

Rigidity results for the Robin p -Laplacian
Shape Optimization, Geometric Inequalities, and Related Topics
Two days workshop for young researchers in Naples.

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The Poisson problem with Robin boundary conditions

Let $\Omega \subset \mathbb{R}^n$ be an open, bounded and Lipschitz set. We consider the following problem for the p -Laplace operator:

$$\begin{cases} -\Delta_p u = f & \text{in } \Omega \\ |\nabla u|^{p-2} \frac{\partial u}{\partial \nu} + \beta |u|^{p-2} u = 0 & \text{on } \partial\Omega, \end{cases} \quad (P)$$

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There exists a unique, positive, weak solution to (P).

The symmetrized problem

Let Ω^\sharp be the ball satisfying $|\Omega| = |\Omega^\sharp|$. We consider

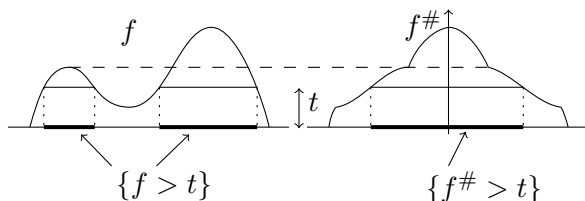
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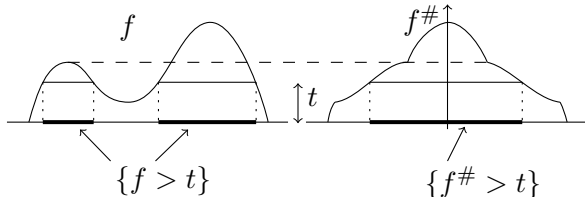


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Can we compare the solutions u and v ? Which is the right way to compare them?

The Dirichlet case

G. Talenti- 1976, Ann. Scuola Sup. Pisa (linear case)

G. Talenti- 1979, Ann. Mat. Pura Appl. (nonlinear case)

$$\begin{cases} -\Delta_p u_D = f & \text{in } \Omega \\ u_D = 0 & \text{on } \partial\Omega. \end{cases} \quad \begin{cases} -\Delta_p v_D = f^\# & \text{in } \Omega^\# \\ v_D = 0 & \text{on } \partial\Omega^\#. \end{cases}$$

$$u_D^\#(x) \leq v_D(x), \quad \forall x \in \Omega^\#$$

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Question

- Does $u^\# \leq v$ hold also in the Robin case?
- Does a weaker result hold?

- The ball maximizes every L^k norm of the solutions:

$$u_D^\#(x) \leq v_D(x) \implies \|u_D\|_{L^k(\Omega)} = \|u_D^\#\|_{L^k(\Omega^\#)} \leq \|v_D\|_{L^k(\Omega^\#)}$$

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- This gives sharp a priori estimates on the L^k -norm of the solution to (P)
- when $f \equiv 1$ we recover the Saint-Venant inequality

$$T(\Omega) = \int_{\Omega} u_D dx \leq \int_{\Omega^\#} v_D dx = T(\Omega^\#)$$

Applications

Another proof of the Faber-Krahn inequality, for all p, n :

$$\begin{cases} -\Delta_p w = \Lambda_p(\Omega)w^{p-1} & \text{in } \Omega \\ w = 0 & \text{on } \partial\Omega, \end{cases}$$

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$$w^\sharp \leq z \implies \int_{\Omega^\sharp} (w^\sharp)^{p-1} z \leq \left(\int_{\Omega^\sharp} (w^\sharp)^p \right)^{\frac{p-1}{p}} \left(\int_{\Omega^\sharp} z^p \right)^{\frac{1}{p}} \leq \int_{\Omega^\sharp} z^p$$

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$$\Lambda_p(\Omega) = \frac{\int_{\Omega^\sharp} |\nabla z|^p}{\int_{\Omega^\sharp} (w^\sharp)^{p-1} z} \geq \frac{\int_{\Omega^\sharp} |\nabla z|^p}{\int_{\Omega^\sharp} z^p} \geq \Lambda_p(\Omega^\sharp)$$

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Remark

It is sufficient $\|w\|_{L^p(\Omega)} \leq \|z\|_{L^p(\Omega^\sharp)}$ to prove the Faber-Krahn

The linear case

A. Alvino-C. Nitsch-C. Trombetti, Comm. Pure Appl. Math. 2022

Let u and v the solution respectively to

$$\begin{cases} -\Delta u = f & \text{in } \Omega, \\ \frac{\partial u}{\partial \nu} + \beta u = 0 & \text{on } \partial\Omega, \end{cases} \quad \begin{cases} -\Delta v = f^\# & \text{in } \Omega^\#, \\ \frac{\partial v}{\partial \nu} + \beta v = 0 & \text{on } \partial\Omega^\#, \end{cases}$$

If $f \in L^2(\Omega)$, $f > 0$ then

$$\|u\|_{L^{k,1}(\Omega)} \leq \|v\|_{L^{k,1}(\Omega^\#)} \quad \forall 0 < k \leq \frac{n}{2n-2}$$

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Moreover, if $f \equiv 1$

$$\begin{aligned} u^\#(x) &\leq v(x), & n &= 2, \\ \|u\|_{L^k(\Omega)} &\leq \|v\|_{L^k(\Omega)}, & n &\geq 2, \quad k = 1, 2. \end{aligned}$$

The nonlinear case

V. Amato-A. Gentile- A. L. M., Ann. Mat. Pura Appl. 2022

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$$\|u\|_{L^k(\Omega)} \leq \|v\|_{L^k(\Omega^\#)} \quad k = 1, p.$$

Definition

$$\|u\|_{L^{p,q}} = \begin{cases} p^{\frac{1}{q}} \left(\int_0^\infty t^q \mu(t)^{\frac{q}{p}} \frac{dt}{t} \right)^{\frac{1}{q}} & 0 < q < \infty \\ \sup_{t>0} (t^p \mu(t)) & q = \infty \end{cases}$$

where $\mu(t) = |\{u > t\}|$, is the *distribution function* of u .

Remark

If $p = q$, we recover the classical L^p norm, as a consequence of the Cavalieri principle:

$$\int_{\Omega} |u|^p = p \int_0^{+\infty} t^{p-1} \mu(t)$$

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- The ball maximizes the p -Torsion in any dimension;
- The alternative proof of the Faber-Krahn inequality holds if $p \geq n$.

Characterize the equality case

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In the Dirichlet case

A. Alvino-P. L. Lions-G. Trombetti (Proc. Roy. Soc. Edinburgh Sect. A, 1986)

Let u_D and v_D be the solutions respectively to

$$\begin{cases} -\Delta u_D = f & \text{in } \Omega, \\ u_D = 0 & \text{on } \partial\Omega, \end{cases} \quad \begin{cases} -\Delta v_D = f^\sharp & \text{in } \Omega^\sharp, \\ v_D = 0 & \text{on } \partial\Omega^\sharp, \end{cases}$$

If $u_D^\sharp(x) = v_D(x)$ for almost every $x \in \Omega^\sharp$, then

$$\Omega = \Omega^\sharp + x_0, \quad u_D(\cdot) = u_D^\sharp(\cdot + x_0), \quad f(\cdot) = f^\sharp(\cdot + x_0)$$

In the Robin Case

- Linear case: A. L. M., G. Paoli, to appear on J. Geom. Anal.
we study the case $n = 2$, $f = 1$, for which a pointwise comparison holds;
- Nonlinear case: A. L. M., G. Paoli, preprint
we treat the general p -Laplace case.

The results

V. Amato-A. Gentile-A. L. M., Ann. Mat. Pura Appl. 2022

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Moreover, if $f \equiv 1$

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The results

A. L. M.-G. Paoli, preprint

Let $\Omega \subset \mathbb{R}^n$ be a bounded, open and Lipschitz set and let Ω^\sharp be the ball centered at the origin with the same measure as Ω . Let u be the solution to (P) and let v be a solution to (P*). If

$$\|u\|_{L^{pk,p}(\Omega)} = \|v\|_{L^{pk,p}(\Omega^\sharp)}, \quad \text{for some } k \in \left] 0, \frac{n(p-1)}{(n-2)p+n} \right]$$

then, there exists $x_0 \in \mathbb{R}^n$ such that

$$\Omega = \Omega^\sharp + x_0, \quad u(\cdot + x_0) = v(\cdot), \quad f(\cdot + x_0) = f^\sharp(\cdot).$$

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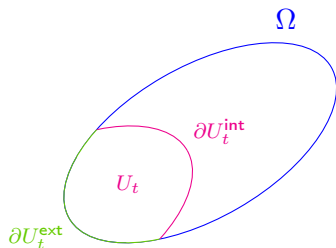
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sketch of the proof for $n = 2, p = 2, f \equiv 1$

Some Notation



$$U_t = \{x \in \Omega : u(x) > t\},$$

$$\partial U_t^{int} = \partial U_t \cap \Omega,$$

$$\partial U_t^{ext} = \partial U_t \cap \partial \Omega.$$

- $\mu(t) = |\{x \in \Omega : u(x) > t\}|,$
- $\phi(t) = |\{x \in \Omega^\# : v(x) > t\}|, \quad V_t = \{x \in \Omega^\# : v(x) > t\}.$

Some properties

- Let us denote by $u_m = \min_{\Omega} u$ and $v_m = \min_{\Omega} v$ that are achieved on the boundary. Since $\beta > 0$, we have that $u_m, v_m > 0$.

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- v is radial and decreasing
 V_t is a ball concentric to $\Omega^\#$ and strictly contained in it
- It holds that $v_m \geq u_m$. Indeed:

$$\begin{aligned} v_m \mathbf{P}(\Omega^\#) &= \int_{\partial\Omega^\#} v(x) d\mathcal{H}^1 = \frac{1}{\beta} \int_{\Omega^\#} dx = \frac{1}{\beta} \int_{\Omega} dx \\ &= \int_{\partial\Omega} u(x) d\mathcal{H}^1 \geq u_m \mathbf{P}(\Omega) \geq u_m \mathbf{P}(\Omega^\#). \end{aligned}$$

M.-Paoli, preprint

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$$\|u\|_{L^{pk,p}(\Omega)} = \|v\|_{L^{pk,p}(\Omega^\#)}, \quad \text{for some } k \in \left] 0, \frac{n(p-1)}{(n-2)p+n} \right]$$

then, there exists $x_0 \in \mathbb{R}^n$ such that

$$\Omega = \Omega^\# + x_0, \quad u(\cdot + x_0) = v(\cdot), \quad f(\cdot + x_0) = f^\#(\cdot).$$

Talenti comparison for Robin: case $n = 2$ and $f \equiv 1$

$$\|u(x)\|_{L^{2k,2}(\Omega)} \leq \|v(x)\|_{L^{2k,2}(\Omega^\#)}. \quad (1)$$

Lemma: Talenti comparison [ANT]

Recalling $\mu(t) = |\{u > t\}|$, $\phi(t) = |\{v > t\}|$, it holds

$$4\pi \leq \left(-\mu'(t) + \frac{1}{\beta} \int_{\partial U_t^{ext}} \frac{1}{u} d\mathcal{H}^1 \right) \quad (2)$$

and

$$4\pi = \left(-\phi'(t) + \frac{1}{\beta} \int_{\partial V_t \cap \partial \Omega^\#} \frac{1}{v} d\mathcal{H}^1 \right). \quad (3)$$

- From (2) and (3) one can prove (1).
- These (in)equalities are the key to the rigidity result.

Lemma: idea of the proof

The key points in proving this inequality (2) are:

- the isoperimetric inequality applied on the super level set of u and v resp. U_t and V_t ;
- the Hölder inequality applied on the function g

$$g(x) = \begin{cases} |\nabla u| & \text{if } x \in \partial U_t^{int}, \\ \beta u & \text{if } x \in \partial U_t^{ext}. \end{cases}$$

that satisfies

$$\int_{\partial\{u>t\}} g(x) d\mathcal{H}^1 = \int_{\{u>t\}} dx = \mu(t).$$

Sketch of the proof of the Rigidity result

- From the hypothesis

$$\|u(x)\|_{L^{2k,2}(\Omega)} = \|v(x)\|_{L^{2k,2}(\Omega^\#)}.$$

one can prove the equality in the Talenti comparison, i.e.

$$4\pi = \left(-\mu'(t) + \frac{1}{\beta} \int_{\partial U_t^{ext}} \frac{1}{u} d\mathcal{H}^1 \right),$$

for almost every $t \in [0, u_M]$, where $u_M = \max_{\Omega} u$.

Sketch of the proof of the Rigidity result

Step 1: We prove that every super level set is a ball.

- Equality in the Talenti comparison implies that

$$2\sqrt{\pi}\mu(t)^{\frac{1}{2}} = P(U_t), \quad \text{for a. e. } t$$

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that means that a.e level set is a ball.

- For all $t \in [u_m, u_M)$, there exists $\{t_k\}$ s.t.
 - $t_k \rightarrow t$;
 - $t_k > t_{k+1}$;
 - $\{u > t_k\}$ is a ball for all k .

Then, since $\{u > t\} = \cup_k \{u > t_k\}$, we have that $\{u > t\}$ is a ball for all t .

In particular, Ω is a ball!

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$$w^\#(x) = z(x), \quad \text{in } \Omega^\#.$$

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Step 2: We prove that the level sets are concentric balls.

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If one can prove a rigidity result for the Dirichlet p -Laplacian, we achieve **Step 2**.

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Let $\Omega \subset \mathbb{R}^n$ be an open, bounded and Lipschitz set. Let $f \in L^{p'}(\Omega)$ be a positive function and let w and z be weak solutions respectively to

$$\begin{cases} -\Delta_p w = f & \text{in } \Omega \\ w = 0 & \text{on } \partial\Omega, \end{cases} \quad \begin{cases} -\Delta_p z = f^\sharp & \text{in } \Omega^\sharp \\ z = 0 & \text{on } \partial\Omega^\sharp. \end{cases}$$

If $w^\sharp(x) = z(x)$, for all $x \in \Omega^\sharp$, then there exists $x_0 \in \mathbb{R}^n$ such that

$$\Omega = \Omega^\sharp + x_0, \quad w(\cdot + x_0) = z(\cdot), \quad f(\cdot + x_0) = f^\sharp(\cdot).$$

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This result seems new in the literature!

Main differences with ALT

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Main differences with ALT

- Solutions to p -Laplace equation are not, in general, continuous or $C^{1,\alpha}(\bar{\Omega})$;
- The proof of the rigidity results by A-L-T strongly relies on the fact that they are dealing with a linear operator and the high regularity of the solutions that can be lost for a generic p .
- To overcome this regularity issue, we show that w satisfies the Brothers-Zierner result:

Brothers, Ziemer, J. Reine Angew. Math.

Let $w \in W_0^{1,p}(\Omega)$, let

$$w_M := \begin{cases} \|w\|_\infty & \text{if } w \in L^\infty(\Omega) \\ +\infty & \text{otherwise.} \end{cases}.$$

If

$$\int_\Omega |\nabla w|^p = \int_\Omega |\nabla w^\sharp|^p,$$

and

$$\left| \{ |\nabla w^\sharp| = 0 \} \cap \{ 0 < w^\sharp < w_M \} \right| = 0$$

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So $w = u - u_m$ is radial and decreasing, and $u = w + u_m$ too!

- Generalize the rigidity results in the anisotropic setting or to the mixed boundary condition setting, for which Talenti-type results are proved in
 - ① R. Sannipoli, *Nonlinear Anal.* (2022),
 - ② A. Alvino, C. Chiacchio, C. Nitsch, C. Trombetti, *J. Math Pures Appl.*, (2021).
- Is it true that the ball maximizes every L^k norm of the Torsion function (with Robin boundary conditions) in any dimension?
A first evidence is contained in "R. Sannipoli *Atti Accad. Naz. Lincei Rend. Lincei Mat. Appl.*" where it is proved that the ball is a critical shape for every L^k norm.

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Thank you for your attention!