Feature Extraction From Multitemporal SAR Images Using Self-organizing Map Clustering and Object-Based Image Analysis

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Abstract—We introduce a new architecture for feature extraction from multitemporal synthetic aperture radar (SAR) data. Its purpose is to combine classic SAR processing and geographical object-based image analysis to provide a robust unsupervised tool for information extraction from time series images. The architecture takes advantage from the characteristics of the recently introduced RGB products of the Level-1α and Level-1β families, and employs self-organizing map clustering and object-based image analysis. In particular, the input products are clustered using color homogeneity and automatically enriched with a semantic attribute referring to clusters’ color, providing a preclassification mask. Then, in the frame of an application-oriented object-based image analysis, opportune layers measuring scattering and geometric properties of candidate objects are evaluated, and an appropriate rule-set is implemented in a fuzzy system to extract the feature of interest. The obtained results have been compared with those given by existing techniques and turned out to provide high degree of accuracy and negligible false alarms. The discussion is supported by an example concerning small reservoir mapping in semiarid environment.

Index Terms—Classification, multitemporal, object-based image analysis (OBIA), self-organizing maps (SOM), synthetic aperture radar (SAR).

I. INTRODUCTION

Earth observation exploitation in operational/industrial contexts is today still limited, because it requires to end users, who are mainly used to work in geographical information system (GIS) environments, to handle sophisticated data analysis algorithms. This is especially true for synthetic aperture radar (SAR) data, which are largely underused due to the high expertise required to handle/interpret them. Therefore, the development of new tools for complex satellite data management, integrating remote sensing and GIS technologies, is desirable for enlarging the user community.

To this aim, many authors suggest to balance perceptual insights and mathematics for building end-user-oriented/multidisciplinary processing chains [1]–[5]. Research on these topics lead to a huge literature on knowledge-driven expert systems [6]–[8] constituting the basis of modern geographical object-based image analysis (GEOBIA) [9], whose classic schema is summarized by the flowchart depicted in Fig. 1(b). This approach aims at extracting information from remote sensing data by mimicking the way in which humans visually interpret images [10], [11], analyzing spectral information (e.g., colors), spatial characteristics (e.g., size, shape), textural, and contextual information (e.g., relation with neighboring objects) [12].

The crucial step for applying object-based techniques to remote sensing images is the object definition. This is typically done through segmentation, obtaining good results with optical data. This approach cannot be applied to SAR images as is,
because the speckle reduces the segmentation performances and the extraction of the semantics from the image is not immediate. Accordingly, at the state of the art, common practice in SAR data processing is still to focus the innovation on algorithms [13]–[17], following the scheme reported in Fig. 1(a).

In this paper, we devise a novel architecture for feature extraction based on innovative SAR remote sensing processing allowing for the extension of GEOBIA techniques to SAR time series. The goal is to create a bridge between GEOBIA and SAR communities, providing easy-to-use tools for data exploitation. The proposed architecture takes advantage of consolidated techniques, as self-organizing map clustering (SOM) [18] and object-based image analysis (OBIA) [19], and exploits the characteristics of the innovative multitemporal SAR data processing introduced by the authors [5], [20], as synthesized in Fig. 1(c).

In particular, we exploit the recently introduced products of the Level-1α and Level-1β families [5], [20]. They are semifinished products obtained from SAR time series opportune combined in an RGB frame. A multitemporal Level-1α or Level-1β image is treated with a clustering algorithm to obtain meaningful regions [see the fourth block of Fig. 1(c)], each of them associated to a basic verbal attribute related to its color. This algorithm is derived from Kohonen’s SOM clustering [18] and tailored on the characteristics of the input products, exploiting color homogeneity as discriminant for pixel aggregation. The clustered map, enriched by the basic semantic attribute, is processed with an application-oriented OBIA [fifth block of Fig. 1(c)]. In fact, the color label is used to build a preclassification mask, whose objects are analyzed with an opportune rule set allowing for the extraction of the feature of interest. The proposed approach provides a minimization of the number of free parameters, which is one of the biggest problems in GEOBIA [21].

The organization of the paper recalls the flowchart of Fig. 1(c). The first three blocks have been deeply addressed in [5], [20], and [22], and will be only recalled all over the paper, where necessary. The modified SOM algorithm is presented in Section II. The proposed OBIA technique is discussed in an application-oriented environment in Section III, where we address the problem of small reservoir mapping in semiarid environment. Conclusions are drawn at the end of the work.

II. MODIFIED SOM CLUSTERING

SOM is a machine-learning technique of the artificial neural network (ANN) family. It has been exploited to classify the most diverse data types in different sectors, from climatology [23] to political science [24], finance [25], and remote sensing [26]. This widespread use of SOM is due to its high flexibility and adaptability. In fact, an ANN does not make assumptions on the statistical distribution of the data, and this makes it possible its application to heterogeneous data sets and modification/integration for adaptation to different data structures [27] and learning techniques [28]. The robustness to large amounts of data makes ANNs a suitable instrument for unsupervised or semisupervised classification in a big-data environment, which is, and will be a crucial issue in remote sensing.

The SOM principle is schematized in Fig. 2, in which nodes are constituted by RGB triplets. The number of (predefined) nodes (or neurons), having the same structure of the data to be classified, will coincide with the number of output classes. In the classic Kohonen’s schema, these nodes are randomly initialized [see Fig. 2(a)] and connected by a (usually) rectangular structure. They are trained using a predefined number of sample vectors randomly selected from the input data. Each time a training vector is presented to the network, the most similar node (i.e., the one minimizing the objective function given by the Euclidean distance) is detected and identified as the best matching unit (BMU). The BMU and its neighbor, defined by a radius, are updated to become more similar to the presented training set, as shown in Fig. 2(b). This operation is repeated for several iterations, called epochs. At the end of each epoch, the neighbor of the BMU as well as the learning rate are decreased. This way, after many epochs, the SOM becomes stable, i.e., it does not exhibit significant changes with respect to the previous epoch, and the obtained nodes can be used to classify data.

As aforementioned, SOMs can be easily modified to be adapted to specific data [27], [28], and this made them very attractive for the clustering of our SAR-derived RGB products. As an example, in the initialization phase, neurons are typically randomly selected. As a consequence, the SOM output will be slightly different for different executions given the same set of network parameters. In our case, we need the output cluster map to be stable with respect to the input RGB product. To this end, we established a data-driven seed to initialize neurons and to generate the training samples. In such way, for a given RGB product, the output SOM is fixed by its parameters.

As for the training phase, we implemented the following procedure. A matrix of $M \times 3$ RGB triplets is randomly generated using the aforementioned seed. In order to consider more combinations of the primary colors, $M$ is greater than the
pre-established number $T$ of training vectors. These random triplets are made consistent with the requantization problem by computing pixel-wise the Euclidean distance between the $i$-th training set and the input RGB product. Finally, among the $M$ available triplets, the $T$ more similar to a color existing in the input RGB product are selected as training sets.

As stated in [8], one of the knowledge required for understanding remote sensing images concerns the mapping of scene features into the image acquired by the sensor. Therefore, in order to better adapt the classic Kohonen’s scheme to the input data, we slightly modified the algorithm presented in [18].

As an example, let us consider the Level-1 product depicted in Fig. 3(a). It represents a rural area in Burkina Faso (Western Africa). In this region, the climate is semiarid, with a long dry season (at the peak of which the environment is almost completely dry) followed by a short and intense wet season, in which the abundant rainfalls allow for cultivation and water and food storage [29]. In this product, the red, green, and blue bands are assigned to the interferometric coherence, to a wet season image, and to a dry season image, respectively. This composition allows for displaying the seasonal water in blue, due to the dominance of terrain scattering during the dry season, and the vegetation in green, due to volumetric scattering enhancement triggered by vegetation growing during the wet season. For more information about Level-1 imagery, the reader can refer to [5].

In this scene, natural land cover is dominant with respect to the “urban class,” consisting in small settlements represented by bright targets [5]. Therefore, if the classic Kohonen’s algorithm is used, very few training set belonging to this category would be presented to the network. As a result, it is likely that the “urban” cluster will be not represented in the final SOM. To overcome this problem, we impose the presence of the white, black, and red colors among the training sets to be used in the competitive phase. In fact, these colors are associated to precise classes (such as built-up features, water surfaces, and low-backscattering areas) which are likely to be present in every acquisition, even if with small occurrence with respect to other classes. Moreover, in order to ensure the presence of such colors in almost pure tonality within the final SOM, when the relevant training sets are presented to the network, it behaves as in a learning vector quantization schema [18], in which only the winning neuron is updated with a high learning rate.

The objective of using an SOM is to map the input product from the RGB space, whose dimension is $[256 \times 256 \times 256]$, into a space $\hat{S}$ with a limited number of elements (coinciding with the number of SOM neurons). At the same time, we aim at enriching the obtained cluster map with a basic semantic, i.e., to label each element of $\hat{S}$ with a meaningful word recalling a physical property of the cluster. This makes the SOM semantic (SSOM), allowing for querying the image in the feature space exploiting the cluster label.

To this end, an HTML color database is considered for picking the cluster label. The Euclidean distance between the SOM and the database elements is computed. Finally, for each SOM cluster, the name of the closest color within the database is assigned.

In Fig. 3, we show the output of the SSOM clustering, setting the dimension of $\hat{S}$ (i.e., the size of the SSOM) to 49 [see Fig. 3(b)], 25 [see Fig. 3(c)], and 9 [see Fig. 3(d)] elements. In Fig. 4, a sample SSOM for the 49-cluster case is reported together with the relevant color label list. In this picture, the association color label-SSOM cluster is made column-wise from up to down and from left to right. Note that very similar colors can have the same label.

From Fig. 3, it arises that the larger the number of clusters in the output product, the more similar the (pre)-classified image to the input RGB one. In fact, as shown in Fig. 3(d), when the dimension of $\hat{S}$ is reduced to nine elements, its colorimetric content becomes insufficient to describe effectively the information contained in the input Level-1 product, causing the loss of the physical relation between the colors in the clustered product and the scene objects [see as an example gray pixels in the lake area in Fig. 3(d)].

However, beyond interpretability, the principal purpose of clustering is to provide a product useful to be processed automatically by the machine. This means that a number of clusters appropriate for human interpretation could be not sufficient (in the sense that the image could result undersegmented) to address a certain problem using a computer algorithm. Actually, in the framework of the method outlined in Section I, the number of SSOM clusters is very important and can greatly affect the performance of the processing chain. The problem will be addressed with an empirical approach in Section III-F to face the problem of small reservoir mapping in semiarid environment.

### III. Application-Oriented OBIA: Small Reservoir Mapping in Semiarid Environment

The processing chain outlined in Section I is strongly application-oriented since the management of the semantics introduced by the SSOM clustering, as well as the OBIA, needs to be adapted to the feature of interest. In other words, if the general processing depicted in the last diagram of Fig. 1 can be replicated to address different problems (see as an example [30] for a preliminary experiment dealing with urban area mapping), the OBIA block has to be adapted to the scattering and geometrical characteristics of the objects one wants to identify, represented in this case by small reservoirs in semiarid environment.

In semiarid environment, small reservoirs constitute a fundamental resource for local population (especially in rural areas) to face water scarcity during long periods of drought [31], [32]. In Burkina Faso, that is the country in which our study area is located, it is estimated that about 1700 small reservoirs are actually used for irrigation, livestock, and human consumption. However, despite of their importance, reservoirs are rarely appropriately monitored in low-income countries, especially in Sub-Saharan Africa [32]. Moreover, small reservoirs are often built/modified by local communities without governmental co-ordination and even basic data, like their location and capacity, are not available. For these reasons, it is extremely hard to study their impact on the territory and to optimize their management.

Remote sensing technologies have been widely exploited to address this problem [33]–[37], which is particularly discussed...
in the community, also thanks to the TIGER initiative of the European Space Agency [38]. Using SAR data, small reservoirs are usually mapped using pixel-based segmentation techniques providing results characterized by good accuracy, but with an incidence of false alarm that sometimes is not negligible [36]. In this work, we want to demonstrate that the proposed methodology allows for reducing drastically the false alarm rate keeping, at the same time, the accuracy comparable to that given by the most popular SAR segmentation algorithms.

The general flowchart of the method we are going to apply is depicted in Fig. 5. In this picture, boxes and arrows with red edges represent an exploded view of the block “OBIA” of the last
Although at first glance it could appear complicated, the flowchart is composed of a series of very simple operations dictated by the experience and regulated, when necessary, by fuzzy rules. Indeed, it is in line with the GEOBIA philosophy, whose objective is to mime the human behavior in the understanding of the surrounding environment. In fact, humans understand the world and operate in it through a series of simple operations, which become obvious with the experience. The reader can think, as an example, to the trivial operation of pouring water from a bottle into a glass for drinking. Clearly, several basic operations must be implemented, such as segment the scene to localize the bottle and the glass, take the bottle, pour water into the glass, replace the bottle, and then drink water using the glass. The concept we adopted in the design of the flowchart reported in Fig. 5 is exactly the same, i.e., the implementation of several simple operations to understand the scene up to the extraction of its reservoirs.

Roughly, the proposed processing chain is the following. The input RGB product is treated with SSOM clustering and a relevant set of words is identified to be representative of the class “small reservoirs.” Clusters associated to this class constitute an overdimensioned preclassification mask, identifying objects candidate to be classified as reservoirs. This mask is treated with OBIA, whose aim is to identify objects whose scattering and geometric characteristics are most likely to be those of a reservoir. To this end, two object layers are exploited. The first one is the mean (computed within each image object) of the seasonal water pseudo-probability (SWPP) [36]. It represents a scattering layer. The second one, representing a geometric layer, is the objects’ compactness [39].

In the following sections, we will provide a complete description of all the aforementioned operations.

A. Dictionary Definition

This section describes the blocks indexed with 1 and 2 in Fig. 5.

The input of the processing chain is a change-detection-oriented Level-1α product in which the blue band is acquired at the peak of the dry season. As explained in Section II, this causes small reservoirs to be rendered in blue color (see [5] for further details).

The input RGB product is treated with the SSOM algorithm discussed in Section II, and the associated color labels considered for the dictionary definition. This operation is guided by the knowledge of Level-1α products characteristics and of their mapping into the SSOM. As a result, the following color labels were selected as the most representative of the class “small reservoir” (see Fig. 4): “Blue,” “Navy blue,” “Royal blue,” “Medium blue,” and “Midnight blue.” A nonexpert user can reach the same result empirically through visual inspection of the cluster map. Selecting a region representing a reservoir and computing the statistics, it will result that more than 90% of pixels within the area of interest belong to the above listed classes.

The idea is to build an overdimensioned preclassification map to be eroded through the successive OBIA steps in order to reach the final reservoirs map. An example of this operation is provided in Fig. 6. In particular, in Fig. 6(a), a Level-1α product concerning one of the reservoirs of the study area is shown. The corresponding 49-cluster SSOM is shown in Fig. 6(b). The semantic mask obtained considering all the pixels having a color label included in the dictionary is depicted in Fig. 6(c). The mixing of land and water features may cause the object to lose...
the characteristics of scattering (on average) and the geometric properties useful to classify it as a reservoir.

To prevent this, a suitable management of the dictionary is necessary. In particular, it is split in a “reliable” part and in an “unreliable” part. This division is made on an empirical basis and dictated by the experience. We identified the color labels “Blue,” “Navy blue,” “Royal blue,” and “Medium blue” as “reliable.” With “reliable,” we mean that these clusters are likely to exhibit a strong dominance of water features with respect to land features. Conversely, we identified clusters with color label “Midnight blue” as “unreliable.” In fact, as shown in Fig. 4, the same color label can be repeated in the same SSOM. These clusters are likely to exhibit a strong dominance of land features with respect to water features.

The splitting of the dictionary led to the result depicted in Fig. 6(d). This operation allows for the reconstruction of the reservoir shape using only the clusters of the “unreliable” dictionary (in this case, just the red one) ensuring the preservation of the required scattering and geometric characteristics, discarding all the others.

### B. Morphological Operations on the Semantic Mask and Segmentation

This section describes the blocks indexed from 3 to 6 in Fig. 5.

The masks representative of the “reliable” and of the “unreliable” dictionaries are treated with a morphological filter in order to discard small regions and obtain more homogeneous clusters (see block 3 of Fig. 5) [40]. It is worthwhile to remark that the mask corresponding to the “reliable” dictionary fuses all the color labels belonging to it. In other words, this is a binary “true”/“false” mask in which all the pixels of the SSOM having a color label falling into the “reliable” dictionary are associated to the value “true.” Conversely, the mask associated with the “unreliable” dictionary concerns, at each loop iteration, to just one of its elements.

The objective is to reconstruct the reservoir shape using words. Clusters belonging to the “unreliable” dictionary are added incrementally to the initial nucleus constituted by the “reliable” dictionary and treated with an OBIA dependent on their expected degree of membership to the class “reservoir.” This is dictated by the mean of the SWPP (see Section III-C for more details) computed within the entire cluster. The higher this value, the higher the probability that the cluster is dominated by water features.

In particular, suppose that our “unreliable” dictionary is composed by three color labels, as in the case of Fig. 6(d). They are sorted as the values of the SWPP mean computed cluster-wise and added to the nucleus identified by the “reliable” dictionary in that order. In our case, we have the following situation: $<\text{SWPP}>_{\text{red}} = 0.3$, $<\text{SWPP}>_{\text{green}} = 0.28$, and $<\text{SWPP}>_{\text{blue}} = 0.14$. This means that, within the loop, the corresponding clusters will be added to the nucleus identified by the “reliable” dictionary (yellow cluster) in the same (descending) order.

As a result, referring to Fig. 6(d), at iteration 1 of the loop, the mask considered for object layers calculation is given by the junction of the yellow and red clusters (block 4 of Fig. 5). It is possible that the output cluster presents several “holes” (i.e., areas not candidate to be classified as reservoir but completely surrounded by candidate objects), and this can alter the calculation of the compactness layer due to the decrease of the ratio between object’s area and perimeter (see Section III-C). This problem is solved in the block indexed with the number 5 in Fig. 5.

In general, the first element of the “unreliable” dictionary (i.e., the one exhibiting the highest SWPP mean) has usually a strong dominance of water features. Therefore, it can be considered a quite “safe” cluster, and its holes treated as islands (due to the clustering or to residual speckle in the original RGB product). In other words, at step 1 of the loop (which concerns the element of the “unreliable” dictionary with the highest SWPP mean), all the holes within the considered objects are covered using as parameter just the uniqueness of the adjacency to a candidate reservoir cluster.

Starting from the second element of the “unreliable” dictionary and beyond, in which we deal with clusters with a high probability to have a dominant land component, the coverage of possible holes rely on a fuzzy system using as parameters the number of holes and the ratio between their area and the area of the unique candidate object surrounding them. The parameters defining the fuzzy sets exploited in this phase are reported in Table I. In particular, we require that holes should be in “low”
number, and occupy a “low” area with respect to the one of the object surrounding them.

Once the mask for the current iteration is assembled, segmentation is implemented in the block 6 of Fig. 5. The object map, indexed with an increasing numeric attribute, is retrieved using a connected components labeling algorithm [41]. Contours are also computed at this stage through the calculation of the image second Laplacian [42]. In fact, objects’ perimeter will be necessary for the calculation of the compactness parameter.

### C. Object Layers

This paragraph describes the block indexed with 7 in Fig. 5. As aforementioned, the fuzzy system devoted to assign the classes “reservoir” and “no reservoir” is fed by two object layers. We use a scattering layer, i.e., the mean SWPP calculated within each identified candidate reservoir, and a geometric layer, i.e., the object compactness.

The SWPP is an index measuring the pseudo-probability that a pixel belongs to a temporary water surface. It has been introduced in [36], and computed as follows:

$$SWPP = \left[1 - \left(\frac{G}{255}\right)^2 \right] \frac{B - G}{B + G}, \quad SWPP \in [-1, 1].$$

(1)

In this formula, $B$ and $G$ are the blue and the green band of a Level-1α product, respectively. Roughly, this formulation aims at the enhancement of areas appearing in blue color in the RGB product. For further details, the reader can refer to [36].

The compactness, as suggested by the name, measures how compact an object is, i.e., how much the object is shaped like a circle. It is defined as follows [39]:

$$C = \frac{4\pi A}{P^2}, \quad C \in [0, 1].$$

(2)

In this formula, $A$ and $P$ represent objects’ area and perimeter, respectively. Indeed, this parameter was introduced to measure the roundness of sand grains, and then reused in the image processing literature. In the digital world, the more compact object is the square, for which $C = 0.785$.

### D. Fuzzy Rules and Candidate Objects Selection

This section describes the blocks 8 to 10 of Fig. 5. The two object layers described in Section III-C are combined using fuzzy rules [43], [44]. We used two fuzzy sets, “low” (Z-type) and “high” (S-type), to model the uncertainty related to the considered quantities. Selected parameters for these fuzzy sets are reported in Table II.

Reservoirs are expected to have “high” SWPP mean within candidate objects, which should also exhibit “high” compactness. This combination of the input fuzzy sets leads to the creation of the class “reservoir.” Each object in the segment map will have a certain membership degree to this class. The higher the membership, the higher the probability that the object really represents a reservoir.

However, being the system fuzzy, each of the possible combinations obtainable from the fuzzy sets reported in Table II are possible: “High” SWPP plus “High” compactness (i.e., the “reservoir” class), “High” SWPP plus “Low” compactness, “Low” SWPP plus “High” compactness, and “Low” SWPP plus “Low” compactness. The last three classes identify the class “no reservoir.” Each image segment will have a certain membership degree for this class. The higher the membership, the higher the probability that the object belongs to that class. Therefore, a defuzzification step is necessary and implemented assigning the class having the maximum probability to each object, given the adopted fuzzy sets.

However, the three classes composing the category “no reservoir” have different probability to really belong to it. In fact, image segments having “High” SWPP plus “Low” compactness have the scattering properties requested for a reservoir, lacking the geometric one. This can be due to residual speckle in the input RGB product altering the response of some area, as well as to clustering, causing the association of some portion of the reservoir to different elements of the “unreliable” dictionary. In other words, some objects belonging to the class “High” SWPP plus “Low” compactness can still be considered for classification as “reservoir” in a successive iteration of the “unreliable” dictionary loop.

This is clarified in Fig. 7, which is an exploded view of the blocks indexed with 9 and 10 in Fig. 5. At the end of iteration 1 (“reliable” dictionary plus first element of the “unreliable” one), objects exhibiting “High” SWPP mean and “High” compactness are stored in the “actual” reservoirs map. Objects having “High” SWPP mean and “Low” compactness are stored into the “maybe” reservoirs map and sent to the second iteration of the “unreliable” dictionary loop, in which the second element of this dictionary is added to the already retrieved semantic mask. After fuzzy classification, objects within the “maybe” reservoirs acquiring the characteristics of “High” SWPP mean and “High” compactness, are transferred in the “actual” reservoirs map.

### Table I

<table>
<thead>
<tr>
<th>Layer</th>
<th>Semantic attribute</th>
<th>Fuzzy set</th>
<th>a</th>
<th>c</th>
</tr>
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<tbody>
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<td>Number of holes</td>
<td>Low</td>
<td>Z-type</td>
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<td>10</td>
</tr>
<tr>
<td>Number of holes</td>
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<td>S-type</td>
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<td>20</td>
</tr>
<tr>
<td>$A_h/A_s$ (%)</td>
<td>Low</td>
<td>Z-type</td>
<td>0</td>
<td>10</td>
</tr>
<tr>
<td>$A_h/A_s$ (%)</td>
<td>High</td>
<td>S-type</td>
<td>5</td>
<td>20</td>
</tr>
</tbody>
</table>

The ratio $A_h/A_s$ indicates the ratio between the area occupied by the holes and the area of the object surrounding them.

### Table II

<table>
<thead>
<tr>
<th>Layer</th>
<th>SWPP</th>
<th>Semantic attribute</th>
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Fig. 7. “Unreliable” dictionary loop management. At the end of iteration 1, objects exhibiting “High” SWPP mean and “High” compactness are stored in the “actual” reservoirs map. Objects having “High” SWPP mean and “Low” compactness are stored into the “maybe” reservoirs map and sent to the second iteration of the loop. After fuzzy classification rules, objects acquiring the characteristics of “High” SWPP mean and “High” compactness, are added to the “actual” reservoirs map. Boxes with filled background represent products. Those with blank background identify processes.

Obviously, objects having “High” SWPP and “High” compactness also appear in the “maybe” reservoir map. In fact, they can be updated by the addition of other elements of the “unreliable” dictionary, provided that the fusion preserves these characteristics. In other words, if the addition of new image segments to an object having “High” SWPP and “High” compactness creates an objects still having “High” SWPP and “High” compactness, this new object is stored in the “actual” reservoirs map. Otherwise, it is discarded, and the old object is restored.

The loop is repeated for each element of the “unreliable” dictionary up to its depletion. At the end of the loop, the “actual” reservoirs map becomes the “final” reservoirs map.

E. Study Area, Data, and Ground Truth

The study area is located in a rural area of Burkina Faso (Western Africa). It is about 36 × 18 km wide, and land cover is prevalently natural, with just few villages scattered into the scene. Data were provided by the Italian Space Agency at free of charge under the aegis of the “HydroCIDOT” project. In particular, our database is constituted by more than 50 COSMO-SkyMed stripmap three meter resolution images acquired in HH polarization between 2010 and 2016. The interested reader can find further information about this data set in [36].

In our study area, a different number of reservoirs can be observed (with a maximum 13, ranging approximately from 6000 to 300 000 m² of extension) depending on the period of the year. In fact, in semiarid environment, starting from the end of the wet season, reservoirs tend to recede up to completely disappear with the advance of the dry season. This makes their identification even more challenging. In fact, we are analyzing ponds whose boundaries are not man-made. Therefore, there is no clear edge between the water surface and the surrounding land. Moreover, their tendency to get dry creates further ambiguity due to the presence of mud at the boundary, especially during the transition from the wet to the dry season.

The ground truth used to assess the obtained results was manually retrieved for each considered acquisition. This operation was not trivial, due to the strong unbalance between the classes water and nonwater and the presence of vegetation/mud at reservoir boundary making it difficult to recognize the contour. However, in many cases, the expert photo-interpreters are able to perform reliable feature extraction [45], [46], especially if they have a good a priori knowledge of the study area [29], [37], [47]. This makes us quite confident that the reservoir contours manually retrieved through photo-interpretation are well representative of the real basins extension.

F. Experimental Results

In this section, we present the results of the proposed framework application to eight images taken from the available database. Acquisition dates were selected with the purpose to catch the most important moments of reservoirs’ life-cycle, i.e., the maximum extension toward the peak of the wet season (July–August), and the starting of the recession in the transition between the wet and the dry seasons (September–October).

An important parameter of the proposed method, which can significantly condition its performance, is the number of clusters in the input SSOM. Actually, the optimum number of clusters in unsupervised clustering is an open problem [48]–[50]. Therefore, we adopted an empiric approach. In particular, we repeated the reservoirs extraction experiment changing the number of clusters in the SSOM, setting it to 25, 36, 49, and 64 clusters. In all cases, the same dictionary was used. Results of these experiments are reported in Table III. A pixel-based and an object-based assessment of the performance of the proposed methodology were implemented. As for the object-based assessment, an object is considered hit if it is detected for more than 30% of its total extension.

Main outcomes of the performed experiments are the followings. As aforementioned, the number of clusters set in the
TABLE III
RESULTS OF THE APPLICATION OF THE PROPOSED FRAMEWORK FOR EIGHT IMAGES OF THE AVAILABLE DATA SET AND FOR DIFFERENT NUMBER OF CLUSTERS IN THE INPUT SSOM

<table>
<thead>
<tr>
<th>Date</th>
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input SSOM can greatly affect the detection of the reservoirs. We found that setting it to 25 or 36 lead to conflicting results, sometimes very unpleasant. In fact, in these cases, the “unreliable” dictionary does not have clusters with dominant water features. Therefore, the fusion around the nucleus constituted by the “reliable” dictionary of image segments mainly representing land, causes the loss of the scattering and geometric properties (defined in Section III-C) required to candidate objects to be classified as reservoirs.

The proposed method performs at its best raising the number of clusters in the input SSOM. In fact, setting it to 49 or 64, the clustering is able to model appropriately the transition between water and land features at reservoirs boundary creating image segments representative of this intermediate land cover, thus allowing for a satisfying reconstruction of the reservoirs shape.

Fig. 8. (a) 64-cluster SSOM. (b) Mask obtained after the first iteration of the “unreliable” dictionary loop. The presence of areas connected to the background causes the loss of the compactness requirement. Original patch dimension approximately 1.5 × 1.5 km².

Fig. 9. (a) 64-cluster SSOM. (b) Classification map. Green: correct detections. Red: missed detections. Yellow: false detections. Original patch dimension approximately 1.5 × 1.5 km².

Experiments are performed using 10 images of the AVA LAB data set and for different number of clusters in the input SSOM.

The principal characteristic of the proposed architecture is the very low probability of false alarms. In fact, the maximum number of false reservoirs detected in the performed experiments is 4 on 31 August 2010, using the 36-cluster SSOM. Using the 64-cluster SSOM, this value decreases to 2. Averaging the results of all the experiments, the results we obtain are the followings: 25-cluster, 1.125 false reservoirs per image; 36-cluster, 1.125 false reservoirs per image; 49-cluster, 0.875 false reservoirs per image; 64-cluster, 0.75 false reservoirs per image.

Missed detections are mainly due to: 1) the application of morphological operators for cluster regularization causing erosion of objects’ boundary (this causes missed detection only at the pixel level); 2) the presence of clusters having dominant land features at reservoirs borders causing the rejection of the retrieved object by the fuzzy system described Section III-D; 3) the presence, especially at reservoirs’ boundary, of clusters having color label not included into the “reliable”/”unreliable” dictionary.

A graphical explanation of the method behavior is provided in Fig. 8. In particular, in Fig. 8(a), we report a 64-cluster SSOM.
centered on a reservoir of the study area. It is the only reservoir missed using the 64-cluster SSOM in the performed experiments (see Table III, acquisition on Aug. 26, 2014). In Fig. 8(b), the mask obtained at iteration 1 of the “unreliable” dictionary loop is shown. In this case, the presence of vegetation within the basin cannot be compensated by the “fill holes” procedure because the correspondent “holes” are connected with the background, i.e., not completely surrounded by white pixels. This situation is maintained for all the iterations of the “unreliable” dictionary loop and causes the lost of the compactness requirement asked to the shape to be classified as a reservoir.

In Fig. 9, we provide another graphical example of the behavior of our method, this time oriented to the pixel level. In particular, in Fig. 9(a), a 64-cluster SSOM representing two reservoirs of the study area is shown. In Fig. 9(b), a classification map is depicted. Green, red, and yellow colors mean correct decision, missed detections, and false alarms, respectively. Considering the larger of the two reservoirs, there is a stripe (wider on the left) which is missed in the computed mask. This is because this stripe mainly falls in the cluster labeled as “Dark slate gray,” which is generally not associated to the reservoir class and therefore not included in our dictionaries.

As for pixel-based false alarms, the mechanism is quite similar. They can occur if, within the “unreliable” dictionary loop, a small image segment mixing water and land features is added to the “master” object. In this case [see yellow pixels in Fig. 8(b)], land features, being strongly minority, does not affect the properties of the object and are aggregated to it to form the final shape classified as reservoir.

G. Comparison With Other Methods

In this section, we compare the results obtained using the proposed methodology with other popular classification methods. We assume as reference the experiments using the 64-cluster SSOM as input, which are those giving the best tradeoff between the overall accuracy and false alarms.

The comparison is made with other (pixel-based) methods, very popular among end users and widely available on commercial/open-source software suites for remote sensing data analysis. In particular, we tested the performance of the maximum-likelihood (ML) classifier, the support-vector machine (SVM), and a standard back-propagation neural net (NN). We also implemented the reservoirs extraction commercially.

TABLE IV

Comparison Between the Proposed Algorithm and Other Popular Classification Methods: SWPP, ML, SVM, NN, MR Segmentation

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multiresolution image segmentation algorithm (MR) [51] coupled with an object-based analysis made on a single layer, given by the mean of the ratio image calculated segment-wise, which is treated with hard thresholding to classify. All these techniques were applied to the Level-1α products used as input for SSOM clustering. In fact, the authors demonstrated that the performance of standard classifiers when applied to Level-1 products are fully comparable with those given by their application to standard temporal-filtered SAR images (see, as an example, [20], [36], [40], [44] for more details).

As for ML, SVM, and NN techniques, 4-class classifications (water, bare soil, layover, and vegetation) were implemented. It is worthwhile to remark that they are supervised classifiers (pixel-based) but are classified and/or the fine tuning phase for the best technique's module contribution with respect to the total in parenthesis. Principal drawbacks of this experiment are the fine tuning necessary to find the best parameter setup for the MR algorithm and the trial-and-error approach to determine the hard threshold to be applied to the considered object layer.

Another useful tool to evaluate the performance of all the analyzed methods is provided in Table V, in which aggregated results are reported. In particular, we considered the mean pixel-based overall accuracy and the median of the object-based false alarm computed considering the results for the eight performed experiments. The median is chosen to exclude outliers from the assessment.

From the first column of the table, it arises that the overall accuracy is (on average) comparable and rather high for all the considered methods, ranging from the 83.4% of the OBIA based on MR segmentation to the 91.8 of the ML. The value for the proposed method is 86.2%, and it is in line with that registered for the SVM, which is 87.2%, so just 1 point above the one given by our technique.

As for the second column, it is remarkable that the proposed method restitutes a median of false alarms equal to zero. MR and SVM also gives satisfying results, having a median of false alarms of 1 and 2.5, respectively, with no particularly serious outliers (see Table IV). The NN and the SWPP perform pretty well with a median of about 5. However, in the case of the NN, one of the experiments we made resulted failed. The ML classifier gave the worst performance with respect to this indicator, and its usage for this application is seriously compromised by the probability of failed classifications.

Summarizing, the proposed method showed performance comparable with those of popular pixel-based supervised techniques (ML, SVM, NN) in terms of accuracy, with the advantages of minimizing false alarms (thanks to object-based processing) and of being unsupervised (after the dictionary definition). This makes our method very well suited for the analysis of long time series, where robustness with respect to misclassification is crucial due to the scarce supervision (which is the main weakness of current OBIA methods, like the one based on MR here analyzed). Moreover, in this application, supervised techniques have a double drawback: 1) the necessity of selecting relevant training samples for each image to be classified and/or the fine tuning phase for the best technique’s performance of standard classifiers when applied to Level-1 products are fully comparable with those given by their application to standard temporal-filtered SAR images (see, as an example, [20], [36], [40], [44] for more details).
parameter set-up, and 2) the strong dependence of the classification result from the quality of such training sets/parameters, which makes the operation highly dependent on the expertise of the operator.

H. Modules Contribution

The proposed method can be packed into three steps: 1) the semantic preclassification mask constituted by the “reliable” dictionary; 2) the first OBIA iteration using the first element of the “unreliable” dictionary; 3) the successive iterations of the OBIA loop, from the second to the last element of the “unreliable” dictionary. The purpose of this section is to evaluate quantitatively the contribution of each module to the final result. The outcomes of this investigation are reported in Table VI concerning the pixel-based overall accuracy and false alarm rate. In the overall accuracy column, we report in parenthesis the percentage of Earth observation in operational/industrial contexts. In this phase, a significant improvement of the detection rate was registered in this processing phase (see experiment relevant to the acquisition of 2011/09/16).

I. Sensitivity Analysis

In this section, the sensitivity analysis of the performance of the method with respect to variations of its parameters is presented.

Actually, being the problem of the number of clusters to be set in the SSOM already discussed before, the parameters we considered here for the assessment are those defining the fuzzy system ruling the object layers and the filling holes operation. Therefore, we changed the parameters reported in Table II and Table I of ±5% and ±10%. Results of these new experiments are shown in Table VII for the 64-cluster SSOM case. In particular, the experiments F−5, F−10, F+5, and F+10 have been implemented changing the parameters of −5%, −10%, +5%, and +10%, respectively. Reference results are named as F0.

The obtained results show a very poor sensitivity of the method on its parameters. In fact, their decreasing, up to 10%, does not affect significantly the false alarm rate. As an example, the mean of the object-based false alarms passes from a value of 1 in the case of “optimum” parameter selection (see Table II and Table I) to about 1.9 for both F−5 and F−10 experiments. Similarly, raising all the parameters of 10% has a very negligible impact on the overall accuracy.

IV. Conclusion

One of the challenges of modern remote sensing is the integration of perceptive insights and mathematics for building user-oriented processing chains allowing for fully exploitation of Earth observation in operational/industrial contexts. In this work, we have presented a novel architecture for feature extraction from multitemporal SAR data mixing classic SAR

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</tbody>
</table>

Reference results: Experiment F0. Experiments F−5, F−10, F+5, and F+10 have been run with parameters lowered of 5% and 10%, and raised of 5% and 10%, respectively. OA: Overall accuracy, FA: False alarm rate. P: Pixel-based assessment, O: Object-based assessment.
processing and GEOBIA concepts. It was based on the usage of the recently introduced RGB products of the Level-1α and Level-1β families. These images have been treated with a self-organized map algorithm derived from the classic Kohonen’s schema and opportunely modified to best fit the characteristics of the input products and to make it possible the automatic attachment of a basic semantics to each cluster of the output feature space.

The available semantics, referring to clusters’ color, has been used to build a dictionary related to the feature of interest, represented in the example discussed in Section III by small reservoirs in semiarid environment. The dictionary was then split in a “reliable” and a “unreliable” part. The former included color labels which are likely to exhibit dominant water features. The latter is composed by clusters which could have dominance of land pixels.

The “reliable” dictionary was used as a nucleus to reconstruct the reservoirs shape within a loop, in which the elements of the “unreliable” dictionary were added one by one based on the probability they have to represent clusters with dominant water features. This allowed for building a semantic mask of candidate image segments. Two object-layers have been introduced to individuate, among them, those having the scattering and geometric characteristics best fitting those of a reservoir. They were the mean (computed object-wise) of the SWPP (scattering layer) and the compactness (geometric layer). A fuzzy system rules the selection/rejection of candidate reservoirs.

The performance of the proposed architecture has been compared with that of popular pixel-based supervised classifiers and with that of an object-based approach based on a literature segmentation method. As a result, using our method, we registered a significant improvement of the robustness to false alarms, keeping a comparable detection accuracy.

A sensitivity analysis on the parameters defining the fuzzy classification system was also performed. The results show that the proposed architecture is quite insensitive to variations, even significant, of its parameters.

The proposed methodology represents a robust unsupervised tool for time series analysis and can be adapted to several remote sensing problems, provided the definition of the dictionary best representing the scattering characteristics of the feature of interest and of the most suitable OBIA for its identification.

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REFERENCES


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