New = [$\bot \mathbf{R}\ p$]
Next = []

$$(\bot\ \mathbf{R}\ p) \equiv (p \wedge \bot) \vee (p \wedge \mathbf{X}(\bot\ \mathbf{R}\ p))$$

New(node) not empty, removing $\eta = \bot\ \mathbf{R}\ p$, node ***split*** into 2, 3, about to expand them

LTL to BA: example

Init

Current node is Node 2
Incoming = [Init]
Old = [$\bot$ **R** p]
New = [p]
Next = [$\bot$ **R** p]

New(node) not empty, removing $\eta = p$, node replaced by 4
about to expand them

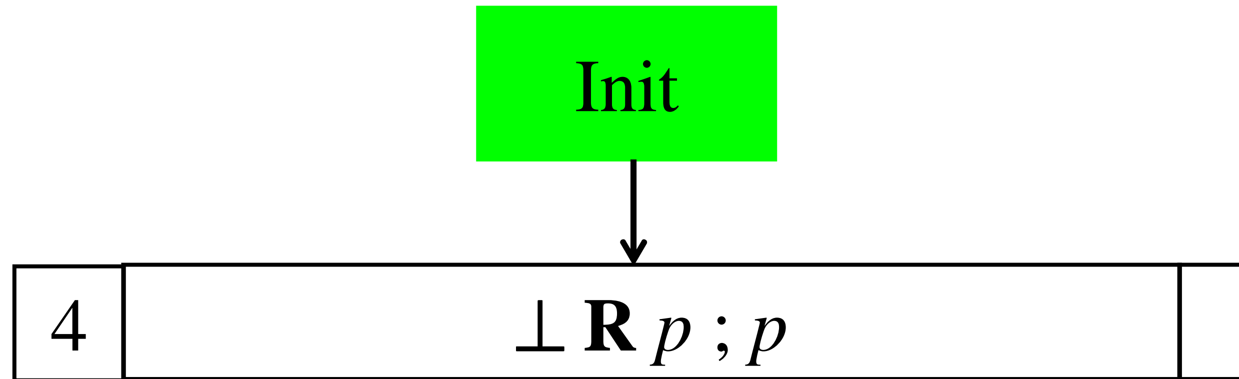
LTL to BA: example

Init

Current node is Node 4
Incoming = [Init]
Old = [$\perp$ **R** p ; p]
New = []
Next = [$\perp$ **R** p]

New(node) empty, no equivalent nodes. About to add, timeshift and expand.

LTL to BA: example



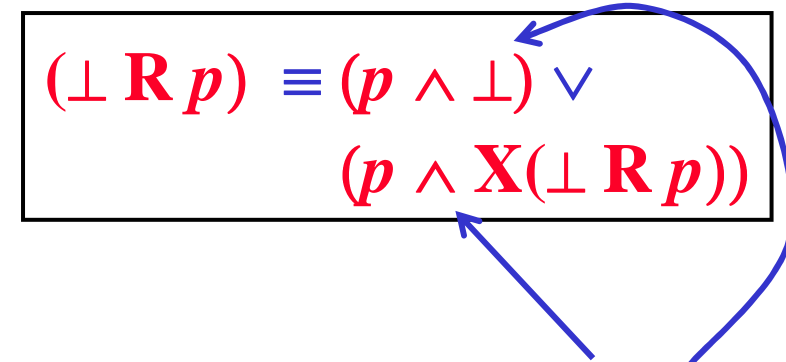
Current node is Node 5

Incoming = [4]

Old = []

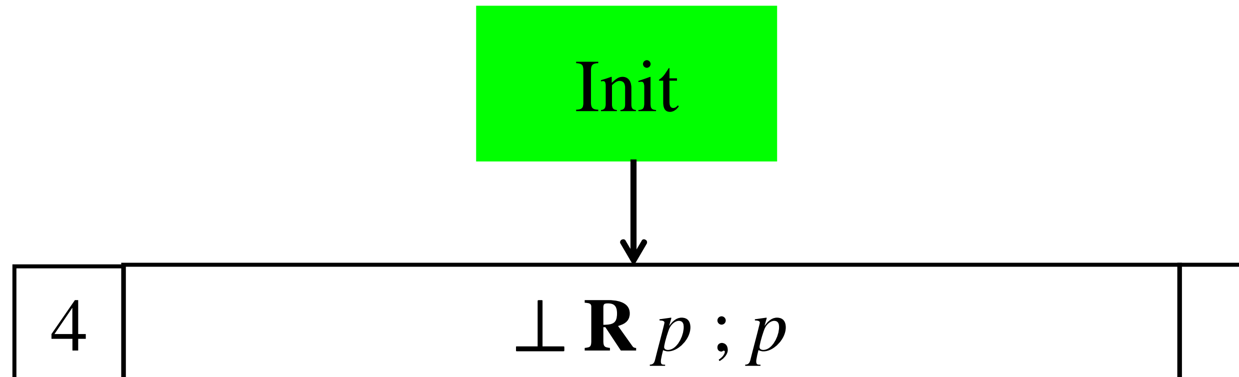
New = [$\bot \mathbf{R}\, p$]

Next = []



New(node) not empty, removing $\eta = \bot \mathbf{R}\, p$, node ***split*** into 6, 7 about to expand them

LTL to BA: example



Current node is Node 6

Incoming = [4]

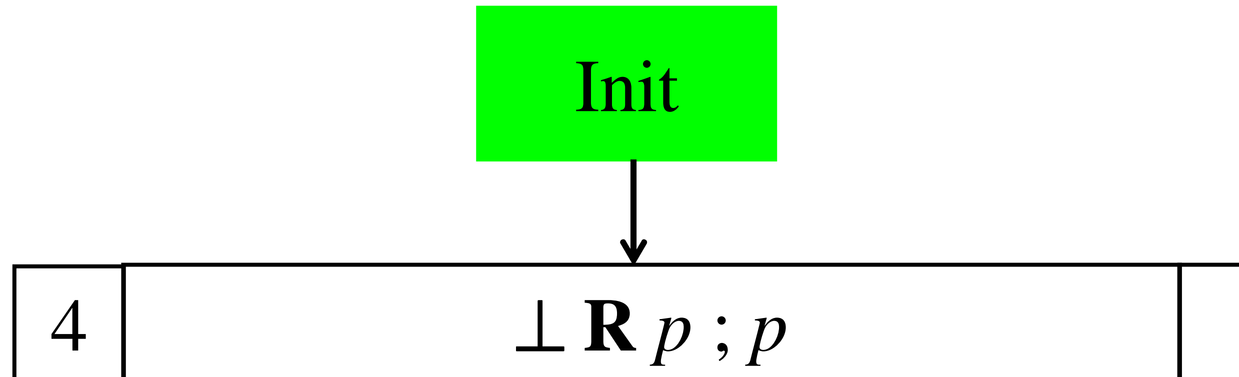
Old = [$\perp$ **R** p]

New = [p]

Next = [$\perp$ **R** p]

New(node) not empty, removing $\eta = p$, node replaced by 8, about to expand it

LTL to BA: example



Current node is Node 8

Incoming = [4]

Old = [$\bot$ **R** p ; p]

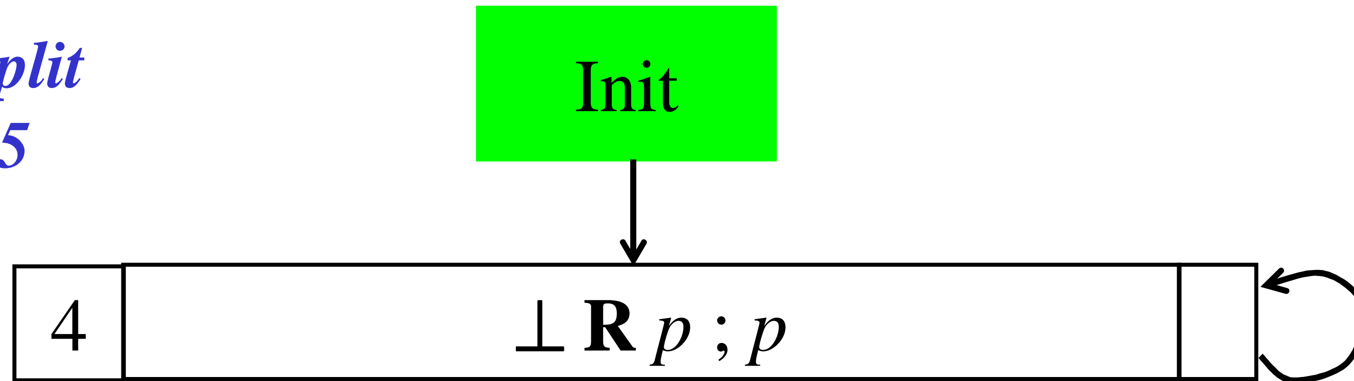
New = []

Next = [$\bot$ **R** p]

New(node) empty, found equivalent old node in Node_Set (4).
Returning it instead.

LTL to BA: example

From the split of Node 5



Current node is Node 7

Incoming = [4]

Old = [$\bot$ **R** p]

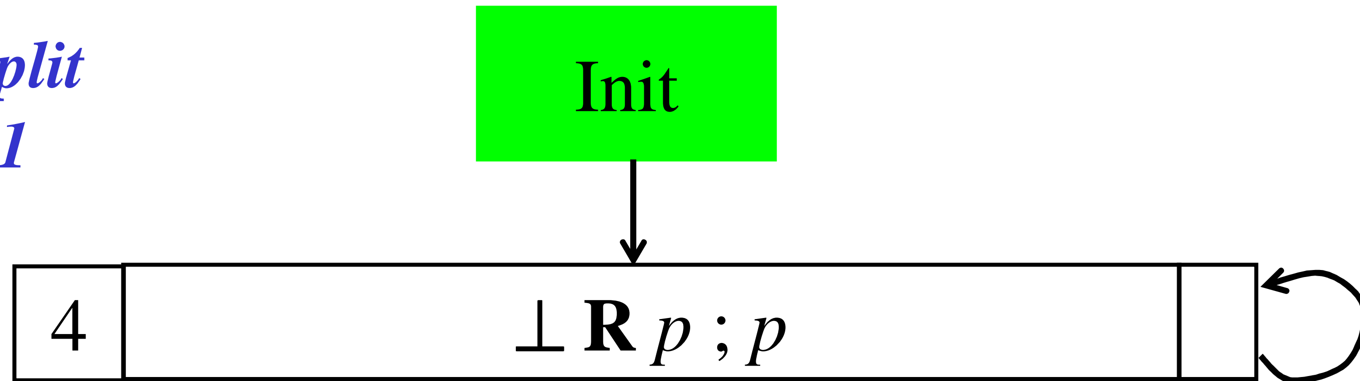
New = [$\bot$; p]

Next = []

New(node) not empty, removing $\eta = \bot$, inconsistent node deleted - dead end!.

LTL to BA: example

From the split of Node 1



Current node is Node 3

Incoming = [Init]

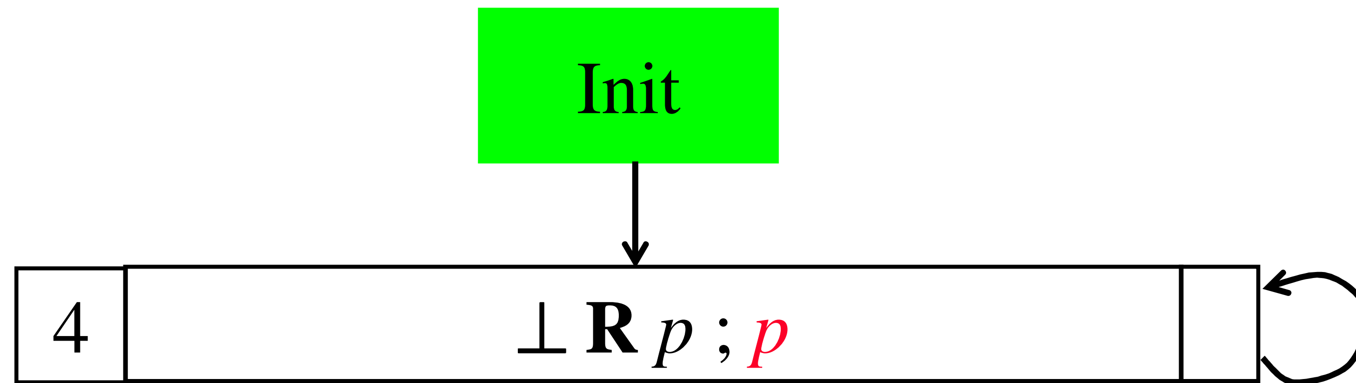
Old = [$\bot\ \mathbf{R}\ p$]

New = [$\bot\ ;\ p$]

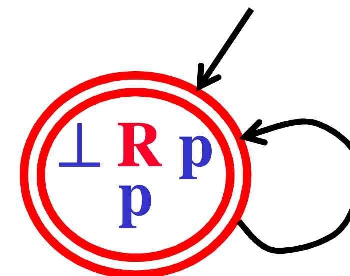
Next = []

New(node) not empty, removing $\eta = \bot$, inconsistent node deleted - dead end!.

LTL to BA: example



Final graph for $\mathbf{G}\, p \equiv \bot\, \mathbf{R}\, p$



LTL to BA: example 2

Consider the following formula:

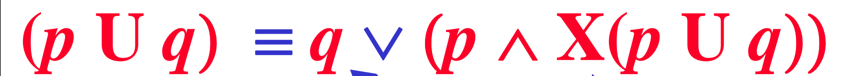
$$p \ \mathbf{U} \ q$$

where p and q are atomic formulae.

LTL to BA: example 2

Init

Current node is Node 1
Incoming = [Init]
Old = []
New = [p U q]
Next = []



New(node) not empty, removing $\eta = p \mathbf{U} q$ node ***split*** into 3, 2, about to expand them

LTL to BA: example 2

Current node is Node 2
Incoming = [Init]
Old = [p U q]
New = [p]
Next = [p U q]

New(node) not empty, removing $\eta = p$ node replaced by 4, about to expand them

LTL to BA: example 2

Init

Current node is Node 4

Incoming = [Init]

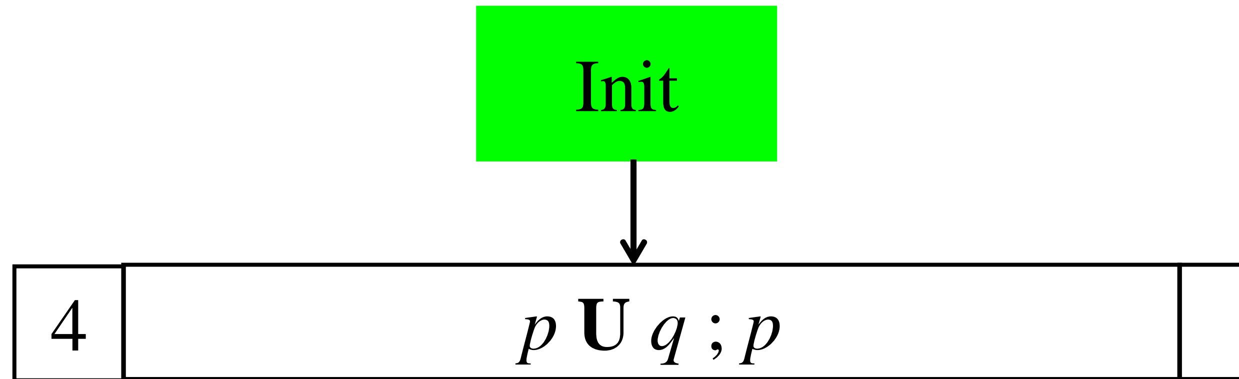
Old = [p U q ; p]

New = []

Next = [p U q]

New(node) empty, no equivalent nodes. Add, timeshift and expand.

LTL to BA: example 2



Current node is Node 5

Incoming = [4]

Old = []

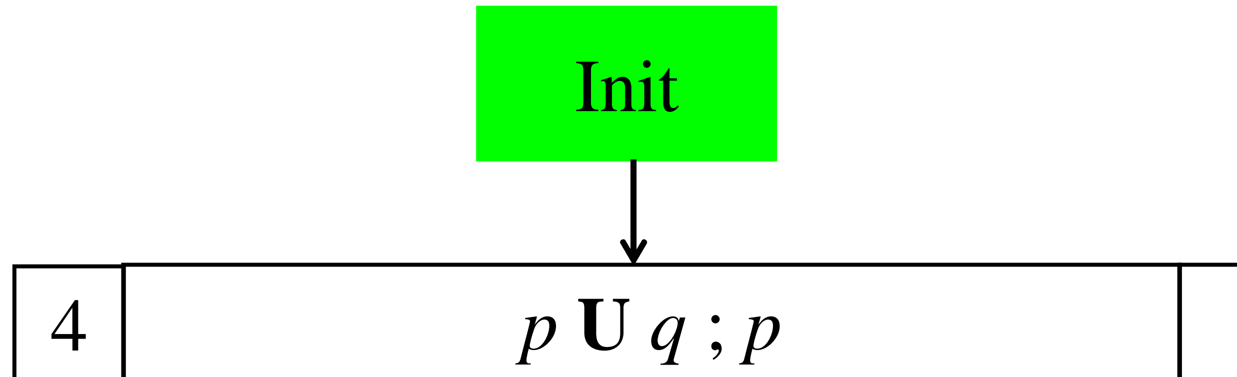
New = [p U q]

Next = []

$(p \mathbf{U} q) \equiv q \vee (p \wedge \mathbf{X}(p \mathbf{U} q))$

New(node) not empty, removing $\eta = p \mathbf{U} q$, node ***split*** into 6 , 7, about to expand.

LTL to BA: example 2



Current node is Node 6

Incoming = [4]

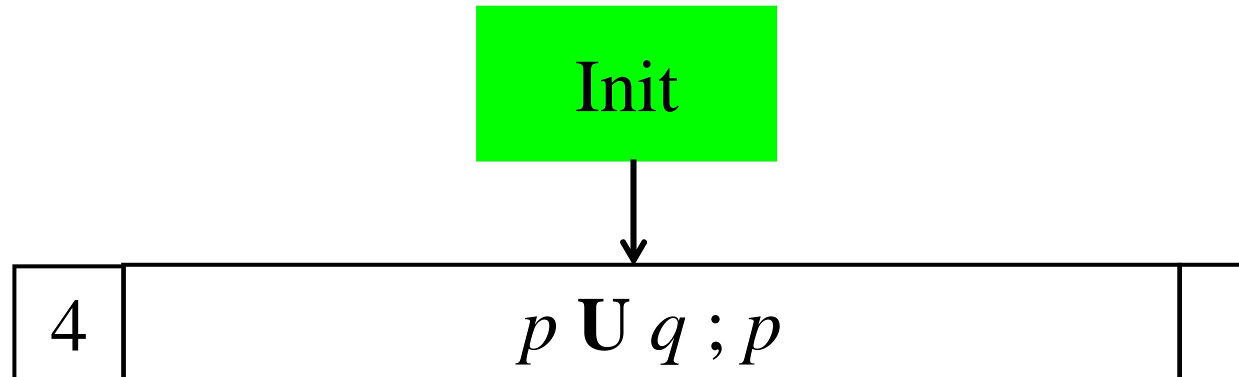
Old = [p U q]

New = [p]

Next = [p U q]

New(node) not empty, removing $\eta = p$, node replaced by 8, about to expand it

LTL to BA: example 2



Current node is Node 8

Incoming = [4]

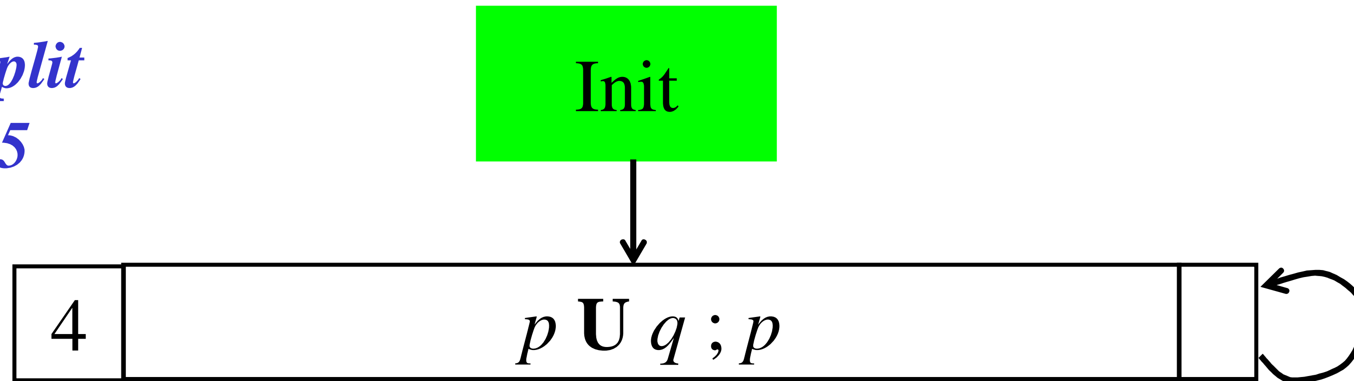
Old = [p U q ; p]

New = []

Next = [p U q]

New(node) empty. Found equivalent old note (4) in Node_Set.
Returning it instead.

LTL to BA: example 2



Current node is Node 7

Incoming = [4]

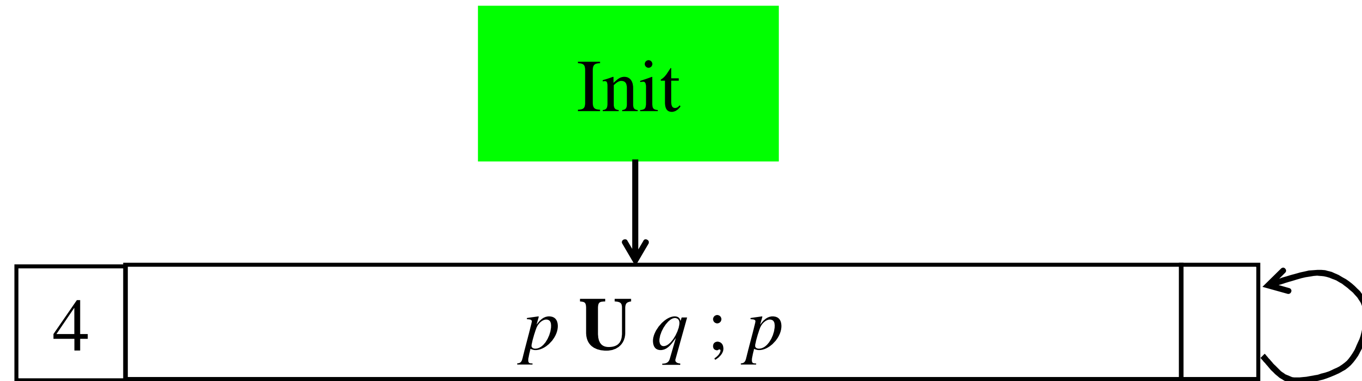
Old = [p U q]

New = [q]

Next = []

New(node) not empty, removing $\eta = q$, node replaced by 9, about to expand it

LTL to BA: example 2



Current node is Node 9

Incoming = [4]

Old = [p U q ; q]

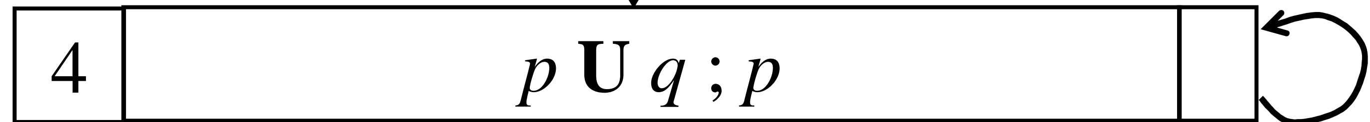
New = []

Next = []

New(node) empty, no equivalent node found. Add timeshift and expand

LTL to BA: example 2

Init



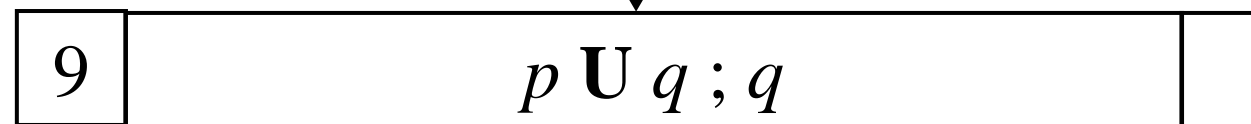
Current node is Node 10

Incoming = [9]

Old = []

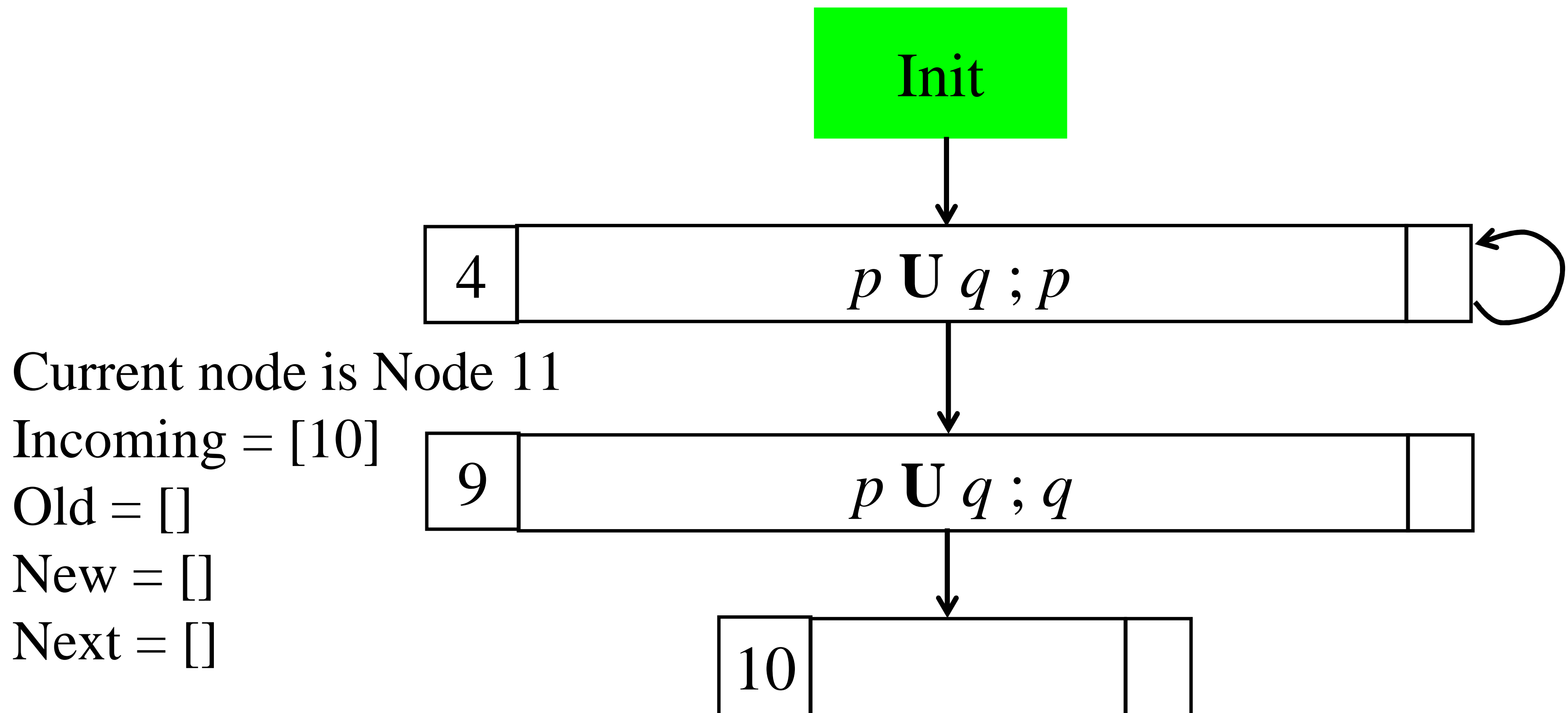
New = []

Next = []



New(node) empty, no equivalent node found. Add timeshift and expand

LTL to BA: example 2

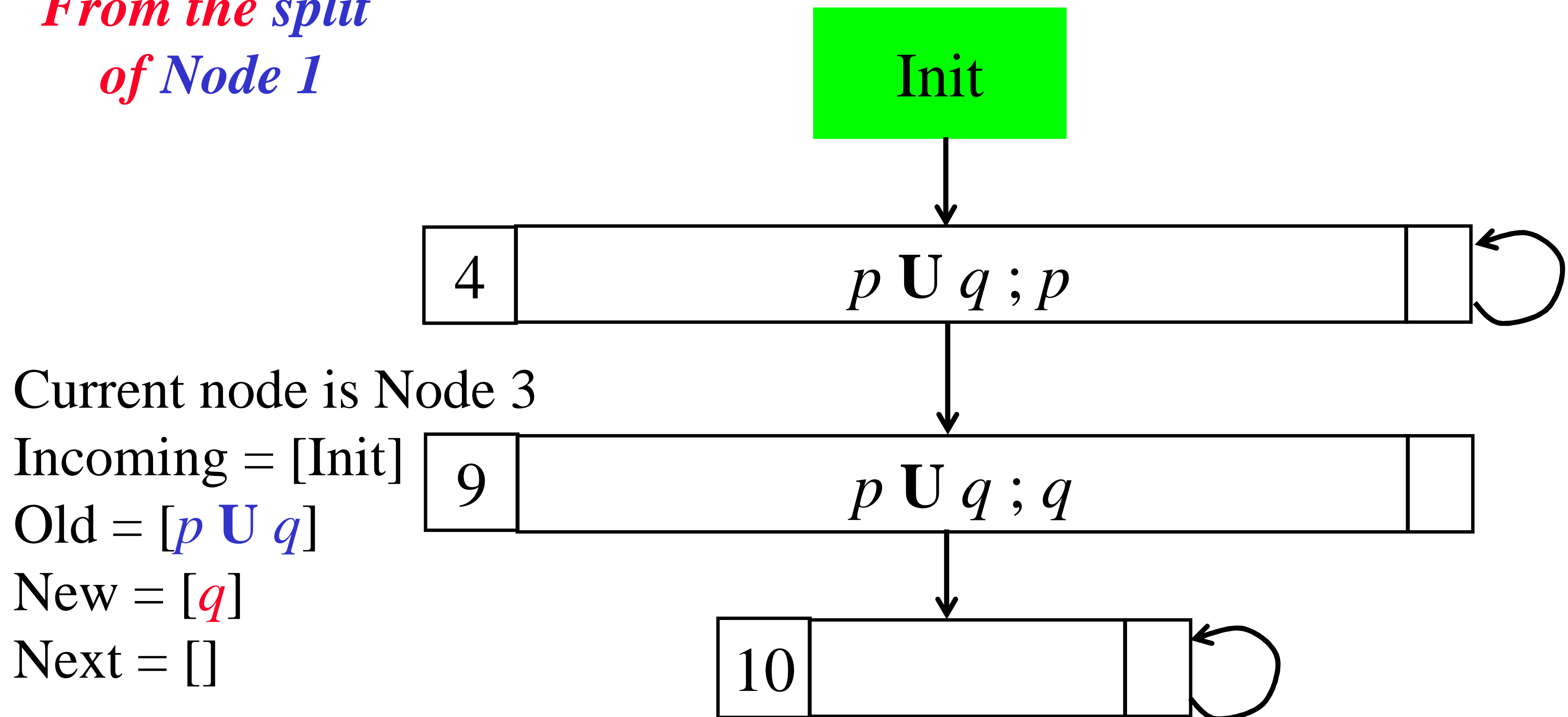


Current node is Node 11
Incoming = [10]
Old = []
New = []
Next = []

New(node) empty. Found equivalent old node in Node_Set (10).
Returning it instead.

LTL to BA: example 2

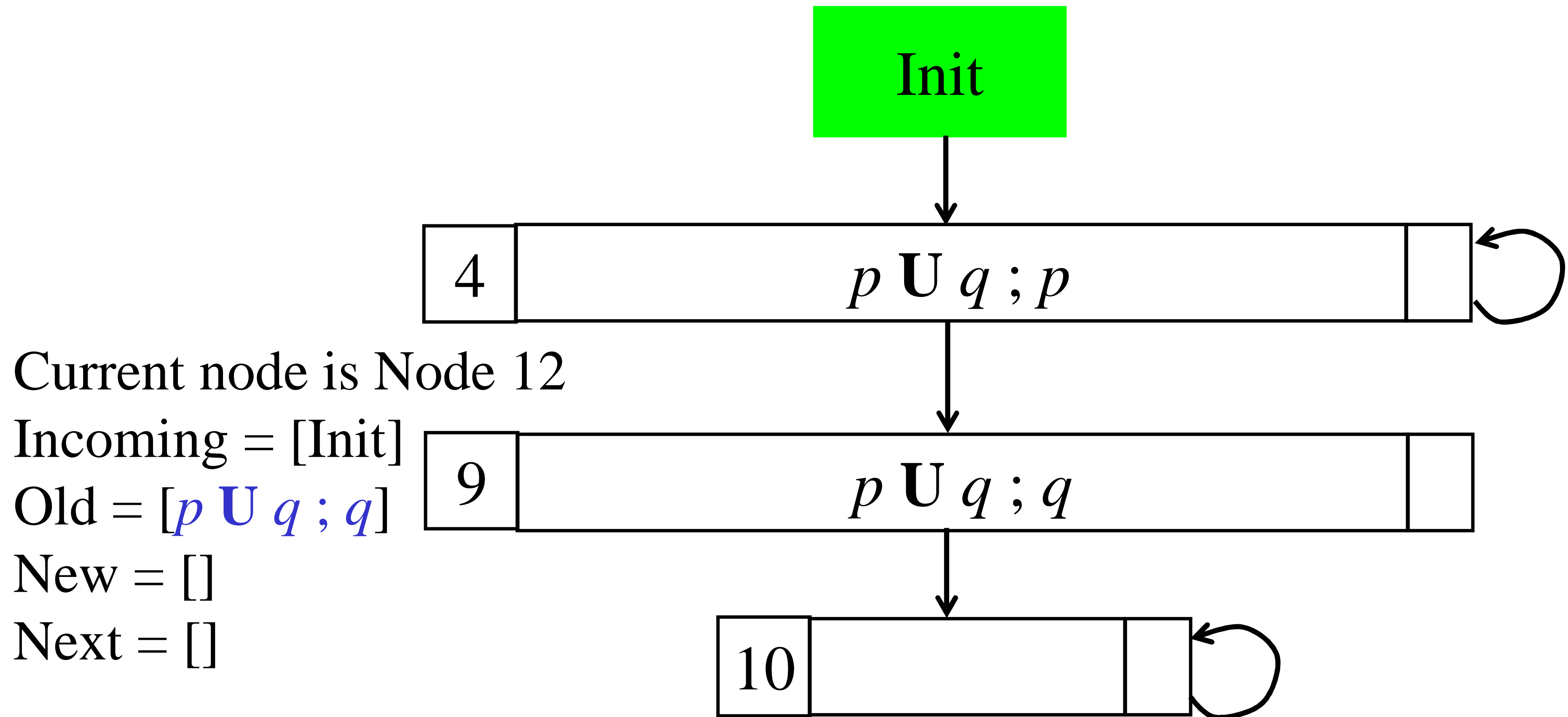
From the split of Node 1



Current node is Node 3
Incoming = [Init]
Old = [p **U** q]
New = [q]
Next = []

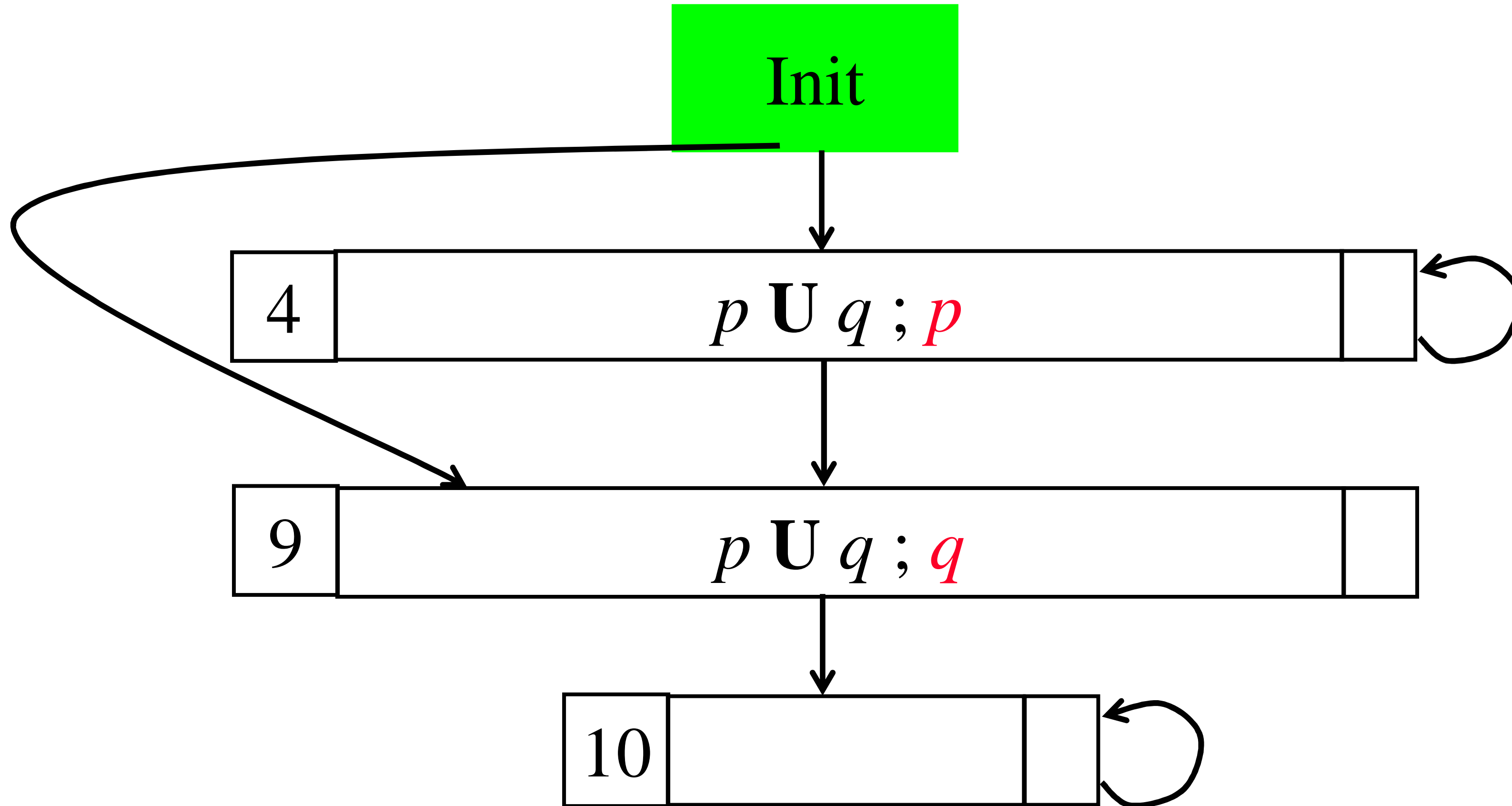
New(node) not empty, node replaced by 12, about to expand.

LTL to BA: example 2



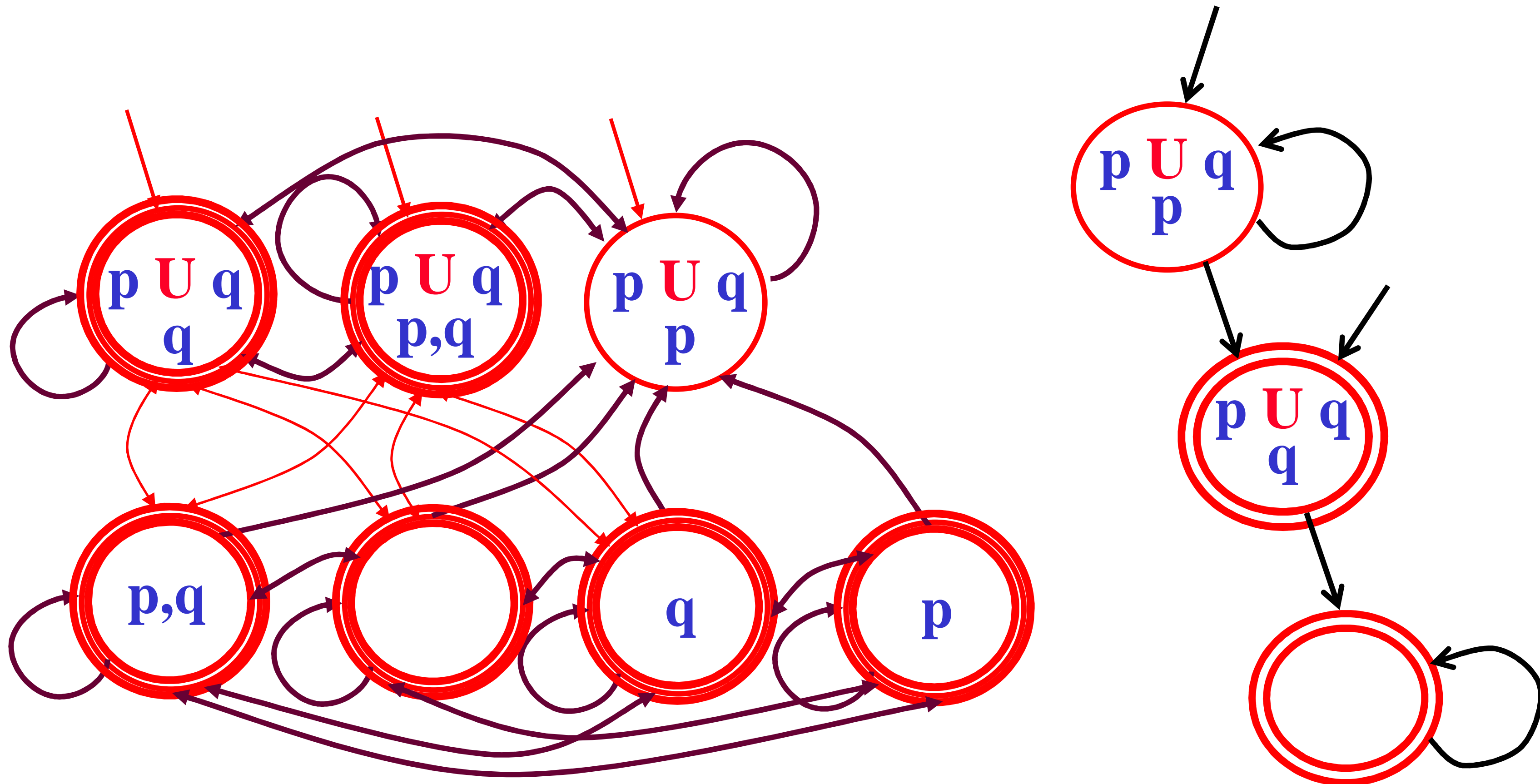
New(node) empty. Found equivalent old node (4) in Node_Set. Returning it instead.

LTL to BA: example 2



Final graph for p **U** q

Comparison of the two algorithms



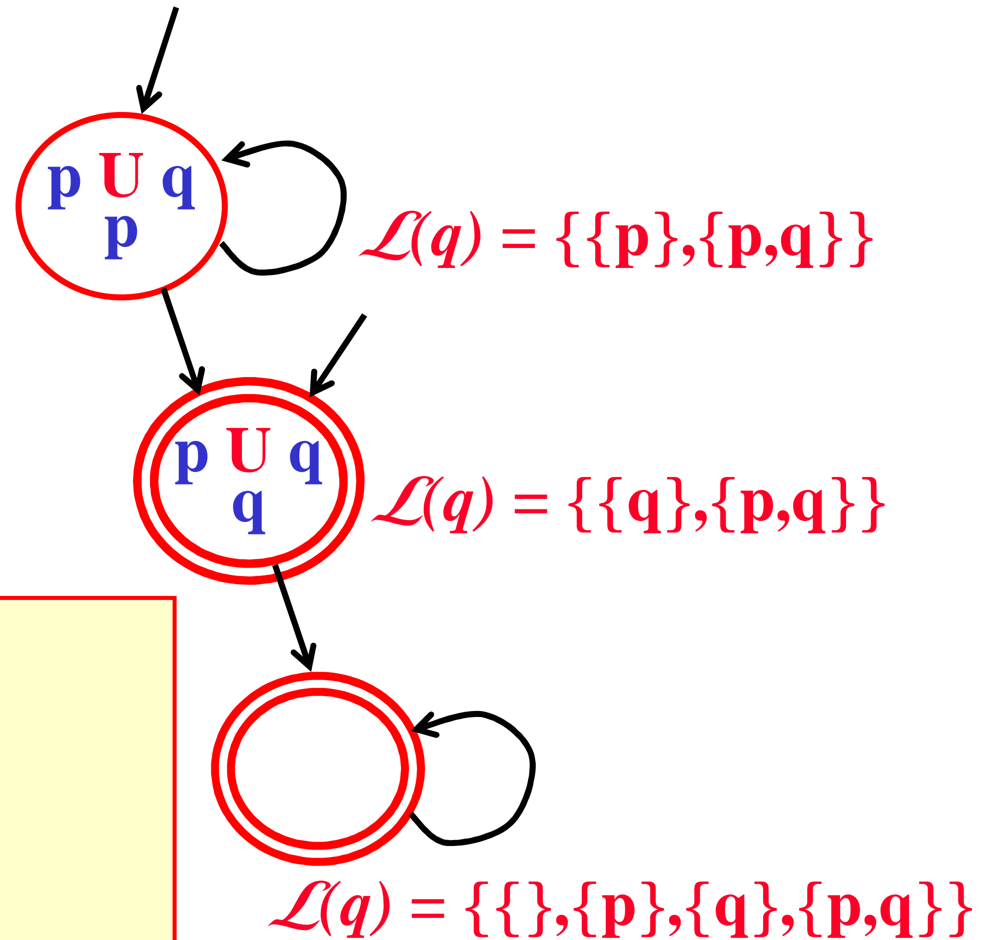
The graphs for ***p* U *q*** obtained from the two algorithms

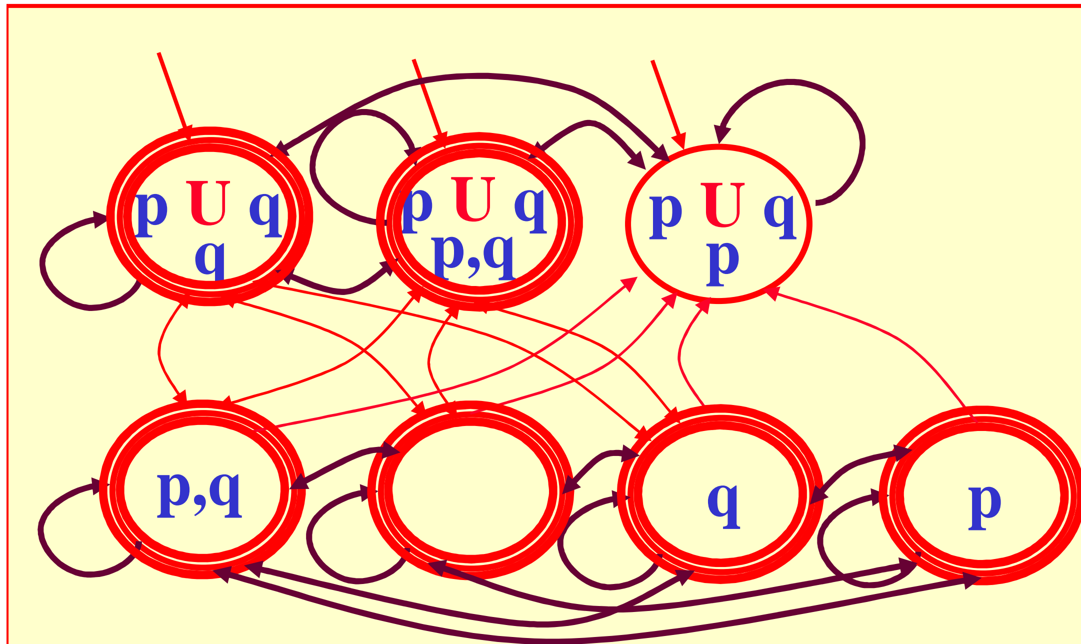
Notes on the algorithm

- **Notice that nodes do *not necessarily* assign truth value to *all atomic propositions* (in AP)!**
- **Indeed the *labeling* to be associated to a node can be *any element of* 2^{AP} which agrees with the *literals* (AP or negations of AP) in *Old(Node)*.**
- **Let** $Pos(q) = Old(q) \cap AP$
- **Let** $Neg(q) = \{\eta \in AP \mid \neg\eta \in Old(q)\}$

$$\mathcal{L}(q) = \{ X \subseteq AP \mid X \supseteq Pos(q) \wedge (X \cap Neg(q)) = \emptyset \}$$

Notes on the algorithm





Composing A_{sys} and A_{ϕ}

- **In general what we need to do is to compute the *intersection of the languages* recognized by the two automata A_{sys} and A_{ϕ} and check for emptiness.**
- **We have already seen (*slide 12*) how this can be done.**
- **When the *System* needs *not* satisfy FAIRNESS conditions (or in general A_{sys} have the trivial acceptance condition, i.e. *all the states are accepting*) there is a more efficient construction...**

Efficient composition of A_{sys} and A_ϕ

- When A_{sys} have the *trivial acceptance condition*, i.e. *all the states are accepting* there is a more efficient construction.
- In this case we can just compute:

$$A_{sys} \cap A_\phi = < \Sigma, S_{sys} \times S_\phi, R', S_{0sys} \times S_{0\phi}, S_{sys} \times F_\phi >$$

- where

$(<s,t>,a,<s',t'>) \in R'$ *iff* $(s,a,s') \in R_{sys}$ and $(t,a,t') \in R_\phi$

Efficient composition of A_{sys} and A_ϕ

- **Notice that in our case both automata have *labels in the states* (instead of on the transitions).**
- **This can be dealt with by simply *restricting the set of states* of the intersection automaton to those which *agree on the labeling* on both automata.**
- **Therefore we define**

$$A_{sys} \cap A_\phi = < \Sigma, S', R', (S_{0sys} \times S_{0\phi}) \cap S', S_{sys} \times F_\phi >$$

- **where**

$$S' = \{(s,t) \in S_{sys} \times S_\phi \mid L_{sys}(s)|_{AP(\phi)} = L_\phi(t)\} \text{ and}$$

$$(<s,t>,<s',t'>) \in R' \quad \textit{iff} \quad (s,s') \in R_{sys} \quad \text{and} \quad (t,t') \in R_\phi$$