

# An algebraic characterization of language-based opacity in labeled Petri nets

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E DELLE TECNOLOGIE DELL'INFORMAZIONE

# Outline



- 1** Preliminaries
  - Opacity in the DES context
  - Contribution
  - Notation
  
- 2** Algebraic characterization of LBO in labeled Petri nets
  - Sufficient condition
  
- 3** Example
  
- 4** Conclusions

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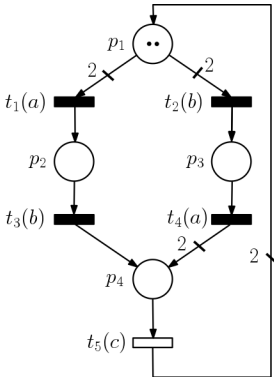
Y.-C. Wu and S. Lafortune,

Comparative analysis of related notions of opacity in centralized and coordinated architectures,

*Discrete Event Dyn. Syst.*, vol. 23, no. 3, pp. 307–339, 2013



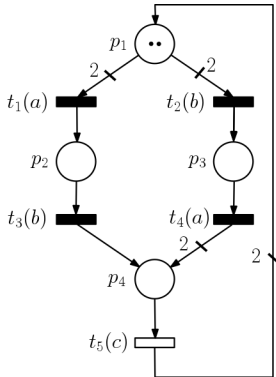
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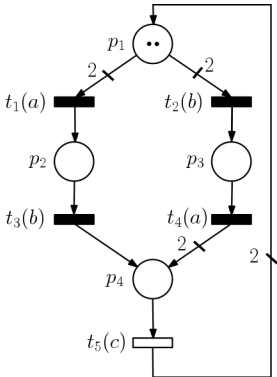


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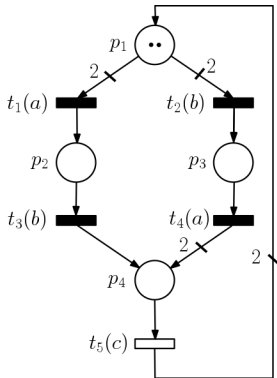
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- $c$  is the only observable event (whose occurrence can be directly *measured*)
- observing the single occurrence of  $c$ , an intruder will never know if either  $abc$  or  $bac$  occurred
- the system is said to be opaque

# Contribution of this work



- Two conditions to check *language-based opacity* in DES modeled with labeled Petri nets (LPNs)
  - a **necessary and sufficient** one
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  - a **necessary and sufficient** one
  - a **sufficient** one (less computationally demanding)
- The proposed approach relies on the algebraic representation of the LPN dynamic
- The proposed conditions are based on the solution of Integer Linear Programming (ILP) problems
  - *Off-the-shelf* commercial software can be used (e.g., CPLEX, FICO-Xpress)
  - no need to develop *ad hoc* software tools



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## ■ Unnecessary assumptions

- the system **does not need to be bounded**
- the initial marking is not given ( $m_0$  is assumed uncertain, i.e.  $m_0$  belongs to a set  $\mathcal{M}_0$ )



Y. Tong et al.,

Verification of language-based opacity in Petri nets using verifier,  
*American Control Conference, 2016*



Y. Tong et al.,

Verification of state-based opacity using Petri nets,  
*IEEE Trans. Auto. Contr.*, vol. 62, no. 6, pp. 2823–2837, 2017

# Notation (I)



- The P/T net:  $N = (P, T, \mathbf{Pre}, \mathbf{Post})$
- The incidence matrix:  $\mathbf{C} = \mathbf{Post} - \mathbf{Pre}$
- The labeling function:  $\lambda : T \mapsto E$
- Labeled PN system (LPN):  $\mathcal{G}\langle N, \mathcal{M}_0, \lambda \rangle$
- Language generated by the LPN:  $\mathcal{L}(\mathcal{G}, \mathcal{M}_0)$
- Secret language (assumed *finite*):  $\mathcal{L}_s \subset \mathcal{L}(\mathcal{G}, \mathcal{M}_0)$
- Set of transitions associated with the event  $e$ :  

$$T^e = \{t \in T \mid \lambda(t) = e, \text{ with } e \in E\}$$
- Length of a word  $w \in E^*$ :  $|w|$
- Occurrences of  $e \in E$  in  $w \in E^*$ :  $|w|_e$
- $i$ -th event in the word  $w$ :  $w[i]$

## Notation (II)



- Observable and unobservable events:  $E = E_{uo} \cup E_o$ ,  $E_{uo} \cap E_o = \emptyset$
- Natural projection function:  $Pr : E^* \mapsto E_o^*$
- Observable and unobservable transitions:

$$T_o = \{t \in T \mid \lambda(t) \in E_o\},$$

$$T_{uo} = \{t \in T \mid \lambda(t) \in E_{uo}\},$$

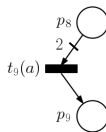
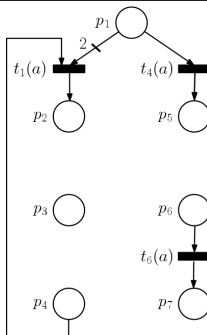
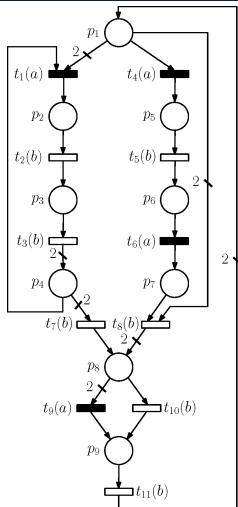
- Given a **firing count vector**  $\sigma \in \mathbb{N}^n$ , we would like to consider only the firings of either the observable or the unobservable transitions. Hence the following notation is introduced:

$$\sigma_{|T_o} \in \mathbb{N}^n, \text{ with } \sigma_{|T_o}(t) = \begin{cases} \sigma(t) & \text{if } t \in T_o \\ 0 & \text{if } t \notin T_o \end{cases}$$

$$\sigma_{|T_{uo}} \in \mathbb{N}^n, \text{ with } \sigma_{|T_{uo}}(t) = \begin{cases} \sigma(t) & \text{if } t \in T_{uo} \\ 0 & \text{if } t \notin T_{uo} \end{cases}$$



# Unobservable subnet



# Language-based opacity



## LBO

Given a labeled net system  $\mathcal{G} = \langle N, \mathcal{M}_0, \lambda \rangle$ , the correspondent natural projection function  $\text{Pr}(\cdot)$  and a *secret language*  $\mathcal{L}_s \subset \mathcal{L}(\mathcal{G}, \mathcal{M}_0)$ ,  $\mathcal{G}$  is *language-based opaque* (LBO) if for every word  $w \in \mathcal{L}_s$ , there exists another word  $w' \in \mathcal{L}(\mathcal{G}, \mathcal{M}_0) \setminus \mathcal{L}_s$  such that  $\text{Pr}(w) = \text{Pr}(w')$ .  
Equivalently

$$\mathcal{L}_s \subseteq \text{Pr}^{-1} [\text{Pr}(\mathcal{L}(\mathcal{G}, \mathcal{M}_0) \setminus \mathcal{L}_s)] .$$

# A secret word



$$w = w_{uo}^1 e_o^1 w_{uo}^2 e_o^2 \cdots w_{uo}^\rho e_o^\rho,$$

where:

- $w_o = \Pr(w) = e_o^1 \cdots e_o^\rho$
- unobservable subwords  $w_{uo}^i$ , with  $i = 1, \dots, \rho$ , may also be empty.

## An algebraic characterization of LBO (I)



$$\mu = m_{0_1} \circ (\mu_1 * \mathbf{1}) + \dots + m_{0_M} \circ (\mu_M * \mathbf{1}), \quad (1)$$

$$\sum_{i=1}^M \mu_i = 1, \quad (2)$$

$$\mathbf{c}^i = \sum_{t^j \in T_{e_o}^j} \mathbf{C}(\cdot, t^j) \circ (\gamma_{ij} * \mathbf{1}), \quad \forall i = 1, \dots, \rho, \quad (3)$$

$$\sum_{j=1}^{\text{card}(T_{e_o}^j)} \gamma_{ij} = 1, \quad \forall i = 1, \dots, \rho, \quad (4)$$

$$\mu + \mathbf{C}_{u_o} \cdot \sigma_{1|T_{u_o}} \geq \mathbf{0},$$

$$\mu + \mathbf{C}_{u_o} \cdot \sigma_{1|T_{u_o}} + \mathbf{c}^1 \geq \mathbf{0},$$

...

$$\mu + \mathbf{C}_{u_o} \cdot \sum_{i=1}^{\rho} \sigma_{i|T_{u_o}} + \sum_{i=1}^{\rho-1} \mathbf{c}^i \geq \mathbf{0},$$

$$\mu + \mathbf{C}_{u_o} \cdot \sum_{i=1}^{\rho} \sigma_{i|T_{u_o}} + \sum_{i=1}^{\rho} \mathbf{c}^i \geq \mathbf{0},$$

■ (1) and (2) permit to select one over the  $M$  possible initial markings

■ (3) and (4) associate the firing of single transition for each observable event  $e_o^j$  in the secret word  $w$

■ (5) are the constraints that must be satisfied by the firing count vectors of the explanations of  $w_o = \text{Pr}(w)$

## An algebraic characterization of LBO (II)



$$\sum_{t \in T^{e_{UO_k}}} \sum_{i=1}^{\rho} \sigma_{i|T_{UO}}(t) - |w|_{e_{UO_k}} + 1 \leq B \cdot (1 - \delta_{k1}),$$

$$\forall e_{UO_k} \in E_{UO}, \quad (6)$$

$$\sum_{t \in T^{e_{UO_k}}} \sum_{i=1}^{\rho} \sigma_{i|T_{UO}}(t) - |w|_{e_{UO_k}} \geq -B \cdot \delta_{k1},$$

$$\forall e_{UO_k} \in E_{UO}, \quad (7)$$

$$- \sum_{t \in T^{e_{UO_k}}} \sum_{i=1}^{\rho} \sigma_{i|T_{UO}}(t) + |w|_{e_{UO_k}} + 1 \leq B \cdot (1 - \delta_{k2}),$$

$$\forall e_{UO_k} \in E_{UO}, \quad (8)$$

$$- \sum_{t \in T^{e_{UO_k}}} \sum_{i=1}^{\rho} \sigma_{i|T_{UO}}(t) + |w|_{e_{UO_k}} \geq -B \cdot \delta_{k2},$$

$$\forall e_{UO_k} \in E_{UO}, \quad (9)$$

$$\delta_{k1} + \delta_{k2} \leq 1, \quad \forall k = 1, \dots, \text{card}(E_{UO}), \quad (10)$$

$$\sum_{k=1}^{\text{card}(E_{UO})} (\delta_{k1} + \delta_{k2}) \geq 1. \quad (11)$$

■ In order to have opacity, what we want is that  $\sum_{t \in T^{e_{UO_k}}} \sum_{i=1}^{\rho} \sigma_{i|T_{UO}}(t)$  is different from  $|w|_{e_{UO_k}}$  for at least one unobservable event  $e_{UO_k}$

■ Exploiting the technique proposed in Bemporad and Morari 1999, (6)-(11) have been added to force the firing count vectors of the explanations to have at least one component different from the firing count vector of the unobservable substring in the secret



A. Bemporad and M. Morari,

Control of systems integrating logic, dynamics, and constraint,

*Automatica*, vol. 35, no. 3, pp. 407–427, 1999



# A useful lemma



## Lemma 3 in the paper

Let  $\mathcal{G} = \langle N, \mathcal{M}_0, \lambda \rangle$  be a labeled net system,  $w \in \mathcal{L}_{\mathcal{S}}$  a secret word such that  $|w_o| = \rho$ , with  $w_o = \text{Pr}(w) = w_o = e_o^1 \cdots e_o^{\rho}$ , and  $B$  be a sufficiently large integer. If the set of constraints (1)–(11) admits a solution, then there exists at least one  $w' \in \mathcal{L}(\mathcal{G}, \mathcal{M}_0)$  such that  $\text{Pr}(w') = \text{Pr}(w)$ .

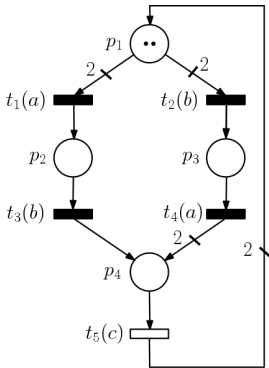
# Sufficient condition



## Theorem 3 in the paper

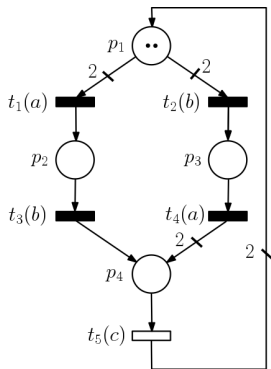
Let  $\mathcal{G} = \langle N, \mathcal{M}_0, \lambda \rangle$  be a labeled net system and  $\mathcal{L}_s \subseteq \mathcal{L}(\mathcal{G}, \mathcal{M}_0)$  a finite secret language. If for all  $w \in \mathcal{L}_s$  the set of constraints (1)–(11) admits a solution, then  $\mathcal{G}$  is LBO.

# Conservativeness of the sufficient condition



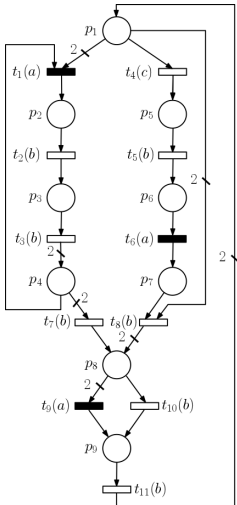
- The proposed sufficient condition cannot take into account the order of the unobservable events in each unobservable subword of the secret

# Conservativeness of the sufficient condition



- The proposed sufficient condition cannot take into account the order of the unobservable events in each unobservable subword of the secret
- At the expense of an increase of the number of optimization variable (hence of the computational burden), a necessary and sufficient condition can be derived (Lemma 2 and Theorem 2 in the paper)

# Example



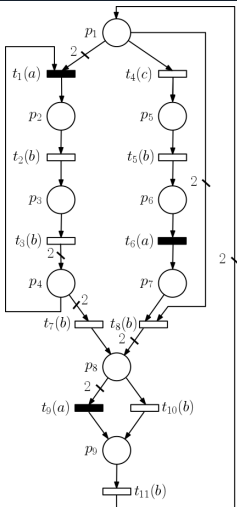
- $\mathcal{L}_s = \{abb\}$



$$\mathcal{M}_0'' = \{m''_{0_1}, m''_{0_2}\}$$

$$= \left\{ (2 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0)^T, \right. \\ \left. (2 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0)^T \right\}.$$

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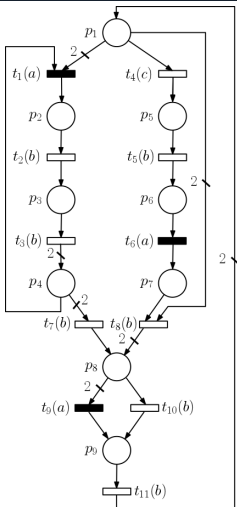


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- Theorem 2 requires to check the feasibility problem only for one word
- GLPK and YALMIP have been used
  - The feasibility problem admits a solution, since  $bb$  is enabled under  $m''_0$

# Conclusions



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- The provided conditions
  - do not require the computation of any kind of reachability graph
  - can be applied also to unbounded LPNs
- The proposed result can be extended along several directions:
  - the possibility of considering the more general case of a non-secret language  $\mathcal{L}_{NS} \subseteq \mathcal{L}(\mathcal{G}, \mathcal{M}_0)$
  - the possibility of extend the proposed approach also to *state opacity*
  - the possibility of applying the proposed results to the *synthesis* problem, i.e. the enforcement of opacity in non-opaque systems

# Questions?