

# Tecniche di Specifica e di Verifica

Modeling with Transition Systems

# An example

## *The Dining Philosophers*

- Possible problems:
  - *Deadlock*: system state where no action can be taken (no meaningful transition possible)
  - *Livelock*: When a system component is prevented to take any action, or a particular one (individual starvation)
  - *Starvation*: obvious.

# Fairness

## *The Dining Philosophers*

- A possible solution to deadlock:
  - *pick up right fork only if both are present*

### **Useful assumptions on the system:**

- *weak fairness*: any phil. trans. ***continuously*** enabled will ***eventually*** fire (e.g. eating philosophers will finish)
- *strong fairness*: any phil. trans. enabled ***infinitely often*** will ***eventually*** occur (e.g. if 2 fork available infinitely often, phil. will eventually eat).

# Livelock

## *The Dining Philosophers*

- Possible solution:
  - *pick up fork only if both are present*

### **Assumptions:**

- *strong fairness*: any phil. trans. enabled infinitely often, will eventually occur (if 2 fork available infinitely often, philosopher will eventually eat).

*strong fairness* is not enough to prevent *livelock*

**Why?** Think of the case with 4 philosophers!

Sol.(?): Try *preventing consecutive eating*.

Still suffers from *livelock* with 5 phils! **Why?**

# Outline

- The model – Transition systems
- Some features
  - Paths
  - Computations
  - Branching
- First order representation

# Transition systems

- A **transition system** (*Kripke structure*) is a structure

$$\mathbf{TS} = (\mathbf{S}, \mathbf{S}_0, \mathbf{R})$$

where:

- $\mathbf{S}$  is a **finite** set of **states**.
- $\mathbf{S}_0 \subseteq \mathbf{S}$  is the set of **initial states**.
- $\mathbf{R} \subseteq \mathbf{S} \times \mathbf{S}$  is a **transition relation**
  - $\mathbf{R}$  must be **total**, that is
    - " $\forall s \in \mathbf{S} \exists s' \in \mathbf{S} . (s, s') \in \mathbf{R}$ " or, equivalently,
    - for every state  $s$  in  $\mathbf{S}$ , there exists  $s'$  in  $\mathbf{S}$  such that  $(s, s')$  is in  $\mathbf{R}$ .

# Notions and Notations

- $TS = (S, S_0, R)$
- $(s, s') \hat{\in} R \iff R(s, s') \iff s \textcircled{R} s'$
- A (**finite**) *path* from  $s$  is a sequence

$$s_1, s_2, \dots, s_n$$

such that

$$- s = s_1$$

$$- s_i \textcircled{R} s_{i+1} \text{ for } 0 < i < n.$$

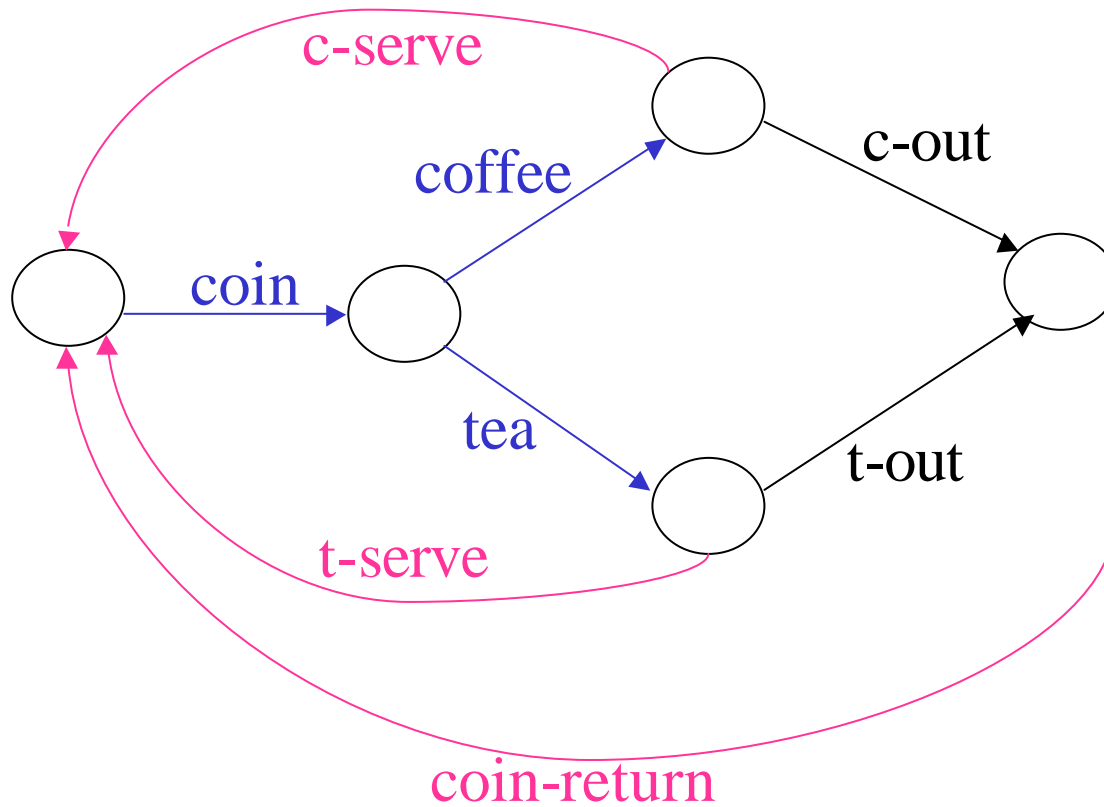
- It is from  $s$  to  $s'$  if  $s_n = s'$ .
- An **infinite** path from  $s$  is an *infinite sequence* .....

# Labeled transition systems

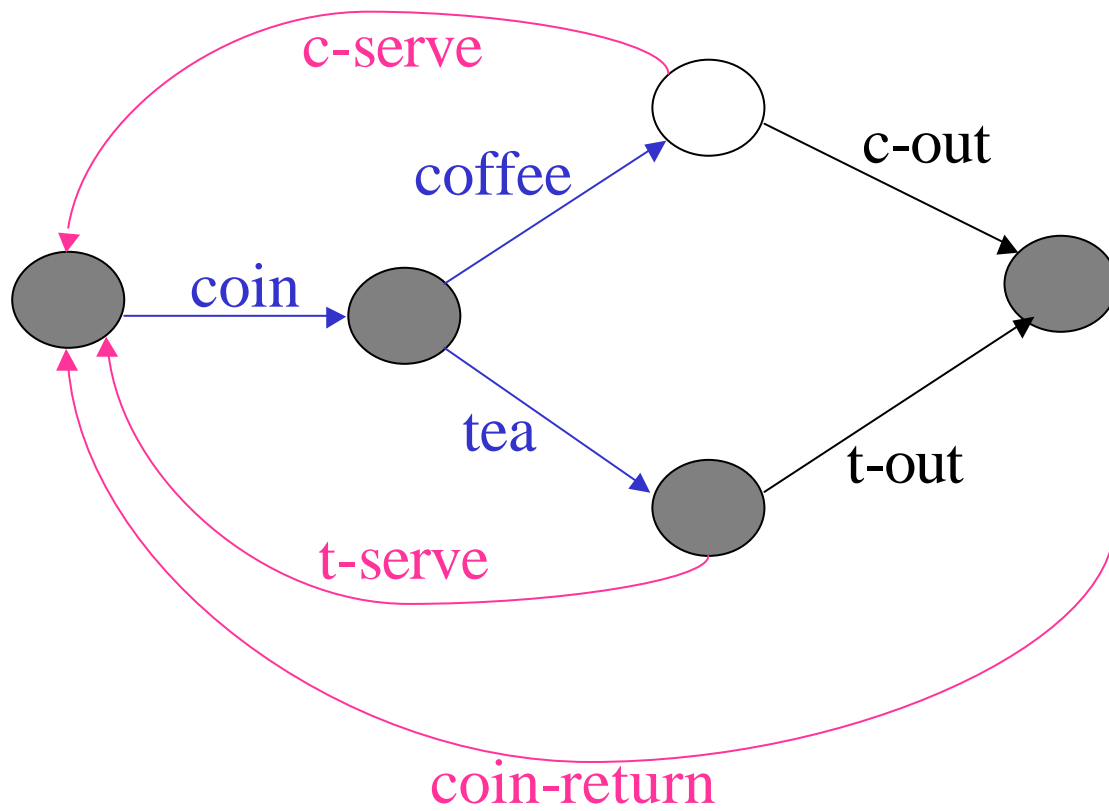
- Sometimes we may use a *finite* set of actions:
  - $\text{Act} = \{\mathbf{a}, \mathbf{b}, \dots\}$
- The actions will be used to label the transitions.
- **TS = (S, S<sub>0</sub>, Act, R)**
  - $\mathbf{R} \hat{=} \mathbf{S} \times \text{Act} \times \mathbf{S}$ , labeled transitions.
  - $(s, a, s') \hat{=} \mathbf{R} \iff \mathbf{R}(s, a, s') \iff s \xrightarrow{\mathbf{a}} s'$



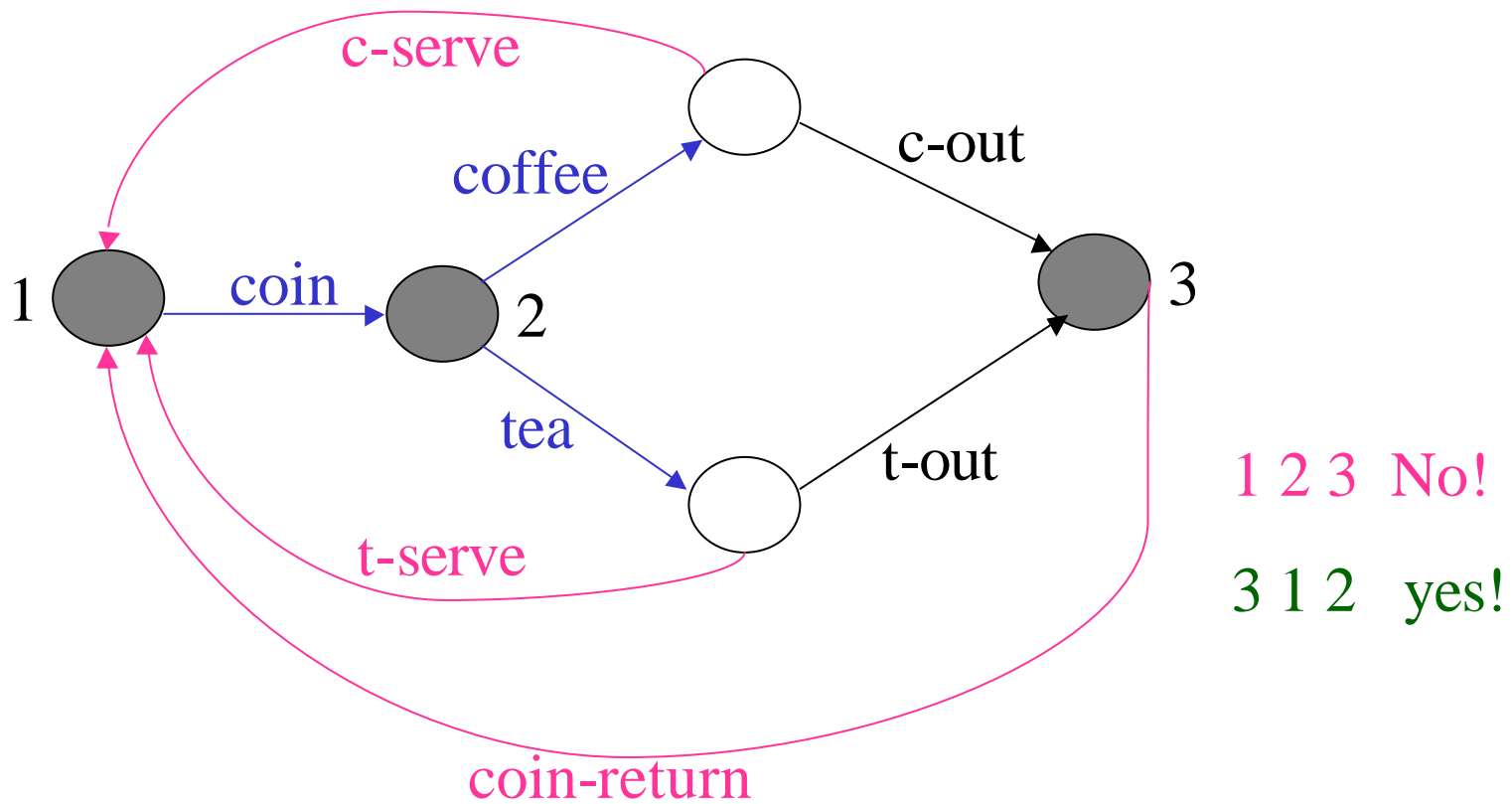
# A vending machine



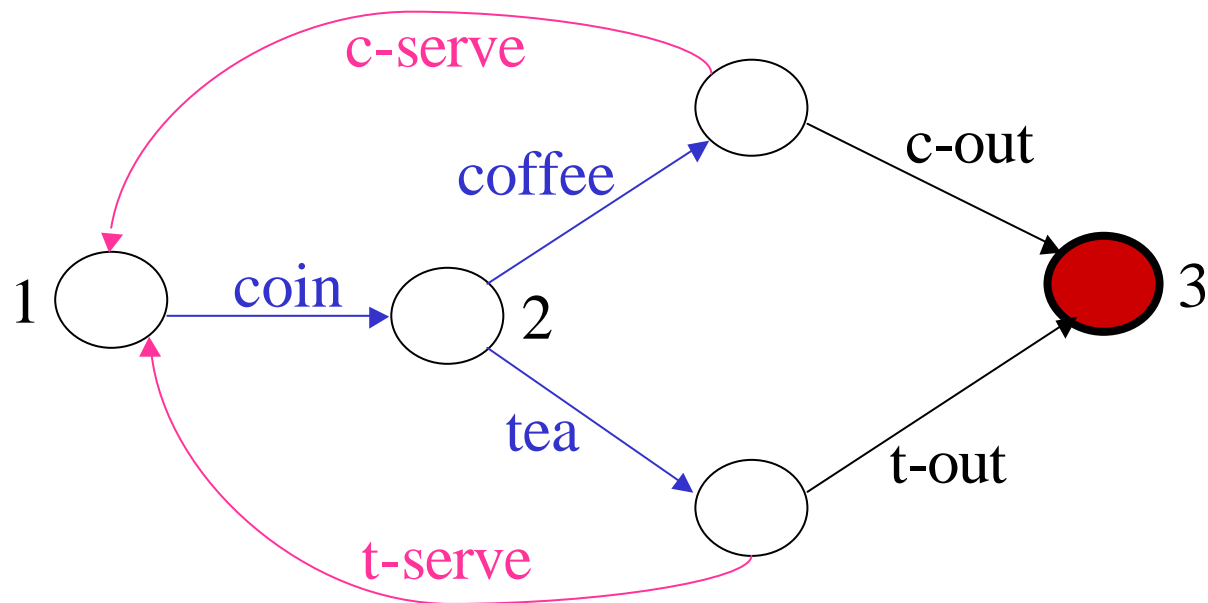
# A path



# A non-path



# A non-total transition relation



# State space

- The *state space* of a system (e.g. program) is the set of *all its possible states*.
- For example, if  $V=\{a, b, c\}$  and the variables range over the naturals, then the *state space* includes:

$\langle a=0, b=0, c=0 \rangle$ ,  $\langle a=1, b=0, c=0 \rangle$ ,

$\langle a=1, b=1, c=0 \rangle$ ,  $\langle a=932, b=5609, c=6658 \rangle$

...

# Atomic transition

- Each *atomic transition* represents a small piece of code (or *execution step*), such that *no smaller* piece of code (or *step*) is observable.
- Is  $a:=a+1$  atomic?
- In some systems it is, e.g., when  $a$  is a register and the transition is executed using an *inc* command.

# (Non)Atomicity (race conditions)

- Execute the following when **x=0** in two concurrent processes:

**P1:a=a+1**

**P2:a=a+1**

- Result: **a=2**.
- Is this always the case?

- Consider the actual translation:

**P1:load R1,a**  
**inc R1**  
**store R1,a**

**P2:load R2,a**  
**inc R2**  
**store R2,a**

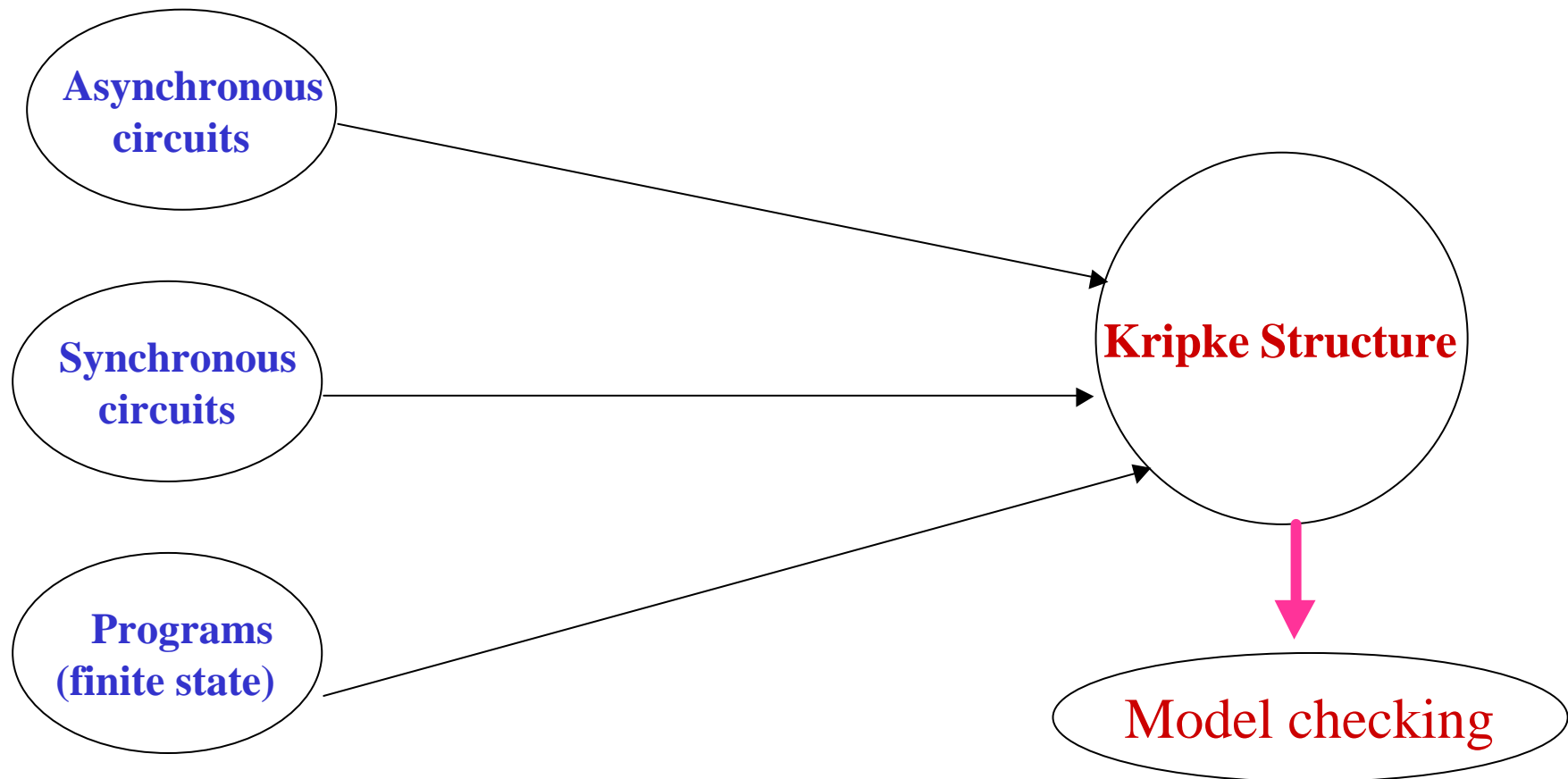
- **a may also be 1**

# The common framework

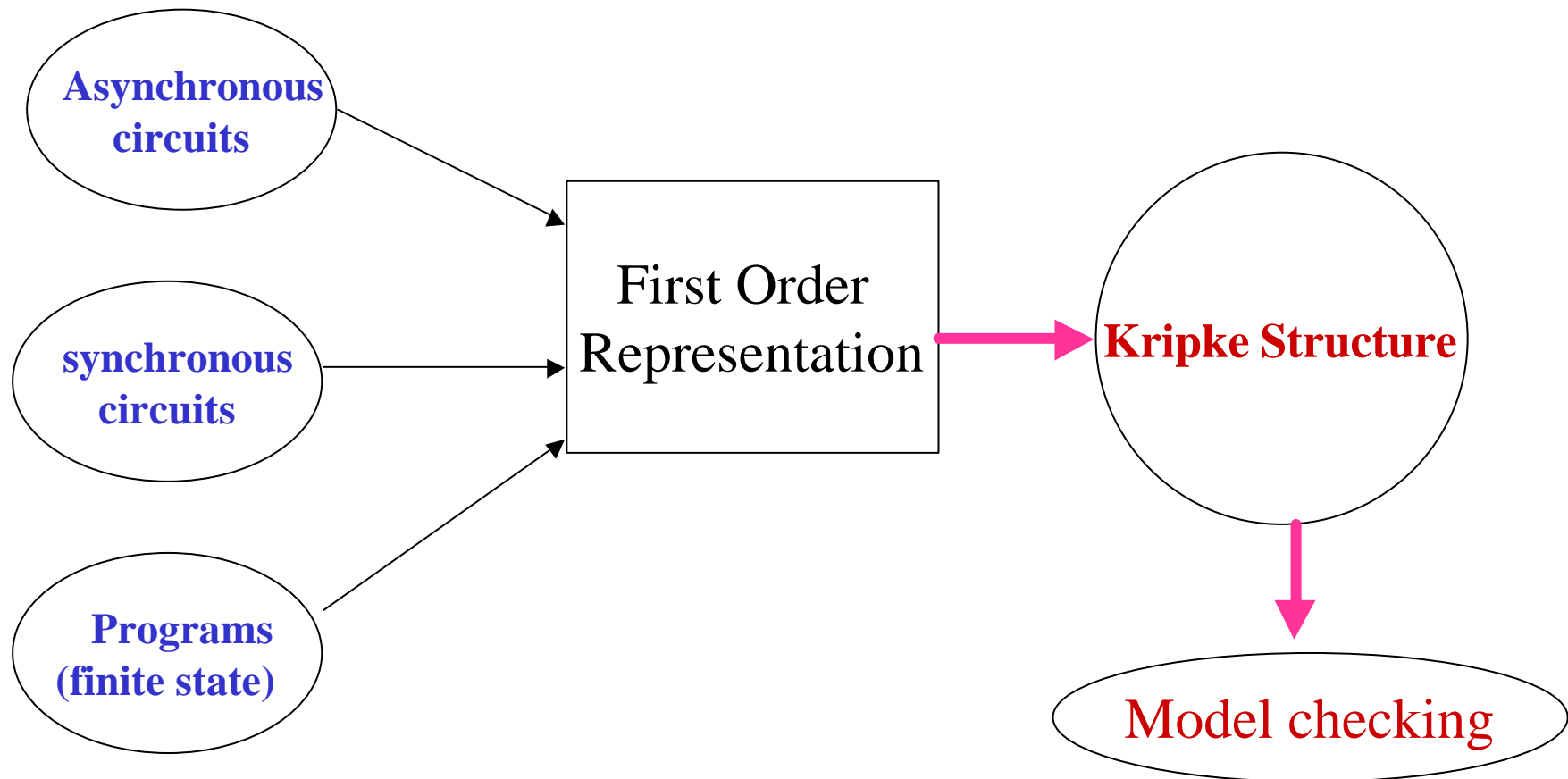
- Many systems need to be modeled.
  - Digital circuits
    - **Synchronous**
    - **Asynchronous**
  - Programs
- Strategy : Capture the main features using a logical framework (nothing to do with temporal logics!) : *First order representation*



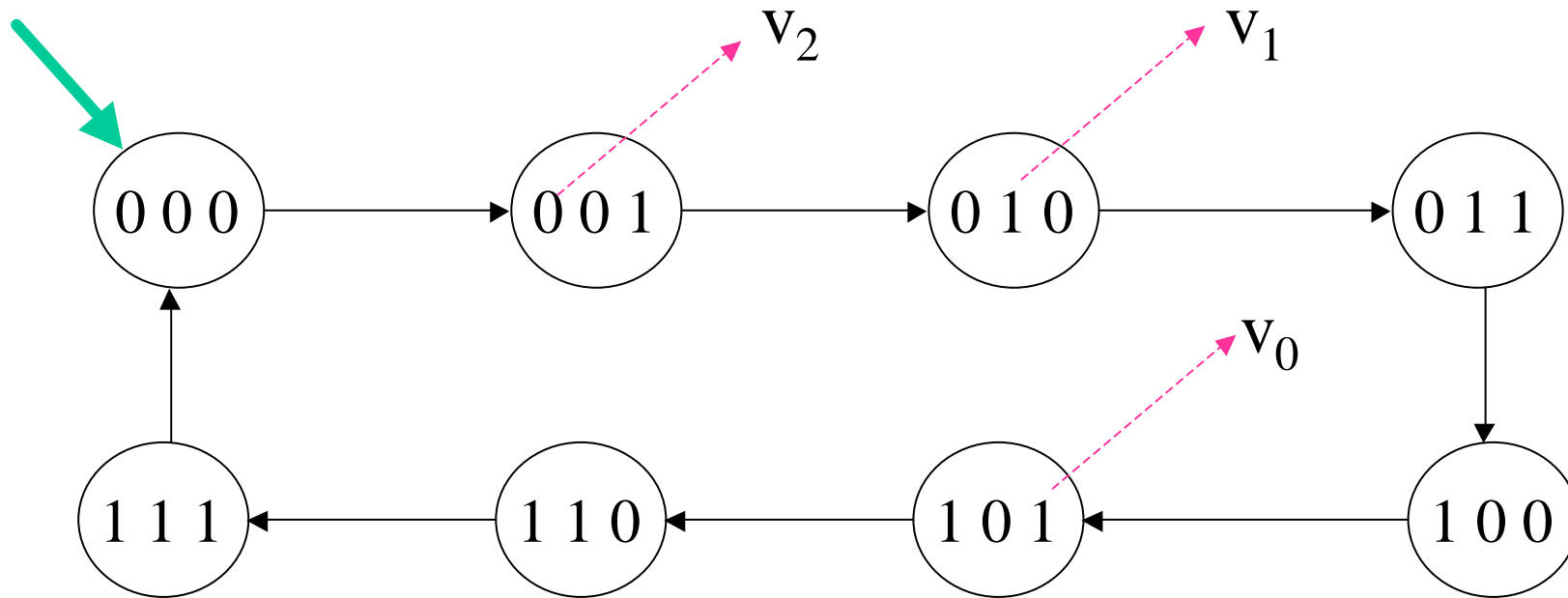
# The inefficient way



# The efficient way



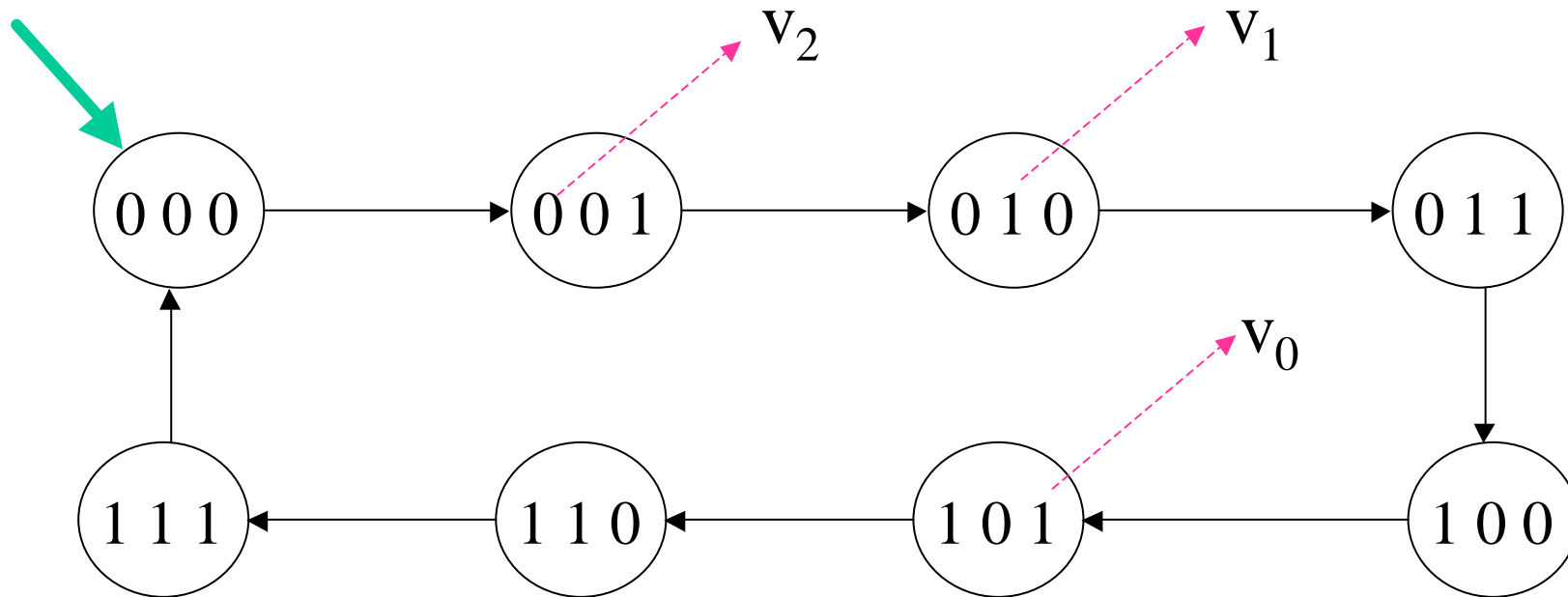
# A mod-8 counter



# The mod-8 counter

- **System variables** :  $V = \{v_2 \ v_1 \ v_0\}$
- **Domain** of  $v_2$  is  $\{0, 1\}$   
Same domain for  $v_1$  and  $v_0$  as well.
- **Special case** : These variables are **boolean**
- Each **state**  $s$  can also be seen as a **function** assigning to each variable a **value** in its domain.
  - $s : V \rightarrow B$
  - $s(v_0) = 0 \ s(v_1) = 1 \ s(v_2) = 1$
  - This specifies the state  $s = (1 \ 1 \ 0) !$

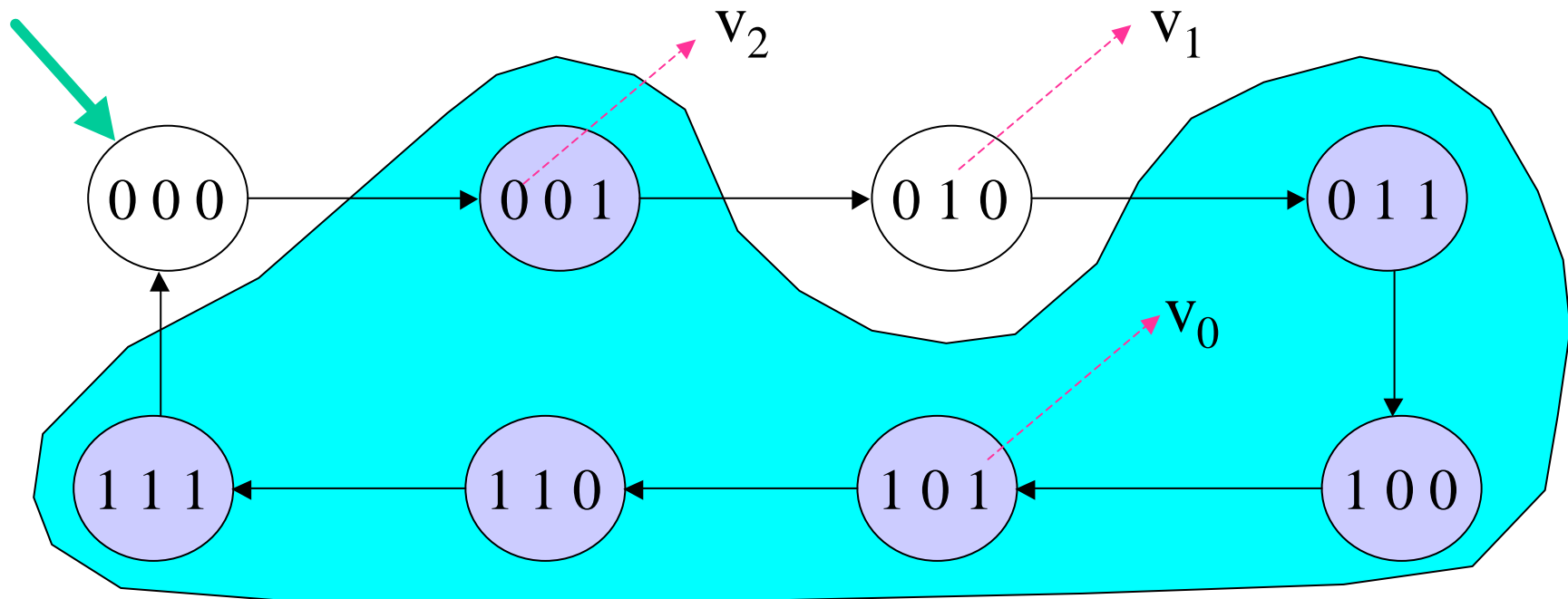
# State Predicates



**A set of states can be picked out by a propositional formula:**

$\mathbf{X} = v_2 \hat{\cup} v_0$  is the set  $\{ \dots \}$

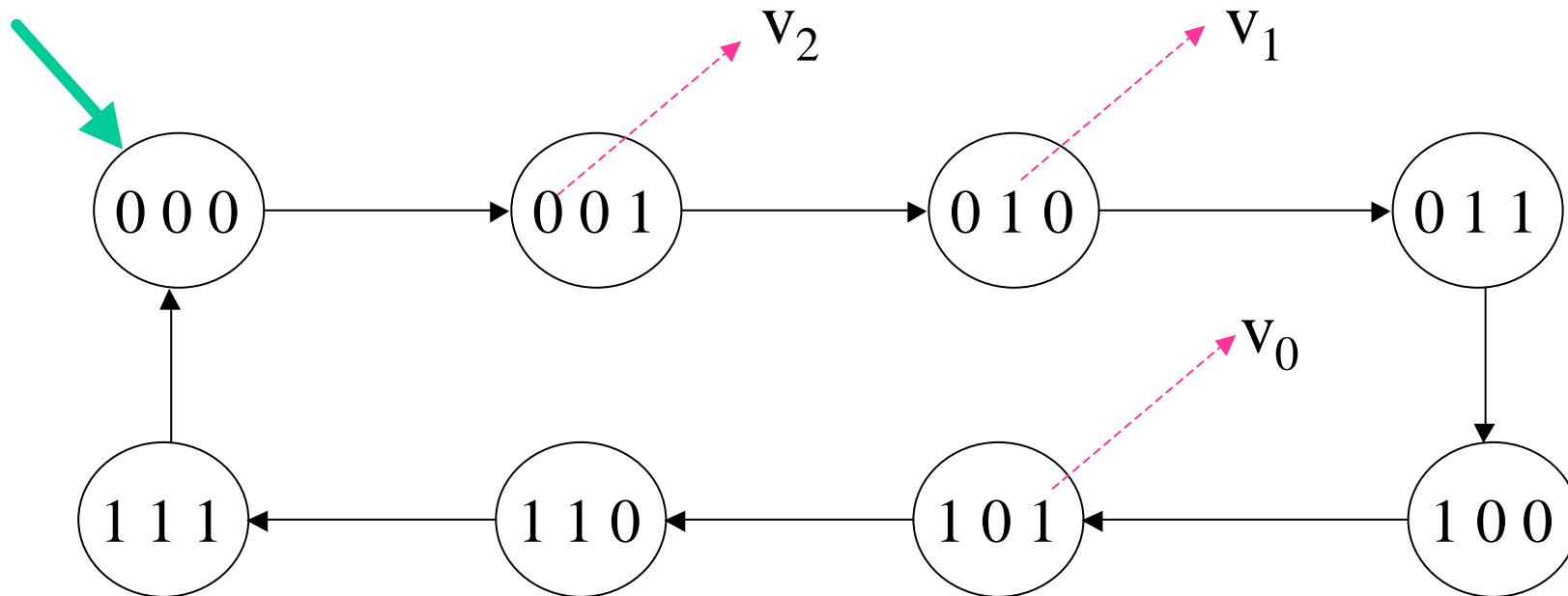
# State Predicates



**A set of states can be picked out by a propositional formula:**

$\mathbf{X} = \mathbf{v}_2 \hat{\cup} \mathbf{v}_0$  is the set  $\{100, 101, 110, 111, 001, 011\}$

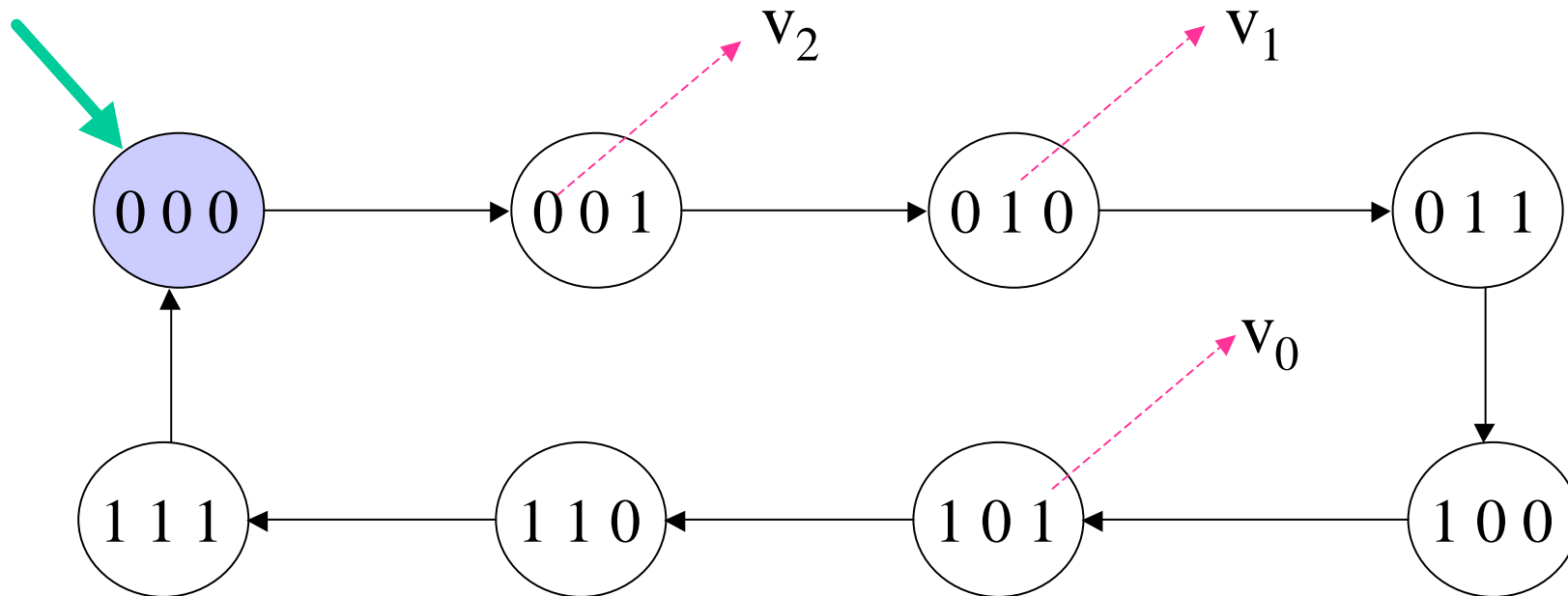
# Initial States Predicate



A set of states can be picked out by a formula;

$$\mathbf{X}' = \emptyset_{v_2} \dot{\cup} \emptyset_{v_1} \dot{\cup} \emptyset_{v_0}$$

# Initial States Predicate

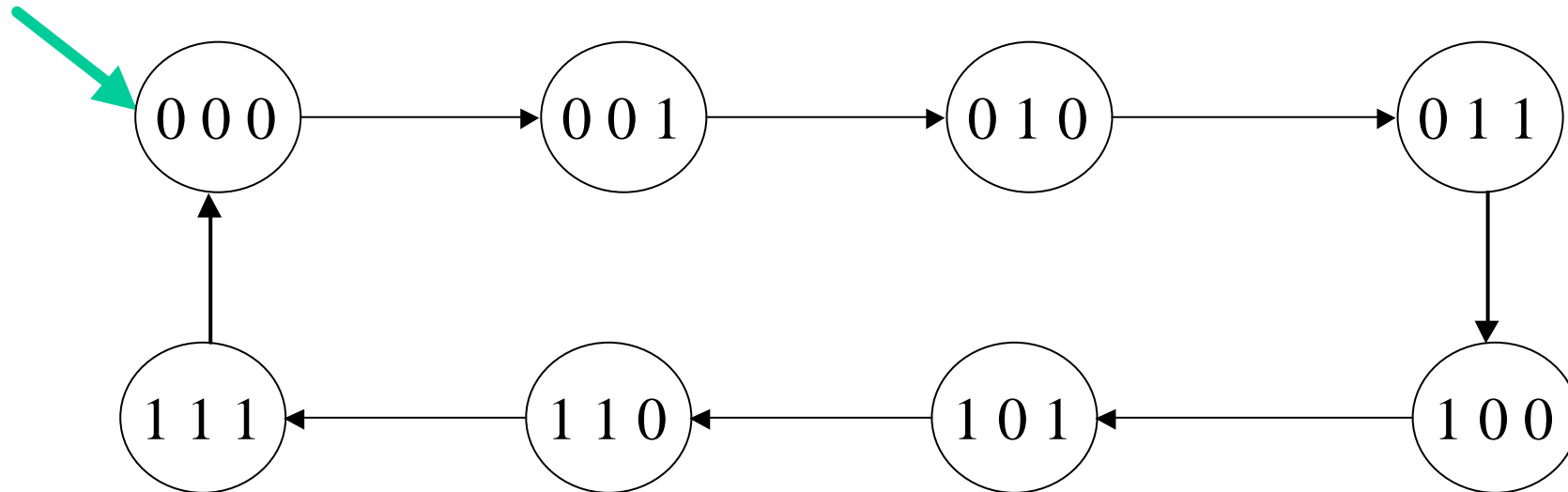


A set of states can be picked out by a formula;

$$\mathbf{X}_1 = \emptyset_{v_2} \dot{\cup} \emptyset_{v_1} \dot{\cup} \emptyset_{v_0} \quad \text{therefore} \quad \mathbf{X}_1 = \{ \mathbf{S}_0 \} = \{ 000 \}$$



# Transition relation predicate

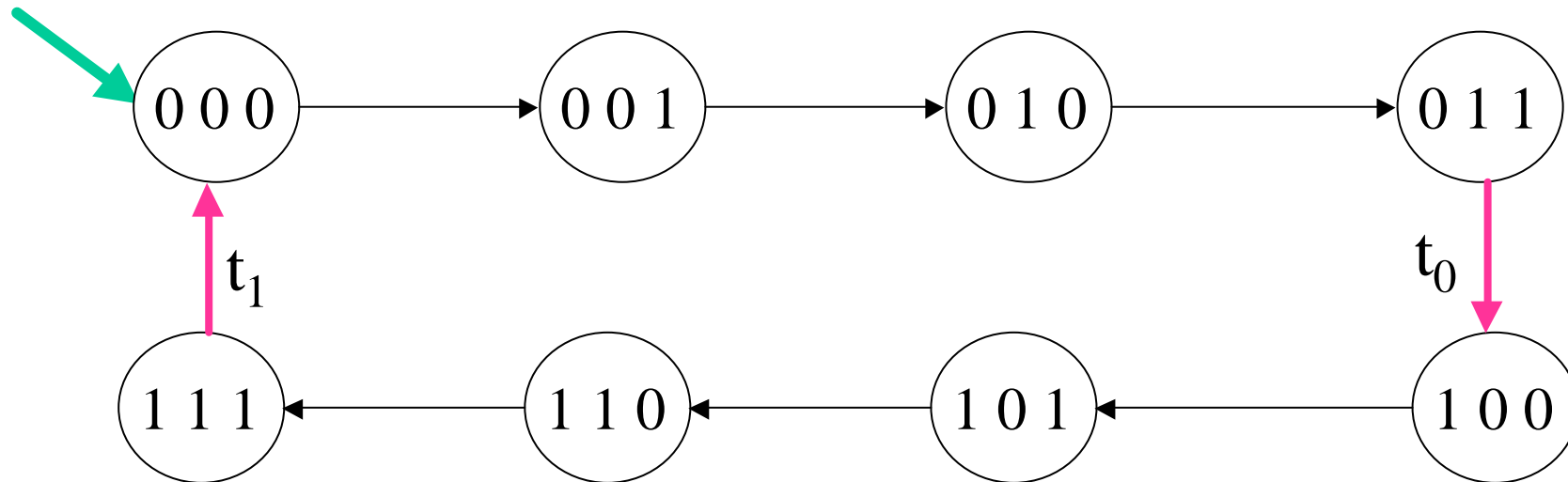


**A set of *transitions* can also be picked out by a formula.**

$$\mathbf{R}_2 = \mathbf{v}_2' \Leftrightarrow (\mathbf{v}_0 \dot{\cup} \mathbf{v}_1) \dot{\wedge} \mathbf{v}_2$$

$\mathbf{v}_2$  – current value    $\mathbf{v}_2'$  – next value

# Transition relation predicate

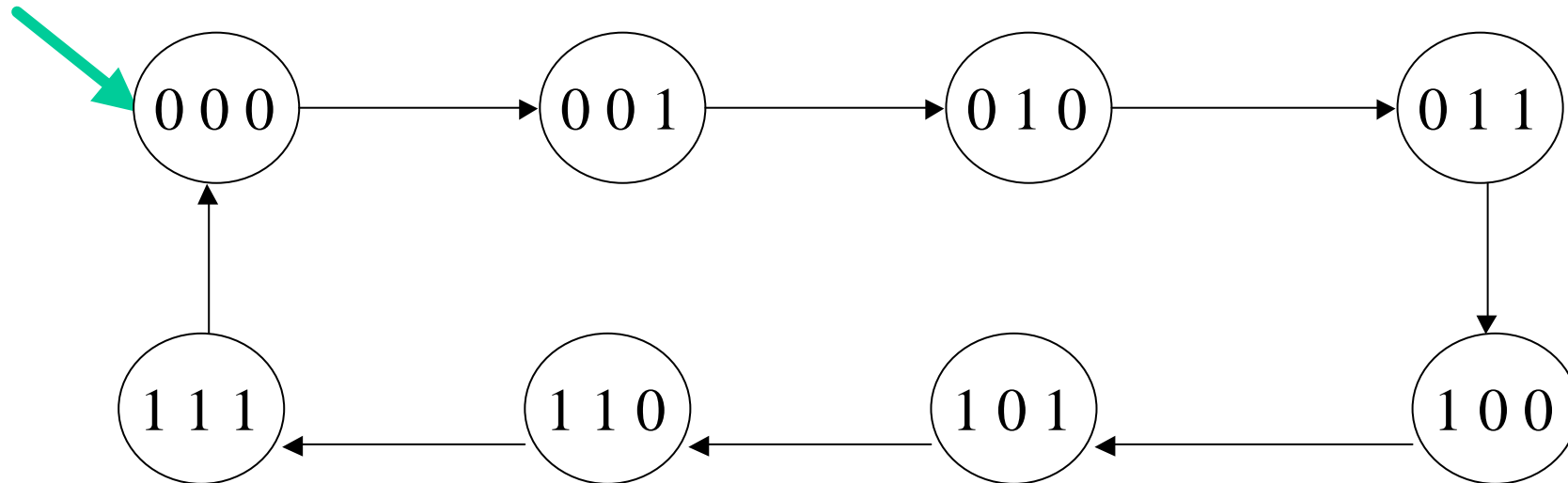


A set of transitions can also be picked out by a formula.

$\mathbf{R}_2 = \mathbf{v}_2' \Leftrightarrow (\mathbf{v}_0 \dot{\cup} \mathbf{v}_1) \mathring{\wedge} \mathbf{v}_2$      $\mathbf{v}_2$  – current value     $\mathbf{v}_2'$  – next value

$$\{t_0, t_1\} \subseteq \mathbf{R}_2$$

# Transition relation predicate

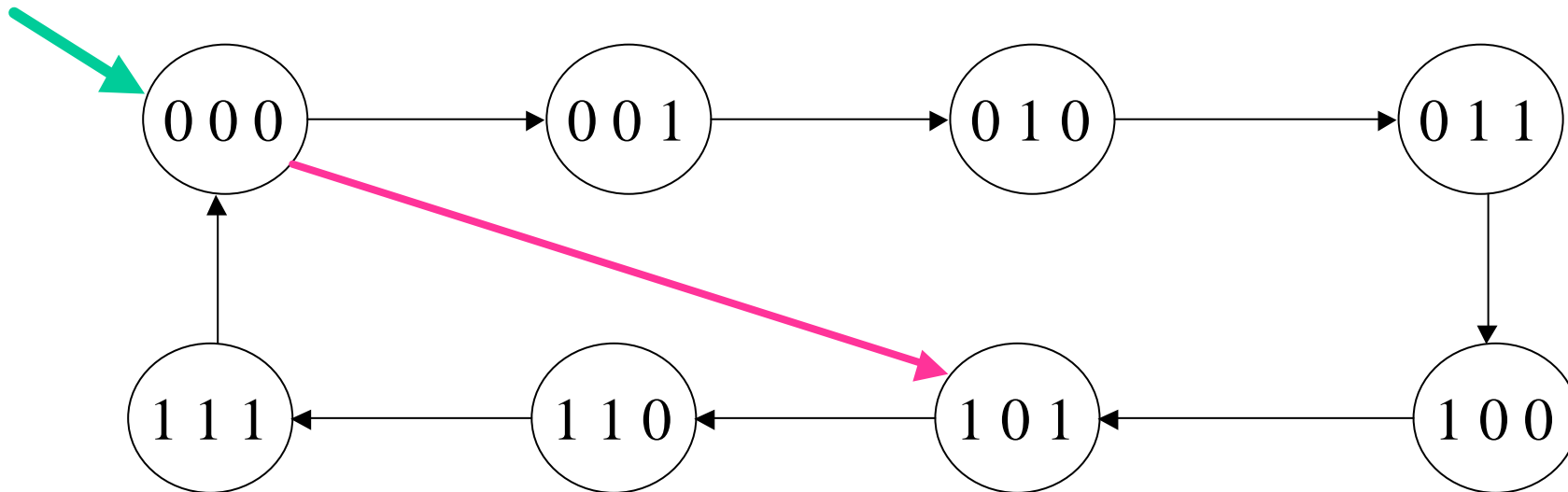


$$\mathbf{R} = \mathbf{Formula}(v_2, v_1, v_0, v_2', v_1', v_0')$$

Not all formulae will define subsets of transitions.

**You** must pick the right formula .

# Transition relation predicate

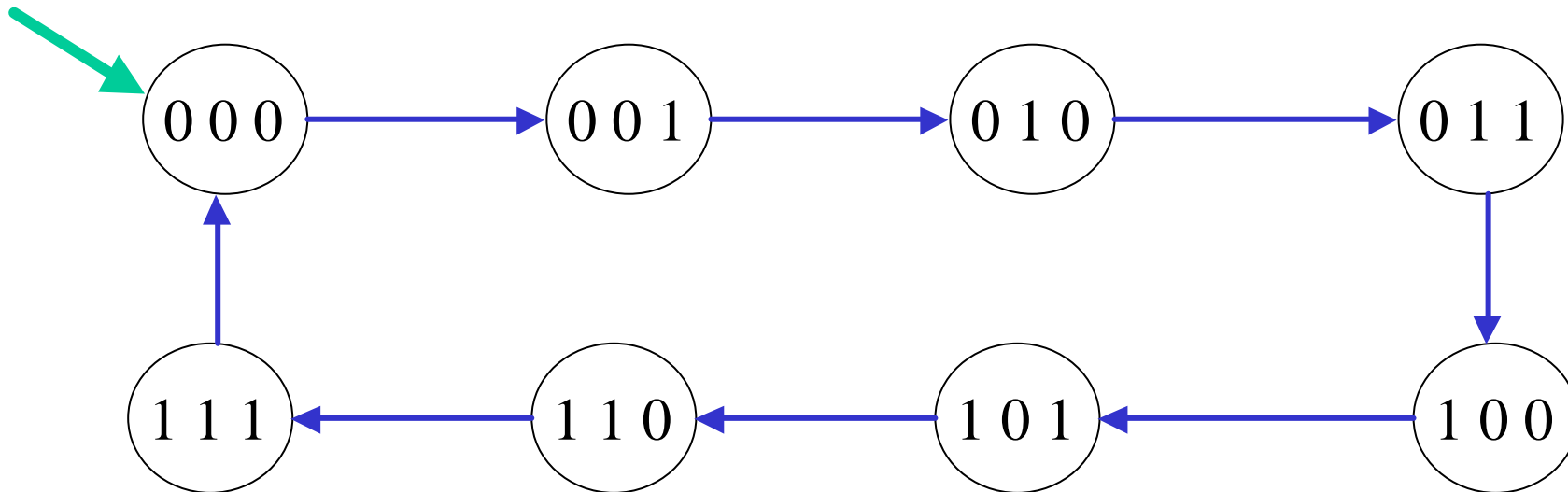


$\mathbf{R}_0 = \mathbf{v}_0' \text{ } ^1 \text{ } \mathbf{v}_0$        $\mathbf{v}_0$  – current value     $\mathbf{v}_0'$  – next value

$\mathbf{R}_0 = \{(000) \longrightarrow (101), \dots\dots\dots\}$

But this is not a transition!

# Transition relation predicate



$$\mathbf{R}_0 = \mathbf{v}_0' \wedge \mathbf{v}_0 \quad \mathbf{v}_i - \text{current value} \quad \mathbf{v}_i' - \text{next value}$$

$$\mathbf{R}_1 = \mathbf{v}_1' = (\mathbf{v}_0 \wedge \mathbf{v}_1)$$

$$\mathbf{R}_2 = \mathbf{v}_2' = (\mathbf{v}_0 \wedge \mathbf{v}_1) \wedge \mathbf{v}_2$$

$$\mathbf{R} = \mathbf{R}_0 \wedge \mathbf{R}_1 \wedge \mathbf{R}_2$$

# Summary of Predicates

- System variables  $v_0, v_1, v_2, \dots, v_n$ .
- Each  $v_i$  has a domain of values
  - Boolean ,  $\{a,b,c,\dots\}$ ,  $\{5,8,0,7\}\dots$
  - We require that each domain be *finite*.
- A state is a function  $s$  which assigns to each system variable a value in its domain.
- The set of states is *finite*.

# Summary

- Predicates can be used to pick out –succinctly– sets of states (useful for identifying initial states).
- **X** = **Formula**(**v**<sub>0</sub>, **v**<sub>1</sub>, **v**<sub>2</sub>, ..., **v**<sub>n</sub>)
- But this works well only when **all** domains are **boolean**.
- In general, we can use *first order formulae*.

# Summary

- A set of transitions can also be picked out using predicates.
- **T** = **Formula**( $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_n, \mathbf{v}_0', \mathbf{v}_1', \dots, \mathbf{v}_n'$ )
- **T** is the set of all transitions  
 $(\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_n) \longrightarrow (\mathbf{v}_0', \mathbf{v}_1', \dots, \mathbf{v}_n')$   
such that **Formula** (above!) is satisfied.
- Not all (state or **transition**) formulas will be legitimate.



# Why use formulae?

- *Formulae* allow us to compactly describe a system and its dynamics
- It's easy to go from a “*logical*” description to *Kripke structures*.
- Once we have a *Kripke structure*, we are in business.
- We can use
  - *Temporal Logics* to specify properties
  - *Model checking* to verify these properties.

# First Order Logic

- The general structure :
  - **Syntax**
    - Formulae
  - **Semantics**
    - When is a formula true?
    - Models
      - Interpretations
      - Valuations

# Syntax

- **Terms**
  - Variables
  - Functions symbols, constant symbols
- **Atomic formulas**
  - Relation symbols, equality, terms
- **Formulas**
  - Atomic formulas
  - Propositional connectives
  - *Existential and universal quantifiers*

# Syntax

- (individual) variables ---  $\mathbf{x}, \mathbf{y}, \mathbf{v}_3, \mathbf{v}', \dots$ 
  - System variables in our context
- Function symbols :  $\mathbf{f}^{(n)}$ 
  - $\mathbf{n}$  is the arity of  $\mathbf{f}$ .
  - Add<sup>(2)</sup>
  - Next<sup>(1)</sup>
- Function symbols will capture the functions used in the programs, circuits, ...

# Constant symbols

- Apart from variables, it will also be convenient to have constant symbols.
  - *zero* , *five* , ....
- Variables can be assigned different values but a constant symbol is assigned a **fixed value**.

# Terms

- **Terms** are used to point at values.
- Any variable  $v$  is a term.
  - $x, v, v''$
- Any constant symbol  $c$  is a term.
- Suppose  $f$  is a function symbol of arity  $n$  and  $t_1, t_2, \dots, t_n$  are terms, then  $f(t_1, t_2, \dots, t_n)$  is a also term.

# Terms

- Let **Plus** be a function symbol of arity 2.
- $v_1, v_2, \text{Plus}(v_2, \text{Plus}(v_1, v_1))$  are terms.
  - the semantics of the last term is intuitively

$$v_2 + 2v_1$$

- Let **weird\_op** be a function symbol of arity 3
- Then

$\text{Plus}(\text{weird\_op}(v, \text{Plus}(v_1, v_2), \textit{five}), \text{Plus}(v, v''))$

is a term.

# Predicates

- Relation (predicate) symbols :
  - $P$  which also has an arity
  - *Greater-Than* has arity 2
  - *Prime* has arity 1
  - *Middle* has arity 3 --  $Middle(t_1, x, t_2)$ 
    - intuitively,  $x$  lies between  $t_1$  and  $t_2$
- *Equal* has arity 2
  - will be denoted as  $=$
  - It is a “**constant**” relation symbol.



# Atomic formulas.

- If  $t_1$  and  $t_2$  are terms then  $=(t_1, t_2)$  is an atomic formula.
  - also written  $t_1 = t_2$
- Suppose  $P$  has arity  $n$  and  $t_1, t_2, \dots, t_n$  are terms.
- Then  $P(t_1, t_2, \dots, t_n)$  is an atomic formula.

# Atomic formulas

- *Greater-Than*(five, zero)
- *Greater-Than*(two, four)
- *Prime*(Plus( $v_1$ ,  $v''$ ))
- Plus( $v$ , Zero) = weird\_op( $v$ ,  $v$ , four)
- $v = \text{Greater\_Than}(v_1, v_2)$  is *not* an atomic formula !

# Terms and Predicates

- A *term* is meant to denote a domain value.
  - It makes no sense to talk about a term being true or false.
- An *atomic formula* may be *true* or *false* (depends on the interpretation).
  - It does not make sense to associate a domain value with an atomic formula.

# Formulas

- Every atomic formula is a formula.
- If  $j$  is a formula then  $\neg j$  is a formula.
- If  $j$  and  $j'$  are formulas then  $j \vee j'$  is a formula.
- $j \wedge j'$  abbreviates:  $\neg(\neg j \vee \neg j')$
- $j \supset j'$  abbreviates :  $\neg j \vee j'$
- $j \circ j'$  abbreviates :  $(j \supset j') \wedge (j' \supset j)$

# Formulas

- If  $j$  is a formula and  $x$  is a variable then  $\exists x.j$  is a formula.
- " $\exists x.j$  abbreviates  $\exists x.\exists j$
- These are *existential* and *universal* quantifiers.
- The power of first order logic comes from these operators!

# Semantics

- **Models :**
  - *Domain of interpretation*
  - *Interpretation*
    - For the function, constant and relation symbols.
      - *Fixed for all formulas.*
    - For the individual variables, on a “per formula” basis.
      - *Valuations.*

# Semantics

- *Domain*
  - Each variable will have its domain of values.
  - We pretend all these domains are the same.
  - Or rather, a big enough “universe” that will contain all these domains.
- Fix **D** the universe of values.

# Semantics

## *Interpretation function I*

- Assign a concrete function to each **function symbol** (of the same arity!)
- Assign a concrete member of **D** to each **constant symbol**.
- Assign a concrete relation to each **relation symbol** (of the same arity!).



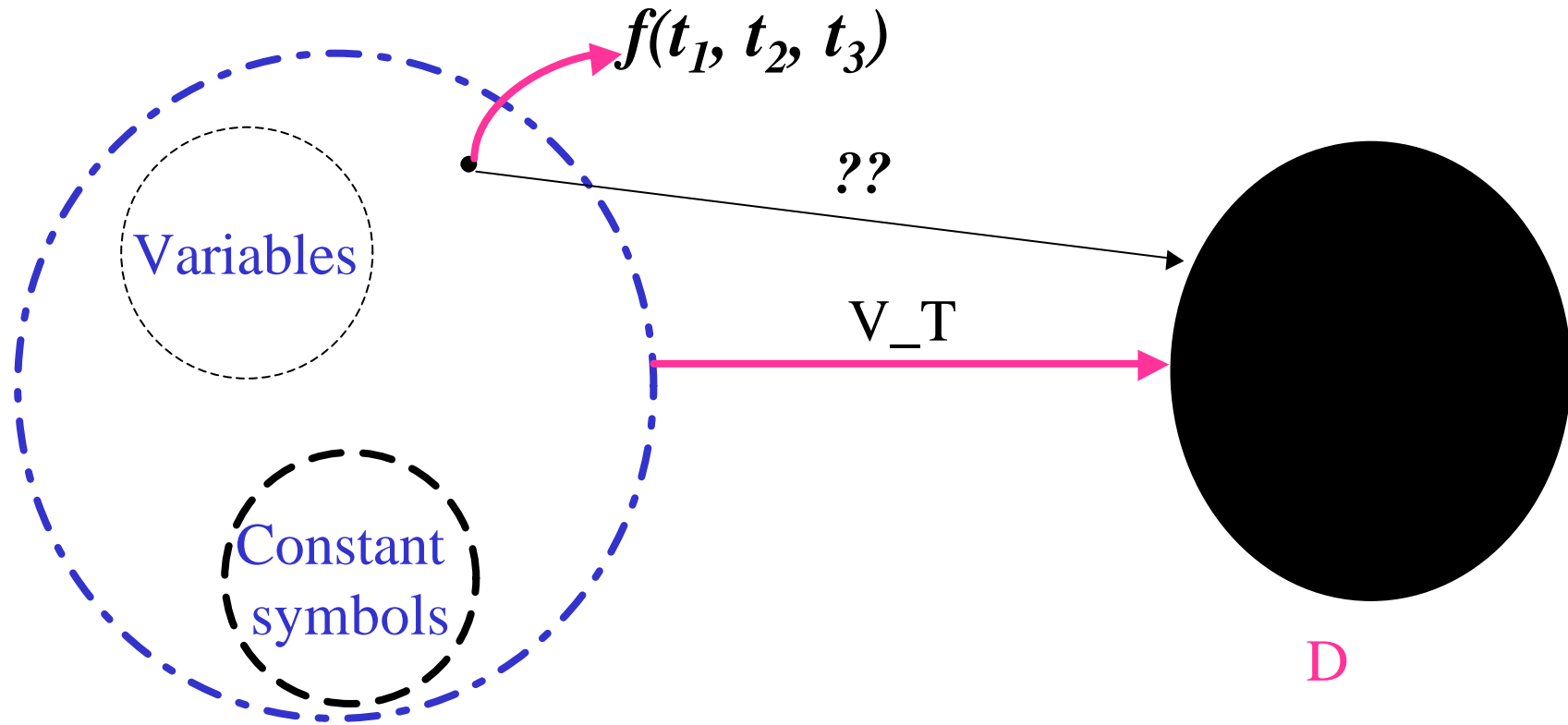
# Semantics

- Assume we have fixed an interpretation for all function symbols, constant symbols and relational symbols.
- Let  $j$  be a formula. Fix a *valuation* (or *assignment*)  $V$  which assigns a member of  $D$  to each variable.
- $V : \text{Var} \longrightarrow D$

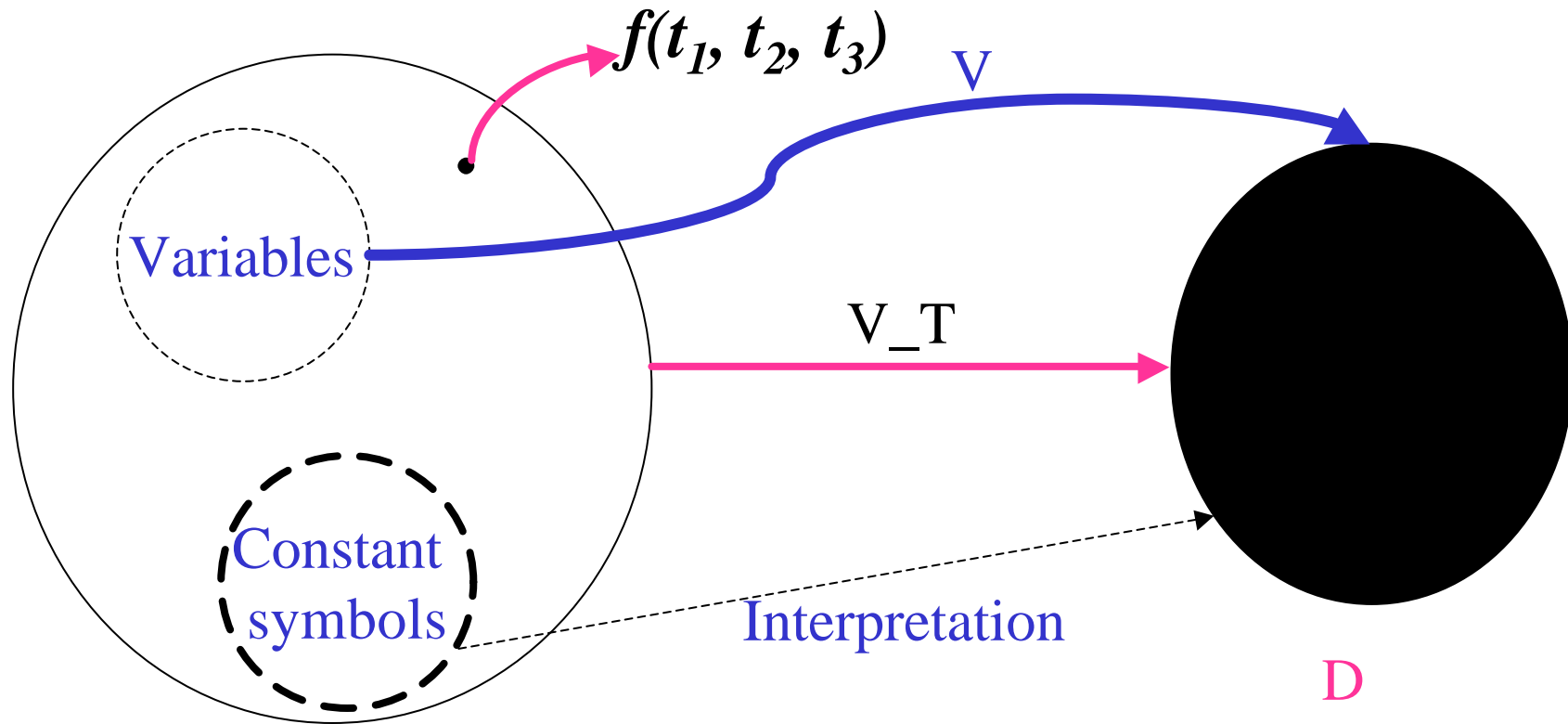
# Lift $V$ to All Terms

- We have :
  - An *interpretation* for the function symbols and constant symbols.
  - An *assignment*  $V : \text{Var} \longrightarrow \mathbf{D}$
- Using these, we can construct (uniquely!)  
 $V_T : \text{Terms} \longrightarrow \mathbf{D}$   
the interpretation of terms!

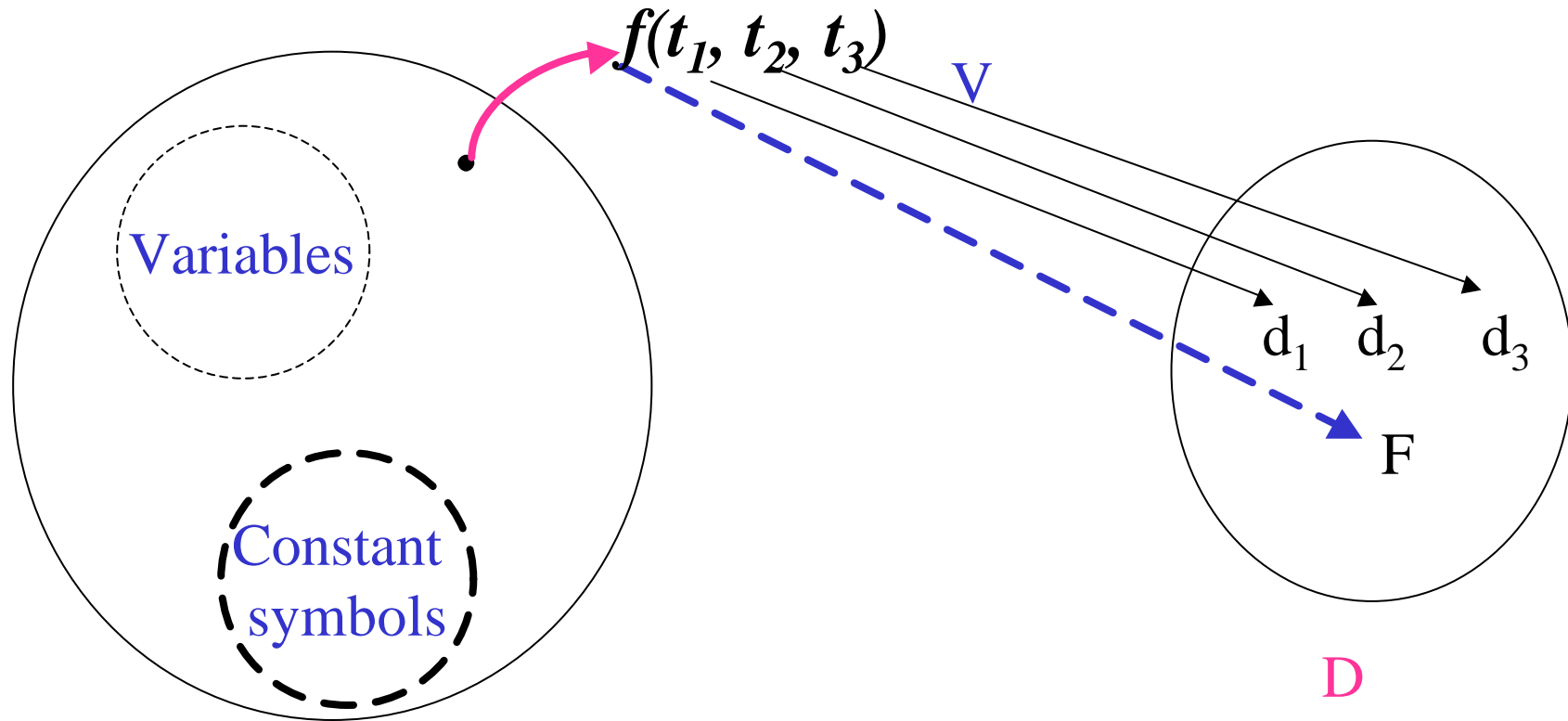
# Constructing V\_T



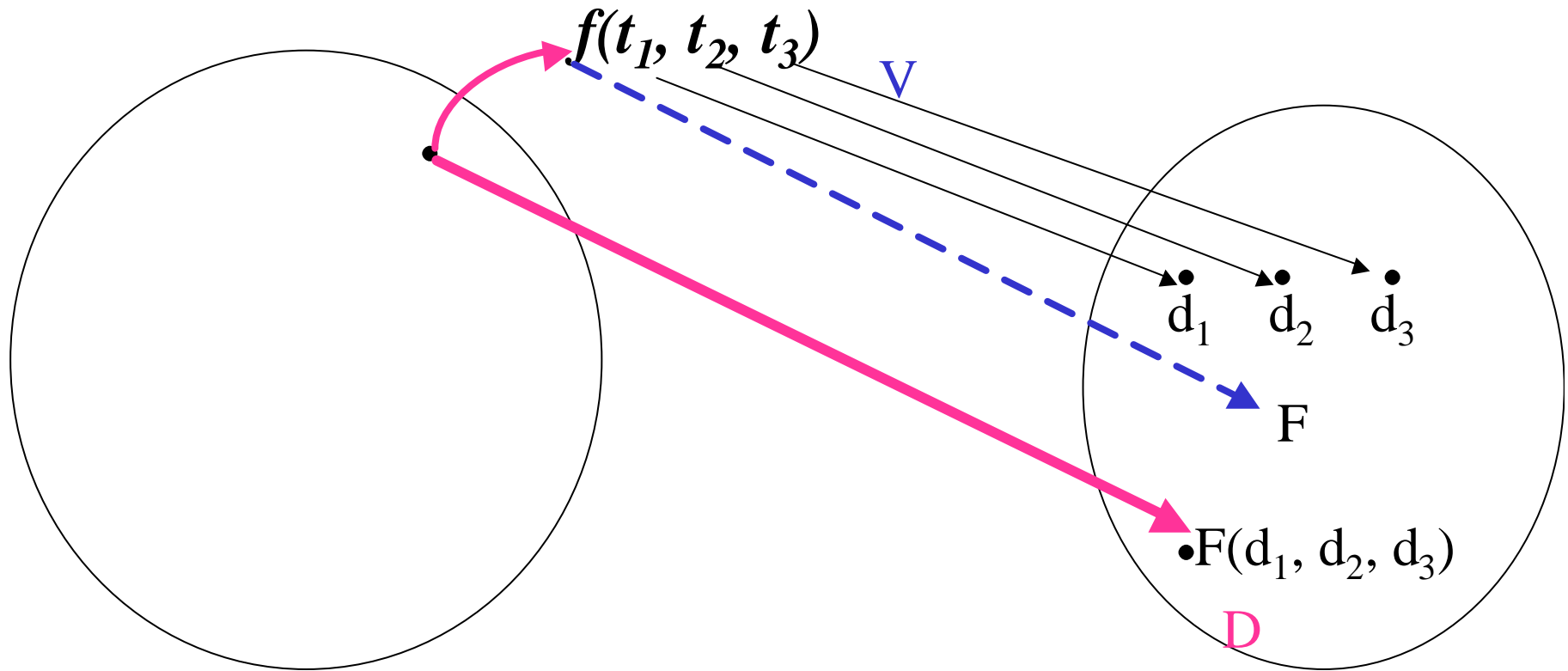
# Constructing $V_T$



# Constructing V\_T



# Constructing $V_T$



# Semantics

- Let  $j$  be a formula. Fix a valuation  $\mathbf{V}$  which assigns a member of  $\mathbf{D}$  to each variable.
- So we now have  $\mathbf{V}_T$  that assigns a member of  $\mathbf{D}$  to each term.
- $j$  is satisfied under  $\mathbf{V}$  (and the interpretation we have fixed, for all formulae) if :

# Semantics

- Suppose  $P(t_1, t_2, \dots, t_n)$  is an atomic formula and  $V_{\mathbf{T}}(t_1) = d_1, \dots, V_{\mathbf{T}}(t_n) = d_n$  and  $\text{PCON}$  is the relation assigned to symbol  $P$  by our interpretation  $\mathbf{I}$ .
- Then  $P(t_1, t_2, \dots, t_n)$  is satisfied under  $\mathbf{V}$  iff  $\text{PCON}(d_1, d_2, \dots, d_n)$  holds in  $\mathbf{D}$ , that is:
 

$(d_1, d_2, \dots, d_n) \in \text{PCON}$

 $\hat{=} \mathbf{D} \hat{=} \mathbf{D} \hat{=} \dots \hat{=} \mathbf{D}$



# Semantics

- Suppose  $j$  is of the form  $\neg j'$ .  
Then  $j$  is satisfied under  $\mathbf{V}$  iff  $j'$  is **not** satisfied under  $\mathbf{V}$ .
- Suppose  $j$  is of the form  $j_1 \cup j_2$ .  
Then  $j$  is satisfied under  $\mathbf{V}$  iff  $j_1$  is satisfied under  $\mathbf{V}$  **or**  $j_2$  is satisfied under  $\mathbf{V}$ .

# Semantics

- *Greater-Than*(**Plus**( $v$ , 3), **Multi**( $x$ , 2))

$t_1$

$t_2$

- $V(v) = 2$   $V(x) = 1$

$$V\_T(t_1) = 5 \quad V\_T(t_2) = 2$$

$$(5, 2) \in > \subseteq \text{Integers} \times \text{Integers}$$

- $V'(v) = 1$   $V'(x) = 6$  and  $V'_T(t_1) = 3$   $V'_T(t_2) = 12$

$$(3, 12) \notin > \subseteq \text{Integers} \times \text{Integers}$$

- Under  $V$  the atomic formula is true, but under  $V'$  the atomic formula is not.

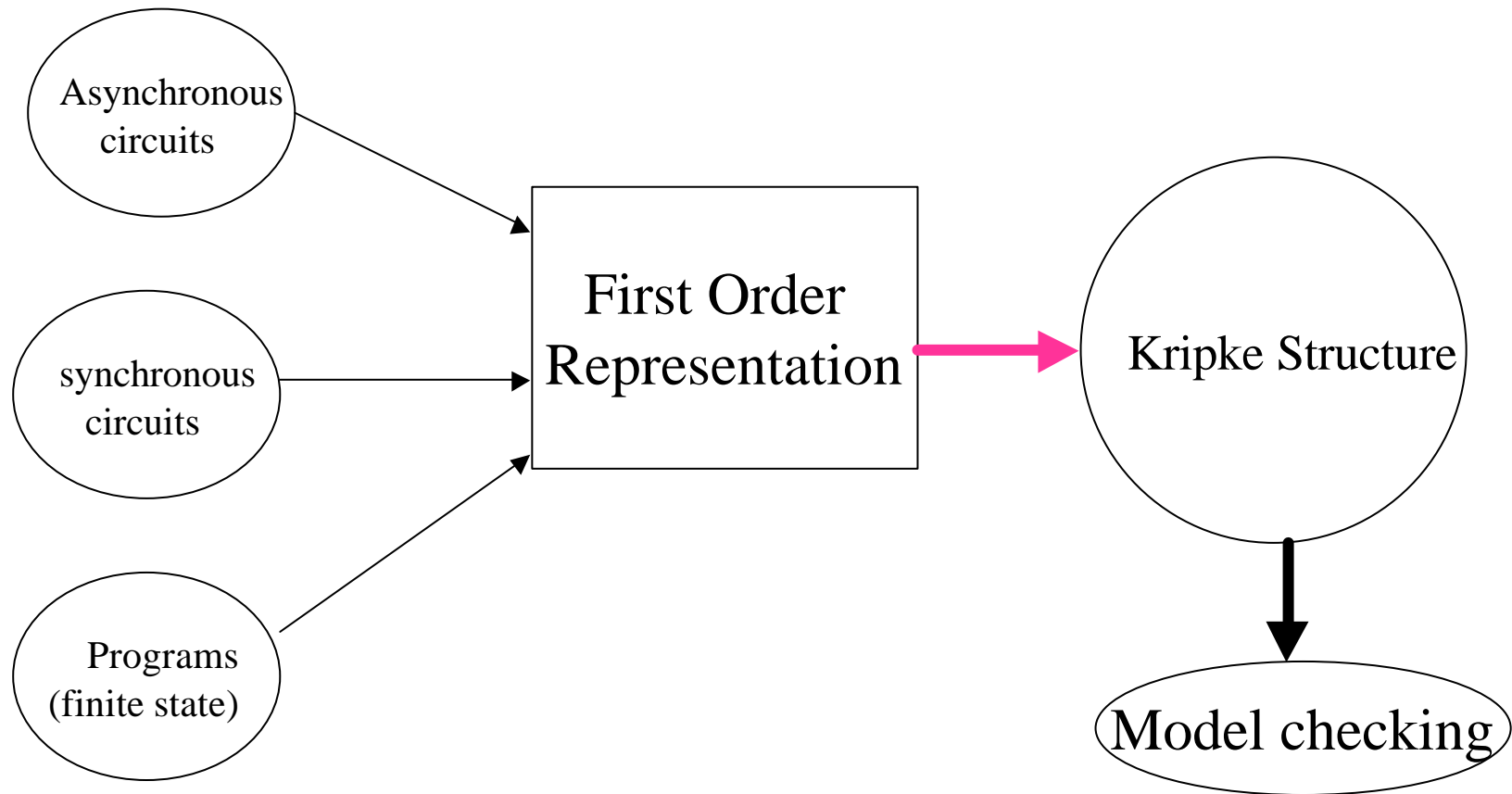
# Semantics

- The only case left is when  $j$  is of the form  $\exists x.j'$
- $j$  is satisfied under  $V$  iff there is a valuation  $V'$  such that  $j'$  is satisfied under  $V'$  and  $V'$  is required to meet the condition:
  - $V'$  is exactly  $V$  for all variables except  $x$ .
  - To  $x$ ,  $V'$  can assign *any value* of its choosing.

# Semantics

- Whether  $\exists x.j$  is true or not under  $V$ 
  - does not depend on what  $V$  does on  $x$  !
- $\exists x.2x = y$  is true under  $V(y) = 4, V(x) = 1$ !
- Because, we can find  $V'$ , with  $V'(y) = 4$  but  $V'(x) = 2$ .
- One says  $x$  is *bound* in the formula and  $y$  is *free*.

# The efficient way



# First Order Representation to Transition Systems

- $\{v_1, v_2, \dots, v_n\}$  --- System variables.
- $D_1, D_2, \dots, D_n$  --- The corresponding domains.
- $D = \hat{E} D_i$
- $s : \{v_1, v_2, \dots, v_n\} \longrightarrow D$  such that  
 $s(v_1) \hat{I} D_1 \dots$
- $S$  --- The set of states.

# Initial States

- $S_0(v_1, v_2, \dots, v_n)$  is a FO formula describing the set of initial states.
- Atomic formula
  - $v = d$  where  $v$  is a system variable and  $d$  is a constant symbol interpreted as a member of the domain of  $v$ .

## *Example:*

- “ $S_0$  is the set of all states where the  $pc = 0$  and  $input$  is a power of 2”
- $\$n. (input = EXP(n)) \hat{U} (pc = 0)$

# Transition relation

- $R(v_1, v_2, \dots, v_n, v_1', v_2', \dots, v_n')$  is a FO formula involving the *current variables*  $v_1, v_2, \dots, v_n$  (the *system variables*) and the *next variables* ( $v_1', v_2', \dots, v_n'$ ).
- $(d_1, d_2, \dots, d_n) \longrightarrow (d_1', d_2', \dots, d_n')$  iff  $R(v_1, v_2, \dots, v_n, v_1', v_2', \dots, v_n')$  is true under the valuation  $v_1 = d_1, \dots, v_n = d_n, v_1' = d_1', \dots, v_n' = d_n'$ .



# Transition Relation

- $V = \{x, y, z\}$
- Program :  $\{x, y, z, \text{pc}\}$

$l_0$  : begin

$l_1$  : statement<sub>1</sub>

$l_2$  : statement<sub>2</sub>

....

$l_5$  : if even(x) then  $x = x/2$  else  $x = x - 1$

$l_6$  : ....

# Transition Relation

- $V = \{x, y, z\}$
- Program :  $\{x, y, z, pc\}$ 
  - $l_5 : \text{if even}(x) \text{ then } x = x/2 \text{ else } x = x - 1$
  - $l_6 : \dots$
- $j \ (x, y, z, pc, x', y', z', pc')$
- $pc = l_5 \ \hat{\cup} \ pc' = l_6 \ \hat{\cup} \ (\exists n. (x = 2n) \ \hat{E} \ x' = x/2) \ \hat{\cup} \ (\emptyset \ \exists n. (x = 2n) \ \hat{E} \ x' = x-1) \ \hat{\cup} \ \text{same}(y, z)$

Notice that the formula above is equivalent to:

- $pc = l_5 \ \hat{\cup} \ pc' = l_6 \ \hat{\cup} \ ((\exists n. (x=2n) \ \hat{\cup} \ x'=x/2) \ \hat{\cup} \ (\emptyset \ \exists n. (x=2n) \ \hat{\cup} \ x'=x-1)) \ \hat{\cup} \ \text{same}(y, z)$
- where  $\text{same}(y, z)$  stands for  $y' = y \ \hat{\cup} \ z' = z$

# Transition Relation

- In a similar fashion , we can specify the transition relation formulae for :
  - Assignment statement
  - While statements
  - etc.etc.
  - See the text book!

# Kripke Structures

- **AP** is a finite set of atomic propositions.
  - “value of  $x$  is 5”
  - “ $x = 5$ ”
- **M** = **(S, S<sub>0</sub>, R, L)**, a Kripke Structure.
  - **(S, S<sub>0</sub>, R)** is a transition system.
  - **L : S**  $\longrightarrow$  **2<sup>AP</sup>**
  - **2<sup>AP</sup>** ----- The set of subsets of AP  
(**L(s)**  $\hat{=}$  **2<sup>AP</sup>** identifies a state  
**2<sup>AP</sup>** identifies the state space)

# Kripke Structures

- The atomic propositions and **L** together convert a transitions system into a model.
- We can start interpreting *formulas* over the *Kripke structure*.
- The atomic propositions make basic (easy) assertions about system states.

# Automata and Kripke Structures

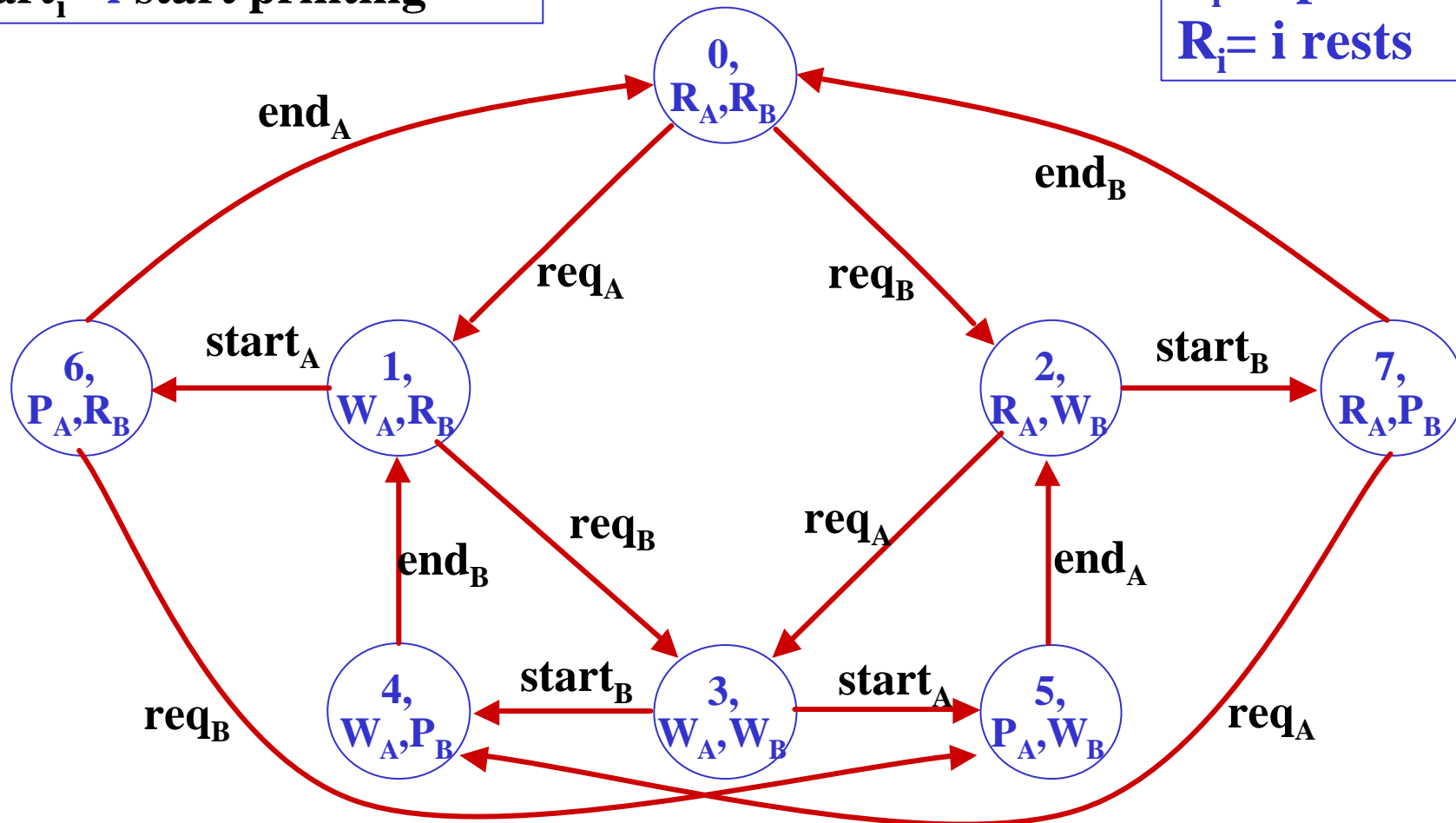
- **AP** - set of elementary property
- $\langle S, A, R, s_0, L \rangle$
- **S** - set of states
- **A** - set of transition labels
- $R \subseteq S \times A \times S$  - (labeled) transition relation
- **L** - interpretation mapping  $L: S \longrightarrow 2^{AP}$
- In *FO representation* we would need two sets of variables: **V** and **Act** (for actions or input).

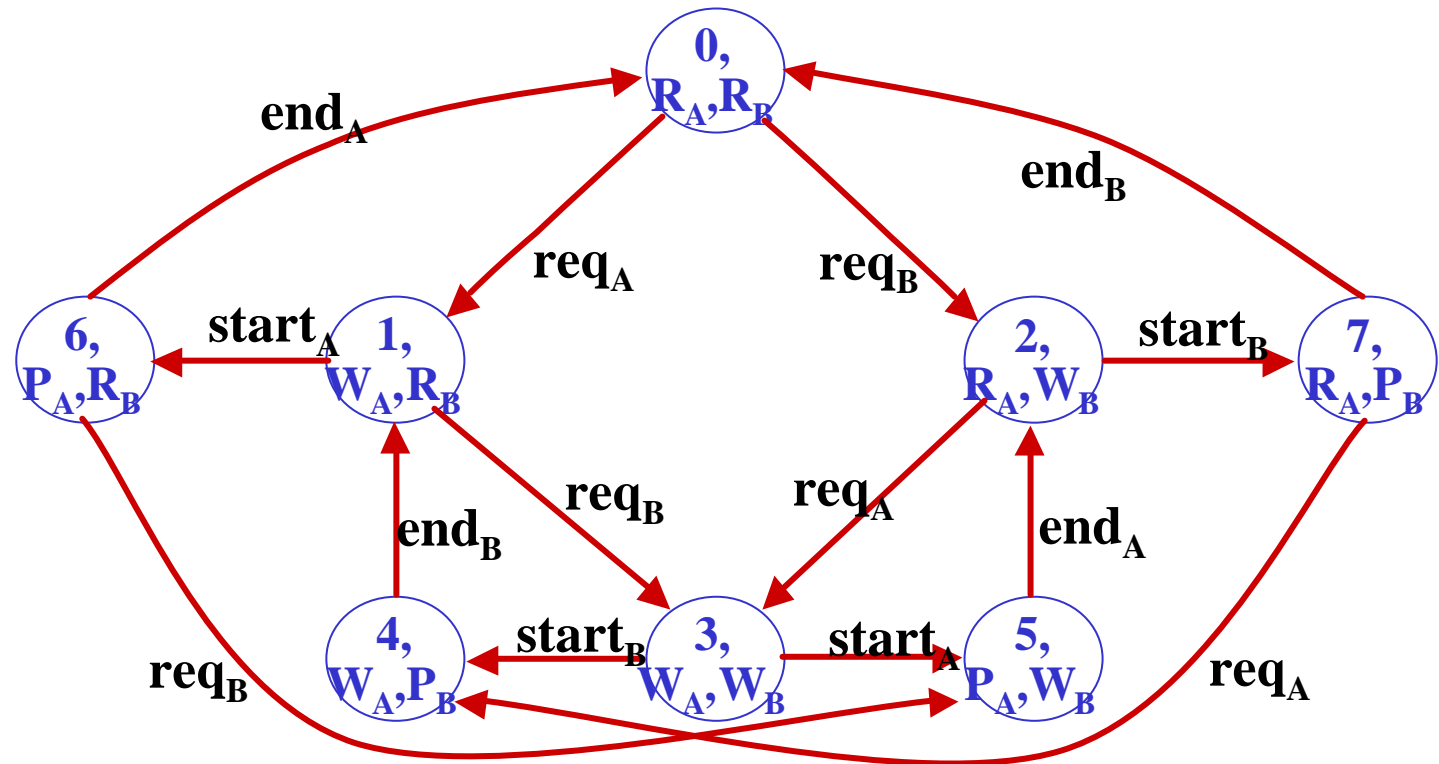
# Example: a print manager

$end_i = i$  ends printing  
 $req_i = i$  requests printing  
 $start_i = i$  start printing

**AP**

$W_i = i$  waits  
 $P_i = i$  prints  
 $R_i = i$  rests





- $S = \{0,1,2,3,4,5,6,7\}$
- $A = \{end_A, end_B, req_A, req_B, start_A, start_B\}$
- $R = \{(0, req_A, 1), (0, req_B, 2), (1, req_B, 3), (1, start_A, 6), (2, req_A, 3), (2, start_B, 7), (3, start_A, 5), (3, start_B, 4), (4, end_B, 1), (5, end_A, 2), (6, end_A, 0), (6, req_B, 5), (7, end_B, 0), (7, req_A, 4),\}$
- $L = \{0^{\textcircled{R}} \{R_A, R_B\}, 1^{\textcircled{R}} \{W_A, R_B\}, 2^{\textcircled{R}} \{R_A, W_B\}, 3^{\textcircled{R}} \{W_A, W_B\}, 4^{\textcircled{R}} \{W_A, P_B\}, 5^{\textcircled{R}} \{P_A, W_B\}, 6^{\textcircled{R}} \{P_A, R_B\}, 7^{\textcircled{R}} \{R_A, P_B\}\}$



# Properties of the printing systems

1. Every state in which  $P_A$  holds, is preceded by a state in which  $W_A$  holds
2. Any state in which  $W_A$  holds is followed (possibly not immediately) by a state in which  $P_A$  holds.
  - The first can easily be checked to be true
  - The second is *false* (e.g. 0134134134...) - in other words the system is *not fair*.

# Synchronization

- Usually complex systems are composed of a number of smaller *subsystems (modules)*
- It is natural to model the whole system starting from the models of the subsystems.
- And then define how they cooperate.
- There are many ways to define cooperation (*synchronization*).

# Synchronization: no interaction

The system model is just the *cartesian product* of the simpler modules.

Let  $TS_1, \dots, TS_n$  be  $n$  automata (or **TSs**), where

$$TS_i = \langle S_i, A_i, R_i, s_{i0} \rangle$$

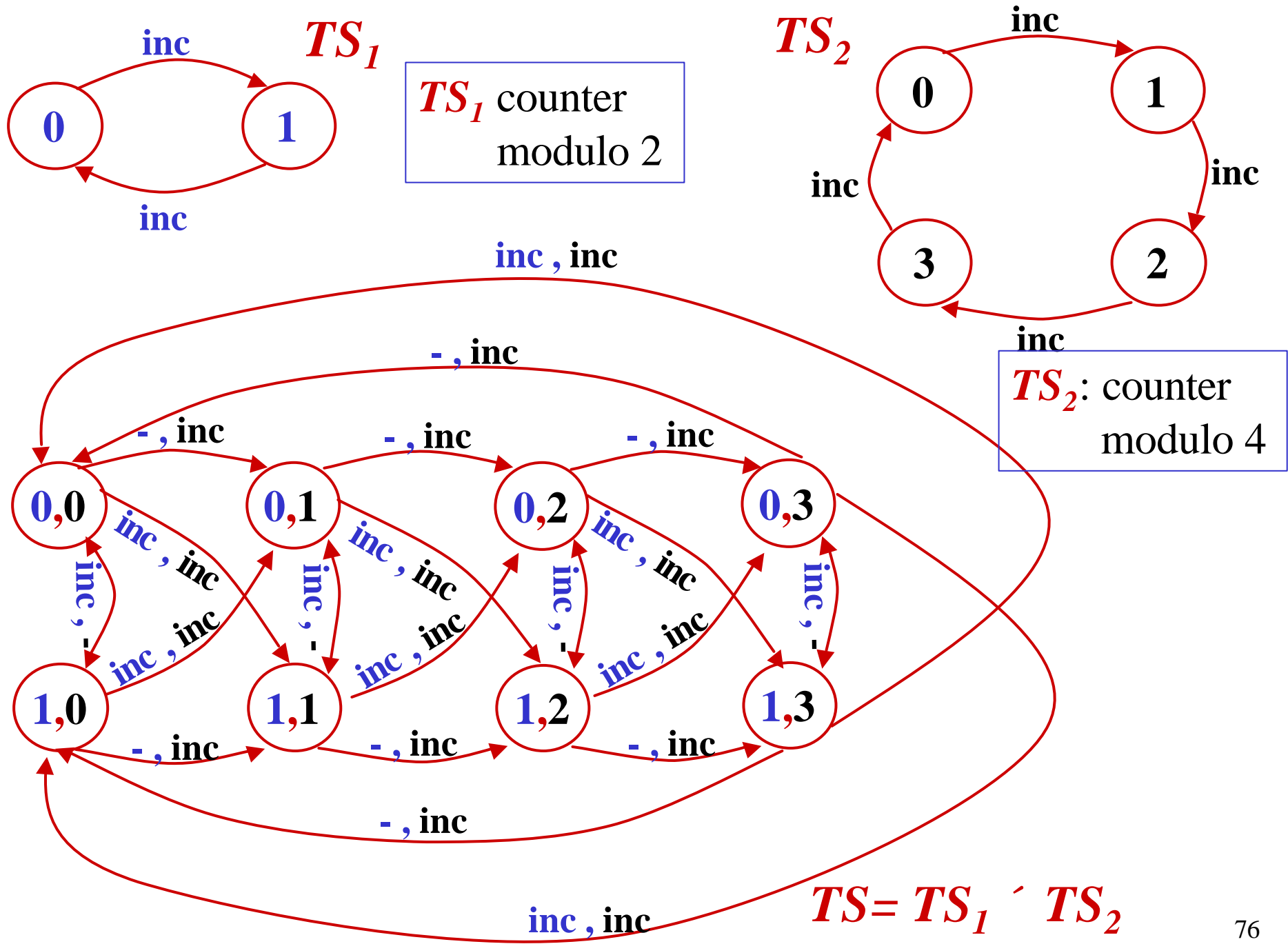
The system is then defined as  $TS = \langle S, A, R, s_0 \rangle$  where

$$S = S_1 \times S_2 \times \dots \times S_n$$

$$A = A_1 \hat{\cup} \{-\} \times A_2 \hat{\cup} \{-\} \times \dots \times A_n \hat{\cup} \{-\}$$

$$R = \{ (\langle s_1, \dots, s_n \rangle, \langle a_1, \dots, a_n \rangle, \langle s'_1, \dots, s'_n \rangle) \mid \text{for all } i, a_i \neq - \text{ and } (s_i, a_i, s'_i) \hat{\in} R_i, \text{ or } a_i = - \text{ and } s'_i = s_i \}$$

$$s_0 = \langle s_{10}, s_{20}, \dots, s_{n0} \rangle$$



# Synchronization: interaction

To allow for interaction, or synchronization on specific actions we can introduce a **Synchronization Set** (to inhibit undesired transitions) :

- Synchronization set is just a subset of the composite actions:

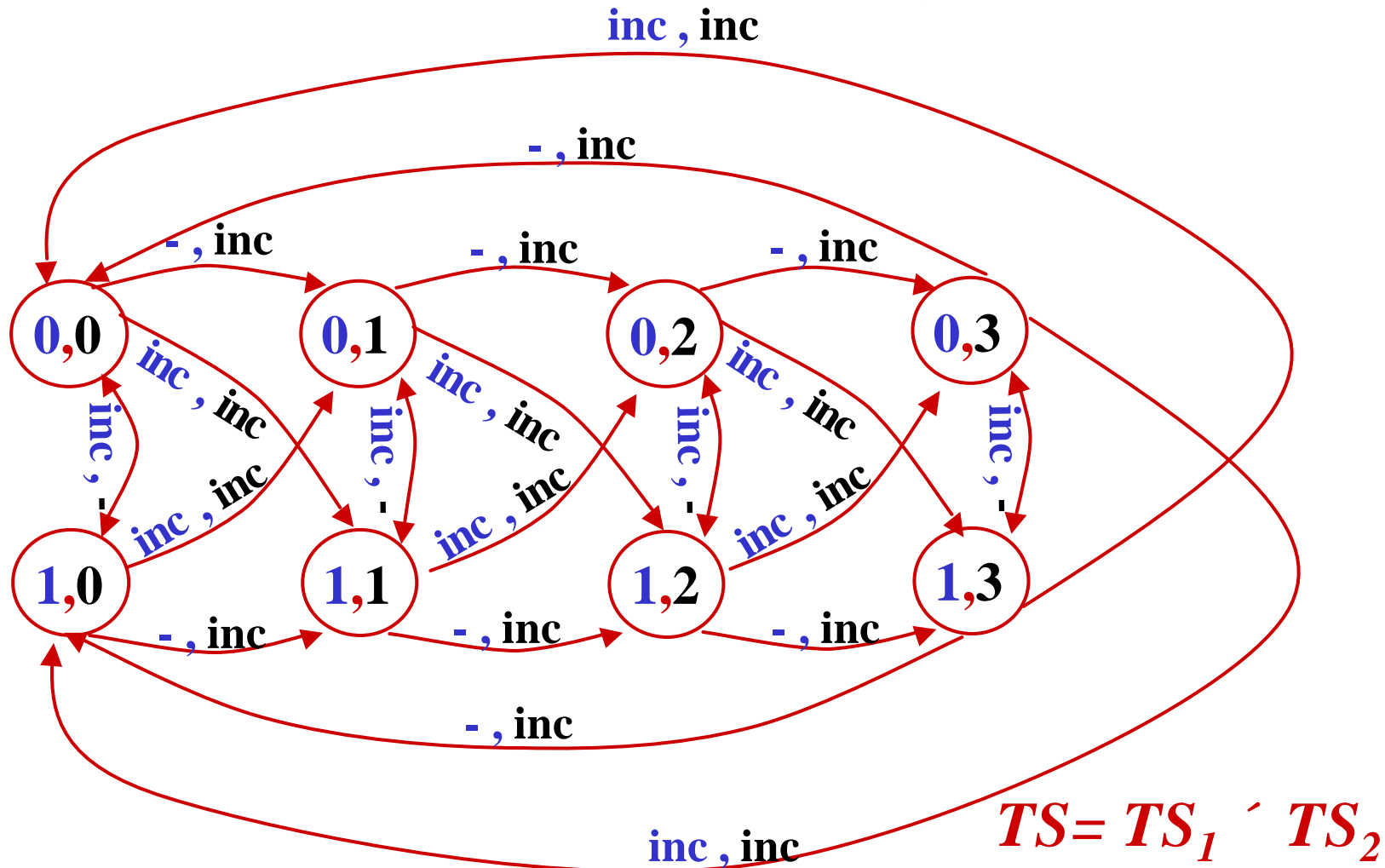
$$\text{Sync} \hat{=} A_1 \hat{E}\{-\} \wedge A_2 \hat{E}\{-\} \wedge \dots \wedge A_n \hat{E}\{-\}$$

- Then we will have to define the possible transitions as:

$$R = \{ (\langle s_1, \dots, s_n \rangle, \langle a_1, \dots, a_n \rangle, \langle s'_1, \dots, s'_n \rangle) \mid \\ (a_1, \dots, a_n) \hat{=} \text{Sync} \text{ and for all } i, a_i \neq - \\ \text{and } (s_i, a_i, s'_i) \hat{=} R_i, \text{ or } a_i = - \text{ and } s'_i = s_i \}$$

## Free synchronization (Asynchronous systems):

$$\text{Sync} = \{inc, -\} \wedge \{-, inc\} = \{(-, -), (inc, -), (-, inc), (inc, inc)\}$$



# Free synchronization

*Asynchronous systems:*

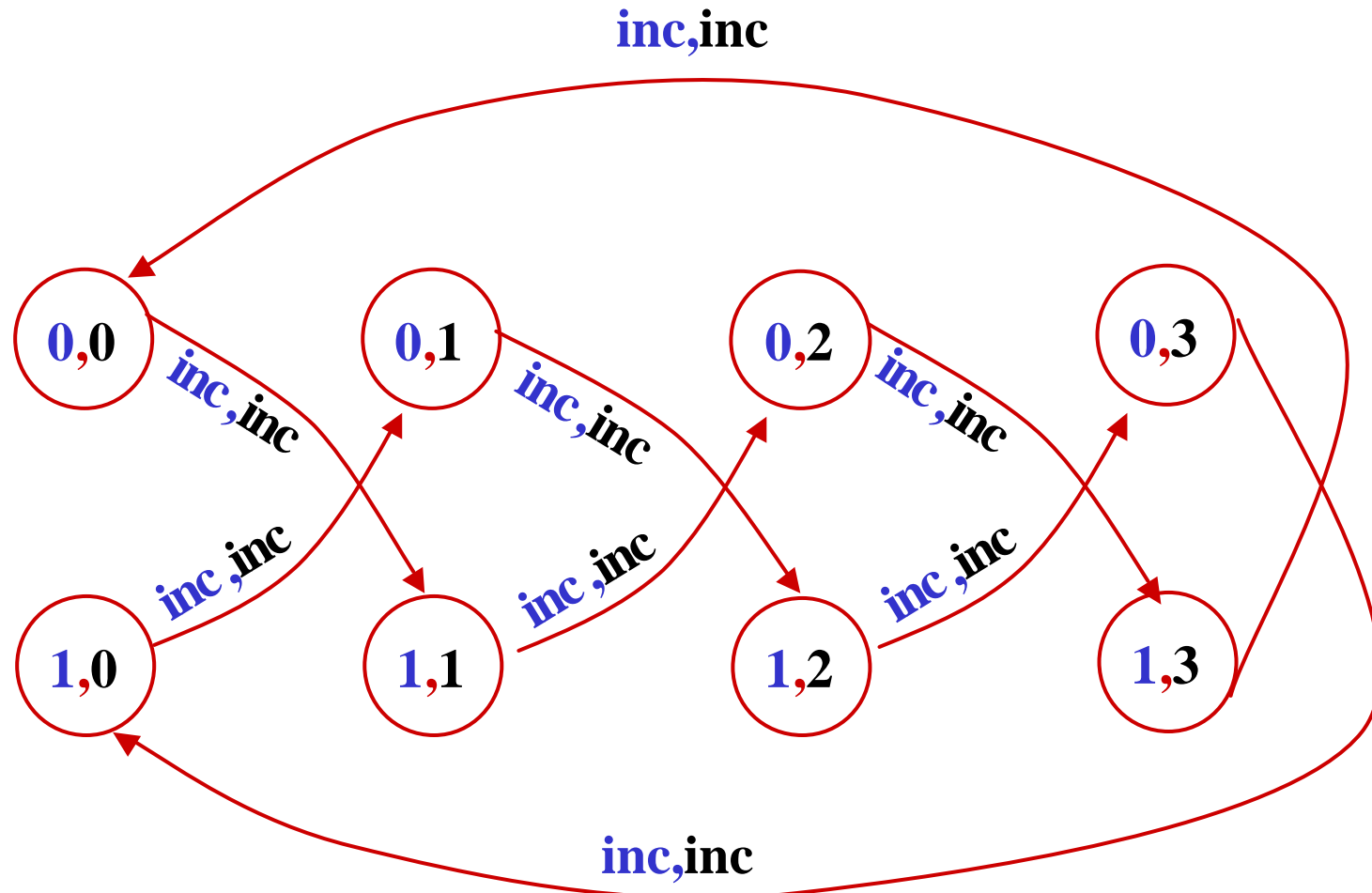
$$\mathit{Sync} = \{inc, -\} \dot{\cup} \{-, inc\} \setminus \{(-, -)\}$$

$$R(V, V') = \hat{\bigcup}_{i \in I} (R_i(v_i, v_i') \dot{\cup} \text{same}(v_i)) \dot{\cup} \emptyset \hat{\bigcup}_{i \in I} \text{same}(v_i)$$

if one wants to *discard*  
the situation where *no*  
*component acts*

*Synchronization on all actions (Synchronous systems):*

$$\mathbf{Sync} = \{(inc, inc)\}$$



$$TS = TS_1 \wedge TS_2$$



# *Synchronous systems*

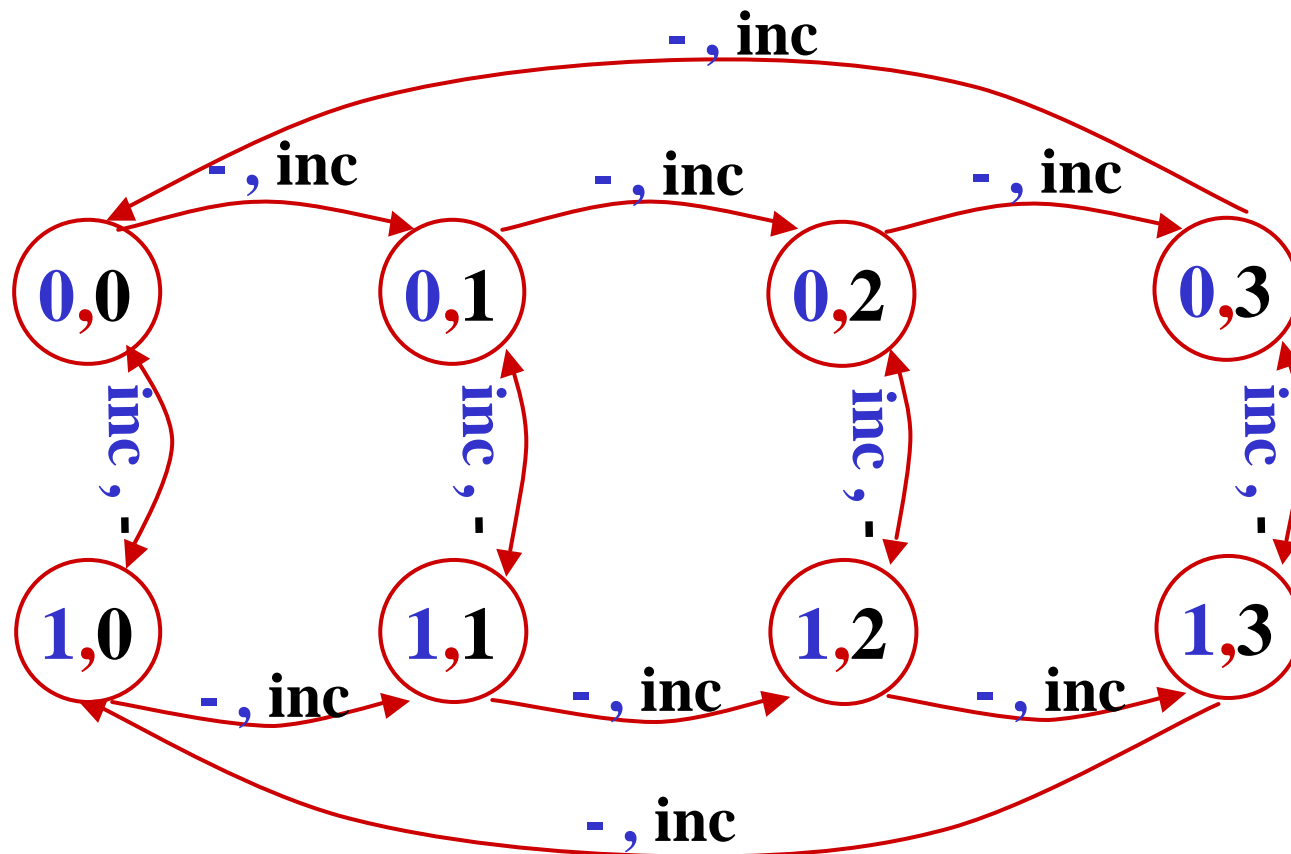
*Synchronous systems:*

$$\mathit{Sync} = \{(inc, inc)\}$$

$$R(V, V') = \hat{\bigcup}_{i \in I} R_i(v_i, v_i')$$

*Asynchronous systems with interleaving (only one component acts at any time):*

$$\text{Sync} = \{(-, \text{inc}), (\text{inc}, -)\}$$



$$TS = TS_1 \dot{\wedge} TS_2 \quad 82$$

# *Asynchronous systems: Interleaving*

*Asynchronous systems: only one component acts at any time.*

$$\text{Sync} = \{(-, inc), (inc, -)\}$$

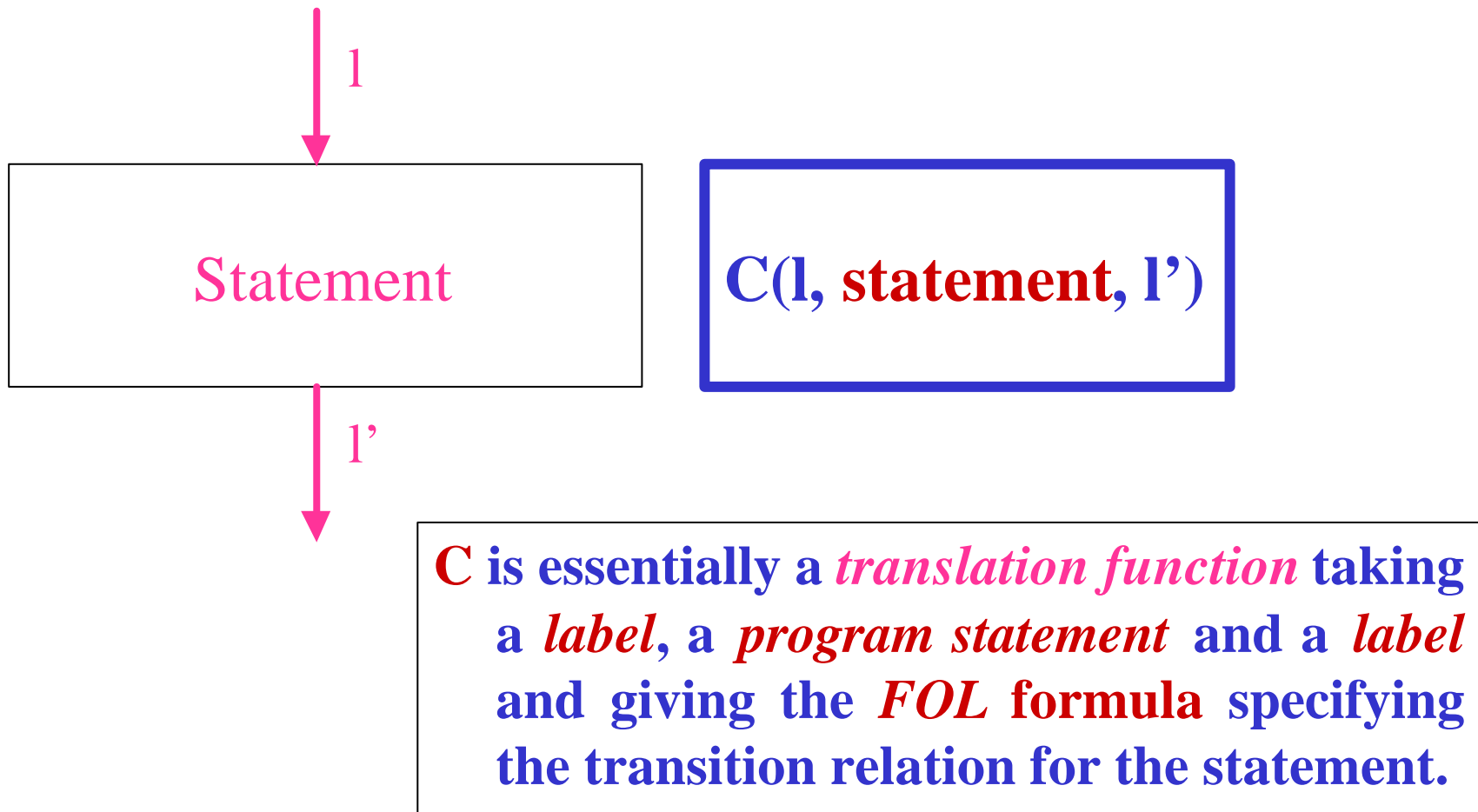
$$R(V, V') = \bigcup_{i \in I} (R_i(v_i, v_i')) \cup \bigcup_{j \neq i} \text{same}(v_j)$$

# Concurrent programs

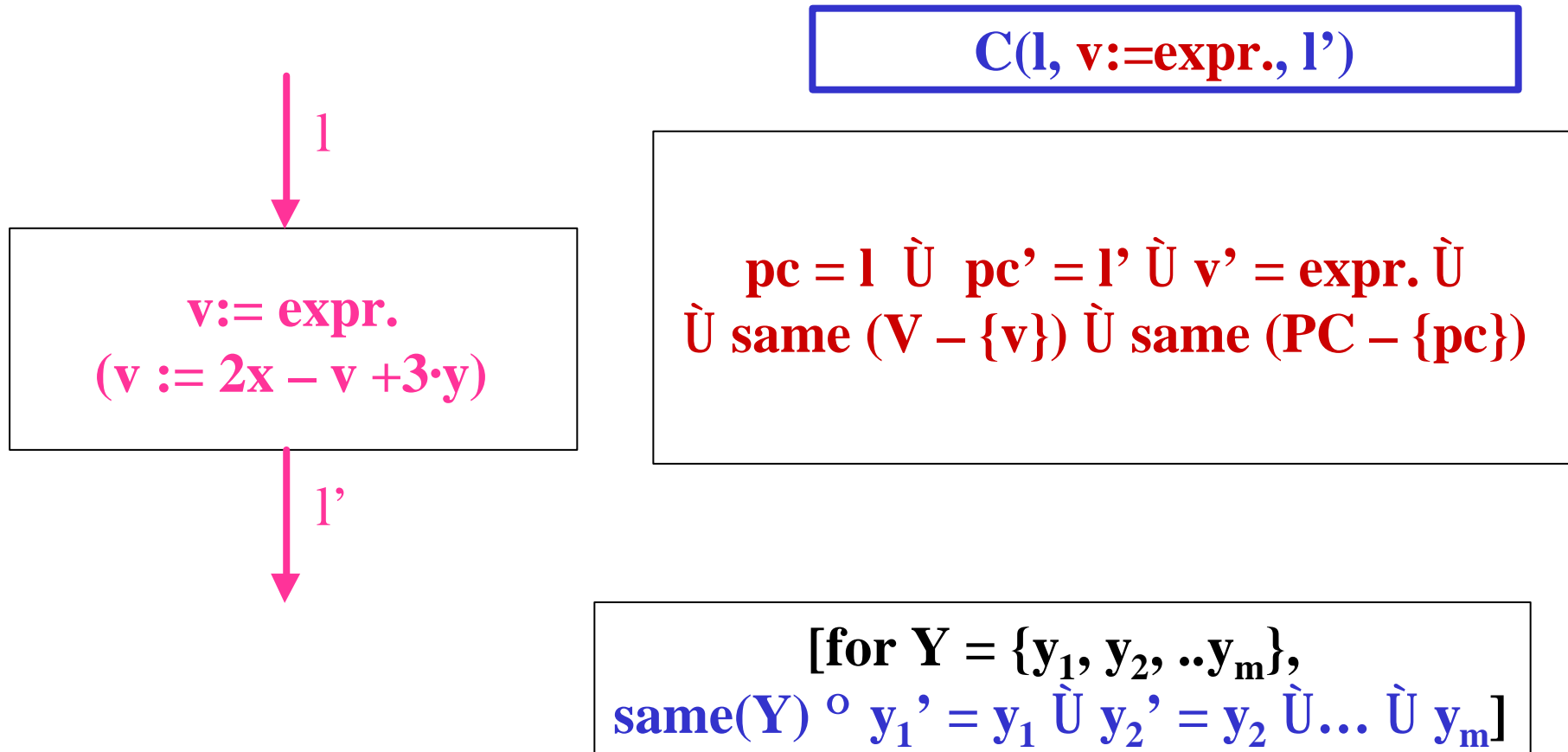
- Many systems to be verified can be viewed as concurrent programs
  - operating system routines
  - cache protocols
  - communication protocols
- $P = \mathbf{cobegin} (P_1 \parallel P_2 \parallel \dots \parallel P_n) \mathbf{coend}$
- $P_1, P_2, \dots, P_n$  --- Sequential Programs.
- *Program variables* set  $V = V_1 \dot{\cup} \dots \dot{\cup} V_n$  (set  $V_i$  for program  $i$ )
- *Program counters* set  $PC$  (one for each program)
- *Usually interleaving semantics is assumed*

# Sequential Programs: the transition predicate $C$

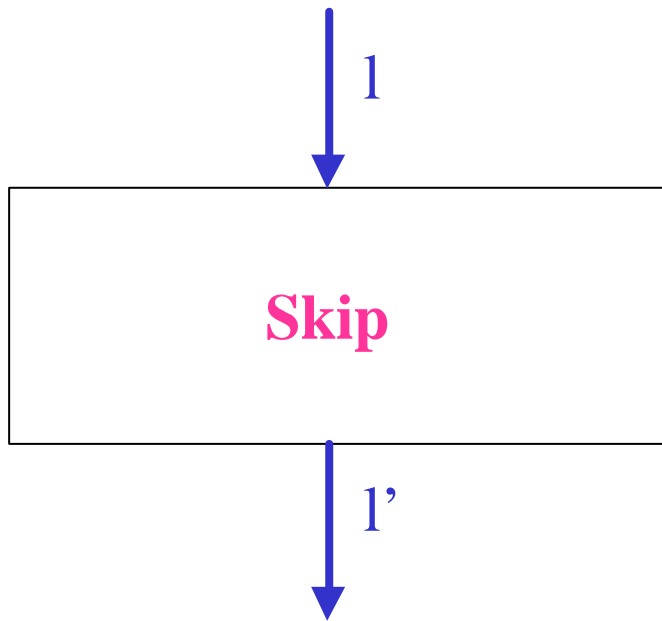
General Structure



# Assignments



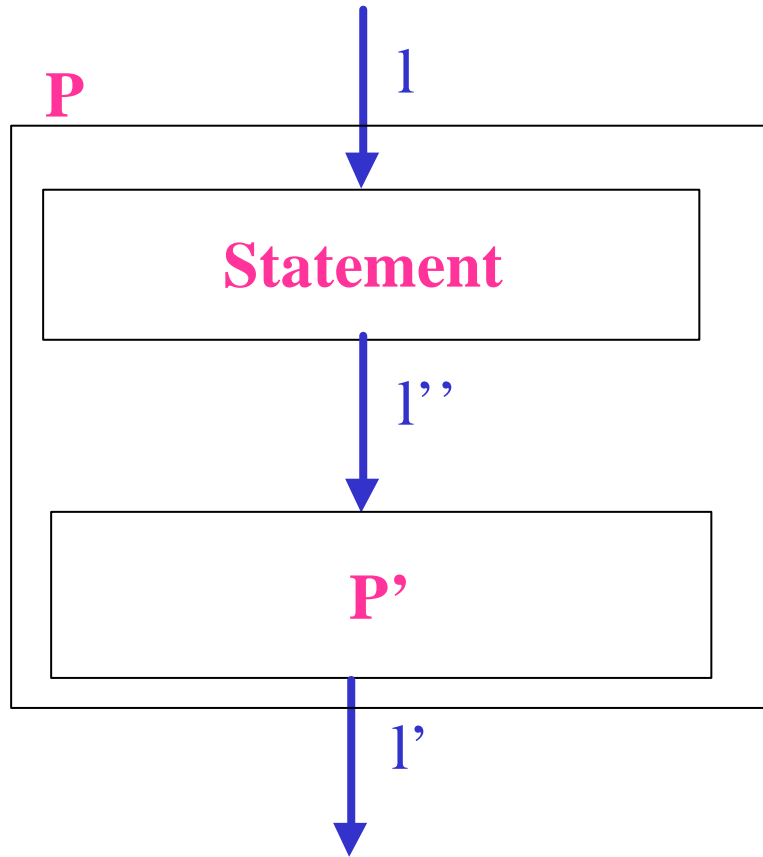
# Skip



$C(l, \text{skip}, l')$

$pc = l \hat{\cup} pc' = l' \hat{\cup} \text{same}(V)$   
 $\hat{\cup} \text{same}(PC - \{pc\})$

# Sequential composition

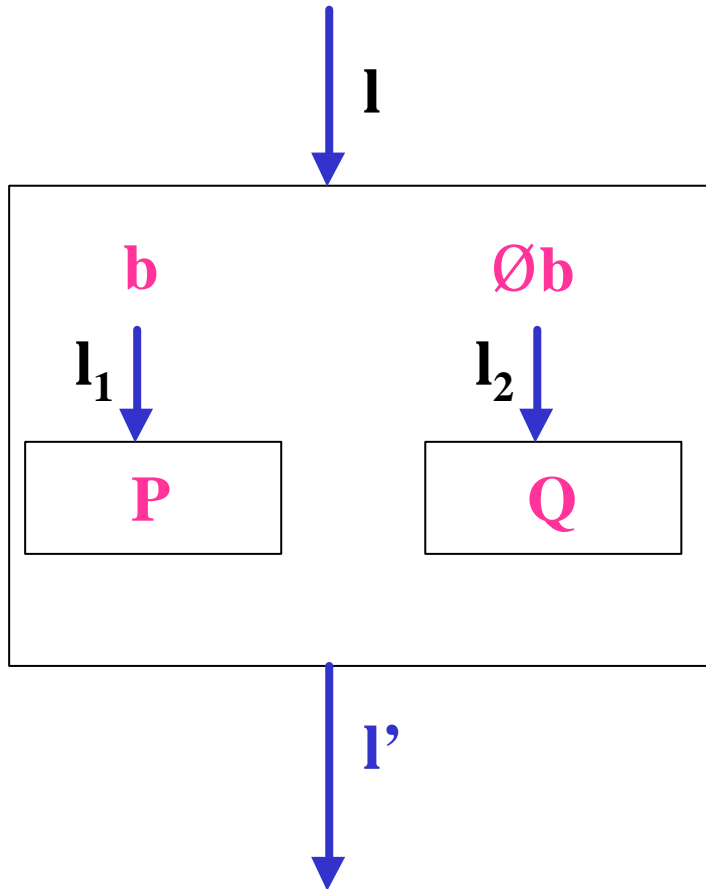


$C(l, P, l')$

$C(l, \text{Statement}, l'') \cup$   
 $C(l'', P', l')$



# Conditional statement

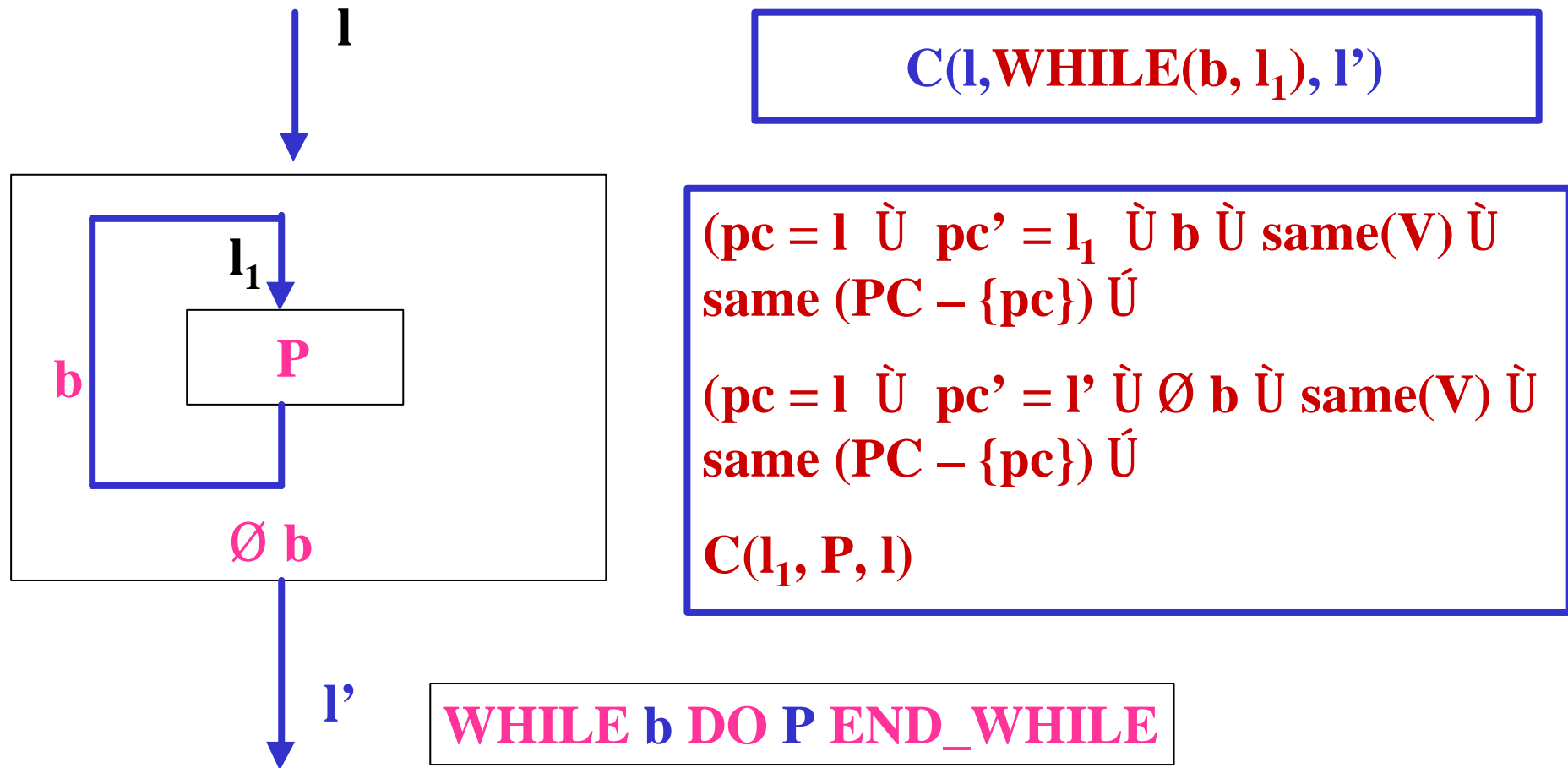


$C(l, \text{IF-THEN-ELSE}(b, l_1, l_2), l')$

$(pc = l \hat{=} pc' = l_1 \hat{=} b \hat{=} \text{same}(V) \hat{=} \text{same}(PC - \{pc\}) \hat{=}$   
 $(pc = l \hat{=} pc' = l_2 \hat{=} \text{Ø} b \hat{=} \text{same}(V) \hat{=} \text{same}(PC - \{pc\}) \hat{=}$   
 $C(l_1, P, l') \hat{=}$   
 $C(l_2, Q, l')$

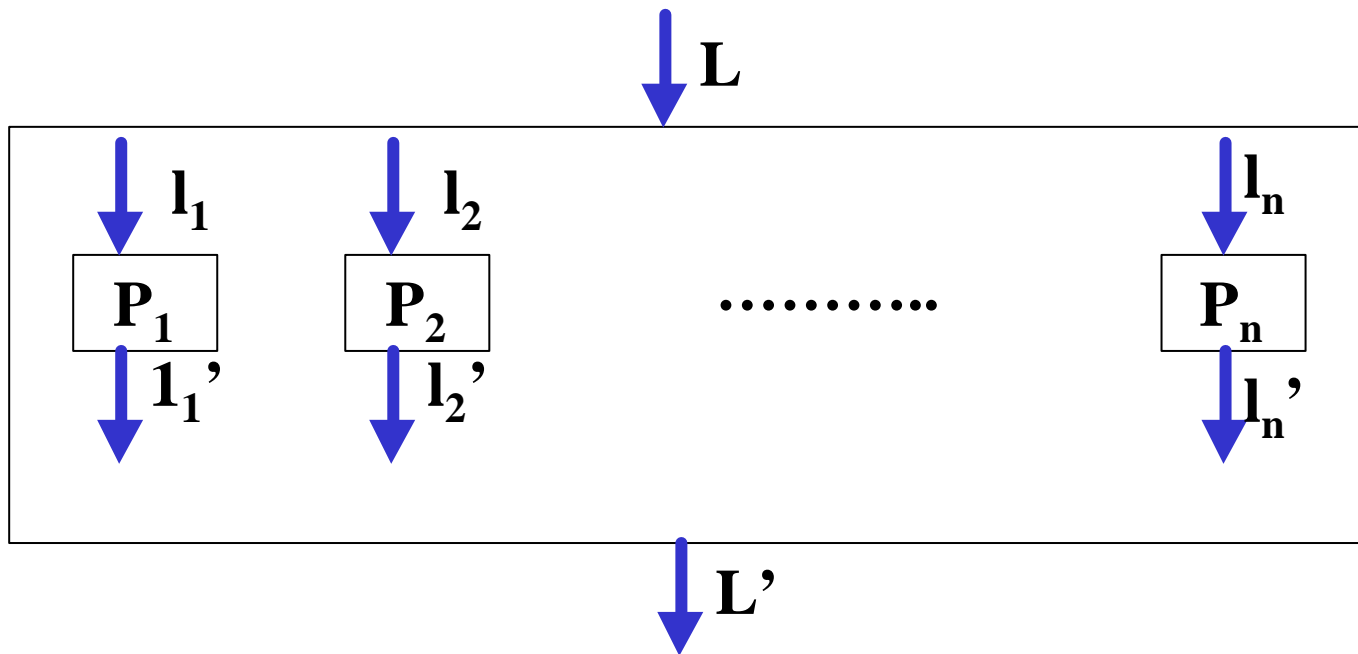
**IF b THEN P ELSE Q FI**

# While statement



# Concurrent programs

- $P = \mathbf{cobegin} (P_1 \parallel P_2 \parallel \dots \parallel P_n) \mathbf{coend}$
- $P_1, P_2, \dots, P_n$  --- Sequential Programs.



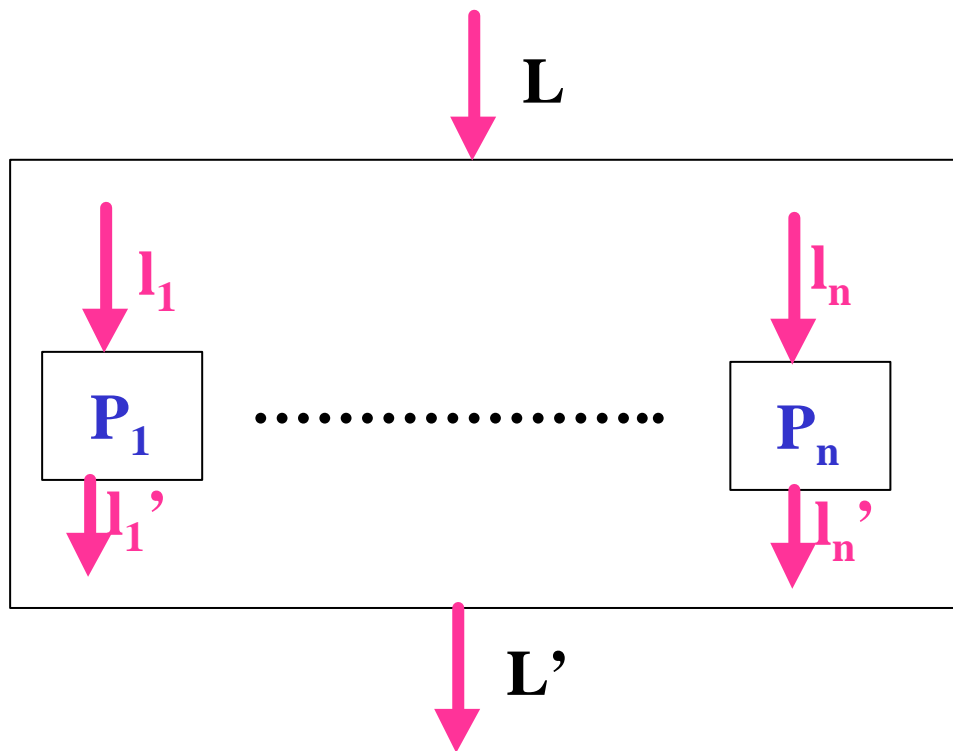
# Concurrent programs

- $P = \mathbf{cobegin} (P_1 \parallel P_2 \parallel \dots \parallel P_n) \mathbf{coend}$
- $P_1, P_2, \dots, P_n$  --- *Sequential Programs*.
- $C(l_1, P_1, l_1')$  --- The transitions of program  $P_1$  (defined *inductively* on the structure of  $P_1$ !).
- $V_i$  ---- The set of variables of program  $P_i$ .
- Programs may *share* variables!
- $pc_i$  – The program counter of program  $P_i$ .

# Concurrent programs

- **pc** ---- the program counter of the *concurrent program*; it could be part of a larger program!
- $\wedge$  denotes an *undefined* program counter value.
- $S_0(V, PC) = \mathbf{pre(V)} \hat{\cup} (\mathbf{pc=L}) \hat{\cup} (\mathbf{pc}_1=\wedge) \hat{\cup} \dots \hat{\cup} (\mathbf{pc}_n=\wedge) \hat{\cup} \mathbf{same(V)}$

# The Transition Predicate



$C(L, P, L')$

$$\begin{aligned}
 & (\text{pc} = L \hat{\cup} \\
 & \hat{\cup} \text{pc}'_1 = l_1 \hat{\cup} \dots \hat{\cup} \text{pc}'_n = l_n \hat{\cup} \\
 & \hat{\cup} \text{pc}' = \wedge \hat{\cup} \text{same}(V)) \hat{\cup} \\
 & (C(l_1, P_1, l_1') \hat{\cup} \text{Same}(V - V_1) \\
 & \hat{\cup} \text{Same}(PC - \{\text{pc}_1\})) \hat{\cup} \dots \\
 & C(l_n, P_n, l_n') \hat{\cup} \text{Same}(V - V_n) \\
 & \hat{\cup} \text{Same}(PC - \{\text{pc}_n\})) \hat{\cup} \\
 & (\text{pc} = \wedge \hat{\cup} \\
 & \text{pc}'_1 = l_1' \hat{\cup} \dots \hat{\cup} \text{pc}'_n = l_n' \hat{\cup} \\
 & \hat{\cup} \text{pc}' = L' \hat{\cup} \\
 & \text{pc}'_1 = \wedge \hat{\cup} \dots \text{pc}'_n = \wedge \hat{\cup} \text{same}(V))
 \end{aligned}$$

# Summary

- System variables
- Domain of values
- States
- Initial state predicate
- Transition predicate
- pc values (for programs)
- Synchronization mechanisms