

# Sensors selection for $\mathcal{K}$ -diagnosability of Petri nets via Integer Linear Programming

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# Outline

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## Diagnosability in the DES framework

- Fault detection and diagnosability have been studied in the DES framework since early 90s
- The standard approach to check **diagnosability** is based on the **diagnoser** automata (see the seminal paper by *Sampath et al.*, IEEE TAC-1995)
- In the PNs framework, a possible approach to fault diagnosis provides to **associate the faults to unobservable transitions**
- A PN system is said to be *diagnosable* if every occurrence of an unobservable fault transition can be detected within a finite number of transition firings
- A number of approaches based on PNs have been proposed (*Cabasino et al.*, IEEE TAC-2012, *Basile et al.*, Automatica-2012)

# PN notations

- $\mathcal{S} = \langle N, \mathbf{m}_0 \rangle$  is the net system, where  $N = (P, T, \mathbf{Pre}, \mathbf{Post})$
- $T = T_o \cup T_{uo}$ , and  $T_f \subset T_{uo}$
- Given a **firing count vector**  $\sigma \in \mathbb{N}^n$ , we would like to consider only the firings of either the observable or the unobservable transitions. Hence the following notation is introduced:

$$\sigma|_{T_o} \in \mathbb{N}^n, \text{ with } \sigma|_{T_o}(t) = \begin{cases} \sigma(t) & \text{if } t \in T_o \\ 0 & \text{if } t \notin T_o \end{cases}$$

$$\sigma|_{T_{uo}} \in \mathbb{N}^n, \text{ with } \sigma|_{T_{uo}}(t) = \begin{cases} \sigma(t) & \text{if } t \in T_{uo} \\ 0 & \text{if } t \notin T_{uo} \end{cases}$$

# Unobservable explanations

Consider a net system  $S = \langle N, \mathbf{m}_0 \rangle$  and a sequence  $\sigma \in T^*$  such that  $\mathbf{m}_0[\sigma\rangle$  and

$$\sigma = \sigma_{uo}^1 t_o^1 \sigma_{uo}^2 t_o^2 \dots \sigma_{uo}^k t_o^k,$$

with  $\sigma_{uo}^i \in T_{uo}^*$  and  $t_o^i \in T_o$ ,  $i = 1, \dots, k$ . The following set

$$\Sigma(N, \sigma) \triangleq \left\{ \bar{\sigma} \in T_{uo}^* \mid \bar{\sigma} = \bar{\sigma}_{uo}^1 \bar{\sigma}_{uo}^2 \dots \bar{\sigma}_{uo}^{k+1} \text{ and } \mathbf{m}_0[\bar{\sigma}_{uo}^1 t_o^1 \bar{\sigma}_{uo}^2 t_o^2 \dots \bar{\sigma}_{uo}^k t_o^k \bar{\sigma}_{uo}^{k+1} \rangle \right\},$$

contains the unobservable explanations of  $\sigma$ .

## Diagnosability - Formal definitions 1/2

- $L/u = \{v \in T^* \text{ s.t. } uv \in L\}$ , is the post-language of  $L$  after the sequence of transitions  $u$ .
- $Pr : T^* \mapsto T_o^*$  is the usual projection, which erases the unobservable transitions in a sequence  $u$ .
- The inverse projection operator  $Pr_L^{-1}$  is defined as

$$Pr_L^{-1}(r) = \{u \in L \text{ s.t. } Pr(u) = r\}$$

- Let  $\dot{u}$  be the final transition of sequence  $u$  and define

$$\Psi(\hat{t}) = \{u \in L \text{ s.t. } \dot{u} = \hat{t}\}$$

## Diagnosability - Formal definitions 2/2

### Definition (Diagnosable fault)

A fault transition  $t_f \in \mathcal{T}_f$  is said to be diagnosable if

$$\exists h \in \mathbb{N} \text{ such that } \forall u \in \Psi(t_f) \text{ and } \forall v \in L/u \text{ with } |v| \geq h,$$

it is

$$r \in Pr_L^{-1}(Pr(uv)) \Rightarrow t_f \in r.$$

### Definition ( $\mathcal{K}$ -diagnosable fault)

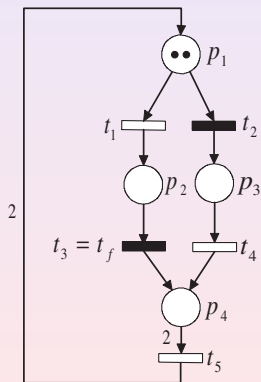
Given  $t_f \in \mathcal{T}_f$  and  $\mathcal{K} \in \mathbb{N}$  (i.e., the maximum length of the postfix is given),  $t_f$  is said to be  $\mathcal{K}$ -diagnosable if

$$\forall u \in \Psi(t_f) \text{ and } \forall v \in L/u \text{ such that } |v| \geq \mathcal{K},$$

then it is

$$r \in Pr_L^{-1}(Pr(uv)) \Rightarrow t_f \in r.$$

# Example



- $T_o = \{t_1, t_4, t_5\}$ ,  $T_{uo} = \{t_2, t_3\}$ ,  $T_f = \{t_3\}$
- Consider the sequence  $u = t_1 t_3$ , i.e.,  $u$  is a sequence that ends with the fault transition  $t_3$ . It turns out that  $t_3$  is not 1-diagnosable:  $v = t_2 t_4$  belongs to the post-language  $L/u$  and  $t_1 t_2 t_4 \in Pr_L^{-1}(Pr(uv))$ , with  $t_3 \notin t_1 t_2 t_4$
- Exploiting similar arguments it readily follows that  $t_3$  is 3-diagnosable, i.e., once  $t_3$  has occurred it is possible to detect it after the firing of three transitions.



- In *Basile et al.*, Automatica-2012 the problem of  $\mathcal{K}$ -diagnosability has been solved for bounded net systems by
  - exploiting the mathematical representation of PNs
  - using *standard optimization tools* → Integer Linear Programming (ILP) problems
- The proposed approach relies on the description (**in terms of linear constraints**) of the following two sets
  - The set of all markings reachable from  $\mathbf{m}_0$  that enable  $t_f$  (and that are reached by the firing of a sequence that does not contain  $t_f$ )

$$\mathcal{M}(t_f) = \left\{ \mathbf{m} \in \mathbb{N}^m \mid (\mathbf{m}_0[u]\mathbf{m}) \wedge (t_f \notin u) \wedge (\mathbf{m}[t_f]) \right\}.$$

- The set of all possible continuations of the sequence  $ut_f$ , whose postfix contains at least  $\mathcal{K}$  firings

$$\mathcal{S}(t_f, \mathcal{K}) = \left\{ \sigma \in T^* \mid (\sigma = ut_f v) \wedge (\mathbf{m}_0[\sigma]) \wedge (\mathbf{m}_0[u]\mathbf{m}) \wedge (\mathbf{m} \in \mathcal{M}(t_f)) \wedge (|v| \geq \mathcal{K}) \right\}.$$

# The set of linear constraints describing $\mathcal{S}(t_f, \mathcal{K})$

$$\mathcal{F}(\mathbf{m}_0, \hat{t}, \mathcal{J}, \mathcal{K}):$$

$$\left\{ \begin{array}{l} \mathbf{m}_0 \geq \mathbf{Pre} \cdot \mathbf{u}_1 \\ \mathbf{m}_0 + \mathbf{C} \cdot \mathbf{u}_1 \geq \mathbf{Pre} \cdot \mathbf{u}_2 \\ \dots \\ \mathbf{m}_0 + \mathbf{C} \cdot \sum_{i=1}^{\mathcal{J}-1} \mathbf{u}_i \geq \mathbf{Pre} \cdot \mathbf{u}_{\mathcal{J}} \\ \mathbf{m}_0 + \mathbf{C} \cdot \sum_{i=1}^{\mathcal{J}} \mathbf{u}_i \geq \mathbf{Pre}(\cdot, \hat{t}) \end{array} \right.$$

$$\left\{ \begin{array}{l} \mathbf{m}_0 + \mathbf{C} \cdot \sum_{i=1}^{\mathcal{J}} \mathbf{u}_i + \mathbf{C}(\cdot, \hat{t}) \geq \mathbf{Pre} \cdot \mathbf{v}_1 \\ \mathbf{m}_0 + \mathbf{C} \cdot \sum_{i=1}^{\mathcal{J}} \mathbf{u}_i + \mathbf{C}(\cdot, \hat{t}) + \mathbf{C} \cdot \mathbf{v}_1 \geq \mathbf{Pre} \cdot \mathbf{v}_2 \\ \dots \\ \mathbf{m}_0 + \mathbf{C} \cdot \sum_{i=1}^{\mathcal{J}} \mathbf{u}_i + \mathbf{C}(\cdot, \hat{t}) + \mathbf{C} \cdot \sum_{j=1}^{\mathcal{K}-1} \mathbf{v}_j \geq \mathbf{Pre} \cdot \mathbf{v}_{\mathcal{K}} \\ \sum_{i=1}^{\mathcal{J}} \mathbf{u}(\hat{t}) = 0 \\ \left\| \sum_{j=1}^{\mathcal{K}} \mathbf{v}_j \right\|_1 \geq \mathcal{K} \end{array} \right.$$

# The set of linear constraints for the unobservable explanations of the vectors in $\mathcal{S}(t_f, \mathcal{K})$

$$\mathcal{E} \left( \mathbf{m}_0, \sum_{i=1}^{\mathcal{J}} \mathbf{u}_{i|T_o} + \sum_{j=1}^{\mathcal{K}} \mathbf{v}_{j|T_o} \right):$$

$$\left\{ \begin{array}{l} \mathbf{m}_0 + \mathbf{C} \cdot \epsilon_{1|T_{uo}} \geq \mathbf{Pre} \cdot \mathbf{s}_{1|T_o} \\ \mathbf{m}_0 + \mathbf{C} \cdot \sum_{i=1}^2 \epsilon_{i|T_{uo}} + \mathbf{C} \cdot \mathbf{s}_{1|T_o} \geq \mathbf{Pre} \cdot \mathbf{s}_{2|T_o} \\ \dots \\ \mathbf{m}_0 + \mathbf{C} \cdot \sum_{i=1}^{\mathcal{J}+\mathcal{K}} \epsilon_{i|T_{uo}} + \mathbf{C} \cdot \sum_{j=1}^{\mathcal{J}+\mathcal{K}-1} \mathbf{s}_{j|T_o} \geq \mathbf{Pre} \cdot \mathbf{s}_{\mathcal{J}+\mathcal{K}|T_o} \\ \mathbf{m}_0 \geq \mathbf{Pre} \cdot \epsilon_{1|T_{uo}} \\ \mathbf{m}_0 + \mathbf{C} \cdot (\epsilon_{1|T_{uo}} + \mathbf{s}_{1|T_o}) \geq \mathbf{Pre} \cdot \epsilon_{2|T_{uo}} \\ \dots \\ \mathbf{m}_0 + \mathbf{C} \cdot \sum_{i=1}^{\mathcal{J}+\mathcal{K}-1} (\epsilon_{i|T_{uo}} + \mathbf{s}_{i|T_o}) \geq \mathbf{Pre} \cdot \epsilon_{\mathcal{J}+\mathcal{K}|T_{uo}} \end{array} \right. \quad \left\{ \begin{array}{l} \mathbf{s}_{1|T_o} = \mathbf{u}_{1|T_o} \\ \dots \\ \mathbf{s}_{\mathcal{J}|T_o} = \mathbf{u}_{\mathcal{J}|T_o} \\ \mathbf{s}_{\mathcal{J}+1|T_o} = \mathbf{v}_{1|T_o} \\ \dots \\ \mathbf{s}_{\mathcal{J}+\mathcal{K}|T_o} = \mathbf{v}_{\mathcal{K}|T_o} \end{array} \right.$$

# Check $\mathcal{K}$ -diagnosability via solution of an ILP problem

## Theorem 1

Consider a bounded net system  $S = \langle N, \mathbf{m}_0 \rangle$  and a fault transition  $t_f$ , let  $\mathcal{J}$  be a positive integer such that  $\mathcal{J} \geq \mathcal{J}_{\min}$ .

Given a positive integer  $\mathcal{K}$ ,  $t_f$  is  $\mathcal{K}$ -diagnosable **if and only if** there exist  $3(\mathcal{J} + \mathcal{K})$  vectors  $\mathbf{u}_1, \dots, \mathbf{u}_{\mathcal{J}}, \mathbf{v}_1, \dots, \mathbf{v}_{\mathcal{K}}, \boldsymbol{\epsilon}_1, \dots, \boldsymbol{\epsilon}_{\mathcal{J}+\mathcal{K}}, \mathbf{s}_1, \dots, \mathbf{s}_{\mathcal{J}+\mathcal{K}} \in \mathbb{N}^n$  such that

$$\min_{\text{s.t. } \mathcal{F} \cup \mathcal{E}} \sum_{r=1}^{\mathcal{J}+\mathcal{K}} \epsilon_r(t_f) \neq 0.$$



## Sensors selection for ensuring diagnosability

- The goal is to select a minimal set of sensors to make the system diagnosable → *optimal static sensors selection*
- The word *minimal* is used to refer to different objectives
  - select the minimal number of sensors and the transitions/events to ensure diagnosability
  - select the sensors in order to minimize a cost function, which depends on the net transitions/events
- A number of results are available in the context of finite state automata (*Debouk et al.*, DEDS-2002, *Jiang et al.*, IEEE TAC-2003)
- **In the field of PNs, the main contribution is that of Cabasino et al., Automatica-2013, where an approach based on the verifier net allows to tackle the sensors selection problem as a transition relabeling problem**

## Main contribution

- The approach *Cabasio et al.*, Automatica-2013 solves the problem in both the bounded and unbounded case
- However, it requires the computation of the reachability/coverability graph of the verified net to analyze its elementary bad paths, being very computation demanding
- We propose an approach based on the solution of ILP problems which exploits the same tools used to check diagnosability (and to perform fault detection → see *Basile et al.*, IEEE TAC-2009 and *Dotoli et al.*, Automatica-2009)
- In this preliminary work we propose a technique to compute the minimal number of randomly selected sensors needed to make a net system  $\mathcal{K}$ -diagnosable
- We also propose a way to further improve this *estimation* by taking into account some elements of the net structures

# Problem statement

## Problem 1

Given a bounded net system  $S = \langle N, \mathbf{m}_0 \rangle$ , a fault transition  $t_f$ , and a positive integer  $\mathcal{K}$ , find the integer  $\mathbf{Y}^*$  such that

- a) there exists at least one possible choice of observable transitions  $T_o^*$  with  $\text{card}(T_o^*) = Y^*$  such that  $t_f$  is  $\mathcal{K}$ -diagnosable;
- b) for all the possible  $T_o$  with  $\text{card}(T_o) < Y^*$ ,  $t_f$  results  $\mathcal{K}$ -undiagnosable. ▲

- The solution to Problem 1 can be obtained by checking the condition of Theorem 1 for all the  $2^{n-1}$  possible selections of observable transitions
- In order to avoid this combinatorial explosion, we want exploit the ILP-based formulation of  $\mathcal{K}$ -diagnosability to obtain an estimation  $\hat{\mathbf{Y}} > \mathbf{Y}^*$

## Minimum number of randomly selected sensors that assure $\mathcal{K}$ -diagnosability

Given a bounded net system  $S = \langle N, \mathbf{m}_0 \rangle$ , a fault transition  $t_f$ , and a positive integer  $\mathcal{K}$ , the minimum number of randomly selected sensors that assure  $\mathcal{K}$ -diagnosability of  $t_f$  is an integer  $\tilde{Y}$  such that

- i) for all the possible choices of observable transitions  $\tilde{T}_o$  such that  $\text{card}(\tilde{T}_o) = \tilde{Y}$ ,  $t_f$  is  $\mathcal{K}$ -diagnosable;
- ii) there exist at least one choice of observable transitions  $T'_o$  with  $\text{card}(T'_o) = \tilde{Y} - 1$  for which  $t_f$  results  $\mathcal{K}$ -undiagnosable.

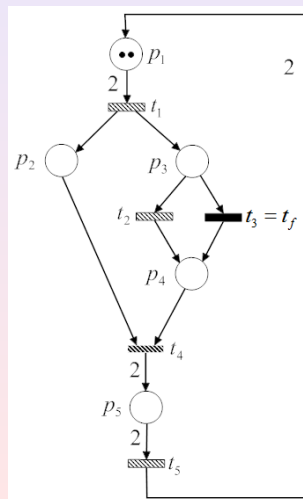


# Proposed approach

- In order to compute  $\tilde{\mathbf{Y}}$  the main ideas exploited by the proposed approach are
  - 1 To model the possibility of setting the  $q$ -th transition observable/unobservable using a binary variable  $\hat{s}_{t_q}$
  - 2 To turn the objective function of Theorem 1 into the constraint

$$\sum_{r=1}^{\mathcal{J}+\mathcal{K}} \epsilon_r(t_f) = 0$$

- 3 To maximize  $\sum_{q=1}^n \hat{s}_{t_q}$



# Compute $\tilde{Y}$ via ILP

## Lemma 1

Given a bounded net system  $S = \langle N, \mathbf{m}_0 \rangle$ , a fault transition  $t_f$ , and a positive integer  $\mathcal{K}$ , let  $\mathcal{J} \geq \mathcal{J}_{\min}$  and  $M$  be a sufficiently large integer.

The minimum number of randomly selected sensors  $\tilde{Y}$  that assures the  $\mathcal{K}$ -diagnosability of  $t_f$  is given by

$$\tilde{Y} = \mathcal{Y}_1 + 1,$$

with  $\mathcal{Y}_1$  equal to the solution of the following ILP problem

$$\mathcal{Y}_1 = \max_{\text{s.t. } \mathcal{G}} \sum_{q=1}^n \hat{\mathcal{S}}_{t_q},$$

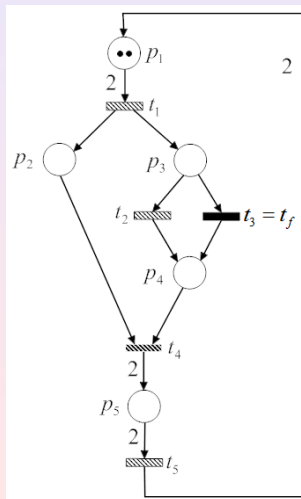
with  $\mathcal{G}$  being a *proper* set of constraints ( $\rightarrow$  see (7) in the paper) ■

## Remarks

- In general Lemma 1 provides a poor estimation of  $Y^*$ , that is  $\tilde{Y}$  is overly larger than  $Y^*$
- **Exploiting the knowledge on the net structure** it is possible to improve the estimation of  $Y^*$ , i.e. to find an estimation  $\hat{Y}$  such that  $Y^* \leq \hat{Y} \leq \tilde{Y}$

# Sequential paths and generalized diamond structures

- The oriented path  $\delta = t^1 p^1 \dots t^{h-1} p^{h-1} t^h$ , with  $h \geq 2$ , is said to be a **sequential path** if
  - i)  $\text{card}(\bullet t^1) \neq 1$  and  $\text{card}(t^h \bullet) \neq 1$
  - ii)  $t^{w\bullet} = \{p^w\}$  for  $w = 1, \dots, h-1$
  - iii)  $p^{w\bullet} = \{t^{w+1}\}$  for  $w = 1, \dots, h-1$
- A set of transitions  $\gamma = \{t^1, \dots, t^c\}$ , with  $c \geq 2$ , is a **generalized diamond structure** if
  - i)  $t^{1\bullet} = t^{2\bullet} \dots = t^{c-1\bullet} = t^{c\bullet}$
  - ii)  $\bullet t^1 = \bullet t^2 \dots = \bullet t^{c-1} = \bullet t^c$



# Improve the estimation of $Y^*$

## Theorem 2

Let  $\mathcal{Y}_2$  be the solution of the ILP problem

$$\mathcal{Y}_2 = \underset{\text{s.t. } \mathcal{H}(\mathbf{m}_0, t_f, \mathcal{J}, \mathcal{K})}{\max} \sum_{q=1}^n \hat{s}_{t_q}, \quad (1)$$

where the constraints  $\mathcal{H}(\mathbf{m}_0, t_f, \mathcal{J}, \mathcal{K})$  are

$$\left\{ \begin{array}{l} \mathcal{G}(\mathbf{m}_0, t_f, \mathcal{J}, \mathcal{K}) \\ \hat{s}_i = 1, \quad \forall t_i \in \gamma_{t_f}, t_i \neq t_f \\ \sum_{t_i \in \Theta(\delta_j)} \hat{s}_{t_i} \leq 1, \quad \forall \delta_j \notin \Delta_{t_f} \\ \hat{s}_{t_j} = 1, \quad \forall t_j = t(\delta_j), \delta_j \in \Delta_{t_f} \end{array} \right.$$

# Improve the estimation of $Y^*$ (cont'd)

## Theorem 2

- 1** If (1) is unfeasible, then an estimate of the solution to Problem 1 is given by

$$\hat{Y} = \text{card}(\gamma_{t_f}) + \text{card}(\Delta_{t_f}) - 1 \leq \tilde{Y}.$$

A possible choice for the set of observable transitions that makes  $t_f$   $\mathcal{K}$ -diagnosable, is to take all the transitions which form a generalized diamond structure with  $t_f$  together with  $t(\delta_j)$  for all  $\delta_j \in \Delta_{t_f}$ .

- 2** If (1) is feasible, an estimation of the solution to Problem 1 is given by

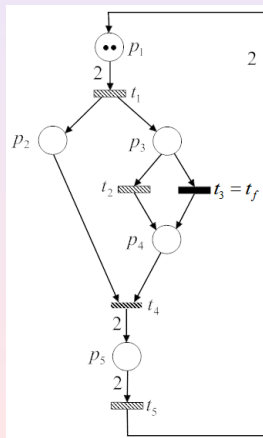
$$\hat{Y} = \mathcal{Y}_2 + 1 \leq \tilde{Y}.$$

# Examples 1/2

- When  $t_f = t_3$  and  $\mathcal{K} = 2$ , the solution of the ILP problem in Lemma 1 returns  $\mathcal{Y}_1 = 3$ , which yields  $\tilde{Y} = 4$
- This poor estimation of  $Y^*$ , can be easily verified, by checking that there is a choice of three observable transitions that does not include  $t_2$ , and which makes the system not 2-diagnosable
- The ILP problem proposed in Theorem 2 constraints
  - $t_2$  to be observable, since it forms a generalized diamond structure with  $t_f$
  - $t_4$  to be observable, because  $\dot{t}(\delta) = t_4$ , with  $\delta = \{t_4, t_5, t_1\}$

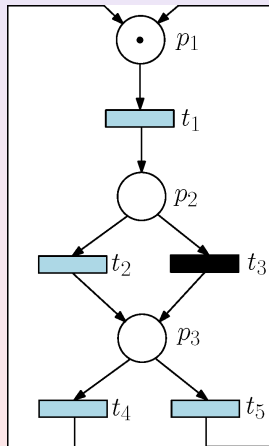
and turns out to be unfeasible. Hence,  $\hat{Y} = 2$ , and the set of observable transitions  $T_o = \{t_2, t_4\}$  guarantees the 2-diagnosability of the considered fault.

- In this case, it can be easily verified that  $Y^* = \hat{Y}$ , hence Theorem 2 returns the optimal solution to Problem 1



## Examples 2/2

- When  $t_{f_{\tilde{Y}}} = t_3$  and  $\mathcal{K} = 3$ , Lemma 1 returns  $\tilde{Y} = 4$
- By applying Theorem 2, we obtain  $\hat{Y} = 3 < \tilde{Y}$
- In this case  $\hat{Y}$  represents a suboptimal solution to Problem 1, being  $Y^* = 2$





## Conclusive remarks

- We have proposed an approach to **cast the problem of sensors selection to ensure  $\mathcal{K}$ -diagnosability in ILP framework**
- This preliminary work allows to compute an estimate (suboptimal) of the optimal solution to the sensor selection problem
- It has been shown how to improve the proposed estimation by exploiting the analysis of some elements of the net structures
- An interesting problem to be explored in the future is the sensors selection when a sensor has an attached cost that depends on the corresponding transition (being such a cost possibly time-varying)

Thank you!